



**BROWNSVILLE ISD  
MARGARET M. CLARK  
AQUATIC CENTER  
HVAC & CONTROLS  
REPLACEMENTS**

**Brownsville, TX**

**CSP # 20-114**

**Due: Thursday, July 18, 2019  
at 4:00 P.M.**



**ethos**  
engineering

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**June 25, 2019**

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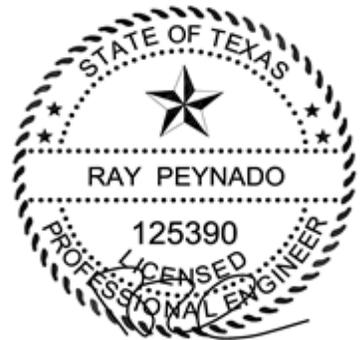
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Div. 26

Date: 06-25-19



**Brownsville Independent School District**  
**Purchasing Department**  
1900 E. Price Road Room #107, Brownville, Texas 78521  
(956) 548-8361 Fax (956) 548-8367  
CSP # 20-114

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Sealed bids will be received by the Brownsville Independent School District at the office of MRS. DELIA RODRIGUEZ, INTERIM PURCHASING ADMINISTRATOR, 1900 E. PRICE RD. SUITE 107, BROWNSVILLE, TEXAS 78521-2417 (956)548-8361. Vendors are invited to attend the solicitation opening at the office of the Purchasing Department

Proposal must be submitted in a 3 inch binder with a table of contents and tabs to include but not limited in the following order:

1. Bid Bond
2. Proposal Price
  - (Bid Form) with Base Bid
  - Alternate
  - Unit Pricing
  - Addendums
3. BISD Forms
4. List of Subcontractor's to be used
  - Company Name
  - Trade to be performed
  - Contact Name and Phone number
5. Financial Reports as required on Delegation of Authority

**Four (4) binders (one original and three copies)**

**DEADLINE**

Thursday, July 18, 2019  
4:00 pm (Central Standard Time)

**OPENING**

Thursday, July 18, 2019  
4:30 pm (Central Standard Time)

**TENTATIVE AWARD DATE**

August 6, 2019

**PRE-PROPOSAL CONFERENCE MEETING**

Tuesday, July 9, 2019  
10:30 am (Central Standard Time)  
Aquatic Center, 2901 FM 802, Ruben Torres Blvd., Brownsville, TX (956) 698-0064

**Senior Buyer**

Corpus Zorola, CTSBS, CTPM, Email: [czorola@bisd.us](mailto:czorola@bisd.us)



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### **Solicitation Submission**

1. The solicitation should be submitted on this form and continued on any attached list(s) of items and submitted in a sealed envelope. Each solicitation shall be placed in a separate envelope, sealed and properly identified with the company's name, solicitation title, solicitation number and date to be opened. The District will not be held responsible for missing, lost or late mail. Brownsville Independent School District WILL NOT ACCEPT ANY E-MAIL OR FACSIMILE (FAX) ON SEALED SOLICITATIONS.

### **Terms of Contract**

2. This contract will be valid for a twelve (12) month period following the School Board award date with an option to extend for up to a six (6) month period after expiration date; unless otherwise noted on the scope of work included in these specifications. The six (6) month extension will be done in writing and will be submitted for School Board approval as needed and only after mutual agreement between the District and the awarded vendors.

### **Vendors Qualifications**

3. To qualify as a vendor for service work the following qualifications must be met:
  - A. **General Experience:** Vendor must show evidence of at least 2 years related experience, and vendor must have been in the business prior to submitting solicitation for at least 2 years. Failure to submit may be cause for disqualification.
  - B. **Vendor Availability:** Vendor shall provide the district with competent staff that is readily available to answer all questions the district may have regarding submitted solicitation, post award and awarded contract.
  - C. **Vendor Certification:** Vendor, when required by federal, state, local city; must provide current copies of all certifications, licenses, permits, as they apply to their field of work. Failure to submit with solicitation may be cause for disqualification of submitted bid.
  - D. **Vendor Insurance:** Vendor, when required by specifications, shall provide current insurance certifications in the amounts requested by the solicitation specifications. Failure to submit with solicitation may be cause for disqualifications of submitted solicitation. No work shall commence until all insurance required have been approved by the district. The district will be furnished a certification of insurance acceptable, prior to the commencement of any work.
  - E. **Payment Bond:** All service projects over 25k must meet Texas Government Code 2253.021 (a): For the protection of the subcontractors and material suppliers the awarded vendor must provide a payment bond before work commences to BISD.



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#### **Quantities**

4. Brownsville Independent School District reserves the right to increase or decrease the number of units of each item on the basis of the unit price quoted, unless to do so will increase unit solicitation. In solicitation, stipulate whether the increase or decrease will affect solicitation price. **The solicitation prices will remain firm for twelve (12) months from date of Board Approval, unless otherwise stipulated.**

#### **Item Description**

5. Vendors shall submit complete data on each item contained in the solicitation. Such data shall show and identify, by manufactures number, (catalog) or other illustrations, the brand and mode on which the solicitation is based and so marked as to be identified with the solicitation item. **FAILURE TO SUBMIT THE ABOVE INFORMATION WITH SEALED SOLICITATION WILL DISQUALIFY THIS SOLICITATION.**

#### **Cash Discount**

6. The Brownsville Independent School District considers cash discounts or discounts for prompt payment when evaluating solicitation.

#### **Purchase Order**

7. The District is not responsible for orders placed by individuals, without an appropriate purchase order issued by B.I.S.D. The District will not make cash advances to the award vendors. Payment will be promptly made after all goods have been received and all services have been rendered by the awarded vendors.

#### **Vendor Representative**

8. The successful vendor agrees to send a personal representative with binding authority for the company to the district upon request to make adjustments and/or assist with coordination of all transactions as needed.

#### **Quality of Products**

9. All products must be delivered in the quality as specified, all items must be new, in first class condition, including containers suitable for shipment and storage, unless otherwise indicated on the solicitation. No substitution in standard grades or lesser quality will be accepted. If the awarded vendor continues to deliver a lesser quality and continues not to meet the said specifications, BISD will seek the following remedies: Disbarment of the vendor for up to three years, notification to the Better Business Bureau, and a negative performance would be documented in the vendor performance tracking system in the State of Texas VPTS system.



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### **Determining Factors for Awards**

10. In awarding a contract, the district shall consider TEC 44.031 (b):
  - a. Purchase price.
  - b. The reputation of the vendor and of the vendor's goods and services.
  - c. The quality of the vendor's goods or services.
  - d. The extent to which the goods or services meet the district's needs.
  - e. The vendor's past relationship with the district.
  - f. The impact on the ability of the district to comply with laws relating to historically underutilized businesses.
  - g. The total long-term cost to the district to acquire the goods or services
  - h. For a contract that is not for goods and services related to telecommunications and information services, building construction and maintenance, or instructional materials whether the vendor or the vendor's ultimate parent company or majority owner has its principal place of business in this state or employs at least 500 persons in this state.
  - i. And other relevant factors specifically list in the request for solicitation.

### **Pricing**

11. Document on the solicitation unit price on quantity specified, extend and show total. In case of errors in extension, unit prices shall govern.

### **Signing of Solicitation**

12. Failure to manually sign solicitation may disqualify it. Person signing solicitation should show title or authority to bind their firm to a contract.

### **Taxes**

13. The district is exempt from Federal Excise Tax, State Tax and Local Taxes. Do not include tax in the solicitation. If it is determined that tax was included in the solicitation it will not be included in the tabulation or any awards. Tax exemption certificates will be furnished upon request.

### **Or Equal**

14. Any catalog, brand name or manufacturer's reference used in the solicitation request is descriptive - not restrictive - it is intended to indicate type and quality desired. Other brands of like nature and quality will be considered. If offering on other than reference specifications, the solicitation document must show manufacturer, brand, model, etc. of article offered. If brand other than that specified is offered, complete descriptive information of said article must be included with the solicitation. If vendor takes no exception to specifications of reference data, brand names, models, etc. as specified, must be furnished.



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#### **Samples**

15. When requested, must be furnished within five working days of the request at no cost to the district. If not destroyed in examination they will be returned to the vendor on request at the vendor's expense.

#### **EEO Guideline**

16. During the performance of this contract, the contractor agrees not to discriminate against any employee or applicant for employment because of race, color, national origin, age, religion, gender, marital or veteran status, or handicapping condition.

#### **As Needed Basis**

17. Quantities shown are estimates only. They are based on prior yearly usage. Items are to be ordered "as needed" over a period of one (1) year.

#### **Question of Specifications**

18. All questions regarding solicitation specifications must be addressed seven (7) seven days prior to solicitation submission in writing.

#### **Re-solicit**

19. The Brownsville Independent School District has the right to reject all responses or re-solicit if only one solicitation is received by the "submission date" or extend the submission date by an additional two (2) weeks.

#### **Records Pertaining to this Solicitation**

20. Vendor must submit a public information request form through Public Information Department at phone number (956) 548-8000 if copies of the submitted responses are needed. This includes all documents pertaining to said solicitation.

#### **Contact with Buyer**

21. The vendor should be advised that all end users or Board Member may not communicate with any potential vendor and may only communicate with the designated buyer on any matter related to the solicitation. This includes technical questions on the Scope of Work. The buyer will not respond to the technical questions until first contacting an end user for input and concurrence and then submit an addendum to all potential vendors. A vendor should not contact any end use directly and should refer all question in writing to the designated buyer. Continued non-compliance of the requirement is ground for rejection of the solicitation. The designated buyer can be located on the 1<sup>st</sup> page of this solicitation.



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#### **Ethic & Conduct**

22. No person shall participate or assume a responsibility in the implementation and execution of this procedure including, but not limited to, the evaluation of solicitation and selection of contractors, when such participation of solicitation and selection of contractors, when such participation constitutes a conflict of interest as defined by law.

#### **Criminal Background Checks**

23. Respondent agrees by signing and executing this solicitations to provide assurance that all employees, subcontractors and volunteers of the Provider who have contact with students have passed a criminal history background check current within the last year as per defined in Senate Bill 9.

#### **Website Disclaimer**

24. All solicitation postings on BISD website are provided as an added public services and may or may not be intended for official use. Every effort has been made to provide specifications that are up to date, but information provided herein may change without notice or further posting. Any information presented on the website is subject to revision at any time and is reproduce from official documents of the Purchasing Department. Vendors are encouraged to contact the purchasing department to ensure the solicitation posting is up to date.

#### **Vendor Price with Escalation**

25. Price may be increased according to the terms listed:  
Based in Consumer Price Index, Producers Price Index, or other index approve by BISD Purchasing Department.

The vendor must submit a written request for price increase to BISD Purchasing Office within 15 days of the affected price increase. The request must be address to the appropriate buyer assigned to manage the procurement. Note: Vendor shall not delay or stop deliveries pending price change approval. Price increase requests shall be supported by the appropriate index documentation from an independent and industry accepted market report.

A price increase from your supplier alone is not sufficient documentation. BISD will respond within 15 calendar day of the request receipt date by:

1. Granting the request
2. Reassigning the item(s) to another awarded vendor
3. Re-solicit the item(s); or taking any other action deemed in the best interest to BISD. Price decreases will be accepted at any time during the contract period.



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The allowable percent increase change shall be calculated as follows:

$(B-A)/A \times 100\% = \text{Percent of allowable price increase}$

A = Index from the month of the original solicitation or the month of the last approval price increase

B = Current and/or latest baseline index

The resulting percent shall be rounded to the nearest one-hundredth of one percent and shall be the maximum adjustment permitted. The Consumer Price Index (CPI) or Producer Price Index (PPI) used will be for the industry of the specific items listed in the solicitation. At the sole Discretion of BISD, multiple CPI's or PPI's may be used for various items in the same solicitation.

**Purchasing Ethics**

26. It is not our practice to solicit any type of gifts, favors, or sample of products (samples only if the bid specification call for). If any employee implies this to you or your company please feel free to inform the Interim Purchasing Administrator at [dnrodriguez@bisd.us](mailto:dnrodriguez@bisd.us). It will be held in confidence. You can also contact our fraud line at 956-548-8181; submit a [Web Tip] or SMS [Text-A-Tip] at our Police/Security Services website - <http://www.bisd.us/Security> (anonymously).

**Termination**

27. Brownsville Independent School District may, in its sole discretion, terminate this Contract upon thirty (30) days' written notice to Contractor. Such Notice may be provided by facsimile, email or certified mail, return receipt requested and is effective upon Contractor's receipt. This agreement can also be canceled without notice for the following reason:
- a. Failure of contractor to adhere to hourly rates or pricing as bid.
  - b. Contractor's failure to timely respond to service requests.
  - c. Not complying with the contract specifications.

**Subcontracting Program HUBs Good Faith Effort**

28. Brownsville ISD at times is required to make a good faith effort to assist Historically Underutilized Businesses (HUBs). The goal of this program is to promote fair and competitive business opportunities for all businesses contracting with Brownsville ISD. Vendors please submit your HUB certification with solicitation.

**Applicable Law; Venue**



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29. This solicitation shall be governed by and construed in accordance with the laws of the State of Texas. The venue of any suit arising under this solicitation or a contract created by said solicitation shall be fixed in any court of competent jurisdiction of Cameron County, Texas.

**Solicitation Type**

30. If the solicitation is a Request for Qualifications (RFQ) please disregard all verbiage noting cost or pricing on this solicitation document. The RFQ process will follow the "Professional Services Procurement Act" as stated under chapter 2254 of the Texas Government Code.

If the solicitation is a Construction Project the process will follow "Contracting and Delivery Procedures for Construction Projects" under chapter 2269 of the Texas Government Code.

The Brownsville Independent School District reserves the right to reject any/or all solicitation and to make awards as they may appear to be advantageous to the School District, to hold solicitation for 120 days from submission date without action, and to waive all formalities in solicitation. The vendor must indicate "all or none" in the solicitation if the above-stated condition is not acceptable.

**Vendor must provide Federal Identification Number and/or Social Security Number in order to be considered as a qualified vendor.**

This Solicitation shall be delivered FOB Brownsville Independent School District, Brownsville, Texas 78521. A contract will be placed into effect by means of a purchase order issued by the District after evaluation and final approval by the Board of Trustees.

BISD does not discriminate on the basis of race, color, national origin, sex, religion, age, disability or genetic information in employment or provision of services, programs or activities.

BISD no discrimina a base de raza, color, origen nacional, sexo, religion, edad, incapacidad o informacion genetica en el empleo a la disposicion de servicios, programas o actividades.

Mrs. Delia Rodriguez, CTSBO, CTPM  
Interim Purchasing Administrator  
Brownsville I.S.D.



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**Brownsville I.S.D. Vendor Certification Forms**

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**CERTIFICATION OF COMPLIANCE WITH TEXAS FAMILY  
CODE PROVISION**

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**As per Section 14.52 of the Texas Family Code, added by S.B. 84, Acts, 73rd Legislature, R.S. (1993), all bidders must complete and submit with the bid the following affidavit:**

I, the undersigned vendor, do hereby acknowledge that NO sole proprietor, partner, majority shareholder of a corporation, or an owner of 10% or more of another business entity is 30 days or more delinquent in paying child support under a court order or a written repayment agreement. I understand that under this provision, a sole proprietorship, partnership, corporation or other entity in which a sole proprietor, partner, majority shareholder or a corporation, or an owner of 10% or more of another entity is 30 days or more delinquent in paying child support under a court order or a written repayment agreement is NOT eligible to bid or receive a state contract.

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**REQUIRED CONTRACT PROVISIONS FOR NON-FEDERAL  
ENTITY CONTRACTS UNDER FEDERAL AWARDS – APPENDIX  
II TO 2 CFR PART 200**

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**The following provisions are required and apply when federal funds are expended by Brownsville I.S.D. for any contract resulting from this procurement process**

- (A) Contracts for more than the simplified acquisition threshold currently set at \$150,000, which is the inflation adjusted amount determined by the Civilian Agency Acquisition Council and the Defense Acquisition Regulations Council (Councils) as authorized by 41 U.S.C. 1908, must address administrative, contractual, or legal remedies in instances where contractors violate or breach contract terms, and provide for such sanctions and penalties as appropriate.

Pursuant to Federal Rule (A) above, when federal funds are expended by Brownsville I.S.D., Brownsville I.S.D. reserves all rights and privileges under the applicable laws and regulations with respect to this procurement in the event of breach of contract by either party.

Does vendor agree? YES \_\_\_\_\_ Initials of Authorized  
Representative of vendor

- (B) Termination for cause and for convenience by the grantee or subgrantee including the manner by which it will be effected and the basis for settlement. (All contracts in excess of \$10,000)

Pursuant to Federal Rule (B) above, when federal funds are expended by Brownsville I.S.D., Brownsville ISD reserves the right to immediately terminate any agreement in



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BISD MARGARET M. CLARK - AQUATIC CENTER HVAC & CONTROLS REPLACEMENT excess of \$10,000 resulting from this procurement process in the event of a breach or default of the agreement by Vendor, in the event vendor fails to: (1) meet schedules, deadlines, and/or delivery dates within the time specified in the procurement solicitation, contract, and/or a purchase order; (2) make any payments owed; or (3) otherwise perform in accordance with the contract and/or the procurement solicitation. Brownsville I.S.D. also reserves the right to terminate the contract immediately, with written notice to vendor, for convenience, if Brownsville I.S.D. believes, in its sole discretion that it is in the best interest of Brownsville I.S.D. to do so. The vendor will be compensated for work performed and accepted and goods accepted by Brownsville I.S.D. as of the termination date if the contract is terminated for convenience of Brownsville I.S.D. Any award under this procurement process is not exclusive and Brownsville I.S.D. reserves the right to purchase goods and services from other vendors when it is in the best interest of Brownsville I.S.D.

- (C) Equal Employment Opportunity. Except as otherwise provided under 41 CFR Part 60, all contracts that meet the definition of “federally assisted construction contract” in 41 CFR Part 60-1.3 must include the equal opportunity clause provided under 41 CFR 60-1.4(b), in accordance with Executive Order 11246, “Equal Employment Opportunity” (30 FR 12319, 12935, 3 CFR Part, 1964-1965 Comp., p. 339), as amended by Executive Order 11375, “Amending Executive Order 11246 Relating to Equal Employment Opportunity,” and implementing regulations at 41 CFR part 60, “Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor.”

Pursuant to Federal Rule (C) above, when federal funds are expended by Brownsville I.S.D. on any federally assisted construction contract, the equal opportunity clause is incorporated by reference herein

Does vendor agree to abide by the above?

YES \_\_\_\_\_ Initials of Authorized Representative of vendor

- (D) Davis-Bacon Act, as amended (40 U.S.C. 3141-3148). When required by Federal program legislation, all prime construction contracts in excess of \$2,000 awarded by non-Federal entities must include a provision for compliance with the Davis-Bacon Act (40 U.S.C. 3141-3144, and 3146-3148) as supplemented by Department of Labor regulations (29 CFR Part 5, “Labor Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction”). In accordance with the statute, contractors must be required to pay wages to laborers and mechanics at a rate not less than the prevailing wages specified in a wage determination made by the Secretary of Labor. In addition, contractors must be required to pay wages not less than once a week. The non-Federal entity must place a copy

of the current prevailing wage determination issued by the Department of Labor in each solicitation. The decision to award a contract or subcontract must be conditioned upon the acceptance of the wage determination. The non-Federal entity must report all suspected or reported violations to the Federal awarding agency. The contracts must also include a



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BISD MARGARET M. CLARK - AQUATIC CENTER HVAC & CONTROLS REPLACEMENT provision for compliance with the Copeland "Anti-Kickback" Act (40 U.S.C. 3145), as supplemented by Department of Labor regulations (29 CFR Part 3, "Contractors and Subcontractors on Public Building or Public Work Financed in Whole or in Part by Loans or Grants from the United States"). The Act provides that each contractor or subrecipient must be prohibited from inducing, by any means, any person employed in the construction, completion, or repair of public work, to give up any part of the compensation to which he or she is otherwise entitled. The non-Federal entity must report all suspected or reported violations to the Federal awarding agency.

Pursuant to Federal Rule (D) above, when federal funds are expended by Brownsville I.S.D., during the term of an award for all contracts and subgrants for construction or repair, the vendor will be in compliance with all applicable Davis-Bacon Act provisions.

Does vendor agree? YES \_\_\_\_\_ Initials of Authorized Representative of vendor

**(E)** Contract Work Hours and Safety Standards Act (40 U.S.C. 3701-3708). Where applicable, all contracts awarded by the non-Federal entity in excess of \$100,000 that involve the employment of mechanics or laborers must include a provision for compliance with 40 U.S.C. 3702 and 3704, as supplemented by Department of Labor regulations (29 CFR Part 5). Under 40 U.S.C. 3702 of the Act, each contractor must be required to compute the wages of every mechanic and laborer on the basis of a standard work week of 40 hours. Work in excess of the standard work week is permissible provided that the worker is compensated at a rate of not less than one and a half times the basic rate of pay for all hours worked in excess of 40 hours in the work week. The requirements of 40 U.S.C. 3704 are applicable to construction work and provide that no laborer or mechanic must be required to work in surroundings or under working conditions which are unsanitary, hazardous or dangerous. These requirements do not apply to the purchases of supplies or materials or articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence.

Pursuant to Federal Rule (E) above, when federal funds are expended by Brownsville I.S.D., the vendor certifies that during the term of an award for all contracts by Brownsville I.S.D. resulting from this procurement process, the vendor will be in compliance with all applicable provisions of the Contract Work Hours and Safety Standards Act.

Does vendor agree? YES \_\_\_\_\_ Initials of Authorized Representative of vendor



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**(F)** Rights to Inventions Made Under a Contract or Agreement. If the Federal award meets the definition of “funding agreement” under 37 CFR §401.2 (a) and the recipient or subrecipient wishes to enter into a contract with a small business firm or nonprofit organization regarding the substitution of parties, assignment or performance of experimental, developmental, or research work under that “funding agreement,” the recipient or subrecipient must comply with the requirements of 37 CFR Part 401, “Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements,” and any implementing regulations issued by the awarding agency.

Pursuant to Federal Rule (F) above, when federal funds are expended by Brownsville I.S.D., the vendor certifies that during the term of an award for all contracts by Brownsville I.S.D. resulting from this procurement process, the vendor agrees to comply with all applicable requirements as referenced in Federal Rule (F) above.

Does vendor agree? YES \_\_\_\_\_ Initials of Authorized Representative of vendor

**(G)** Clean Air Act (42 U.S.C. 7401-7671q.) and the Federal Water Pollution Control Act (33 U.S.C. 1251-1387), as amended—Contracts and subgrants of amounts in excess of \$150,000 must contain a provision that requires the non-Federal award to agree to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401-7671q) and the Federal Water Pollution Control Act as amended (33 U.S.C. 1251- 1387). Violations must be reported to the Federal awarding agency and the Regional Office of the Environmental Protection Agency (EPA).

Pursuant to Federal Rule (G) above, when federal funds are expended by Brownsville I.S.D., the vendor certifies that during the term of an award for all contracts by Brownsville I.S.D. resulting from this procurement process, the vendor agrees to comply with all applicable requirements as referenced in Federal Rule (G) above.

Does vendor agree? YES \_\_\_\_\_ Initials of Authorized Representative of vendor

**(H)** Debarment and Suspension (Executive Orders 12549 and 12689)—A contract award (see 2 CFR 180.220) must not be made to parties listed on the government wide exclusions in the System for Award Management (SAM), in accordance with the OMB guidelines at 2 CFR 180 that implement Executive Orders 12549 (3 CFR part 1986 Comp., p. 189) and 12689 (3 CFR part 1989 Comp., p. 235), “Debarment and Suspension.” SAM Exclusions contains the names of parties debarred, suspended, or otherwise excluded by agencies, as well as parties declared ineligible under statutory or regulatory authority other than Executive Order 12549.



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Pursuant to Federal Rule (H) above, when federal funds are expended by Brownsville I.S.D., the vendor certifies that during the term of an award for all contracts by Brownsville I.S.D. resulting from this procurement process, the vendor certifies that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation by any federal department or agency.

Does vendor agree? YES \_\_\_\_\_ Initials of Authorized Representative of vendor

- (I) Byrd Anti-Lobbying Amendment (31 U.S.C. 1352)—Contractors that apply or bid for an award exceeding \$100,000 must file the required certification. Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant or any other award covered by 31 U.S.C. 1352. Each tier must also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier up to the non-Federal award.

Pursuant to Federal Rule (I) above, when federal funds are expended by Brownsville I.S.D., the vendor certifies that during the term and after the awarded term of an award for all contracts by Brownsville I.S.D. resulting from this procurement process, the vendor certifies that it is in compliance with all applicable provisions of the Byrd Anti-Lobbying Amendment (31 U.S.C. 1352). The undersigned further certifies that:

- a. No Federal appropriated funds have been paid or will be paid for on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of congress, or an employee of a Member of Congress in connection with the awarding of a Federal contract, the making of a Federal grant, the making of a Federal loan, the entering into a cooperative agreement, and the extension, continuation, renewal, amendment, or modification of a Federal contract, grant, loan, or cooperative agreement.
- b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions.
- c. The undersigned shall require that the language of this certification be included in the award documents for all covered sub-awards exceeding \$100,000 in Federal funds at all appropriate tiers and that all subrecipients shall certify and disclose accordingly.

Does vendor agree? YES \_\_\_\_\_ Initials of Authorized Representative of vendor



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**RECORD RETENTION REQUIREMENTS FOR CONTRACTS PAID FOR WITH FEDERAL FUNDS**  
**- 2 CFR § 200.333**

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When federal funds are expended by Brownsville I.S.D. for any contract resulting from this procurement process, the vendor certifies that it will comply with the record retention requirements detailed in 2 CFR § 200.333. The vendor further certifies that vendor will retain all records as required by 2 CFR § 200.333 for a period of three years after grantees or subgrantees submit final expenditure reports or quarterly or annual financial reports, as applicable, and all other pending matters are closed.

Does vendor agree? YES \_\_\_\_\_ Initials of Authorized Representative of vendor

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**CERTIFICATION OF COMPLIANCE WITH EPA REGULATIONS**

**APPLICABLE TO GRANTS, SUBGRANTS, COOPERATIVE AGREEMENTS, AND**  
**CONTRACTS IN EXCESS OF \$100,000 OF FEDERAL FUNDS**

---

When federal funds are expended by Brownsville I.S.D. for any contract resulting from this procurement process in excess of \$100,000, the vendor certifies that the vendor is in compliance with all applicable standards, orders, regulations, and/or requirements issued pursuant to the Clean Air Act of 1970, as amended (42 U.S.C. 1857(h)), Section 508 of the Clean Water Act, as amended (33 U.S.C. 1368), Executive Order 117389 and Environmental Protection Agency Regulation, 40

CFR Part 15.

Does vendor agree? YES \_\_\_\_\_ Initials of Authorized Representative of vendor

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**CERTIFICATION OF COMPLIANCE WITH THE ENERGY POLICY AND CONSERVATION ACT**

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When federal funds are expended by Brownsville I.S.D. for any contract resulting from this procurement process, the vendor certifies that the vendor will be in compliance with mandatory standards and policies relating to energy efficiency which are contained in the state energy conservation plan issued in compliance with the Energy Policy and Conservation Act (Pub. L. 94- 163, 89 Stat. 871).

Does vendor agree? YES \_\_\_\_\_ Initials of Authorized Representative of vendor



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**CERTIFICATION OF COMPLIANCE WITH BUY AMERICA PROVISIONS**

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Vendor certifies that vendor is in compliance with all applicable provisions of the Buy America Act. Purchases made in accordance with the Buy America Act must still follow the applicable procurement rules calling for free and open competition.

Does vendor agree? YES \_\_\_\_\_ Initials of Authorized Representative of vendor

---

**CERTIFICATION OF NON-COLLUSION STATEMENT**

---

Vendor certifies under penalty of perjury that its response to this procurement solicitation is in all respects bona fide, fair, and made without collusion or fraud with any person, joint venture, partnership, corporation or other business or legal entity.

Does vendor agree? YES \_\_\_\_\_ Initials of Authorized Representative of vendor

---

Vendor agrees to comply with all federal, state, and local laws, rules, regulations and ordinances, as applicable. It is further acknowledged that vendor certifies compliance with all provisions, laws, acts, regulations, etc. as specifically noted above.

Vendor's Name/Company Name: \_\_\_\_\_

Address, City, State, and Zip Code: \_\_\_\_\_

Phone Number: \_\_\_\_\_ Fax Number: \_\_\_\_\_

Printed Name and Title of Authorized Representative: \_\_\_\_\_

Email Address: \_\_\_\_\_

Signature of Authorized Representative: \_\_\_\_\_

Date: \_\_\_\_\_



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**CERTIFICATION OF NO ISRAEL BOYCOTT**

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Effective September 1, 2017, a Texas Governmental entity may not enter into a contract with a company for goods or services unless the contract contains a written verification form from the company that it: (1) does not boycott Israel; and (2) will not boycott Israel during the term of the contract. (Texas Gov't Code CH. 2270).

“Boycott Israel” means refusing to deal with, terminating business activities with, or otherwise taking any action that is intended to penalize, inflict economic harm on, or limit commercial relations specifically with Israel, or with a person or entity doing business in Israel or in an Israeli-controlled territory, but does not include an action made for ordinary business purposes. (Texas Gov't Code §808.001(1).

By signature below, I certify and verify that Vendor does not boycott Israel and will not boycott Israel during the term of any contract awarded under this invitation, that this certification is true, complete and accurate, and that I am authorized by my company to make this certification.

Printed Company Name and Vendor Name:

\_\_\_\_\_  
Signature of Authorized Representative: \_\_\_\_\_ Date: \_\_\_\_\_

---

**CERTIFICATION OF NO EXCLUDED NATION OR FOREIGN TERRORIST ORGANIZATION**

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Effective September 1, 2017, Chapter 2252 of the Texas Government Code provides that a Texas Governmental entity may not enter into a contract with a company engaged in active business operations with Sudan, Iran, or a foreign terrorist organization – specifically, any company identified on a list prepared and maintained by the Texas Comptroller under Texas Government Code §§806.051, 807.051, or 2252.153. (A company that the U.S. Government affirmatively declares to be excluded from its federal sanctions regime relating to Sudan, Iran, or any federal sanctions regime relating to a foreign terrorist organization is not subject to the contract prohibition).

By signature below, I certify and verify that Vendor does not boycott Israel and will not boycott Israel during the term of any contract awarded under this invitation, that this certification is true, complete and accurate, and that I am authorized by my company to make this certification.

Printed Company Name and Vendor Name:

\_\_\_\_\_  
Signature of Authorized Representative: \_\_\_\_\_ Date: \_\_\_\_\_



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**Instructions for the Conflict of Interest Questionnaire**

Section 176.006 requires disclosure of a person's "affiliations or business relations that might cause a conflict of interest." The term "affiliation" is not defined in Chapter 176. However, the general definition of the word "affiliation" would mean any association or connection. So any affiliation, including such things as friendship, membership in some group or organization, relationship by blood or marriage, or any other connection, must be disclosed.

How to fill out the Conflict of Interest Questionnaire (each number corresponds with the number on Form CIQ).

1. Name of person doing business with the District. If the business is a corporation, partnership, etc., then each person who acts as an agent for the business in dealings with Brownsville ISD must complete the form. Also state company name.
2. Check the box if you are filing an update to a previously filed questionnaire. Updates are required by law by September 1 of each year in which the person submits a Solicitation, or begins contract discussions or negotiations with the District. Updates are also required by the 7th business day after an event that makes a statement in a previously filed questionnaire incomplete or inaccurate.
3. Describe how you are affiliated or related to a BISD employee or school board member who may make recommendations to the District regarding expenditures of money. Name the District employee or school board member with whom you have a relationship; if there is no relationship in question, state "NONE". Answer questions A, B, C, and D with "Yes" or "No", as applicable.

Examples:

- If your spouse, parent or child is the District of Purchasing and a solicitation is being submitted to the Purchasing Department, this relationship must be reported.
- If your spouse, parent or child is the Principal at a school and your business may sell items directly to the school. This relationship must be reported.
- If you and your spouse, parent, or child is in business with a district employee that you be making a recommendation concerning a purchase or sale transaction involving you, the relationship must be reported.
- If you employ or do business with a spouse, parent, or child of a district employee that would be making a recommendation concerning a purchase or sale transaction involving you, the relationship must be reported.
- If you are a district employee and would be making recommendation concerning a purchase or sales transaction involving you, the relationship must be reported.
- If your neighbor is friend is a district employee that would be making a recommendation concerning a purchase or sale transaction involving you and you feel that your relationship with this employee could affect their recommendation, this relationship must be reported.
- If any other situation exists that would result in a conflict of interest, the relationship must be reported.



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- If your spouse, parent, or child is a teacher that does not make recommendations concerning purchasing or sales transactions, this relationship should not be reported. If your spouse, parent, or child is a principal at a school and solicitation is being considered by a separate department such as Facilities & Planning (Construction Department) this relationship should not be reported. 4
- Signature Box: Date and Sign the form A signature is required from the person completing the form even if 'No' is entered in Box 3, A, B, C, or D.



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CONFLICT OF INTEREST QUESTIONNAIRE
For vendor doing business with local governmental entity

FORM CIQ

This questionnaire reflects changes made to the law by H.B. 23, 84th Leg., Regular Session.

This questionnaire is being filed in accordance with Chapter 176, Local Government Code, by a vendor who has a business relationship as defined by Section 176.001(1-a) with a local governmental entity and the vendor meets requirements under Section 176.008(a).

By law this questionnaire must be filed with the records administrator of the local governmental entity not later than the 7th business day after the date the vendor becomes aware of facts that require the statement to be filed. See Section 176.005 (a-1), Local Government Code.

A vendor commits an offense if the vendor knowingly violates Section 176.006, Local Government Code. An offense under this section is a misdemeanor.

OFFICE USE ONLY

Date Received

1. Name of vendor who has a business relationship with local governmental entity.

2. [ ] Check this box if you are filing an update to a previously filed questionnaire. (The law requires that you file an updated completed questionnaire with the appropriate filing authority not later than the 7th business day after the date on which you became aware that the originally filed questionnaire was incomplete or inaccurate.)

3. Name of local government officer about whom the information is being disclosed.

Name of Officer

4. Describe each employment or other business relationship with the local government officer, or a family member of the officer, as described by Section 176.003(a)(2)(A). Also describe any family relationship with the local government officer. Complete subparts A and B for each employment or business relationship described. Attach additional pages to this Form CIQ as necessary.

a. Is the local government officer or a family member of the officer receiving or likely to receive taxable income, other than investment income, from the vendor?

[ ] Yes [ ] No

b. Is the vendor receiving or likely to receive taxable income, other than investment income, from or at the direction of the local government officer or a family member of the officer AND the taxable income is not received from the local governmental entity?

[ ] Yes [ ] No

5. Describe each employment or business relationship that the vendor named in Section 1 maintains with a corporation or other business entity with respect to which the local government officer serves as an officer or director, or holds an ownership interest of one percent or more.

6. [ ] Check this box if the vendor has given the local government officer or a family member of the officer one or more gifts as described in Section 176.003(a)(2)(B), excluding gifts described in Section 176.003(a-1).

7.

Signature of vendor doing business with the governmental entity

Date



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- None of the covered employee(s) has a disqualifying criminal history.
- b. Contractor/Subcontractor/Independent Contractor receives information that a covered employee(s) subsequently has a reported criminal history, Contractor/Subcontractor/Independent Contractor will immediately remove the covered employee(s) from contract duties and notify the District in writing within 3 business days.
- c. Upon request, Contractor/Subcontractor/Independent Contractor will provide the District with the name and any other requested information of covered employee(s)'s criminal history record information, Contractor/Subcontractor/Independent Contractor agrees to discontinue using the covered employee(s) to provide services at the District.

Disqualifying criminal history: Any conviction or other criminal history information designated by the District, or one of the following offenses, if at the time of the offense, the victim was under 18 or enrolled in a public school: (a) a felony offense under Title 5, Texas Penal Code; (b) an offense for which a defendant is required to register as a sex offender under Chapter 62, Texas Code of Criminal Procedure; or (c) an equivalent offense under federal law or the laws of another state.

On behalf of \_\_\_\_\_  
(Contractor/Subcontractor/Independent Contractor)

I, the undersigned authorized signatory for Contractor/Subcontractor/Independent Contractor to Certify to **Brownsville ISD** that:

[Check One]

None of the employee(s) of Contractor/Subcontractor/Independent Contractor are *covered employees*, as defined above. If this box is checked, I further certify that Contractor/Subcontractor/Independent Contractor has taken precautions or imposed conditions to ensure that the employees of Contractor and any subcontractor will not become *covered employees*. Contractor will maintain these precautions or conditions throughout the time the contracted services are provided.

OR

Some or all of the employee(s) of Contractor/Subcontractor/Independent Contractor are *covered employees*. If this box is checked, I further certify that I understand the definitions and requirements above on section COVERED EMPLOYEE(S)

I also certify to the District on behalf of Contractor/Subcontractor/Independent Contractor had obtained certification form it Contractor/Subcontractor/Independent Contractor of compliance with Education



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Code, Chapter 22.

I agree to provide the District, upon request, full name(s) and any other requested information so the District may obtain mine/employee(s) history record information. I understand that the District may terminate services at any time if the District determines, at its sole discretion, that criminal history is not acceptable.

Noncompliance or misrepresentation regarding this certification may be grounds for contract termination.

\_\_\_\_\_  
Signature & Title

\_\_\_\_\_  
Date



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**FELONY CONVICTION NOTICE**

State of Texas Legislative Senate Bill No. 1, Section 44.034, Notification of Criminal History, Subsection (a), states “a person or business entity that enters into a contract with a school district must give advance notice to the district if the person or an owner or operator of the business entity has been convicted of a felony. The notice must include a general description of the conduct resulting in the conviction of a felony.

Subsection (b) states “a school district may terminate a contract with a person or business entity if the district determines that the person or business entity failed to give notice as required by Subsection (a) or misrepresented the conduct resulting in the conviction. The district must compensate the person or business entity for the services performed before the termination of contract.”

**THIS NOTICE IS NOT REQUIRED OF A PUBLICLY-HELD CORPORATION**

I, the undersigned agent for the firm named below, certify that the information concerning notification of felony has been reviewed by me and the following information furnished is true to the best of my knowledge.

VENDOR’S NAME: \_\_\_\_\_

AUTHORIZED COMPANY OFFICIAL’S NAME (PRINTED) \_\_\_\_\_

- a. My firm is publicly-held corporation, therefore, this reporting requirement is not applicable.

Signature of Company: \_\_\_\_\_

- b. My firm is neither owned nor operated by anyone who has been convicted of a felony:

Signature of Company Official: \_\_\_\_\_

- c. My firm is owned or operated by the following individual(s) who has/have been convicted of a felony:

Name: \_\_\_\_\_

Felon(s): \_\_\_\_\_

Details of Conviction(s): \_\_\_\_\_

Signature of Company Official: \_\_\_\_\_

**Note: Please complete, sign, and submit this form with your solicitation or company may be disqualified**



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**NON-COLLUSIVE AFFIDAVIT**

The undersigned vendor, by signing and executing this solicitation certifies and represents to the Brownsville Independent School District that vendor has not offered, conferred or agreed to confer any pecuniary benefit, as defined by 1.07 (a) (6) of the Texas Penal Code, or any other thing of value, as consideration for the receipt of information or any special treatment or advantage relating to this solicitation: the vendor also certifies and represents that the vendor has not offered, conferred or agreed to confer any pecuniary benefit or other thing of value as consideration for the recipient's decision, opinion, recommendation, vote or other exercise of discretion concerning this solicitation: the vendor certifies and represents that vendor has neither coerced nor attempted to influence the exercise of discretion by any offer, trustee, agent or employee of the Brownsville Independent School District concerning this solicitation on the basis of any consideration not authorized by law; the vendor also certifies and represents that vendor has not received any information not available to other vendor so as to give the undersigned a preferential advantage with respect to this solicitation; the vendor further certifies and represents that vendor has not violated any state, federal, or local law, regulation or ordinance relating to bribery, improper influence, collusion or the like and that vendor will not in the future offer, confer, or agree to confer any pecuniary benefit of other thing of value of any officer, trustee, agent or employee of the Brownsville Independent School District in return for the person having exercised their person's official discretion, power or duty with respect to this solicitation; the vendor certifies and represents that it has not now and will not in the future offer, confer, or agree to confer a pecuniary benefit or other thing of value to any officer, trustee, agent, or employee of the Brownsville Independent School District in connection with information regarding this solicitation, the submission of this solicitation, the award of this solicitation or the performance, delivery of sale pursuant to this solicitation.

**Signature of Company Official:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Print Name:** \_\_\_\_\_

**Note: Please complete, sign, and submit this form with your solicitation or company may be disqualified**



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**AFFIDAVIT**

State of Texas  
 County of Cameron

I, \_\_\_\_\_ (name) as a Trustee of the Brownsville I.S.D. Board of Trustees make this affidavit and hereby on oath of state the following; I, or a person related to me, have a substantial interest, in a business entity, as those terms are defined in Local Government code Sections 171.001-171.002, that would experience a special economic effect distinguishable from it's effect on the public by a vote or decisions of the board or in a real property for which it is reasonably foreseeable that the board's action will have a special economic effect on the value of the property distinguishable from it's effect on the public. (\_\_\_\_\_ name and address of Business or description of property).

\_\_\_\_\_ (" I or name or relative and relationship (have/has a substantial interest in this business entity or real property for the following reason:

CHECK ALL THAT APPLY

- Ownership of 10 percent or more of the voting stock or shares of the business entity.
- Ownership of 10 percent or more the fair market value of the business entity.
- Ownership of \$5,000 or more of the fair market value of the business entity.
- Funds received from the business exceed 10 percent of (my, her, his) gross income for the previous year.
- Real property is involved and (I, he, she) (have, has) and equitable or Legal ownership with a fair market value of at least \$2,500.

Upon filing of this affidavit with the School Board's Secretary, I affirm that I shall abstain from participation in any decision involving this business entity or real property, unless permitted according to Local Government Section 171.006

Signed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
 Signature of Official

\_\_\_\_\_  
 Title

ACKNOWLEDGEMENT

State of Texas  
 County of Cameron

BEFORE ME, the undersigned authority, this day personally appeared

My commission expires: \_\_\_\_\_

**Note: Please complete, sign, and submit this form with your solicitation or company may be disqualified**



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**Certification of Interested Parties –Form 1295**

**Certification of Interested Parties (Form 1295- must be filled out electronically with the Texas Ethics Commission’s online filing application, printed out signed, notarized and sent back with the other required documents in this solicitation).**

In 2015, the Texas Legislature adopted House Bill 1295 which added section 2252.908 of the Government Code. The law state that a governmental entity or state agency may not enter into certain contracts with a business entity unless the business entity submits a disclosure of interested parties to the government entity or state agency at the time the business entity submits a signed contract to the governmental or state agency. These new provisions took effect January 1, 2016.

Since the Board of Trustees will adopt approved vendor list for the advertised categories, vendors must comply with this mandate prior to doing business with Brownsville Independent School District. Vendors submitting a sealed solicitation must also log into the Texas Ethic Commission 1295 and print a copy of the completed form, which will include a certification of filing that will contain a unique certification number. An authorized agent of the business entity must sign the printed copy of the form and have it notarized. The completed Form 1295 with the Certification filling must be filed with Brownsville I.S.D. and sent in with the other requires documents in this solicitation.

On the Texas Ethics Commission website there is a section of frequently asked questions available to help vendors understand this mandated process.



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## CERTIFICATE OF INTERESTED PARTIES – FORM 1295

### Definitions and Instructions for Completing Form 1295

Brownsville ISD is required to comply with House Bill 1295, which amended the Texas Government Code by adding Section 2252.908, Disclosure of Interested Parties. Section 2252.908 prohibits Brownsville ISD, or its cooperative members, from entering into a contract resulting from this RFP with a business entity unless the business entity submits a Disclosure of Interested Parties – Form 1295 to Brownsville ISD at the time the business entity submits the signed contract. The Texas Ethics Commission has adopted rules requiring the business entity to file Form 1295 electronically with the Texas Ethics Commission.

**As a "business entity," all vendors must electronically complete, print, sign, notarize and submit Form 1295 with their proposals or contracts even if no interested parties exist.**

Proposers must file Certificate of Interested Parties – Form 1295 with the Texas Ethics Commission using the following online application:

[https://www.ethics.state.tx.us/whatsnew/elf\\_info\\_form1295.htm](https://www.ethics.state.tx.us/whatsnew/elf_info_form1295.htm)

- Proposers must use the filing application on the Texas Ethics Commission's website (see link above) to enter the required information on Form 1295.
- Proposers must print a copy of the completed form, which will include a certification of filing containing a unique certification number.
- The Form 1295 must be printed and then signed by an authorized agent of the business entity, and the form must be notarized.
- The completed Form 1295 with the certification of filing must be filed with Region One Education Service Center by including a copy of the completed/notarized form with the proposal response.
- Brownsville ISD must acknowledge the receipt of the filed Form 1295 by notifying the Texas Ethics Commission of the receipt of the filed Form 1295 no later than the 30<sup>th</sup> day after the Brownsville ISD receives the disclosure.
- After Brownsville ISD acknowledges the Form 1295, the Texas Ethics Commission will post the completed Form 1295 to its website within seven (7) business days after receiving notice from Brownsville ISD.

### Instructions to Vendors:

1. Read these instructions,
2. Go to the Ethics Commission Website [https://www.ethics.state.tx.us/whatsnew/elf\\_info\\_form1295.htm](https://www.ethics.state.tx.us/whatsnew/elf_info_form1295.htm),
3. Register and complete Form 1295 online – include the proposal number, the contract/RFP name, and a short description of the services, goods, or other property.
4. Print a copy of the submitted Form 1295 and have it notarized - it will have a certification # in the top right corner,
5. Include a copy of the completed, signed and notarized Form 1295 with the proposal response.

### Definitions:

- **Contract** means a contract between Brownsville ISD and/or its cooperative members and a business entity at the time it is voted on by the Brownsville ISD Board of Directors or at the time it binds the Brownsville ISD, whichever is earlier, and includes an amended, extended, or renewed contract.
- **Business Entity** includes an entity through which business is conducted with Brownsville ISD and/or its cooperative members, regardless of whether the entity is a for-profit or nonprofit entity. The term does not include a governmental entity or State agency.
- **Controlling Interest** means:
  - 1) an ownership interest or participating interest in a business entity by virtue of units, percentage, shares, stock, or otherwise that exceeds ten percent (10%);
  - 2) membership on the board of directors or other governing body of a business entity of which the board or other governing body is composed of not more than ten (10) members; or
  - 3) service as an officer of a business entity that has four (4) or fewer officers, or service as one of the four (4) officers most highly compensated by a business entity that has more than four (4) officers. This section does not apply to an officer of a publicly held business entity or its wholly owned subsidiaries.
- **Interested Party** means:
  - 1) a person who has controlling interest in a business entity with whom Brownsville ISD and/or its cooperative members' contracts; or
  - 2) an intermediary.
- **Intermediary:** a person who actively participates in the facilitation of the contract or negotiation the contract, including a broker, advisor, attorney, or representative of or agent for the business entity who:
  - 1) receives compensation from the business entity for the person's participation;
  - 2) communicates directly with the Brownsville ISD and/or its cooperative members on behalf of the business entity regarding the contract; and
  - 3) is not an employee of the business entity or of an entity with a controlling interest in the business entity.
- **Signed** includes any symbol executed or adopted by a person with present intention to authenticate a writing, including an electronic signature.
- **Value** of a contract is based on the amount of consideration received or to be received by the business entity from the Brownsville ISD and/or its cooperative members under the contract.

### Resources:

#### Form 1295 Frequently Asked Questions:

- [https://www.ethics.state.tx.us/whatsnew/FAQ\\_Form1295.html](https://www.ethics.state.tx.us/whatsnew/FAQ_Form1295.html)

#### Instructional Video – First Time Business User:

- <https://www.ethics.state.tx.us/filinginfo/videos/Form1295/FirstLogin-Business/Form1295Login-Business.html>



**Brownsville Independent School District**  
**Purchasing Department**  
 1900 E. Price Road Room #107, Brownville, Texas 78521  
 (956) 548-8361 Fax (956) 548-8367  
 CSP # 20-114

**BISD MARGARET M. CLARK - AQUATIC CENTER HVAC & CONTROLS REPLACEMENT**

**Instructional Video – How to Create a Certificate:**

- <https://www.ethics.state.tx.us/filinginfo/videos/Form1295/CreateCertificate/CreateCertificate.html>

Brownsville ISD must acknowledge the receipt of the filed Form 1295 by notifying the Texas Ethics Commission of the filed Form 1295 no later than the 30<sup>th</sup> day after the date the contract binds all parties to the contract. **Failure to submit Form 1295 will constitute disqualification of award and no Purchase Orders will be issued to entity.** This is a sample form that vendors must file electronically (vendors must fill out 1295 form using the link below).

<b>CERTIFICATE OF INTERESTED PARTIES</b>		<b>FORM 1295</b>	
Complete Nos. 1 - 4 and 6 if there are interested parties. Complete Nos. 1, 2, 3, 5, and 6 if there are no interested parties.		<b>OFFICE USE ONLY</b>	
<b>1 Name of business entity filing form, and the city, state and country of the business entity's place of business.</b>			
<b>2 Name of governmental entity or state agency that is a party to the contract for which the form is being filed.</b> <i>Harris County Department of Education</i>			
<b>3 Provide the identification number used by the governmental entity or state agency to track or identify the contract, and provide a description of the goods or services to be provided under the contract.</b> <i>HCDE RFP No. &lt;insert RFP No. here&gt;</i>			
4		Nature of Interest (check applicable)	
Name of Interested Party	City, State, Country (place of business)	Controlling	Intermediary
<b>SAMPLE ONLY!</b> <b>Vendor must complete form electronically on Texas Ethics Commission's website:</b> <a href="https://www.ethics.state.tx.us/whatsnew/elf_info_form1295.htm">https://www.ethics.state.tx.us/whatsnew/elf_info_form1295.htm</a>			
<b>5 Check only if there is NO Interested Party.</b>		<input type="checkbox"/>	
<b>6 AFFIDAVIT</b>		I swear, or affirm, under penalty of perjury, that the above disclosure is true and correct.	
_____ Signature of authorized agent of contracting business entity		_____ Title of officer administering oath	
AFFIX NOTARY STAMP / SEAL ABOVE			
Sworn to and subscribed before me, by the said _____, this the _____ day of _____, 20_____, to certify which, witness my hand and seal of office.			
_____ Signature of officer administering oath		_____ Printed name of officer administering oath	
<b>ADD ADDITIONAL PAGES AS NECESSARY</b>			



**Brownsville Independent School District**  
**Purchasing Department**  
 1900 E. Price Road Room #107, Brownsville, Texas 78521  
 (956) 548-8361 Fax (956) 548-8367  
 CSP # 20-114

BISD MARGARET M. CLARK - AQUATIC CENTER HVAC & CONTROLS REPLACEMENT

**Non-Vendors Response**

Brownsville Independent School District is interested in the reasons why prospective vendors fail to submit a solicitations. If you are NOT submitting a solicitation, please indicate the reason(s) below and return this form to the above address be da to (956) 548-8367. Failure to do this may result in your firm being removed form advance notice lists of potential solicitation complied by Brownsville I.S.D. Note: after three (3) Non-responses your company will be deleted from our Vendor Data Base.

- Unable to submit a solicitation at this time, but would like to receive information about future solicitations
- Contract too small/big for our company (circle one)
- Lack of fleet to meet requirements
- Lack of terminal to meet requirements
- We are unable to meet specification, provide details \_\_\_\_\_
- Insufficient time allowed for preparation and submission of solicitation
- Other reasons: \_\_\_\_\_

You may remove your name form the solicitation submission list for:

- All solicitations
- Remainder of this year
- This particular service
- Other \_\_\_\_\_

\_\_\_\_\_  
 Officer of Company (Signature)

\_\_\_\_\_  
 Date

\_\_\_\_\_  
 Title

\_\_\_\_\_  
 Telephone Number

\_\_\_\_\_  
 Company Name

\_\_\_\_\_  
 Fax Number

\_\_\_\_\_  
 Street Address

\_\_\_\_\_  
 Post Office, State, Zip

\_\_\_\_\_  
 E-Mail Address



**Brownsville Independent School District**  
**Purchasing Department**  
 1900 E. Price Road Room #107, Brownville, Texas 78521  
 (956) 548-8361 Fax (956) 548-8367  
 CSP # 20-114

BISD MARGARET M. CLARK - AQUATIC CENTER HVAC & CONTROLS REPLACEMENT

**Acknowledgement Form**

**PLEASE MAKE SURE THAT YOU HAVE DONE THE FOLLOWING:**

- 1. YOU MUST COMPLETE AND RETURN THE TWO (2) AFFIDAVITS AND FELONY CONVICTION NOTICE. \_\_\_ YES \_\_\_ NO
- 2. YOU MUST INCLUDE INSURANCE WITH THE BID (IF REQUIRED) \_\_\_ YES \_\_\_ NO
- 3. YOU MUST INCLUDE ANY SAMPLES THAT ARE REQUIRED? \_\_\_ YES \_\_\_ NO
- 4. YOU MUST INCLUDE ANY STATE CERTIFICATE OR LICENSE WITH THE BID (IF REQUIRED)? \_\_\_ YES \_\_\_ NO
- 5. YOU MUST VERIFY UNIT PRICE TO TOTAL PRICE? \_\_\_ YES \_\_\_ NO
- 6. YOU MUST INCLUDE A W-9 IRS FORM \_\_\_ YES \_\_\_ NO  
 (DOWNLOADABLE AT [WWW.BISD.US/PURCHASING](http://WWW.BISD.US/PURCHASING))
- 7. YOU MUST COMPLETE AND RETURN FORM 1295 \_\_\_ YES \_\_\_ NO  
FAILURE TO SUBMIT WILL CAUSE DISQUALIFATION
- 8. YOU MUST COMPLETE AND RETURN SB9 FORMS \_\_\_ YES \_\_\_ NO  
 (ALL THAT APPLY)

I have read all of the specifications and general solicitation requirements and do hereby certify that all items submitted meet all specifications, conditions, and instructions of said solicitation, and will follow District policy DBD (Local). The signature below confirms that our company will enter into a binding contract with Brownsville I.S.D. for item(s) awarded to our company.

Company Name: \_\_\_\_\_ Agent Name: \_\_\_\_\_

Print Name: \_\_\_\_\_ Authorized Signature: \_\_\_\_\_

Address: \_\_\_\_\_ City: \_\_\_\_\_ ST: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Telephone: (\_\_\_\_) \_\_\_\_\_ Fax: (\_\_\_\_) \_\_\_\_\_ E-mail: \_\_\_\_\_

Federal Id#: \_\_\_\_\_ and/or Social Security #: \_\_\_\_\_

Address for Purchase Order:

Address for Payment:

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

Note: Please complete, sign, and submit this form with your solicitation or company may be disqualified

# AIA Document A305™ - 1986

## Contractor's Qualification Statement

The Undersigned certifies under oath that the information provided herein is true and sufficiently complete so as not to be misleading.

**SUBMITTED TO:** « »

**ADDRESS:** « »

**SUBMITTED BY:** « »

**NAME:** « »

**ADDRESS:** « »

**PRINCIPAL OFFICE:** « »

[ « » ] Corporation

[ « » ] Partnership

[ « » ] Individual

[ « » ] Joint Venture

[ « » ] Other « »

**NAME OF PROJECT:** *(if applicable)*

**TYPE OF WORK:** *(file separate form for each Classification of Work)*

[ « » ] General Construction

[ « » ] HVAC

[ « » ] Electrical

[ « » ] Plumbing

[ « » ] Other: *(Specify)* « »

### § 1 ORGANIZATION

**§ 1.1** How many years has your organization been in business as a Contractor? « »

**§ 1.2** How many years has your organization been in business under its present business name? « »

**§ 1.2.1** Under what other or former names has your organization operated?

« »

**§ 1.3** If your organization is a corporation, answer the following:

**§ 1.3.1** Date of incorporation: « »

**§ 1.3.2** State of incorporation: « »

#### ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

This form is approved and recommended by the American Institute of Architects (AIA) and The Associated General Contractors of America (AGC) for use in evaluating the qualifications of contractors. No endorsement of the submitting party or verification of the information is made by AIA or AGC.

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§ 1.3.3 President's name: << >>

§ 1.3.4 Vice-president's name(s)

<< >>

§ 1.3.5 Secretary's name: << >>

§ 1.3.6 Treasurer's name: << >>

§ 1.4 If your organization is a partnership, answer the following:

§ 1.4.1 Date of organization: << >>

§ 1.4.2 Type of partnership (if applicable): << >>

§ 1.4.3 Name(s) of general partner(s)

<< >>

§ 1.5 If your organization is individually owned, answer the following:

§ 1.5.1 Date of organization: << >>

§ 1.5.2 Name of owner:

<< >>

§ 1.6 If the form of your organization is other than those listed above, describe it and name the principals:

<< >>

## § 2 LICENSING

§ 2.1 List jurisdictions and trade categories in which your organization is legally qualified to do business, and indicate registration or license numbers, if applicable.

<< >>

§ 2.2 List jurisdictions in which your organization's partnership or trade name is filed.

<< >>

## § 3 EXPERIENCE

§ 3.1 List the categories of work that your organization normally performs with its own forces.

<< >>

§ 3.2 Claims and Suits. (If the answer to any of the questions below is yes, please attach details.)

§ 3.2.1 Has your organization ever failed to complete any work awarded to it?

<< >>

§ 3.2.2 Are there any judgments, claims, arbitration proceedings or suits pending or outstanding against your organization or its officers?

<< >>

§ 3.2.3 Has your organization filed any law suits or requested arbitration with regard to construction contracts within the last five years?

<< >>

§ 3.3 Within the last five years, has any officer or principal of your organization ever been an officer or principal of another organization when it failed to complete a construction contract? (If the answer is yes, please attach details.)

<< >>

**§ 3.4** On a separate sheet, list major construction projects your organization has in progress, giving the name of project, owner, architect, contract amount, percent complete and scheduled completion date.

<< >>

**§ 3.4.1** State total worth of work in progress and under contract:

<< >>

**§ 3.5** On a separate sheet, list the major projects your organization has completed in the past five years, giving the name of project, owner, architect, contract amount, date of completion and percentage of the cost of the work performed with your own forces.

<< >>

**§ 3.5.1** State average annual amount of construction work performed during the past five years:

<< >>

**§ 3.6** On a separate sheet, list the construction experience and present commitments of the key individuals of your organization.

<< >>

#### **§ 4 REFERENCES**

**§ 4.1** Trade References:

<< >>

**§ 4.2** Bank References:

<< >>

**§ 4.3** Surety:

**§ 4.3.1** Name of bonding company:

<< >>

**§ 4.3.2** Name and address of agent:

<< >>

#### **§ 5 FINANCING**

**§ 5.1** Financial Statement.

**§ 5.1.1** Attach a financial statement, preferably audited, including your organization's latest balance sheet and income statement showing the following items:

Current Assets (e.g., cash, joint venture accounts, accounts receivable, notes receivable, accrued income, deposits, materials inventory and prepaid expenses);

Net Fixed Assets;

Other Assets;

Current Liabilities (e.g., accounts payable, notes payable, accrued expenses, provision for income taxes, advances, accrued salaries and accrued payroll taxes);

Other Liabilities (e.g., capital, capital stock, authorized and outstanding shares par values, earned surplus and retained earnings).

§ 5.1.2 Name and address of firm preparing attached financial statement, and date thereof:

« »

§ 5.1.3 Is the attached financial statement for the identical organization named on page one?

« »

§ 5.1.4 If not, explain the relationship and financial responsibility of the organization whose financial statement is provided (e.g., parent-subsidiary).

« »

§ 5.2 Will the organization whose financial statement is attached act as guarantor of the contract for construction?

« »

## § 6 SIGNATURE

§ 6.1 Dated at this « » day of « » « »

Name of Organization: « »

By: « »

Title: « »

§ 6.2

« »

M « » being duly sworn deposes and says that the information provided herein is true and sufficiently complete so as not to be misleading.

Subscribed and sworn before me this « » day of « » « »

Notary Public: « »

My Commission Expires: « »

# AIA<sup>®</sup> Document A701<sup>™</sup> – 1997

## Instructions to Bidders

for the following PROJECT:

*(Name and location or address)*

THE OWNER:

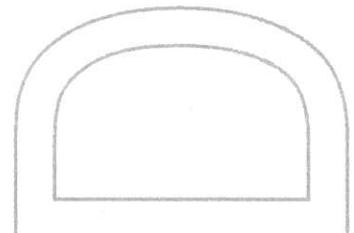
*(Name, legal status and address)*

THE ARCHITECT:

*(Name, legal status and address)*



This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.



### TABLE OF ARTICLES

- 1 DEFINITIONS
- 2 BIDDER'S REPRESENTATIONS
- 3 BIDDING DOCUMENTS
- 4 BIDDING PROCEDURES
- 5 CONSIDERATION OF BIDS
- 6 POST-BID INFORMATION
- 7 PERFORMANCE BOND AND PAYMENT BOND
- 8 FORM OF AGREEMENT BETWEEN OWNER AND CONTRACTOR

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## ARTICLE 1 DEFINITIONS

§ 1.1 Bidding Documents include the Bidding Requirements and the proposed Contract Documents. The Bidding Requirements consist of the Advertisement or Invitation to Bid, Instructions to Bidders, Supplementary Instructions to Bidders, the bid form, and other sample bidding and contract forms. The proposed Contract Documents consist of the form of Agreement between the Owner and Contractor, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications and all Addenda issued prior to execution of the Contract.

§ 1.2 Definitions set forth in the General Conditions of the Contract for Construction, AIA Document A201, or in other Contract Documents are applicable to the Bidding Documents.

§ 1.3 Addenda are written or graphic instruments issued by the Architect prior to the execution of the Contract which modify or interpret the Bidding Documents by additions, deletions, clarifications or corrections.

§ 1.4 A Bid is a complete and properly executed proposal to do the Work for the sums stipulated therein, submitted in accordance with the Bidding Documents.

§ 1.5 The Base Bid is the sum stated in the Bid for which the Bidder offers to perform the Work described in the Bidding Documents as the base, to which Work may be added or from which Work may be deleted for sums stated in Alternate Bids.

§ 1.6 An Alternate Bid (or Alternate) is an amount stated in the Bid to be added to or deducted from the amount of the Base Bid if the corresponding change in the Work, as described in the Bidding Documents, is accepted.

§ 1.7 A Unit Price is an amount stated in the Bid as a price per unit of measurement for materials, equipment or services or a portion of the Work as described in the Bidding Documents.

§ 1.8 A Bidder is a person or entity who submits a Bid and who meets the requirements set forth in the Bidding Documents.

§ 1.9 A Sub-bidder is a person or entity who submits a bid to a Bidder for materials, equipment or labor for a portion of the Work.

## ARTICLE 2 BIDDER'S REPRESENTATIONS

§ 2.1 The Bidder by making a Bid represents that:

§ 2.1.1 The Bidder has read and understands the Bidding Documents or Contract Documents, to the extent that such documentation relates to the Work for which the Bid is submitted, and for other portions of the Project, if any, being bid concurrently or presently under construction.

§ 2.1.2 The Bid is made in compliance with the Bidding Documents.

§ 2.1.3 The Bidder has visited the site, become familiar with local conditions under which the Work is to be performed and has correlated the Bidder's personal observations with the requirements of the proposed Contract Documents.

§ 2.1.4 The Bid is based upon the materials, equipment and systems required by the Bidding Documents without exception.

## ARTICLE 3 BIDDING DOCUMENTS

### § 3.1 COPIES

§ 3.1.1 Bidders may obtain complete sets of the Bidding Documents from the issuing office designated in the Advertisement or Invitation to Bid in the number and for the deposit sum, if any, stated therein. The deposit will be refunded to Bidders who submit a bona fide Bid and return the Bidding Documents in good condition within ten days after receipt of Bids. The cost of replacement of missing or damaged documents will be deducted from the deposit. A Bidder receiving a Contract award may retain the Bidding Documents and the Bidder's deposit will be refunded.

§ 3.1.2 Bidding Documents will not be issued directly to Sub-bidders unless specifically offered in the Advertisement or Invitation to Bid, or in supplementary instructions to bidders.

§ 3.1.3 Bidders shall use complete sets of Bidding Documents in preparing Bids; neither the Owner nor Architect assumes responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents.

§ 3.1.4 The Owner and Architect may make copies of the Bidding Documents available on the above terms for the purpose of obtaining Bids on the Work. No license or grant of use is conferred by issuance of copies of the Bidding Documents.

### § 3.2 INTERPRETATION OR CORRECTION OF BIDDING DOCUMENTS

§ 3.2.1 The Bidder shall carefully study and compare the Bidding Documents with each other, and with other work being bid concurrently or presently under construction to the extent that it relates to the Work for which the Bid is submitted, shall examine the site and local conditions, and shall at once report to the Architect errors, inconsistencies or ambiguities discovered.

§ 3.2.2 Bidders and Sub-bidders requiring clarification or interpretation of the Bidding Documents shall make a written request which shall reach the Architect at least seven days prior to the date for receipt of Bids.

§ 3.2.3 Interpretations, corrections and changes of the Bidding Documents will be made by Addendum. Interpretations, corrections and changes of the Bidding Documents made in any other manner will not be binding, and Bidders shall not rely upon them.

### § 3.3 SUBSTITUTIONS

§ 3.3.1 The materials, products and equipment described in the Bidding Documents establish a standard of required function, dimension, appearance and quality to be met by any proposed substitution.

§ 3.3.2 No substitution will be considered prior to receipt of Bids unless written request for approval has been received by the Architect at least ten days prior to the date for receipt of Bids. Such requests shall include the name of the material or equipment for which it is to be substituted and a complete description of the proposed substitution including drawings, performance and test data, and other information necessary for an evaluation. A statement setting forth changes in other materials, equipment or other portions of the Work, including changes in the work of other contracts that incorporation of the proposed substitution would require, shall be included. The burden of proof of the merit of the proposed substitution is upon the proposer. The Architect's decision of approval or disapproval of a proposed substitution shall be final.

§ 3.3.3 If the Architect approves a proposed substitution prior to receipt of Bids, such approval will be set forth in an Addendum. Bidders shall not rely upon approvals made in any other manner.

§ 3.3.4 No substitutions will be considered after the Contract award unless specifically provided for in the Contract Documents.

### § 3.4 ADDENDA

§ 3.4.1 Addenda will be transmitted to all who are known by the issuing office to have received a complete set of Bidding Documents.

§ 3.4.2 Copies of Addenda will be made available for inspection wherever Bidding Documents are on file for that purpose.

§ 3.4.3 Addenda will be issued no later than four days prior to the date for receipt of Bids except an Addendum withdrawing the request for Bids or one which includes postponement of the date for receipt of Bids.

§ 3.4.4 Each Bidder shall ascertain prior to submitting a Bid that the Bidder has received all Addenda issued, and the Bidder shall acknowledge their receipt in the Bid.

## ARTICLE 4 BIDDING PROCEDURES

### § 4.1 PREPARATION OF BIDS

§ 4.1.1 Bids shall be submitted on the forms included with the Bidding Documents.

§ 4.1.2 All blanks on the bid form shall be legibly executed in a non-erasable medium.

§ 4.1.3 Sums shall be expressed in both words and figures. In case of discrepancy, the amount written in words shall govern.

§ 4.1.4 Interlineations, alterations and erasures must be initialed by the signer of the Bid.

§ 4.1.5 All requested Alternates shall be bid. If no change in the Base Bid is required, enter "No Change."

§ 4.1.6 Where two or more Bids for designated portions of the Work have been requested, the Bidder may, without forfeiture of the bid security, state the Bidder's refusal to accept award of less than the combination of Bids stipulated by the Bidder. The Bidder shall make no additional stipulations on the bid form nor qualify the Bid in any other manner.

§ 4.1.7 Each copy of the Bid shall state the legal name of the Bidder and the nature of legal form of the Bidder. The Bidder shall provide evidence of legal authority to perform within the jurisdiction of the Work. Each copy shall be signed by the person or persons legally authorized to bind the Bidder to a contract. A Bid by a corporation shall further give the state of incorporation and have the corporate seal affixed. A Bid submitted by an agent shall have a current power of attorney attached certifying the agent's authority to bind the Bidder.

### § 4.2 BID SECURITY

§ 4.2.1 Each Bid shall be accompanied by a bid security in the form and amount required if so stipulated in the Instructions to Bidders. The Bidder pledges to enter into a Contract with the Owner on the terms stated in the Bid and will, if required, furnish bonds covering the faithful performance of the Contract and payment of all obligations arising thereunder. Should the Bidder refuse to enter into such Contract or fail to furnish such bonds if required, the amount of the bid security shall be forfeited to the Owner as liquidated damages, not as a penalty. The amount of the bid security shall not be forfeited to the Owner in the event the Owner fails to comply with Section 6.2.

§ 4.2.2 If a surety bond is required, it shall be written on AIA Document A310, Bid Bond, unless otherwise provided in the Bidding Documents, and the attorney-in-fact who executes the bond on behalf of the surety shall affix to the bond a certified and current copy of the power of attorney.

§ 4.2.3 The Owner will have the right to retain the bid security of Bidders to whom an award is being considered until either (a) the Contract has been executed and bonds, if required, have been furnished, or (b) the specified time has elapsed so that Bids may be withdrawn or (c) all Bids have been rejected.

### § 4.3 SUBMISSION OF BIDS

§ 4.3.1 All copies of the Bid, the bid security, if any, and any other documents required to be submitted with the Bid shall be enclosed in a sealed opaque envelope. The envelope shall be addressed to the party receiving the Bids and shall be identified with the Project name, the Bidder's name and address and, if applicable, the designated portion of the Work for which the Bid is submitted. If the Bid is sent by mail, the sealed envelope shall be enclosed in a separate mailing envelope with the notation "SEALED BID ENCLOSED" on the face thereof.

§ 4.3.2 Bids shall be deposited at the designated location prior to the time and date for receipt of Bids. Bids received after the time and date for receipt of Bids will be returned unopened.

§ 4.3.3 The Bidder shall assume full responsibility for timely delivery at the location designated for receipt of Bids.

§ 4.3.4 Oral, telephonic, telegraphic, facsimile or other electronically transmitted bids will not be considered.

### § 4.4 MODIFICATION OR WITHDRAWAL OF BID

§ 4.4.1 A Bid may not be modified, withdrawn or canceled by the Bidder during the stipulated time period following the time and date designated for the receipt of Bids, and each Bidder so agrees in submitting a Bid.

§ 4.4.2 Prior to the time and date designated for receipt of Bids, a Bid submitted may be modified or withdrawn by notice to the party receiving Bids at the place designated for receipt of Bids. Such notice shall be in writing over the signature of the Bidder. Written confirmation over the signature of the Bidder shall be received, and date- and time-stamped by the receiving party on or before the date and time set for receipt of Bids. A change shall be so worded as not to reveal the amount of the original Bid.

§ 4.4.3 Withdrawn Bids may be resubmitted up to the date and time designated for the receipt of Bids provided that they are then fully in conformance with these Instructions to Bidders.

§ 4.4.4 Bid security, if required, shall be in an amount sufficient for the Bid as resubmitted.

## ARTICLE 5 CONSIDERATION OF BIDS

### § 5.1 OPENING OF BIDS

At the discretion of the Owner, if stipulated in the Advertisement or Invitation to Bid, the properly identified Bids received on time will be publicly opened and will be read aloud. An abstract of the Bids may be made available to Bidders.

### § 5.2 REJECTION OF BIDS

The Owner shall have the right to reject any or all Bids. A Bid not accompanied by a required bid security or by other data required by the Bidding Documents, or a Bid which is in any way incomplete or irregular is subject to rejection.

### § 5.3 ACCEPTANCE OF BID (AWARD)

§ 5.3.1 It is the intent of the Owner to award a Contract to the lowest qualified Bidder provided the Bid has been submitted in accordance with the requirements of the Bidding Documents and does not exceed the funds available. The Owner shall have the right to waive informalities and irregularities in a Bid received and to accept the Bid which, in the Owner's judgment, is in the Owner's own best interests.

§ 5.3.2 The Owner shall have the right to accept Alternates in any order or combination, unless otherwise specifically provided in the Bidding Documents, and to determine the low Bidder on the basis of the sum of the Base Bid and Alternates accepted.

## ARTICLE 6 POST-BID INFORMATION

### § 6.1 CONTRACTOR'S QUALIFICATION STATEMENT

Bidders to whom award of a Contract is under consideration shall submit to the Architect, upon request, a properly executed AIA Document A305, Contractor's Qualification Statement, unless such a Statement has been previously required and submitted as a prerequisite to the issuance of Bidding Documents.

### § 6.2 OWNER'S FINANCIAL CAPABILITY

The Owner shall, at the request of the Bidder to whom award of a Contract is under consideration and no later than seven days prior to the expiration of the time for withdrawal of Bids, furnish to the Bidder reasonable evidence that financial arrangements have been made to fulfill the Owner's obligations under the Contract. Unless such reasonable evidence is furnished, the Bidder will not be required to execute the Agreement between the Owner and Contractor.

### § 6.3 SUBMITTALS

§ 6.3.1 The Bidder shall, as soon as practicable or as stipulated in the Bidding Documents, after notification of selection for the award of a Contract, furnish to the Owner through the Architect in writing:

- .1 a designation of the Work to be performed with the Bidder's own forces;
- .2 names of the manufacturers, products, and the suppliers of principal items or systems of materials and equipment proposed for the Work; and
- .3 names of persons or entities (including those who are to furnish materials or equipment fabricated to a special design) proposed for the principal portions of the Work.

§ 6.3.2 The Bidder will be required to establish to the satisfaction of the Architect and Owner the reliability and responsibility of the persons or entities proposed to furnish and perform the Work described in the Bidding Documents.

§ 6.3.3 Prior to the execution of the Contract, the Architect will notify the Bidder in writing if either the Owner or Architect, after due investigation, has reasonable objection to a person or entity proposed by the Bidder. If the Owner or Architect has reasonable objection to a proposed person or entity, the Bidder may, at the Bidder's option, (1) withdraw the Bid or (2) submit an acceptable substitute person or entity with an adjustment in the Base Bid or Alternate Bid to cover the difference in cost occasioned by such substitution. The Owner may accept the adjusted bid price or disqualify the Bidder. In the event of either withdrawal or disqualification, bid security will not be forfeited.

§ 6.3.4 Persons and entities proposed by the Bidder and to whom the Owner and Architect have made no reasonable objection must be used on the Work for which they were proposed and shall not be changed except with the written consent of the Owner and Architect.

## ARTICLE 7 PERFORMANCE BOND AND PAYMENT BOND

### § 7.1 BOND REQUIREMENTS

§ 7.1.1 If stipulated in the Bidding Documents, the Bidder shall furnish bonds covering the faithful performance of the Contract and payment of all obligations arising thereunder. Bonds may be secured through the Bidder's usual sources.

§ 7.1.2 If the furnishing of such bonds is stipulated in the Bidding Documents, the cost shall be included in the Bid. If the furnishing of such bonds is required after receipt of bids and before execution of the Contract, the cost of such bonds shall be added to the Bid in determining the Contract Sum.

§ 7.1.3 If the Owner requires that bonds be secured from other than the Bidder's usual sources, changes in cost will be adjusted as provided in the Contract Documents.

### § 7.2 TIME OF DELIVERY AND FORM OF BONDS

§ 7.2.1 The Bidder shall deliver the required bonds to the Owner not later than three days following the date of execution of the Contract. If the Work is to be commenced prior thereto in response to a letter of intent, the Bidder shall, prior to commencement of the Work, submit evidence satisfactory to the Owner that such bonds will be furnished and delivered in accordance with this Section 7.2.1.

§ 7.2.2 Unless otherwise provided, the bonds shall be written on AIA Document A312, Performance Bond and Payment Bond. Both bonds shall be written in the amount of the Contract Sum.

§ 7.2.3 The bonds shall be dated on or after the date of the Contract.

§ 7.2.4 The Bidder shall require the attorney-in-fact who executes the required bonds on behalf of the surety to affix thereto a certified and current copy of the power of attorney.

## ARTICLE 8 FORM OF AGREEMENT BETWEEN OWNER AND CONTRACTOR

Unless otherwise required in the Bidding Documents, the Agreement for the Work will be written on AIA Document A101, Standard Form of Agreement Between Owner and Contractor Where the Basis of Payment is a Stipulated Sum.

## SECTION 000210 - SUPPLEMENTARY INSTRUCTIONS TO BIDDERS

The following supplements contain changes and additions to the Instructions to Bidders, AIA Document A701, 1997 Edition. The portions of the Instructions to Bidders not modified, supplemented or deleted by these Supplementary Instructions to Bidders shall remain in effect. As appropriate, for purposes of this Request for Competitive Sealed Bids, the term "Proposal" shall mean "Bid", the term "Offeror" shall mean "Bidder", and the term "Architect" shall mean "Engineer" wherever they appear in the Contract Documents.

### **ARTICLE 3 -- BIDDING DOCUMENTS**

#### 3.4 ADDENDA

Delete subparagraph 3.4.3 in its entirety.

### **ARTICLE 4 -- BIDDING PROCEDURES**

Add paragraph 4.5 as follows:

#### 4.5 OTHER DOCUMENTS TO BE SUBMITTED WITH BID

- 4.5.1 A fully executed Affidavits. Refer to Document.
- 4.5.2 A fully executed Felony Conviction Notification form. Refer to Document.
- 4.5.3 A fully executed Affidavit of Non-Collusion. Refer to Document.

### **ARTICLE 7 -- PERFORMANCE BOND AND PAYMENT BOND**

#### 7.1 BOND REQUIREMENTS

At subparagraph 7.1.1, add the following clause at the end of the last sentence:

"except as required otherwise, refer to Supplementary Conditions, Article 11.5."

Delete subparagraph 7.1.3 in its entirety, and replace it with the following:

- 7.1.3 Bonding Companies (Sureties) shall comply with the requirements of Article 11 of the General Conditions and Supplementary Conditions.

Add Article 9 as follows:

### **ARTICLE 9 -- ADDITIONAL INFORMATION AND INSTRUCTIONS**

#### 9.1 SALES TAX

- 9.1.1 The Owner is exempt from all Sales Tax, refer to Supplementary Conditions, Article 3.

#### 9.2 WAGE RATES

- 9.2.1 The Owner has adopted a schedule of minimum wage rates for employees used in the construction of this project, refer to Supplementary Conditions, Article 3.

## SECTION 000210 - SUPPLEMENTARY INSTRUCTIONS TO BIDDERS

### 9.3 HAZARDOUS MATERIALS

9.3.1 The use of any construction process or the installation of any materials containing the following is strictly prohibited on this project

- .1 Asbestos
- .2 Lead
- .3 P.C.B. (Polychloride Biphenyls)
- .4 Refrigerants R-11, R-12, R-113, R-114, R-500 and R-502

9.3.2 Prior to submitting a Proposal, each Offeror shall notify the Architect in writing of any materials or systems in the Bidding Documents which he has reason to believe contain any of the above listed items.

### 9.4 TOBACCO FREE CAMPUS

9.4.1 The Owner has designated their District as a tobacco free school district; therefore, the use of any tobacco will not be permitted anywhere on the project site at any time.

Add Article 10 as follows:

## **ARTICLE 10 – BROWNSVILLE ISD GENERAL TERMS AND CONDITIONS**

10.1 The Brownsville Independent School District is soliciting proposals on the merchandise, supplies, and/or equipment set forth in this proposal package.

- a. Specifications, as written meet specific requirements. Submittals (offers) different to the original requirements must meet or exceed original proposal specifications to be considered as equivalent. It is a mandatory requirement of these specifications, in order to qualify alternate (equal) products to those specifically named; that, complete material/product specification-data sheets to be provided with the sealed proposal package as applicable. Failure to provide or denote this information will be sufficient grounds for disqualification. Should the proposer fail to denote his offer is for an alternate item: then, stated price will be accepted for the actual product specifically named. Resulting contracts/orders resulting from the award will be processed by a formal instrument (Purchase Order).
- c. During the performance of this contract, the vendor agrees not to discriminate against any employee or applicant for employment because of race, color, national origin, age, religion, gender, marital or veteran status, or disabled condition.
- d. All contracts and agreements between merchants and Brownsville ISD shall strictly adhere to the statutes as set forth in the Uniform Commercial Code as last amended in 1977 by the American Law Institute in the national Conference of Commissioners on Uniform State laws, or latest revision. Reference: Uniform Commercial Code, 1987 official text.
- e. Merchandise received shall be newly manufactured merchandise. Refurbished or reconditioned merchandise will not be allowed, unless specifically required in the bid. Merchandise received in this condition will be returned freight collect at the vendors' expense. If this

SECTION 000210 - SUPPLEMENTARY INSTRUCTIONS TO BIDDERS

problem is not corrected within ten (10) working days of notification the BROWNSVILLE ISD, under Uniform Commercial Code, will have the right to seek remedy.

- f. Fund out provision: Should, during the term of the resulting contract, funding allocations be cut or exhausted BROWNSVILLE ISD will be held harmless for any future obligations to the said contract.

END OF SECTION 000210

**PROJECT TITLE:** Brownsville ISD Margaret M. Clark Aquatic Center HVAC & Controls Replacement

**PROPOSAL NO:** CSP#20-114

**DUE DATE, TIME & PLACE** **Thursday, July 18, 2019 at 4:00 PM**  
ADMINISTRATION OF PURCHASING OFFICE  
THE BROWNSVILLE INDEPENDENT SCHOOL DISTRICT  
1900 E. PRICE ROAD, ROOM 107  
BROWNSVILLE, TEXAS 78521

1. The undersigned OFFEROR proposes and agrees, if this Proposal is accepted, to enter into an Agreement with OWNER to provide and install Equipment and Materials as specified or indicated in the Contract Documents for the Contract Price and within the Contract Time indicated in this Proposal and in accordance with the Contract Documents.
2. OFFEROR accepts all of the terms and conditions of the Instructions to Bidders and Supplementary Instructions to Bidders. This Proposal shall remain in effect for a period of no less than thirty days after the date of Proposal opening.
3. In submitting this Bid, OFFEROR certifies that:

(a) OFFEROR has examined copies of all the Contact Documents and of the following Addenda:

<u>Date</u>	<u>Number</u>
_____	_____
_____	_____
_____	_____
_____	_____

(receipt of all of which is hereby acknowledged) and also copies of the Advertisement or Invitation to Submit Proposal and the Instructions to BIDDERS; and

(b) This Proposal is genuine and not made in the interest of or on behalf of any undisclosed person, firm or corporation and is not submitted in conformity with any agreement or rules of any group, association, organization, or corporation; OFFEROR has not directly or indirectly induced or solicited any other OFFEROR to submit a false or sham Bid; OFFEROR has not solicited or induced any person, firm or a corporation to refrain from proposing; and OFFEROR has not sought by collusion to obtain for himself any advantage over any other OFFEROR or over OWNER.

4. Project Deadlines and Penalties: This provision shall be enforced, except in the event of inclement weather, unnecessary delay caused by OWNER or his agent, or other natural disaster or Act of God beyond Contractor's control.

(a) OFFEROR agrees that the project shall achieve Substantial and Final Completion as noted on Proposal Form. In the event of failure to complete work on time, OFFEROR

agrees to pay penalties in the amount of \$500 per day until system is installed and operational.

- (b) Offeror agrees that the project shall achieve Final Completion within 30 days after Substantial Completion. In the event of failure to achieve Final Completion, OFFEROR agrees to pay penalties in the amount of \$250 per day between day 31 and day 60 after Substantial Completion.
- (c) In the event of failure to achieve Final Completion, the Offeror agrees to pay penalties in the amount of \$500 per day beyond day 60 after Substantial Completion.

5. Communications concerning this Proposal shall be addressed to:

Cesar Gonzalez  
119 West Van Buren, Suite 101  
Harlingen, Texas 78550  
Phone: (956) 230-3435; Fax: (956) 720-0830

NOTICE:

In determining best value for the district, the district is not restricted to considering price alone, but may consider any other factor stated in the selection criteria.

It is the intent of the OWNER to award a Contract to the OFFEROR that offers the best value for the OWNER, according to the following weighted selection criteria established by the OWNER.

The OWNER reserves the right to accept or reject any and/or all Bids, to accept the Proposal that, in the OWNER'S judgment, is in the OWNER'S best interest, and to waive informalities or irregularities in a Proposal received.

The OWNER will document the basis of its selection and will make the evaluations public not later than the seventh day after the date the contract is awarded.

Bids received from nonresident Offerors will be evaluated by the Owner as required by House Bill 620, 69th Legislature, 1985.

OFFEROR will supply and install on-site mechanical/electrical equipment and services as specified in the Project Manual dated June 25, 2019 for the following price:

**BASE PROPOSAL:**

[Proposal amount includes Allowance, per specifications section 01210].

\$ \_\_\_\_\_ (number)

\_\_\_\_\_ (words)

**Alternate # 1: 5-year extended warranty and maintenance contract for new dehumidifier equipment**

\$ \_\_\_\_\_ (number)

\_\_\_\_\_ (words)

**Alternate # 2A: Automated Logic Controls** BAS for Pool Dehumidifiers and exhaust fans

\$ \_\_\_\_\_ (number)

\_\_\_\_\_ (words)

**Alternate # 2B: Johnson Controls** BAS for Pool Dehumidifiers and exhaust fans

\$ \_\_\_\_\_ (number)

\_\_\_\_\_ (words)

**Alternate # 2C: Trane Controls** BAS for Pool Dehumidifiers and exhaust fans

\$ \_\_\_\_\_ (number)

\_\_\_\_\_ (words)

**Alternate # 3A: Automated Logic Controls** BAS for Miscellaneous HVAC Units, Exhaust Fans, Pool and Space Heating Water Heaters

\$ \_\_\_\_\_ (number)

\_\_\_\_\_ (words)

**Alternate # 3B: Johnson Controls** BAS for Miscellaneous HVAC Units, Exhaust Fans, Pool and Space Heating Water Heaters

\$ \_\_\_\_\_ (number)

\_\_\_\_\_ (words)

**Alternate # 3C: Trane Controls** BAS for Miscellaneous HVAC Units, Exhaust Fans, Pool and Space Heating Water Heaters

\$ \_\_\_\_\_ (number)

\_\_\_\_\_ (words)

**SUBSTANTIAL COMPLETION DATE** for work in its entirety, including TAB and Controls  
**Enter anticipated date above the recommended date**

**Recommended date of completion: November 1, 2019**

000400 – PROPOSAL FORM

Our Proposal proposes to use the following Contractors, Subcontractors, Manufacturers, Products, Material Suppliers and Equipment Suppliers for the principal portions of the work.

NAME(S) OF SUB-CONTRACTORS:

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NAME(S) OF EQUIPMENT SUPPLIERS:

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---

---

OTHER:

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000400 – PROPOSAL FORM

Name and Address of OFFEROR:

Signature

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
Name and Title

\_\_\_\_\_  
\_\_\_\_\_

Telephone \_\_\_\_\_

Sworn to and subscribed before me this \_\_\_\_ day of \_\_\_\_\_, 2019.

**SEAL**

\_\_\_\_\_  
Notary Public in and for the State of Texas

**SEAL (If Proposal is By a Corporation)**

\_\_\_\_\_  
\_\_\_\_\_

END OF SECTION 000400



# AIA<sup>®</sup> Document A101<sup>™</sup> – 2017

## **Standard Form of Agreement Between Owner and Contractor where the basis of payment is a Stipulated Sum**

**AGREEMENT** made as of the    day of    in the year  
*(In words, indicate day, month and year.)*

**BETWEEN** the Owner:  
*(Name, legal status, address and other information)*

and the Contractor:  
*(Name, legal status, address and other information)*

for the following Project:  
*(Name, location and detailed description)*

The Architect:  
*(Name, legal status, address and other information)*

The Owner and Contractor agree as follows.

**ADDITIONS AND DELETIONS:**  
The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

The parties should complete A101<sup>™</sup>–2017, Exhibit A, Insurance and Bonds, contemporaneously with this Agreement. AIA Document A201<sup>™</sup>–2017, General Conditions of the Contract for Construction, is adopted in this document by reference. Do not use with other general conditions unless this document is modified.

Init.

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**User Notes:**

(3B9ADA52)

**TABLE OF ARTICLES**

- 1 THE CONTRACT DOCUMENTS**
- 2 THE WORK OF THIS CONTRACT**
- 3 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION**
- 4 CONTRACT SUM**
- 5 PAYMENTS**
- 6 DISPUTE RESOLUTION**
- 7 TERMINATION OR SUSPENSION**
- 8 MISCELLANEOUS PROVISIONS**
- 9 ENUMERATION OF CONTRACT DOCUMENTS**

**EXHIBIT A INSURANCE AND BONDS**

**ARTICLE 1 THE CONTRACT DOCUMENTS**

The Contract Documents consist of this Agreement, Conditions of the Contract (General, Supplementary, and other Conditions), Drawings, Specifications, Addenda issued prior to execution of this Agreement, other documents listed in this Agreement, and Modifications issued after execution of this Agreement, all of which form the Contract, and are as fully a part of the Contract as if attached to this Agreement or repeated herein. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. An enumeration of the Contract Documents, other than a Modification, appears in Article 9.

**ARTICLE 2 THE WORK OF THIS CONTRACT**

The Contractor shall fully execute the Work described in the Contract Documents, except as specifically indicated in the Contract Documents to be the responsibility of others.

**ARTICLE 3 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION**

**§ 3.1** The date of commencement of the Work shall be:

*(Check one of the following boxes.)*

The date of this Agreement.

A date set forth in a notice to proceed issued by the Owner.

Established as follows:

*(Insert a date or a means to determine the date of commencement of the Work.)*

If a date of commencement of the Work is not selected, then the date of commencement shall be the date of this Agreement.

**§ 3.2** The Contract Time shall be measured from the date of commencement of the Work.

**§ 3.3 Substantial Completion**

**§ 3.3.1** Subject to adjustments of the Contract Time as provided in the Contract Documents, the Contractor shall achieve Substantial Completion of the entire Work:

Init.

(Check one of the following boxes and complete the necessary information.)

Not later than ( ) calendar days from the date of commencement of the Work.

By the following date:

§ 3.3.2 Subject to adjustments of the Contract Time as provided in the Contract Documents, if portions of the Work are to be completed prior to Substantial Completion of the entire Work, the Contractor shall achieve Substantial Completion of such portions by the following dates:

Portion of Work	Substantial Completion Date
-----------------	-----------------------------

§ 3.3.3 If the Contractor fails to achieve Substantial Completion as provided in this Section 3.3, liquidated damages, if any, shall be assessed as set forth in Section 4.5.

#### ARTICLE 4 CONTRACT SUM

§ 4.1 The Owner shall pay the Contractor the Contract Sum in current funds for the Contractor's performance of the Contract. The Contract Sum shall be Zero Dollars and Zero Cents (\$ 0.00 ), subject to additions and deductions as provided in the Contract Documents.

#### § 4.2 Alternates

§ 4.2.1 Alternates, if any, included in the Contract Sum:

Item	Price
------	-------

§ 4.2.2 Subject to the conditions noted below, the following alternates may be accepted by the Owner following execution of this Agreement. Upon acceptance, the Owner shall issue a Modification to this Agreement. (Insert below each alternate and the conditions that must be met for the Owner to accept the alternate.)

Item	Price	Conditions for Acceptance
------	-------	---------------------------

§ 4.3 Allowances, if any, included in the Contract Sum:

(Identify each allowance.)

Item	Price
------	-------

§ 4.4 Unit prices, if any:

(Identify the item and state the unit price and quantity limitations, if any, to which the unit price will be applicable.)

Item	Units and Limitations	Price per Unit (\$0.00)
------	-----------------------	-------------------------

§ 4.5 Liquidated damages, if any:

(Insert terms and conditions for liquidated damages, if any.)

§ 4.6 Other:

(Insert provisions for bonus or other incentives, if any, that might result in a change to the Contract Sum.)

Init.

## ARTICLE 5 PAYMENTS

### § 5.1 Progress Payments

§ 5.1.1 Based upon Applications for Payment submitted to the Architect by the Contractor and Certificates for Payment issued by the Architect, the Owner shall make progress payments on account of the Contract Sum to the Contractor as provided below and elsewhere in the Contract Documents.

§ 5.1.2 The period covered by each Application for Payment shall be one calendar month ending on the last day of the month, or as follows:

§ 5.1.3 Provided that an Application for Payment is received by the Architect not later than the day of a month, the Owner shall make payment of the amount certified to the Contractor not later than the day of the month. If an Application for Payment is received by the Architect after the application date fixed above, payment of the amount certified shall be made by the Owner not later than ( ) days after the Architect receives the Application for Payment.

*(Federal, state or local laws may require payment within a certain period of time.)*

§ 5.1.4 Each Application for Payment shall be based on the most recent schedule of values submitted by the Contractor in accordance with the Contract Documents. The schedule of values shall allocate the entire Contract Sum among the various portions of the Work. The schedule of values shall be prepared in such form, and supported by such data to substantiate its accuracy, as the Architect may require. This schedule of values shall be used as a basis for reviewing the Contractor's Applications for Payment.

§ 5.1.5 Applications for Payment shall show the percentage of completion of each portion of the Work as of the end of the period covered by the Application for Payment.

§ 5.1.6 In accordance with AIA Document A201™–2017, General Conditions of the Contract for Construction, and subject to other provisions of the Contract Documents, the amount of each progress payment shall be computed as follows:

§ 5.1.6.1 The amount of each progress payment shall first include:

- .1 That portion of the Contract Sum properly allocable to completed Work;
- .2 That portion of the Contract Sum properly allocable to materials and equipment delivered and suitably stored at the site for subsequent incorporation in the completed construction, or, if approved in advance by the Owner, suitably stored off the site at a location agreed upon in writing; and
- .3 That portion of Construction Change Directives that the Architect determines, in the Architect's professional judgment, to be reasonably justified.

§ 5.1.6.2 The amount of each progress payment shall then be reduced by:

- .1 The aggregate of any amounts previously paid by the Owner;
- .2 The amount, if any, for Work that remains uncorrected and for which the Architect has previously withheld a Certificate for Payment as provided in Article 9 of AIA Document A201–2017;
- .3 Any amount for which the Contractor does not intend to pay a Subcontractor or material supplier, unless the Work has been performed by others the Contractor intends to pay;
- .4 For Work performed or defects discovered since the last payment application, any amount for which the Architect may withhold payment, or nullify a Certificate of Payment in whole or in part, as provided in Article 9 of AIA Document A201–2017; and
- .5 Retainage withheld pursuant to Section 5.1.7.

### § 5.1.7 Retainage

§ 5.1.7.1 For each progress payment made prior to Substantial Completion of the Work, the Owner may withhold the following amount, as retainage, from the payment otherwise due:

*(Insert a percentage or amount to be withheld as retainage from each Application for Payment. The amount of retainage may be limited by governing law.)*

Init.

**§ 5.1.7.1.1** The following items are not subject to retainage:  
*(Insert any items not subject to the withholding of retainage, such as general conditions, insurance, etc.)*

**§ 5.1.7.2** Reduction or limitation of retainage, if any, shall be as follows:  
*(If the retainage established in Section 5.1.7.1 is to be modified prior to Substantial Completion of the entire Work, including modifications for Substantial Completion of portions of the Work as provided in Section 3.3.2, insert provisions for such modifications.)*

**§ 5.1.7.3** Except as set forth in this Section 5.1.7.3, upon Substantial Completion of the Work, the Contractor may submit an Application for Payment that includes the retainage withheld from prior Applications for Payment pursuant to this Section 5.1.7. The Application for Payment submitted at Substantial Completion shall not include retainage as follows:  
*(Insert any other conditions for release of retainage upon Substantial Completion.)*

**§ 5.1.8** If final completion of the Work is materially delayed through no fault of the Contractor, the Owner shall pay the Contractor any additional amounts in accordance with Article 9 of AIA Document A201–2017.

**§ 5.1.9** Except with the Owner’s prior approval, the Contractor shall not make advance payments to suppliers for materials or equipment which have not been delivered and stored at the site.

## **§ 5.2 Final Payment**

**§ 5.2.1** Final payment, constituting the entire unpaid balance of the Contract Sum, shall be made by the Owner to the Contractor when

- .1 the Contractor has fully performed the Contract except for the Contractor’s responsibility to correct Work as provided in Article 12 of AIA Document A201–2017, and to satisfy other requirements, if any, which extend beyond final payment; and
- .2 a final Certificate for Payment has been issued by the Architect.

**§ 5.2.2** The Owner’s final payment to the Contractor shall be made no later than 30 days after the issuance of the Architect’s final Certificate for Payment, or as follows:

## **§ 5.3 Interest**

Payments due and unpaid under the Contract shall bear interest from the date payment is due at the rate stated below, or in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located.

*(Insert rate of interest agreed upon, if any.)*

%

## **ARTICLE 6 DISPUTE RESOLUTION**

### **§ 6.1 Initial Decision Maker**

The Architect will serve as the Initial Decision Maker pursuant to Article 15 of AIA Document A201–2017, unless the parties appoint below another individual, not a party to this Agreement, to serve as the Initial Decision Maker.

*(If the parties mutually agree, insert the name, address and other contact information of the Initial Decision Maker, if other than the Architect.)*

Init.

## § 6.2 Binding Dispute Resolution

For any Claim subject to, but not resolved by, mediation pursuant to Article 15 of AIA Document A201–2017, the method of binding dispute resolution shall be as follows:

*(Check the appropriate box.)*

- Arbitration pursuant to Section 15.4 of AIA Document A201–2017
- Litigation in a court of competent jurisdiction
- Other *(Specify)*

If the Owner and Contractor do not select a method of binding dispute resolution, or do not subsequently agree in writing to a binding dispute resolution method other than litigation, Claims will be resolved by litigation in a court of competent jurisdiction.

## ARTICLE 7 TERMINATION OR SUSPENSION

§ 7.1 The Contract may be terminated by the Owner or the Contractor as provided in Article 14 of AIA Document A201–2017.

§ 7.1.1 If the Contract is terminated for the Owner’s convenience in accordance with Article 14 of AIA Document A201–2017, then the Owner shall pay the Contractor a termination fee as follows:

*(Insert the amount of, or method for determining, the fee, if any, payable to the Contractor following a termination for the Owner’s convenience.)*

§ 7.2 The Work may be suspended by the Owner as provided in Article 14 of AIA Document A201–2017.

## ARTICLE 8 MISCELLANEOUS PROVISIONS

§ 8.1 Where reference is made in this Agreement to a provision of AIA Document A201–2017 or another Contract Document, the reference refers to that provision as amended or supplemented by other provisions of the Contract Documents.

§ 8.2 The Owner’s representative:

*(Name, address, email address, and other information)*

§ 8.3 The Contractor’s representative:

*(Name, address, email address, and other information)*

Init.

§ 8.4 Neither the Owner's nor the Contractor's representative shall be changed without ten days' prior notice to the other party.

**§ 8.5 Insurance and Bonds**

§ 8.5.1 The Owner and the Contractor shall purchase and maintain insurance as set forth in AIA Document A101™-2017, Standard Form of Agreement Between Owner and Contractor where the basis of payment is a Stipulated Sum, Exhibit A, Insurance and Bonds, and elsewhere in the Contract Documents.

§ 8.5.2 The Contractor shall provide bonds as set forth in AIA Document A101™-2017 Exhibit A, and elsewhere in the Contract Documents.

§ 8.6 Notice in electronic format, pursuant to Article 1 of AIA Document A201-2017, may be given in accordance with AIA Document E203™-2013, Building Information Modeling and Digital Data Exhibit, if completed, or as otherwise set forth below:

*(If other than in accordance with AIA Document E203-2013, insert requirements for delivering notice in electronic format such as name, title, and email address of the recipient and whether and how the system will be required to generate a read receipt for the transmission.)*

§ 8.7 Other provisions:

**ARTICLE 9 ENUMERATION OF CONTRACT DOCUMENTS**

§ 9.1 This Agreement is comprised of the following documents:

- .1 AIA Document A101™-2017, Standard Form of Agreement Between Owner and Contractor
- .2 AIA Document A101™-2017, Exhibit A, Insurance and Bonds
- .3 AIA Document A201™-2017, General Conditions of the Contract for Construction
- .4 AIA Document E203™-2013, Building Information Modeling and Digital Data Exhibit, dated as indicated below:  
*(Insert the date of the E203-2013 incorporated into this Agreement.)*

.5 Drawings

Number	Title	Date
--------	-------	------

.6 Specifications

Section	Title	Date	Pages
---------	-------	------	-------

.7 Addenda, if any:

Number	Date	Pages
--------	------	-------

Portions of Addenda relating to bidding or proposal requirements are not part of the Contract Documents unless the bidding or proposal requirements are also enumerated in this Article 9.

.8 Other Exhibits:

*(Check all boxes that apply and include appropriate information identifying the exhibit where required.)*

Init.

AIA Document E204™–2017, Sustainable Projects Exhibit, dated as indicated below:  
(Insert the date of the E204-2017 incorporated into this Agreement.)

The Sustainability Plan:

Title	Date	Pages
-------	------	-------

Supplementary and other Conditions of the Contract:

Document	Title	Date	Pages
----------	-------	------	-------

.9 Other documents, if any, listed below:

*(List here any additional documents that are intended to form part of the Contract Documents. AIA Document A201™–2017 provides that the advertisement or invitation to bid, Instructions to Bidders, sample forms, the Contractor's bid or proposal, portions of Addenda relating to bidding or proposal requirements, and other information furnished by the Owner in anticipation of receiving bids or proposals, are not part of the Contract Documents unless enumerated in this Agreement. Any such documents should be listed here only if intended to be part of the Contract Documents.)*

This Agreement entered into as of the day and year first written above.

\_\_\_\_\_  
OWNER (Signature)

\_\_\_\_\_  
CONTRACTOR (Signature)

\_\_\_\_\_  
(Printed name and title)

\_\_\_\_\_  
(Printed name and title)

Init.

# AIA<sup>®</sup> Document A101<sup>™</sup> – 2017 Exhibit A

## **Insurance and Bonds**

This Insurance and Bonds Exhibit is part of the Agreement, between the Owner and the Contractor, dated the    day of    in the year  
*(In words, indicate day, month and year.)*

for the following **PROJECT**:  
*(Name and location or address)*

-

**THE OWNER:**  
*(Name, legal status and address)*

**THE CONTRACTOR:**  
*(Name, legal status and address)*

### **TABLE OF ARTICLES**

#### **A.1 GENERAL**

#### **A.2 OWNER'S INSURANCE**

#### **A.3 CONTRACTOR'S INSURANCE AND BONDS**

#### **A.4 SPECIAL TERMS AND CONDITIONS**

##### **ARTICLE A.1 GENERAL**

The Owner and Contractor shall purchase and maintain insurance, and provide bonds, as set forth in this Exhibit. As used in this Exhibit, the term General Conditions refers to AIA Document A201<sup>™</sup>-2017, General Conditions of the Contract for Construction.

##### **ARTICLE A.2 OWNER'S INSURANCE**

###### **§ A.2.1 General**

Prior to commencement of the Work, the Owner shall secure the insurance, and provide evidence of the coverage, required under this Article A.2 and, upon the Contractor's request, provide a copy of the property insurance policy or policies required by Section A.2.3. The copy of the policy or policies provided shall contain all applicable conditions, definitions, exclusions, and endorsements.

###### **§ A.2.2 Liability Insurance**

The Owner shall be responsible for purchasing and maintaining the Owner's usual general liability insurance.

##### **ADDITIONS AND DELETIONS:**

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

This document is intended to be used in conjunction with AIA Document A201<sup>™</sup>-2017, General Conditions of the Contract for Construction. Article 11 of A201<sup>™</sup>-2017 contains additional insurance provisions.

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**§ A.2.3 Required Property Insurance**

**§ A.2.3.1** Unless this obligation is placed on the Contractor pursuant to Section A.3.3.2.1, the Owner shall purchase and maintain, from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located, property insurance written on a builder's risk "all-risks" completed value or equivalent policy form and sufficient to cover the total value of the entire Project on a replacement cost basis. The Owner's property insurance coverage shall be no less than the amount of the initial Contract Sum, plus the value of subsequent Modifications and labor performed and materials or equipment supplied by others. The property insurance shall be maintained until Substantial Completion and thereafter as provided in Section A.2.3.1.3, unless otherwise provided in the Contract Documents or otherwise agreed in writing by the parties to this Agreement. This insurance shall include the interests of the Owner, Contractor, Subcontractors, and Sub-subcontractors in the Project as insureds. This insurance shall include the interests of mortgagees as loss payees.

**§ A.2.3.1.1 Causes of Loss.** The insurance required by this Section A.2.3.1 shall provide coverage for direct physical loss or damage, and shall not exclude the risks of fire, explosion, theft, vandalism, malicious mischief, collapse, earthquake, flood, or windstorm. The insurance shall also provide coverage for ensuing loss or resulting damage from error, omission, or deficiency in construction methods, design, specifications, workmanship, or materials. Sub-limits, if any, are as follows:

*(Indicate below the cause of loss and any applicable sub-limit.)*

**Causes of Loss** **Sub-Limit**

**§ A.2.3.1.2 Specific Required Coverages.** The insurance required by this Section A.2.3.1 shall provide coverage for loss or damage to falsework and other temporary structures, and to building systems from testing and startup. The insurance shall also cover debris removal, including demolition occasioned by enforcement of any applicable legal requirements, and reasonable compensation for the Architect's and Contractor's services and expenses required as a result of such insured loss, including claim preparation expenses. Sub-limits, if any, are as follows:

*(Indicate below type of coverage and any applicable sub-limit for specific required coverages.)*

**Coverage** **Sub-Limit**

**§ A.2.3.1.3** Unless the parties agree otherwise, upon Substantial Completion, the Owner shall continue the insurance required by Section A.2.3.1 or, if necessary, replace the insurance policy required under Section A.2.3.1 with property insurance written for the total value of the Project that shall remain in effect until expiration of the period for correction of the Work set forth in Section 12.2.2 of the General Conditions.

**§ A.2.3.1.4 Deductibles and Self-Insured Retentions.** If the insurance required by this Section A.2.3 is subject to deductibles or self-insured retentions, the Owner shall be responsible for all loss not covered because of such deductibles or retentions.

**§ A.2.3.2 Occupancy or Use Prior to Substantial Completion.** The Owner's occupancy or use of any completed or partially completed portion of the Work prior to Substantial Completion shall not commence until the insurance company or companies providing the insurance under Section A.2.3.1 have consented in writing to the continuance of coverage. The Owner and the Contractor shall take no action with respect to partial occupancy or use that would cause cancellation, lapse, or reduction of insurance, unless they agree otherwise in writing.

**§ A.2.3.3 Insurance for Existing Structures**

If the Work involves remodeling an existing structure or constructing an addition to an existing structure, the Owner shall purchase and maintain, until the expiration of the period for correction of Work as set forth in Section 12.2.2 of the General Conditions, "all-risks" property insurance, on a replacement cost basis, protecting the existing structure against direct physical loss or damage from the causes of loss identified in Section A.2.3.1, notwithstanding the undertaking of the Work. The Owner shall be responsible for all co-insurance penalties.

**§ A.2.4 Optional Extended Property Insurance.**

The Owner shall purchase and maintain the insurance selected and described below.

*(Select the types of insurance the Owner is required to purchase and maintain by placing an X in the box(es) next to*

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*the description(s) of selected insurance. For each type of insurance selected, indicate applicable limits of coverage or other conditions in the fill point below the selected item.)*

- § **A.2.4.1 Loss of Use, Business Interruption, and Delay in Completion Insurance**, to reimburse the Owner for loss of use of the Owner's property, or the inability to conduct normal operations due to a covered cause of loss.
  
- § **A.2.4.2 Ordinance or Law Insurance**, for the reasonable and necessary costs to satisfy the minimum requirements of the enforcement of any law or ordinance regulating the demolition, construction, repair, replacement or use of the Project.
  
- § **A.2.4.3 Expediting Cost Insurance**, for the reasonable and necessary costs for the temporary repair of damage to insured property, and to expedite the permanent repair or replacement of the damaged property.
  
- § **A.2.4.4 Extra Expense Insurance**, to provide reimbursement of the reasonable and necessary excess costs incurred during the period of restoration or repair of the damaged property that are over and above the total costs that would normally have been incurred during the same period of time had no loss or damage occurred.
  
- § **A.2.4.5 Civil Authority Insurance**, for losses or costs arising from an order of a civil authority prohibiting access to the Project, provided such order is the direct result of physical damage covered under the required property insurance.
  
- § **A.2.4.6 Ingress/Egress Insurance**, for loss due to the necessary interruption of the insured's business due to physical prevention of ingress to, or egress from, the Project as a direct result of physical damage.
  
- § **A.2.4.7 Soft Costs Insurance**, to reimburse the Owner for costs due to the delay of completion of the Work, arising out of physical loss or damage covered by the required property insurance: including construction loan fees; leasing and marketing expenses; additional fees, including those of architects, engineers, consultants, attorneys and accountants, needed for the completion of the construction, repairs, or reconstruction; and carrying costs such as property taxes, building permits, additional interest on loans, realty taxes, and insurance premiums over and above normal expenses.

**§ A.2.5 Other Optional Insurance.**

The Owner shall purchase and maintain the insurance selected below.

*(Select the types of insurance the Owner is required to purchase and maintain by placing an X in the box(es) next to the description(s) of selected insurance.)*

- § **A.2.5.1 Cyber Security Insurance** for loss to the Owner due to data security and privacy breach, including costs of investigating a potential or actual breach of confidential or private information.  
*(Indicate applicable limits of coverage or other conditions in the fill point below.)*

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[ ] § A.2.5.2 Other Insurance

*(List below any other insurance coverage to be provided by the Owner and any applicable limits.)*

Coverage

Limits

**ARTICLE A.3 CONTRACTOR'S INSURANCE AND BONDS**

**§ A.3.1 General**

**§ A.3.1.1 Certificates of Insurance.** The Contractor shall provide certificates of insurance acceptable to the Owner evidencing compliance with the requirements in this Article A.3 at the following times: (1) prior to commencement of the Work; (2) upon renewal or replacement of each required policy of insurance; and (3) upon the Owner's written request. An additional certificate evidencing continuation of commercial liability coverage, including coverage for completed operations, shall be submitted with the final Application for Payment and thereafter upon renewal or replacement of such coverage until the expiration of the periods required by Section A.3.2.1 and Section A.3.3.1. The certificates will show the Owner as an additional insured on the Contractor's Commercial General Liability and excess or umbrella liability policy or policies.

**§ A.3.1.2 Deductibles and Self-Insured Retentions.** The Contractor shall disclose to the Owner any deductible or self-insured retentions applicable to any insurance required to be provided by the Contractor.

**§ A.3.1.3 Additional Insured Obligations.** To the fullest extent permitted by law, the Contractor shall cause the commercial general liability coverage to include (1) the Owner, the Architect, and the Architect's consultants as additional insureds for claims caused in whole or in part by the Contractor's negligent acts or omissions during the Contractor's operations; and (2) the Owner as an additional insured for claims caused in whole or in part by the Contractor's negligent acts or omissions for which loss occurs during completed operations. The additional insured coverage shall be primary and non-contributory to any of the Owner's general liability insurance policies and shall apply to both ongoing and completed operations. To the extent commercially available, the additional insured coverage shall be no less than that provided by Insurance Services Office, Inc. (ISO) forms CG 20 10 07 04, CG 20 37 07 04, and, with respect to the Architect and the Architect's consultants, CG 20 32 07 04.

**§ A.3.2 Contractor's Required Insurance Coverage**

**§ A.3.2.1** The Contractor shall purchase and maintain the following types and limits of insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located. The Contractor shall maintain the required insurance until the expiration of the period for correction of Work as set forth in Section 12.2.2 of the General Conditions, unless a different duration is stated below:  
*(If the Contractor is required to maintain insurance for a duration other than the expiration of the period for correction of Work, state the duration.)*

**§ A.3.2.2 Commercial General Liability**

**§ A.3.2.2.1** Commercial General Liability insurance for the Project written on an occurrence form with policy limits of not less than (\$ ) each occurrence, (\$ ) general aggregate, and (\$ ) aggregate for products-completed operations hazard, providing coverage for claims including

- .1 damages because of bodily injury, sickness or disease, including occupational sickness or disease, and death of any person;
- .2 personal injury and advertising injury;
- .3 damages because of physical damage to or destruction of tangible property, including the loss of use of such property;
- .4 bodily injury or property damage arising out of completed operations; and
- .5 the Contractor's indemnity obligations under Section 3.18 of the General Conditions.

**§ A.3.2.2.2** The Contractor's Commercial General Liability policy under this Section A.3.2.2 shall not contain an exclusion or restriction of coverage for the following:

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- .1 Claims by one insured against another insured, if the exclusion or restriction is based solely on the fact that the claimant is an insured, and there would otherwise be coverage for the claim.
- .2 Claims for property damage to the Contractor's Work arising out of the products-completed operations hazard where the damaged Work or the Work out of which the damage arises was performed by a Subcontractor.
- .3 Claims for bodily injury other than to employees of the insured.
- .4 Claims for indemnity under Section 3.18 of the General Conditions arising out of injury to employees of the insured.
- .5 Claims or loss excluded under a prior work endorsement or other similar exclusionary language.
- .6 Claims or loss due to physical damage under a prior injury endorsement or similar exclusionary language.
- .7 Claims related to residential, multi-family, or other habitational projects, if the Work is to be performed on such a project.
- .8 Claims related to roofing, if the Work involves roofing.
- .9 Claims related to exterior insulation finish systems (EIFS), synthetic stucco or similar exterior coatings or surfaces, if the Work involves such coatings or surfaces.
- .10 Claims related to earth subsidence or movement, where the Work involves such hazards.
- .11 Claims related to explosion, collapse and underground hazards, where the Work involves such hazards.

**§ A.3.2.3** Automobile Liability covering vehicles owned, and non-owned vehicles used, by the Contractor, with policy limits of not less than (\$) per accident, for bodily injury, death of any person, and property damage arising out of the ownership, maintenance and use of those motor vehicles along with any other statutorily required automobile coverage.

**§ A.3.2.4** The Contractor may achieve the required limits and coverage for Commercial General Liability and Automobile Liability through a combination of primary and excess or umbrella liability insurance, provided such primary and excess or umbrella insurance policies result in the same or greater coverage as the coverages required under Section A.3.2.2 and A.3.2.3, and in no event shall any excess or umbrella liability insurance provide narrower coverage than the primary policy. The excess policy shall not require the exhaustion of the underlying limits only through the actual payment by the underlying insurers.

**§ A.3.2.5** Workers' Compensation at statutory limits.

**§ A.3.2.6** Employers' Liability with policy limits not less than (\$) each accident, (\$) each employee, and (\$) policy limit.

**§ A.3.2.7** Jones Act, and the Longshore & Harbor Workers' Compensation Act, as required, if the Work involves hazards arising from work on or near navigable waterways, including vessels and docks

**§ A.3.2.8** If the Contractor is required to furnish professional services as part of the Work, the Contractor shall procure Professional Liability insurance covering performance of the professional services, with policy limits of not less than (\$) per claim and (\$) in the aggregate.

**§ A.3.2.9** If the Work involves the transport, dissemination, use, or release of pollutants, the Contractor shall procure Pollution Liability insurance, with policy limits of not less than (\$) per claim and (\$) in the aggregate.

**§ A.3.2.10** Coverage under Sections A.3.2.8 and A.3.2.9 may be procured through a Combined Professional Liability and Pollution Liability insurance policy, with combined policy limits of not less than (\$) per claim and (\$) in the aggregate.

**§ A.3.2.11** Insurance for maritime liability risks associated with the operation of a vessel, if the Work requires such activities, with policy limits of not less than (\$) per claim and (\$) in the aggregate.

**§ A.3.2.12** Insurance for the use or operation of manned or unmanned aircraft, if the Work requires such activities, with policy limits of not less than (\$) per claim and (\$) in the aggregate.

**§ A.3.3 Contractor's Other Insurance Coverage**

**§ A.3.3.1** Insurance selected and described in this Section A.3.3 shall be purchased from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located. The Contractor shall maintain the required insurance until the expiration of the period for correction of Work as set forth in Section 12.2.2 of the General Conditions, unless a different duration is stated below:

*(If the Contractor is required to maintain any of the types of insurance selected below for a duration other than the expiration of the period for correction of Work, state the duration.)*

**§ A.3.3.2** The Contractor shall purchase and maintain the following types and limits of insurance in accordance with Section A.3.3.1.

*(Select the types of insurance the Contractor is required to purchase and maintain by placing an X in the box(es) next to the description(s) of selected insurance. Where policy limits are provided, include the policy limit in the appropriate fill point.)*

**§ A.3.3.2.1** Property insurance of the same type and scope satisfying the requirements identified in Section A.2.3, which, if selected in this section A.3.3.2.1, relieves the Owner of the responsibility to purchase and maintain such insurance except insurance required by Section A.2.3.1.3 and Section A.2.3.3. The Contractor shall comply with all obligations of the Owner under Section A.2.3 except to the extent provided below. The Contractor shall disclose to the Owner the amount of any deductible, and the Owner shall be responsible for losses within the deductible. Upon request, the Contractor shall provide the Owner with a copy of the property insurance policy or policies required. The Owner shall adjust and settle the loss with the insurer and be the trustee of the proceeds of the property insurance in accordance with Article 11 of the General Conditions unless otherwise set forth below:  
*(Where the Contractor's obligation to provide property insurance differs from the Owner's obligations as described under Section A.2.3, indicate such differences in the space below. Additionally, if a party other than the Owner will be responsible for adjusting and settling a loss with the insurer and acting as the trustee of the proceeds of property insurance in accordance with Article 11 of the General Conditions, indicate the responsible party below.)*

**§ A.3.3.2.2 Railroad Protective Liability Insurance**, with policy limits of not less than (\$ ) per claim and (\$ ) in the aggregate, for Work within fifty (50) feet of railroad property.

**§ A.3.3.2.3 Asbestos Abatement Liability Insurance**, with policy limits of not less than (\$ ) per claim and (\$ ) in the aggregate, for liability arising from the encapsulation, removal, handling, storage, transportation, and disposal of asbestos-containing materials.

**§ A.3.3.2.4** Insurance for physical damage to property while it is in storage and in transit to the construction site on an "all-risks" completed value form.

**§ A.3.3.2.5** Property insurance on an "all-risks" completed value form, covering property owned by the Contractor and used on the Project, including scaffolding and other equipment.

**§ A.3.3.2.6 Other Insurance**  
*(List below any other insurance coverage to be provided by the Contractor and any applicable limits.)*

**Coverage**

**Limits**

**§ A.3.4 Performance Bond and Payment Bond**

The Contractor shall provide surety bonds, from a company or companies lawfully authorized to issue surety bonds in the jurisdiction where the Project is located, as follows:

*(Specify type and penal sum of bonds.)*

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**Type**

**Penal Sum (\$0.00)**

Payment Bond

Performance Bond

Payment and Performance Bonds shall be AIA Document A312™, Payment Bond and Performance Bond, or contain provisions identical to AIA Document A312™, current as of the date of this Agreement.

**ARTICLE A.4 SPECIAL TERMS AND CONDITIONS**

Special terms and conditions that modify this Insurance and Bonds Exhibit, if any, are as follows:

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# AIA<sup>®</sup> Document A310<sup>™</sup> – 2010

## Bid Bond

**CONTRACTOR:**

*(Name, legal status and address)*

**SURETY:**

*(Name, legal status and principal place of business)*

**OWNER:**

*(Name, legal status and address)*

**BOND AMOUNT: \$**

**PROJECT:**

*(Name, location or address, and Project number, if any)*

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

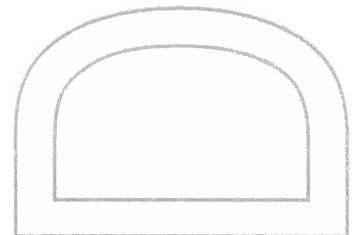
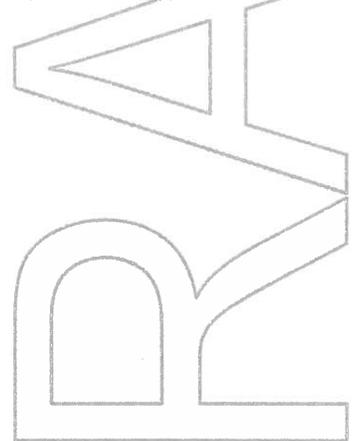
If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.



This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.



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Signed and sealed this [ ] day of [ ],

\_\_\_\_\_  
(Witness)

\_\_\_\_\_  
(Witness)

\_\_\_\_\_  
(Contractor as Principal)

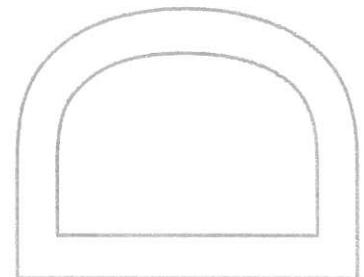
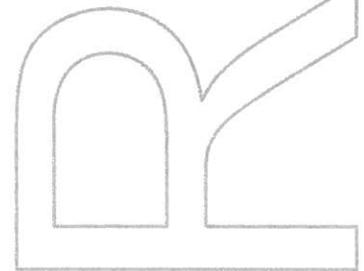
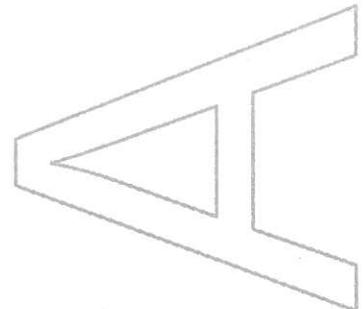
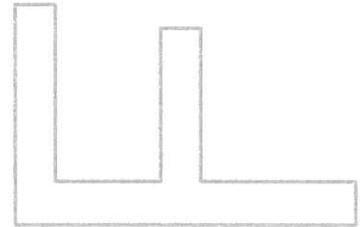
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(Seal)

\_\_\_\_\_  
(Title)

\_\_\_\_\_  
(Surety)

\_\_\_\_\_  
(Seal)

\_\_\_\_\_  
(Title)



 **AIA** Document A312™ – 1984

**Performance Bond**

**CONTRACTOR** (Name, Legal Status and Address):

**SURETY** (Name, Legal Status and Principal Place of Business):

**OWNER** (Name, Legal Status and Address):

**CONSTRUCTION CONTRACT**

Date:

Amount: \$

Description (Name and Location):

SAMPLE

**BOND**

Date (Not earlier than Construction Contract Date):

Amount: \$

Modifications to this Bond:  None  See Section 13

**CONTRACTOR AS PRINCIPAL**

Company: (Corporate Seal)

**SURETY**

Company: (Corporate Seal)

Signature: \_\_\_\_\_

Name and

Title:

(Any additional signatures appear on the last page)

Signature: \_\_\_\_\_

Name and

Title:

(FOR INFORMATION ONLY - Name, Address and Telephone)

**AGENT or BROKER:**

**OWNER'S REPRESENTATIVE**

(Architect, Engineer or other party):

**ADDITIONS AND DELETIONS:**

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contract, Surety, Owner or other party shall be considered plural where applicable.

§ 1 The Contractor and the Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors and assigns to the Owner for the performance of the Construction Contract, which is incorporated herein by reference.

§ 2 If the Contractor performs the Construction Contract, the Surety and the Contractor shall have no obligation under this Bond, except to participate in conferences as provided in Section 3.1.

§ 3 If there is no Owner Default, the Surety's obligation under this Bond shall arise after:

§ 3.1 The Owner has notified the Contractor and the Surety at its address described in Section 10 below that the Owner is considering declaring a Contractor Default and has requested and attempted to arrange a conference with the Contractor and the Surety to be held not later than fifteen days after receipt of such notice to discuss methods of performing the Construction Contract. If the Owner, the Contractor and the Surety agree, the Contractor shall be allowed a reasonable time to perform the Construction Contract, but such an agreement shall not waive the Owner's right, if any, subsequently to declare a Contractor Default; and

§ 3.2 The Owner has declared a Contractor Default and formally terminated the Contractor's right to complete the contract. Such Contractor Default shall not be declared earlier than twenty days after the Contractor and the Surety have received notice as provided in Section 3.1; and

§ 3.3 The Owner has agreed to pay the Balance of the Contract Price to the Surety in accordance with the terms of the Construction Contract or to a contractor selected to perform the Construction Contract in accordance with the terms of the contract with the Owner.

§ 4 When the Owner has satisfied the conditions of Section 3, the Surety shall promptly and at the Surety's expense take one of the following actions:

§ 4.1 Arrange for the Contractor, with consent of the Owner, to perform and complete the Construction Contract; or

§ 4.2 Undertake to perform and complete the Construction Contract itself, through its agents or through independent contractors; or

§ 4.3 Obtain bids or negotiated proposals from qualified contractors acceptable to the Owner for a contract for performance and completion of the Construction Contract, arrange for a contract to be prepared for execution by the Owner and the contractor selected with the Owner's concurrence, to be secured with performance and payment bonds executed by a qualified surety equivalent to the bonds issued on the Construction Contract, and pay to the Owner the amount of damages as described in Section 6 in excess of the Balance of the Contract Price incurred by the Owner resulting from the Contractor's default; or

§ 4.4 Waive its right to perform and complete, arrange for completion, or obtain a new contractor and with reasonable promptness under the circumstances:

- .1 After investigation, determine the amount for which it may be liable to the Owner and, as soon as practicable after the amount is determined, tender payment therefor to the Owner; or
- .2 Deny liability in whole or in part and notify the Owner citing reasons therefor.

§ 5 If the Surety does not proceed as provided in Section 4 with reasonable promptness, the Surety shall be deemed to be in default on this Bond fifteen days after receipt of an additional written notice from the Owner to the Surety demanding that the Surety perform its obligations under this Bond, and the Owner shall be entitled to enforce any remedy available to the Owner. If the Surety proceeds as provided in Section 4.4, and the Owner refuses the payment tendered or the Surety has denied liability, in whole or in part, without further notice the Owner shall be entitled to enforce any remedy available to the Owner.

§ 6 After the Owner has terminated the Contractor's right to complete the Construction Contract, and if the Surety elects to act under Section 4.1, 4.2, or 4.3 above, then the responsibilities of the Surety to the Owner shall not be greater than those of the Contractor under the Construction Contract, and the responsibilities of the Owner to the Surety shall not be greater than those of the Owner under the Construction Contract. To the limit of the amount of this Bond, but subject to commitment by the Owner of the Balance of the Contract Price to mitigation of costs and damages on the Construction Contract, the Surety is obligated without duplication for:

§ 6.1 The responsibilities of the Contractor for correction of defective work and completion of the Construction Contract;

§ 6.2 Additional legal, design professional and delay costs resulting from the Contractor's Default, and resulting from the actions or failure to act of the Surety under Section 4; and

§ 6.3 Liquidated damages, or if no liquidated damages are specified in the Construction Contract, actual damages caused by delayed performance or non-performance of the Contractor.

§ 7 The Surety shall not be liable to the Owner or others for obligations of the Contractor that are unrelated to the Construction Contract, and the Balance of the Contract Price shall not be reduced or set off on account of any such unrelated obligations. No right of action shall accrue on this Bond to any person or entity other than the Owner or its heirs, executors, administrators or successors.

§ 8 The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders and other obligations.

§ 9 Any proceeding, legal or equitable, under this Bond may be instituted in any court of competent jurisdiction in the location in which the work or part of the work is located and shall be instituted within two years after Contractor Default or within two years after the Contractor ceased working or within two years after the Surety refuses or fails to perform its obligations under this Bond, whichever occurs first. If the provisions of this Paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.

§ 10 Notice to the Surety, the Owner or the Contractor shall be mailed or delivered to the address shown on the signature page.

§ 11 When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted here from and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. The intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

## § 12 DEFINITIONS

§ 12.1 Balance of the Contract Price: The total amount payable by the Owner to the Contractor under the Construction Contract after all proper adjustments have been made, including allowance to the Contractor of any amounts received or to be received by the Owner in settlement of insurance or other claims for damages to which the Contractor is entitled, reduced by all valid and proper payments made to or on behalf of the Contractor under the Construction Contract.

§ 12.2 Construction Contract: The agreement between the Owner and the Contractor identified on the signature page, including all Contract Documents and changes thereto.

§ 12.3 Contractor Default: Failure of the Contractor, which has neither been remedied nor waived, to perform or otherwise to comply with the terms of the Construction Contract.

§ 12.4 Owner Default: Failure of the Owner, which has neither been remedied nor waived, to pay the Contractor as required by the Construction Contract or to perform and complete or comply with the other terms thereof.

§ 13 MODIFICATIONS TO THIS BOND ARE AS FOLLOWS:

*(Space is provided below for additional signatures of added parties, other than those appearing on the cover page.)*

**CONTRACTOR AS PRINCIPAL**

**SURETY**

Company: \_\_\_\_\_  
*(Corporate Seal)*

Company: \_\_\_\_\_  
*(Corporate Seal)*

Signature: \_\_\_\_\_  
Name and Title:  
Address:

Signature: \_\_\_\_\_  
Name and Title:  
Address:

Init.



# AIA® Document A312™ – 2010

## Payment Bond

**CONTRACTOR:**

*(Name, legal status and address)*

**SURETY:**

*(Name, legal status and principal place of business)*

**OWNER:**

*(Name, legal status and address)*

**CONSTRUCTION CONTRACT**

Date:

Amount: \$

Description:

*(Name and location)*

SAMPLE

**BOND**

Date:

*(Not earlier than Construction Contract Date)*

Amount: \$

Modifications to this Bond:  None  See Section 18

**CONTRACTOR AS PRINCIPAL**

Company: *(Corporate Seal)*

**SURETY**

Company: *(Corporate Seal)*

Signature: \_\_\_\_\_

Name and

Title:

Signature: \_\_\_\_\_

Name and

Title:

*(Any additional signatures appear on the last page of this Payment Bond.)*

*(FOR INFORMATION ONLY — Name, address and telephone)*

**AGENT or BROKER:**

**OWNER'S REPRESENTATIVE:**

*(Architect, Engineer or other party:)*

**ADDITIONS AND DELETIONS:**

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This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

§ 1 The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors and assigns to the Owner to pay for labor, materials and equipment furnished for use in the performance of the Construction Contract, which is incorporated herein by reference, subject to the following terms.

§ 2 If the Contractor promptly makes payment of all sums due to Claimants, and defends, indemnifies and holds harmless the Owner from claims, demands, liens or suits by any person or entity seeking payment for labor, materials or equipment furnished for use in the performance of the Construction Contract, then the Surety and the Contractor shall have no obligation under this Bond.

§ 3 If there is no Owner Default under the Construction Contract, the Surety's obligation to the Owner under this Bond shall arise after the Owner has promptly notified the Contractor and the Surety (at the address described in Section 13) of claims, demands, liens or suits against the Owner or the Owner's property by any person or entity seeking payment for labor, materials or equipment furnished for use in the performance of the Construction Contract and tendered defense of such claims, demands, liens or suits to the Contractor and the Surety.

§ 4 When the Owner has satisfied the conditions in Section 3, the Surety shall promptly and at the Surety's expense defend, indemnify and hold harmless the Owner against a duly tendered claim, demand, lien or suit.

§ 5 The Surety's obligations to a Claimant under this Bond shall arise after the following:

§ 5.1 Claimants, who do not have a direct contract with the Contractor,

- .1 have furnished a written notice of non-payment to the Contractor, stating with substantial accuracy the amount claimed and the name of the party to whom the materials were, or equipment was, furnished or supplied or for whom the labor was done or performed, within ninety (90) days after having last performed labor or last furnished materials or equipment included in the Claim; and
- .2 have sent a Claim to the Surety (at the address described in Section 13).

§ 5.2 Claimants, who are employed by or have a direct contract with the Contractor, have sent a Claim to the Surety (at the address described in Section 13).

§ 6 If a notice of non-payment required by Section 5.1.1 is given by the Owner to the Contractor, that is sufficient to satisfy a Claimant's obligation to furnish a written notice of non-payment under Section 5.1.1.

§ 7 When a Claimant has satisfied the conditions of Sections 5.1 or 5.2, whichever is applicable, the Surety shall promptly and at the Surety's expense take the following actions:

§ 7.1 Send an answer to the Claimant, with a copy to the Owner, within sixty (60) days after receipt of the Claim, stating the amounts that are undisputed and the basis for challenging any amounts that are disputed; and

§ 7.2 Pay or arrange for payment of any undisputed amounts.

§ 7.3 The Surety's failure to discharge its obligations under Section 7.1 or Section 7.2 shall not be deemed to constitute a waiver of defenses the Surety or Contractor may have or acquire as to a Claim, except as to undisputed amounts for which the Surety and Claimant have reached agreement. If, however, the Surety fails to discharge its obligations under Section 7.1 or Section 7.2, the Surety shall indemnify the Claimant for the reasonable attorney's fees the Claimant incurs thereafter to recover any sums found to be due and owing to the Claimant.

§ 8 The Surety's total obligation shall not exceed the amount of this Bond, plus the amount of reasonable attorney's fees provided under Section 7.3, and the amount of this Bond shall be credited for any payments made in good faith by the Surety.

§ 9 Amounts owed by the Owner to the Contractor under the Construction Contract shall be used for the performance of the Construction Contract and to satisfy claims, if any, under any construction performance bond. By the Contractor furnishing and the Owner accepting this Bond, they agree that all funds earned by the Contractor in the performance of the Construction Contract are dedicated to satisfy obligations of the Contractor and Surety under this Bond, subject to the Owner's priority to use the funds for the completion of the work.

§ 10 The Surety shall not be liable to the Owner, Claimants or others for obligations of the Contractor that are unrelated to the Construction Contract. The Owner shall not be liable for the payment of any costs or expenses of any Claimant under this Bond, and shall have under this Bond no obligation to make payments to, or give notice on behalf of, Claimants or otherwise have any obligations to Claimants under this Bond.

§ 11 The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders and other obligations.

§ 12 No suit or action shall be commenced by a Claimant under this Bond other than in a court of competent jurisdiction in the state in which the project that is the subject of the Construction Contract is located or after the expiration of one year from the date (1) on which the Claimant sent a Claim to the Surety pursuant to Section 5.1.2 or 5.2, or (2) on which the last labor or service was performed by anyone or the last materials or equipment were furnished by anyone under the Construction Contract, whichever of (1) or (2) first occurs. If the provisions of this Paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.

§ 13 Notice and Claims to the Surety, the Owner or the Contractor shall be mailed or delivered to the address shown on the page on which their signature appears. Actual receipt of notice or Claims, however accomplished, shall be sufficient compliance as of the date received.

§ 14 When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

§ 15 Upon request by any person or entity appearing to be a potential beneficiary of this Bond, the Contractor and Owner shall promptly furnish a copy of this Bond or shall permit a copy to be made.

#### § 16 Definitions

§ 16.1 Claim. A written statement by the Claimant including at a minimum:

- .1 the name of the Claimant;
- .2 the name of the person for whom the labor was done, or materials or equipment furnished;
- .3 a copy of the agreement or purchase order pursuant to which labor, materials or equipment was furnished for use in the performance of the Construction Contract;
- .4 a brief description of the labor, materials or equipment furnished;
- .5 the date on which the Claimant last performed labor or last furnished materials or equipment for use in the performance of the Construction Contract;
- .6 the total amount earned by the Claimant for labor, materials or equipment furnished as of the date of the Claim;
- .7 the total amount of previous payments received by the Claimant; and
- .8 the total amount due and unpaid to the Claimant for labor, materials or equipment furnished as of the date of the Claim.

§ 16.2 Claimant. An individual or entity having a direct contract with the Contractor or with a subcontractor of the Contractor to furnish labor, materials or equipment for use in the performance of the Construction Contract. The term Claimant also includes any individual or entity that has rightfully asserted a claim under an applicable mechanic's lien or similar statute against the real property upon which the Project is located. The intent of this Bond shall be to include without limitation in the terms "labor, materials or equipment" that part of water, gas, power, light, heat, oil, gasoline, telephone service or rental equipment used in the Construction Contract, architectural and engineering services required for performance of the work of the Contractor and the Contractor's subcontractors, and all other items for which a mechanic's lien may be asserted in the jurisdiction where the labor, materials or equipment were furnished.

§ 16.3 Construction Contract. The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and all changes made to the agreement and the Contract Documents.

§ 16.4 **Owner Default.** Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.

§ 16.5 **Contract Documents.** All the documents that comprise the agreement between the Owner and Contractor.

§ 17 If this Bond is issued for an agreement between a Contractor and subcontractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

§ 18 Modifications to this bond are as follows:

*(Space is provided below for additional signatures of added parties, other than those appearing on the cover page.)*

**CONTRACTOR AS PRINCIPAL**

**SURETY**

Company: \_\_\_\_\_ (Corporate Seal)

Company: \_\_\_\_\_ (Corporate Seal)

Signature: \_\_\_\_\_

Signature: \_\_\_\_\_

Name and Title: \_\_\_\_\_

Name and Title: \_\_\_\_\_

Address: \_\_\_\_\_

Address: \_\_\_\_\_

Init.

/

# AIA<sup>®</sup> Document A201<sup>™</sup> – 2017

## General Conditions of the Contract for Construction

for the following PROJECT:

*(Name and location or address)*

THE OWNER:

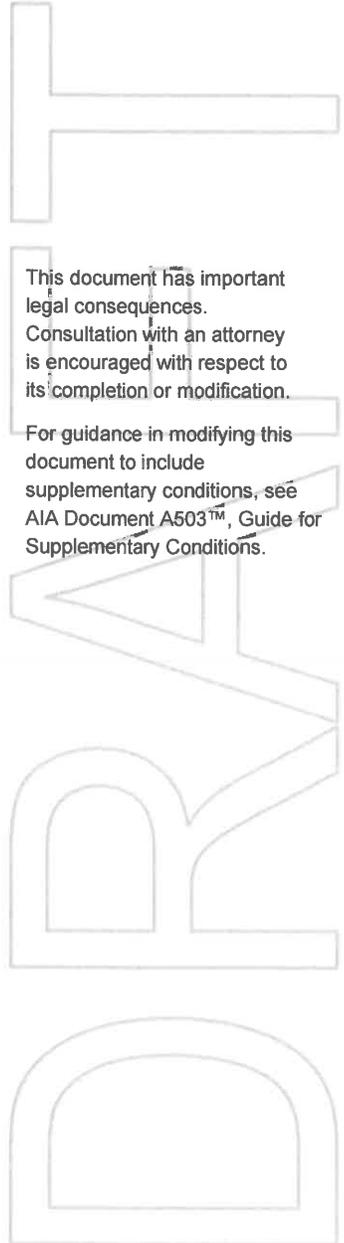
*(Name, legal status and address)*

THE ARCHITECT:

*(Name, legal status and address)*

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This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

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## **ARTICLE 1 GENERAL PROVISIONS**

### **§ 1.1 Basic Definitions**

#### **§ 1.1.1 The Contract Documents**

The Contract Documents are enumerated in the Agreement between the Owner and Contractor (hereinafter the Agreement) and consist of the Agreement, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, Addenda issued prior to execution of the Contract, other documents listed in the Agreement, and Modifications issued after execution of the Contract. A Modification is (1) a written amendment to the Contract signed by both parties, (2) a Change Order, (3) a Construction Change Directive, or (4) a written order for a minor change in the Work issued by the Architect. Unless specifically enumerated in the Agreement, the Contract Documents do not include the advertisement or invitation to bid, Instructions to Bidders, sample forms, other information furnished by the Owner in anticipation of receiving bids or proposals, the Contractor's bid or proposal, or portions of Addenda relating to bidding or proposal requirements.

#### **§ 1.1.2 The Contract**

The Contract Documents form the Contract for Construction. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. The Contract may be amended or modified only by a Modification. The Contract Documents shall not be construed to create a contractual relationship of any kind (1) between the Contractor and the Architect or the Architect's consultants, (2) between the Owner and a Subcontractor or a Sub-subcontractor, (3) between the Owner and the Architect or the Architect's consultants, or (4) between any persons or entities other than the Owner and the Contractor. The Architect shall, however, be entitled to performance and enforcement of obligations under the Contract intended to facilitate performance of the Architect's duties.

#### **§ 1.1.3 The Work**

The term "Work" means the construction and services required by the Contract Documents, whether completed or partially completed, and includes all other labor, materials, equipment, and services provided or to be provided by the Contractor to fulfill the Contractor's obligations. The Work may constitute the whole or a part of the Project.

#### **§ 1.1.4 The Project**

The Project is the total construction of which the Work performed under the Contract Documents may be the whole or a part and which may include construction by the Owner and by Separate Contractors.

#### **§ 1.1.5 The Drawings**

The Drawings are the graphic and pictorial portions of the Contract Documents showing the design, location and dimensions of the Work, generally including plans, elevations, sections, details, schedules, and diagrams.

#### **§ 1.1.6 The Specifications**

The Specifications are that portion of the Contract Documents consisting of the written requirements for materials, equipment, systems, standards and workmanship for the Work, and performance of related services.

#### **§ 1.1.7 Instruments of Service**

Instruments of Service are representations, in any medium of expression now known or later developed, of the tangible and intangible creative work performed by the Architect and the Architect's consultants under their respective professional services agreements. Instruments of Service may include, without limitation, studies, surveys, models, sketches, drawings, specifications, and other similar materials.

#### **§ 1.1.8 Initial Decision Maker**

The Initial Decision Maker is the person identified in the Agreement to render initial decisions on Claims in accordance with Section 15.2. The Initial Decision Maker shall not show partiality to the Owner or Contractor and shall not be liable for results of interpretations or decisions rendered in good faith.

### **§ 1.2 Correlation and Intent of the Contract Documents**

**§ 1.2.1** The intent of the Contract Documents is to include all items necessary for the proper execution and completion of the Work by the Contractor. The Contract Documents are complementary, and what is required by one shall be as binding as if required by all; performance by the Contractor shall be required only to the extent consistent with the Contract Documents and reasonably inferable from them as being necessary to produce the indicated results.

**§ 1.2.1.1** The invalidity of any provision of the Contract Documents shall not invalidate the Contract or its remaining provisions. If it is determined that any provision of the Contract Documents violates any law, or is otherwise invalid or unenforceable, then that provision shall be revised to the extent necessary to make that provision legal and enforceable. In such case the Contract Documents shall be construed, to the fullest extent permitted by law, to give effect to the parties' intentions and purposes in executing the Contract.

**§ 1.2.2** Organization of the Specifications into divisions, sections and articles, and arrangement of Drawings shall not control the Contractor in dividing the Work among Subcontractors or in establishing the extent of Work to be performed by any trade.

**§ 1.2.3** Unless otherwise stated in the Contract Documents, words that have well-known technical or construction industry meanings are used in the Contract Documents in accordance with such recognized meanings.

### **§ 1.3 Capitalization**

Terms capitalized in these General Conditions include those that are (1) specifically defined, (2) the titles of numbered articles, or (3) the titles of other documents published by the American Institute of Architects.

### **§ 1.4 Interpretation**

In the interest of brevity the Contract Documents frequently omit modifying words such as "all" and "any" and articles such as "the" and "an," but the fact that a modifier or an article is absent from one statement and appears in another is not intended to affect the interpretation of either statement.

### **§ 1.5 Ownership and Use of Drawings, Specifications, and Other Instruments of Service**

**§ 1.5.1** The Architect and the Architect's consultants shall be deemed the authors and owners of their respective Instruments of Service, including the Drawings and Specifications, and retain all common law, statutory, and other reserved rights in their Instruments of Service, including copyrights. The Contractor, Subcontractors, Sub-subcontractors, and suppliers shall not own or claim a copyright in the Instruments of Service. Submittal or distribution to meet official regulatory requirements or for other purposes in connection with the Project is not to be construed as publication in derogation of the Architect's or Architect's consultants' reserved rights.

**§ 1.5.2** The Contractor, Subcontractors, Sub-subcontractors, and suppliers are authorized to use and reproduce the Instruments of Service provided to them, subject to any protocols established pursuant to Sections 1.7 and 1.8, solely and exclusively for execution of the Work. All copies made under this authorization shall bear the copyright notice, if any, shown on the Instruments of Service. The Contractor, Subcontractors, Sub-subcontractors, and suppliers may not use the Instruments of Service on other projects or for additions to the Project outside the scope of the Work without the specific written consent of the Owner, Architect, and the Architect's consultants.

### **§ 1.6 Notice**

**§ 1.6.1** Except as otherwise provided in Section 1.6.2, where the Contract Documents require one party to notify or give notice to the other party, such notice shall be provided in writing to the designated representative of the party to whom the notice is addressed and shall be deemed to have been duly served if delivered in person, by mail, by courier, or by electronic transmission if a method for electronic transmission is set forth in the Agreement.

**§ 1.6.2** Notice of Claims as provided in Section 15.1.3 shall be provided in writing and shall be deemed to have been duly served only if delivered to the designated representative of the party to whom the notice is addressed by certified or registered mail, or by courier providing proof of delivery.

### **§ 1.7 Digital Data Use and Transmission**

The parties shall agree upon protocols governing the transmission and use of Instruments of Service or any other information or documentation in digital form. The parties will use AIA Document E203™-2013, Building Information Modeling and Digital Data Exhibit, to establish the protocols for the development, use, transmission, and exchange of digital data.

### **§ 1.8 Building Information Models Use and Reliance**

Any use of, or reliance on, all or a portion of a building information model without agreement to protocols governing the use of, and reliance on, the information contained in the model and without having those protocols set

forth in AIA Document E203™–2013, Building Information Modeling and Digital Data Exhibit, and the requisite AIA Document G202™–2013, Project Building Information Modeling Protocol Form, shall be at the using or relying party's sole risk and without liability to the other party and its contractors or consultants, the authors of, or contributors to, the building information model, and each of their agents and employees.

## **ARTICLE 2 OWNER**

### **§ 2.1 General**

**§ 2.1.1** The Owner is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Owner shall designate in writing a representative who shall have express authority to bind the Owner with respect to all matters requiring the Owner's approval or authorization. Except as otherwise provided in Section 4.2.1, the Architect does not have such authority. The term "Owner" means the Owner or the Owner's authorized representative.

**§ 2.1.2** The Owner shall furnish to the Contractor, within fifteen days after receipt of a written request, information necessary and relevant for the Contractor to evaluate, give notice of, or enforce mechanic's lien rights. Such information shall include a correct statement of the record legal title to the property on which the Project is located, usually referred to as the site, and the Owner's interest therein.

### **§ 2.2 Evidence of the Owner's Financial Arrangements**

**§ 2.2.1** Prior to commencement of the Work and upon written request by the Contractor, the Owner shall furnish to the Contractor reasonable evidence that the Owner has made financial arrangements to fulfill the Owner's obligations under the Contract. The Contractor shall have no obligation to commence the Work until the Owner provides such evidence. If commencement of the Work is delayed under this Section 2.2.1, the Contract Time shall be extended appropriately.

**§ 2.2.2** Following commencement of the Work and upon written request by the Contractor, the Owner shall furnish to the Contractor reasonable evidence that the Owner has made financial arrangements to fulfill the Owner's obligations under the Contract only if (1) the Owner fails to make payments to the Contractor as the Contract Documents require; (2) the Contractor identifies in writing a reasonable concern regarding the Owner's ability to make payment when due; or (3) a change in the Work materially changes the Contract Sum. If the Owner fails to provide such evidence, as required, within fourteen days of the Contractor's request, the Contractor may immediately stop the Work and, in that event, shall notify the Owner that the Work has stopped. However, if the request is made because a change in the Work materially changes the Contract Sum under (3) above, the Contractor may immediately stop only that portion of the Work affected by the change until reasonable evidence is provided. If the Work is stopped under this Section 2.2.2, the Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable costs of shutdown, delay and start-up, plus interest as provided in the Contract Documents.

**§ 2.2.3** After the Owner furnishes evidence of financial arrangements under this Section 2.2, the Owner shall not materially vary such financial arrangements without prior notice to the Contractor.

**§ 2.2.4** Where the Owner has designated information furnished under this Section 2.2 as "confidential," the Contractor shall keep the information confidential and shall not disclose it to any other person. However, the Contractor may disclose "confidential" information, after seven (7) days' notice to the Owner, where disclosure is required by law, including a subpoena or other form of compulsory legal process issued by a court or governmental entity, or by court or arbitrator(s) order. The Contractor may also disclose "confidential" information to its employees, consultants, sureties, Subcontractors and their employees, Sub-subcontractors, and others who need to know the content of such information solely and exclusively for the Project and who agree to maintain the confidentiality of such information.

### **§ 2.3 Information and Services Required of the Owner**

**§ 2.3.1** Except for permits and fees that are the responsibility of the Contractor under the Contract Documents, including those required under Section 3.7.1, the Owner shall secure and pay for necessary approvals, easements, assessments and charges required for construction, use or occupancy of permanent structures or for permanent changes in existing facilities.

**§ 2.3.2** The Owner shall retain an architect lawfully licensed to practice architecture, or an entity lawfully practicing architecture, in the jurisdiction where the Project is located. That person or entity is identified as the Architect in the Agreement and is referred to throughout the Contract Documents as if singular in number.

**§ 2.3.3** If the employment of the Architect terminates, the Owner shall employ a successor to whom the Contractor has no reasonable objection and whose status under the Contract Documents shall be that of the Architect.

**§ 2.3.4** The Owner shall furnish surveys describing physical characteristics, legal limitations and utility locations for the site of the Project, and a legal description of the site. The Contractor shall be entitled to rely on the accuracy of information furnished by the Owner but shall exercise proper precautions relating to the safe performance of the Work.

**§ 2.3.5** The Owner shall furnish information or services required of the Owner by the Contract Documents with reasonable promptness. The Owner shall also furnish any other information or services under the Owner's control and relevant to the Contractor's performance of the Work with reasonable promptness after receiving the Contractor's written request for such information or services.

**§ 2.3.6** Unless otherwise provided in the Contract Documents, the Owner shall furnish to the Contractor one copy of the Contract Documents for purposes of making reproductions pursuant to Section 1.5.2.

#### **§ 2.4 Owner's Right to Stop the Work**

If the Contractor fails to correct Work that is not in accordance with the requirements of the Contract Documents as required by Section 12.2 or repeatedly fails to carry out Work in accordance with the Contract Documents, the Owner may issue a written order to the Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, the right of the Owner to stop the Work shall not give rise to a duty on the part of the Owner to exercise this right for the benefit of the Contractor or any other person or entity, except to the extent required by Section 6.1.3.

#### **§ 2.5 Owner's Right to Carry Out the Work**

If the Contractor defaults or neglects to carry out the Work in accordance with the Contract Documents and fails within a ten-day period after receipt of notice from the Owner to commence and continue correction of such default or neglect with diligence and promptness, the Owner may, without prejudice to other remedies the Owner may have, correct such default or neglect. Such action by the Owner and amounts charged to the Contractor are both subject to prior approval of the Architect and the Architect may, pursuant to Section 9.5.1, withhold or nullify a Certificate for Payment in whole or in part, to the extent reasonably necessary to reimburse the Owner for the reasonable cost of correcting such deficiencies, including Owner's expenses and compensation for the Architect's additional services made necessary by such default, neglect, or failure. If current and future payments are not sufficient to cover such amounts, the Contractor shall pay the difference to the Owner. If the Contractor disagrees with the actions of the Owner or the Architect, or the amounts claimed as costs to the Owner, the Contractor may file a Claim pursuant to Article 15.

### **ARTICLE 3 CONTRACTOR**

#### **§ 3.1 General**

**§ 3.1.1** The Contractor is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Contractor shall be lawfully licensed, if required in the jurisdiction where the Project is located. The Contractor shall designate in writing a representative who shall have express authority to bind the Contractor with respect to all matters under this Contract. The term "Contractor" means the Contractor or the Contractor's authorized representative.

**§ 3.1.2** The Contractor shall perform the Work in accordance with the Contract Documents.

**§ 3.1.3** The Contractor shall not be relieved of its obligations to perform the Work in accordance with the Contract Documents either by activities or duties of the Architect in the Architect's administration of the Contract, or by tests, inspections or approvals required or performed by persons or entities other than the Contractor.

### **§ 3.2 Review of Contract Documents and Field Conditions by Contractor**

**§ 3.2.1** Execution of the Contract by the Contractor is a representation that the Contractor has visited the site, become generally familiar with local conditions under which the Work is to be performed, and correlated personal observations with requirements of the Contract Documents.

**§ 3.2.2** Because the Contract Documents are complementary, the Contractor shall, before starting each portion of the Work, carefully study and compare the various Contract Documents relative to that portion of the Work, as well as the information furnished by the Owner pursuant to Section 2.3.4, shall take field measurements of any existing conditions related to that portion of the Work, and shall observe any conditions at the site affecting it. These obligations are for the purpose of facilitating coordination and construction by the Contractor and are not for the purpose of discovering errors, omissions, or inconsistencies in the Contract Documents; however, the Contractor shall promptly report to the Architect any errors, inconsistencies or omissions discovered by or made known to the Contractor as a request for information in such form as the Architect may require. It is recognized that the Contractor's review is made in the Contractor's capacity as a contractor and not as a licensed design professional, unless otherwise specifically provided in the Contract Documents.

**§ 3.2.3** The Contractor is not required to ascertain that the Contract Documents are in accordance with applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, but the Contractor shall promptly report to the Architect any nonconformity discovered by or made known to the Contractor as a request for information in such form as the Architect may require.

**§ 3.2.4** If the Contractor believes that additional cost or time is involved because of clarifications or instructions the Architect issues in response to the Contractor's notices or requests for information pursuant to Sections 3.2.2 or 3.2.3, the Contractor shall submit Claims as provided in Article 15. If the Contractor fails to perform the obligations of Sections 3.2.2 or 3.2.3, the Contractor shall pay such costs and damages to the Owner, subject to Section 15.1.7, as would have been avoided if the Contractor had performed such obligations. If the Contractor performs those obligations, the Contractor shall not be liable to the Owner or Architect for damages resulting from errors, inconsistencies or omissions in the Contract Documents, for differences between field measurements or conditions and the Contract Documents, or for nonconformities of the Contract Documents to applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities.

### **§ 3.3 Supervision and Construction Procedures**

**§ 3.3.1** The Contractor shall supervise and direct the Work, using the Contractor's best skill and attention. The Contractor shall be solely responsible for, and have control over, construction means, methods, techniques, sequences, and procedures, and for coordinating all portions of the Work under the Contract. If the Contract Documents give specific instructions concerning construction means, methods, techniques, sequences, or procedures, the Contractor shall evaluate the jobsite safety thereof and shall be solely responsible for the jobsite safety of such means, methods, techniques, sequences, or procedures. If the Contractor determines that such means, methods, techniques, sequences or procedures may not be safe, the Contractor shall give timely notice to the Owner and Architect, and shall propose alternative means, methods, techniques, sequences, or procedures. The Architect shall evaluate the proposed alternative solely for conformance with the design intent for the completed construction. Unless the Architect objects to the Contractor's proposed alternative, the Contractor shall perform the Work using its alternative means, methods, techniques, sequences, or procedures.

**§ 3.3.2** The Contractor shall be responsible to the Owner for acts and omissions of the Contractor's employees, Subcontractors and their agents and employees, and other persons or entities performing portions of the Work for, or on behalf of, the Contractor or any of its Subcontractors.

**§ 3.3.3** The Contractor shall be responsible for inspection of portions of Work already performed to determine that such portions are in proper condition to receive subsequent Work.

### **§ 3.4 Labor and Materials**

**§ 3.4.1** Unless otherwise provided in the Contract Documents, the Contractor shall provide and pay for labor, materials, equipment, tools, construction equipment and machinery, water, heat, utilities, transportation, and other facilities and services necessary for proper execution and completion of the Work, whether temporary or permanent and whether or not incorporated or to be incorporated in the Work.

**§ 3.4.2** Except in the case of minor changes in the Work approved by the Architect in accordance with Section 3.12.8 or ordered by the Architect in accordance with Section 7.4, the Contractor may make substitutions only with the consent of the Owner, after evaluation by the Architect and in accordance with a Change Order or Construction Change Directive.

**§ 3.4.3** The Contractor shall enforce strict discipline and good order among the Contractor's employees and other persons carrying out the Work. The Contractor shall not permit employment of unfit persons or persons not properly skilled in tasks assigned to them.

### **§ 3.5 Warranty**

**§ 3.5.1** The Contractor warrants to the Owner and Architect that materials and equipment furnished under the Contract will be of good quality and new unless the Contract Documents require or permit otherwise. The Contractor further warrants that the Work will conform to the requirements of the Contract Documents and will be free from defects, except for those inherent in the quality of the Work the Contract Documents require or permit. Work, materials, or equipment not conforming to these requirements may be considered defective. The Contractor's warranty excludes remedy for damage or defect caused by abuse, alterations to the Work not executed by the Contractor, improper or insufficient maintenance, improper operation, or normal wear and tear and normal usage. If required by the Architect, the Contractor shall furnish satisfactory evidence as to the kind and quality of materials and equipment.

**§ 3.5.2** All material, equipment, or other special warranties required by the Contract Documents shall be issued in the name of the Owner, or shall be transferable to the Owner, and shall commence in accordance with Section 9.8.4.

### **§ 3.6 Taxes**

The Contractor shall pay sales, consumer, use and similar taxes for the Work provided by the Contractor that are legally enacted when bids are received or negotiations concluded, whether or not yet effective or merely scheduled to go into effect.

### **§ 3.7 Permits, Fees, Notices and Compliance with Laws**

**§ 3.7.1** Unless otherwise provided in the Contract Documents, the Contractor shall secure and pay for the building permit as well as for other permits, fees, licenses, and inspections by government agencies necessary for proper execution and completion of the Work that are customarily secured after execution of the Contract and legally required at the time bids are received or negotiations concluded.

**§ 3.7.2** The Contractor shall comply with and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities applicable to performance of the Work.

**§ 3.7.3** If the Contractor performs Work knowing it to be contrary to applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, the Contractor shall assume appropriate responsibility for such Work and shall bear the costs attributable to correction.

### **§ 3.7.4 Concealed or Unknown Conditions**

If the Contractor encounters conditions at the site that are (1) subsurface or otherwise concealed physical conditions that differ materially from those indicated in the Contract Documents or (2) unknown physical conditions of an unusual nature that differ materially from those ordinarily found to exist and generally recognized as inherent in construction activities of the character provided for in the Contract Documents, the Contractor shall promptly provide notice to the Owner and the Architect before conditions are disturbed and in no event later than 14 days after first observance of the conditions. The Architect will promptly investigate such conditions and, if the Architect determines that they differ materially and cause an increase or decrease in the Contractor's cost of, or time required for, performance of any part of the Work, will recommend that an equitable adjustment be made in the Contract Sum or Contract Time, or both. If the Architect determines that the conditions at the site are not materially different from those indicated in the Contract Documents and that no change in the terms of the Contract is justified, the Architect shall promptly notify the Owner and Contractor, stating the reasons. If either party disputes the Architect's determination or recommendation, that party may submit a Claim as provided in Article 15.

**§ 3.7.5** If, in the course of the Work, the Contractor encounters human remains or recognizes the existence of burial markers, archaeological sites or wetlands not indicated in the Contract Documents, the Contractor shall immediately

suspend any operations that would affect them and shall notify the Owner and Architect. Upon receipt of such notice, the Owner shall promptly take any action necessary to obtain governmental authorization required to resume the operations. The Contractor shall continue to suspend such operations until otherwise instructed by the Owner but shall continue with all other operations that do not affect those remains or features. Requests for adjustments in the Contract Sum and Contract Time arising from the existence of such remains or features may be made as provided in Article 15.

### § 3.8 Allowances

§ 3.8.1 The Contractor shall include in the Contract Sum all allowances stated in the Contract Documents. Items covered by allowances shall be supplied for such amounts and by such persons or entities as the Owner may direct, but the Contractor shall not be required to employ persons or entities to whom the Contractor has reasonable objection.

§ 3.8.2 Unless otherwise provided in the Contract Documents,

- .1 allowances shall cover the cost to the Contractor of materials and equipment delivered at the site and all required taxes, less applicable trade discounts;
- .2 Contractor's costs for unloading and handling at the site, labor, installation costs, overhead, profit, and other expenses contemplated for stated allowance amounts shall be included in the Contract Sum but not in the allowances; and
- .3 whenever costs are more than or less than allowances, the Contract Sum shall be adjusted accordingly by Change Order. The amount of the Change Order shall reflect (1) the difference between actual costs and the allowances under Section 3.8.2.1 and (2) changes in Contractor's costs under Section 3.8.2.2.

§ 3.8.3 Materials and equipment under an allowance shall be selected by the Owner with reasonable promptness.

### § 3.9 Superintendent

§ 3.9.1 The Contractor shall employ a competent superintendent and necessary assistants who shall be in attendance at the Project site during performance of the Work. The superintendent shall represent the Contractor, and communications given to the superintendent shall be as binding as if given to the Contractor.

§ 3.9.2 The Contractor, as soon as practicable after award of the Contract, shall notify the Owner and Architect of the name and qualifications of a proposed superintendent. Within 14 days of receipt of the information, the Architect may notify the Contractor, stating whether the Owner or the Architect (1) has reasonable objection to the proposed superintendent or (2) requires additional time for review. Failure of the Architect to provide notice within the 14-day period shall constitute notice of no reasonable objection.

§ 3.9.3 The Contractor shall not employ a proposed superintendent to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not change the superintendent without the Owner's consent, which shall not unreasonably be withheld or delayed.

### § 3.10 Contractor's Construction and Submittal Schedules

§ 3.10.1 The Contractor, promptly after being awarded the Contract, shall submit for the Owner's and Architect's information a Contractor's construction schedule for the Work. The schedule shall contain detail appropriate for the Project, including (1) the date of commencement of the Work, interim schedule milestone dates, and the date of Substantial Completion; (2) an apportionment of the Work by construction activity; and (3) the time required for completion of each portion of the Work. The schedule shall provide for the orderly progression of the Work to completion and shall not exceed time limits current under the Contract Documents. The schedule shall be revised at appropriate intervals as required by the conditions of the Work and Project.

§ 3.10.2 The Contractor, promptly after being awarded the Contract and thereafter as necessary to maintain a current submittal schedule, shall submit a submittal schedule for the Architect's approval. The Architect's approval shall not be unreasonably delayed or withheld. The submittal schedule shall (1) be coordinated with the Contractor's construction schedule, and (2) allow the Architect reasonable time to review submittals. If the Contractor fails to submit a submittal schedule, or fails to provide submittals in accordance with the approved submittal schedule, the Contractor shall not be entitled to any increase in Contract Sum or extension of Contract Time based on the time required for review of submittals.

**§ 3.10.3** The Contractor shall perform the Work in general accordance with the most recent schedules submitted to the Owner and Architect.

**§ 3.11 Documents and Samples at the Site**

The Contractor shall make available, at the Project site, the Contract Documents, including Change Orders, Construction Change Directives, and other Modifications, in good order and marked currently to indicate field changes and selections made during construction, and the approved Shop Drawings, Product Data, Samples, and similar required submittals. These shall be in electronic form or paper copy, available to the Architect and Owner, and delivered to the Architect for submittal to the Owner upon completion of the Work as a record of the Work as constructed.

**§ 3.12 Shop Drawings, Product Data and Samples**

**§ 3.12.1** Shop Drawings are drawings, diagrams, schedules, and other data specially prepared for the Work by the Contractor or a Subcontractor, Sub-subcontractor, manufacturer, supplier, or distributor to illustrate some portion of the Work.

**§ 3.12.2** Product Data are illustrations, standard schedules, performance charts, instructions, brochures, diagrams, and other information furnished by the Contractor to illustrate materials or equipment for some portion of the Work.

**§ 3.12.3** Samples are physical examples that illustrate materials, equipment, or workmanship, and establish standards by which the Work will be judged.

**§ 3.12.4** Shop Drawings, Product Data, Samples, and similar submittals are not Contract Documents. Their purpose is to demonstrate how the Contractor proposes to conform to the information given and the design concept expressed in the Contract Documents for those portions of the Work for which the Contract Documents require submittals. Review by the Architect is subject to the limitations of Section 4.2.7. Informational submittals upon which the Architect is not expected to take responsive action may be so identified in the Contract Documents. Submittals that are not required by the Contract Documents may be returned by the Architect without action.

**§ 3.12.5** The Contractor shall review for compliance with the Contract Documents, approve, and submit to the Architect, Shop Drawings, Product Data, Samples, and similar submittals required by the Contract Documents, in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness and in such sequence as to cause no delay in the Work or in the activities of the Owner or of Separate Contractors.

**§ 3.12.6** By submitting Shop Drawings, Product Data, Samples, and similar submittals, the Contractor represents to the Owner and Architect that the Contractor has (1) reviewed and approved them, (2) determined and verified materials, field measurements and field construction criteria related thereto, or will do so, and (3) checked and coordinated the information contained within such submittals with the requirements of the Work and of the Contract Documents.

**§ 3.12.7** The Contractor shall perform no portion of the Work for which the Contract Documents require submittal and review of Shop Drawings, Product Data, Samples, or similar submittals, until the respective submittal has been approved by the Architect.

**§ 3.12.8** The Work shall be in accordance with approved submittals except that the Contractor shall not be relieved of responsibility for deviations from the requirements of the Contract Documents by the Architect's approval of Shop Drawings, Product Data, Samples, or similar submittals, unless the Contractor has specifically notified the Architect of such deviation at the time of submittal and (1) the Architect has given written approval to the specific deviation as a minor change in the Work, or (2) a Change Order or Construction Change Directive has been issued authorizing the deviation. The Contractor shall not be relieved of responsibility for errors or omissions in Shop Drawings, Product Data, Samples, or similar submittals, by the Architect's approval thereof.

**§ 3.12.9** The Contractor shall direct specific attention, in writing or on resubmitted Shop Drawings, Product Data, Samples, or similar submittals, to revisions other than those requested by the Architect on previous submittals. In the absence of such notice, the Architect's approval of a resubmission shall not apply to such revisions.

**§ 3.12.10** The Contractor shall not be required to provide professional services that constitute the practice of architecture or engineering unless such services are specifically required by the Contract Documents for a portion of the Work or unless the Contractor needs to provide such services in order to carry out the Contractor's responsibilities for construction means, methods, techniques, sequences, and procedures. The Contractor shall not be required to provide professional services in violation of applicable law.

**§ 3.12.10.1** If professional design services or certifications by a design professional related to systems, materials, or equipment are specifically required of the Contractor by the Contract Documents, the Owner and the Architect will specify all performance and design criteria that such services must satisfy. The Contractor shall be entitled to rely upon the adequacy and accuracy of the performance and design criteria provided in the Contract Documents. The Contractor shall cause such services or certifications to be provided by an appropriately licensed design professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, Shop Drawings, and other submittals prepared by such professional. Shop Drawings, and other submittals related to the Work, designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to the Architect. The Owner and the Architect shall be entitled to rely upon the adequacy and accuracy of the services, certifications, and approvals performed or provided by such design professionals, provided the Owner and Architect have specified to the Contractor the performance and design criteria that such services must satisfy. Pursuant to this Section 3.12.10, the Architect will review and approve or take other appropriate action on submittals only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents.

**§ 3.12.10.2** If the Contract Documents require the Contractor's design professional to certify that the Work has been performed in accordance with the design criteria, the Contractor shall furnish such certifications to the Architect at the time and in the form specified by the Architect.

### **§ 3.13 Use of Site**

The Contractor shall confine operations at the site to areas permitted by applicable laws, statutes, ordinances, codes, rules and regulations, lawful orders of public authorities, and the Contract Documents and shall not unreasonably encumber the site with materials or equipment.

### **§ 3.14 Cutting and Patching**

**§ 3.14.1** The Contractor shall be responsible for cutting, fitting, or patching required to complete the Work or to make its parts fit together properly. All areas requiring cutting, fitting, or patching shall be restored to the condition existing prior to the cutting, fitting, or patching, unless otherwise required by the Contract Documents.

**§ 3.14.2** The Contractor shall not damage or endanger a portion of the Work or fully or partially completed construction of the Owner or Separate Contractors by cutting, patching, or otherwise altering such construction, or by excavation. The Contractor shall not cut or otherwise alter construction by the Owner or a Separate Contractor except with written consent of the Owner and of the Separate Contractor. Consent shall not be unreasonably withheld. The Contractor shall not unreasonably withhold, from the Owner or a Separate Contractor, its consent to cutting or otherwise altering the Work.

### **§ 3.15 Cleaning Up**

**§ 3.15.1** The Contractor shall keep the premises and surrounding area free from accumulation of waste materials and rubbish caused by operations under the Contract. At completion of the Work, the Contractor shall remove waste materials, rubbish, the Contractor's tools, construction equipment, machinery, and surplus materials from and about the Project.

**§ 3.15.2** If the Contractor fails to clean up as provided in the Contract Documents, the Owner may do so and the Owner shall be entitled to reimbursement from the Contractor.

### **§ 3.16 Access to Work**

The Contractor shall provide the Owner and Architect with access to the Work in preparation and progress wherever located.

### **§ 3.17 Royalties, Patents and Copyrights**

The Contractor shall pay all royalties and license fees. The Contractor shall defend suits or claims for infringement of copyrights and patent rights and shall hold the Owner and Architect harmless from loss on account thereof, but shall not be responsible for defense or loss when a particular design, process, or product of a particular manufacturer or manufacturers is required by the Contract Documents, or where the copyright violations are contained in Drawings, Specifications, or other documents prepared by the Owner or Architect. However, if an infringement of a copyright or patent is discovered by, or made known to, the Contractor, the Contractor shall be responsible for the loss unless the information is promptly furnished to the Architect.

### **§ 3.18 Indemnification**

**§ 3.18.1** To the fullest extent permitted by law, the Contractor shall indemnify and hold harmless the Owner, Architect, Architect's consultants, and agents and employees of any of them from and against claims, damages, losses, and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work, provided that such claim, damage, loss, or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), but only to the extent caused by the negligent acts or omissions of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable, regardless of whether or not such claim, damage, loss, or expense is caused in part by a party indemnified hereunder. Such obligation shall not be construed to negate, abridge, or reduce other rights or obligations of indemnity that would otherwise exist as to a party or person described in this Section 3.18.

**§ 3.18.2** In claims against any person or entity indemnified under this Section 3.18 by an employee of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable, the indemnification obligation under Section 3.18.1 shall not be limited by a limitation on amount or type of damages, compensation, or benefits payable by or for the Contractor or a Subcontractor under workers' compensation acts, disability benefit acts, or other employee benefit acts.

## **ARTICLE 4 ARCHITECT**

### **§ 4.1 General**

**§ 4.1.1** The Architect is the person or entity retained by the Owner pursuant to Section 2.3.2 and identified as such in the Agreement.

**§ 4.1.2** Duties, responsibilities, and limitations of authority of the Architect as set forth in the Contract Documents shall not be restricted, modified, or extended without written consent of the Owner, Contractor, and Architect. Consent shall not be unreasonably withheld.

### **§ 4.2 Administration of the Contract**

**§ 4.2.1** The Architect will provide administration of the Contract as described in the Contract Documents and will be an Owner's representative during construction until the date the Architect issues the final Certificate for Payment. The Architect will have authority to act on behalf of the Owner only to the extent provided in the Contract Documents.

**§ 4.2.2** The Architect will visit the site at intervals appropriate to the stage of construction, or as otherwise agreed with the Owner, to become generally familiar with the progress and quality of the portion of the Work completed, and to determine in general if the Work observed is being performed in a manner indicating that the Work, when fully completed, will be in accordance with the Contract Documents. However, the Architect will not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work. The Architect will not have control over, charge of, or responsibility for the construction means, methods, techniques, sequences or procedures, or for the safety precautions and programs in connection with the Work, since these are solely the Contractor's rights and responsibilities under the Contract Documents.

**§ 4.2.3** On the basis of the site visits, the Architect will keep the Owner reasonably informed about the progress and quality of the portion of the Work completed, and promptly report to the Owner (1) known deviations from the Contract Documents, (2) known deviations from the most recent construction schedule submitted by the Contractor, and (3) defects and deficiencies observed in the Work. The Architect will not be responsible for the Contractor's failure to perform the Work in accordance with the requirements of the Contract Documents. The Architect will not

have control over or charge of, and will not be responsible for acts or omissions of, the Contractor, Subcontractors, or their agents or employees, or any other persons or entities performing portions of the Work.

#### **§ 4.2.4 Communications**

The Owner and Contractor shall include the Architect in all communications that relate to or affect the Architect's services or professional responsibilities. The Owner shall promptly notify the Architect of the substance of any direct communications between the Owner and the Contractor otherwise relating to the Project. Communications by and with the Architect's consultants shall be through the Architect. Communications by and with Subcontractors and suppliers shall be through the Contractor. Communications by and with Separate Contractors shall be through the Owner. The Contract Documents may specify other communication protocols.

**§ 4.2.5** Based on the Architect's evaluations of the Contractor's Applications for Payment, the Architect will review and certify the amounts due the Contractor and will issue Certificates for Payment in such amounts.

**§ 4.2.6** The Architect has authority to reject Work that does not conform to the Contract Documents. Whenever the Architect considers it necessary or advisable, the Architect will have authority to require inspection or testing of the Work in accordance with Sections 13.4.2 and 13.4.3, whether or not the Work is fabricated, installed or completed. However, neither this authority of the Architect nor a decision made in good faith either to exercise or not to exercise such authority shall give rise to a duty or responsibility of the Architect to the Contractor, Subcontractors, suppliers, their agents or employees, or other persons or entities performing portions of the Work.

**§ 4.2.7** The Architect will review and approve, or take other appropriate action upon, the Contractor's submittals such as Shop Drawings, Product Data, and Samples, but only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Architect's action will be taken in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness while allowing sufficient time in the Architect's professional judgment to permit adequate review. Review of such submittals is not conducted for the purpose of determining the accuracy and completeness of other details such as dimensions and quantities, or for substantiating instructions for installation or performance of equipment or systems, all of which remain the responsibility of the Contractor as required by the Contract Documents. The Architect's review of the Contractor's submittals shall not relieve the Contractor of the obligations under Sections 3.3, 3.5, and 3.12. The Architect's review shall not constitute approval of safety precautions or of any construction means, methods, techniques, sequences, or procedures. The Architect's approval of a specific item shall not indicate approval of an assembly of which the item is a component.

**§ 4.2.8** The Architect will prepare Change Orders and Construction Change Directives, and may order minor changes in the Work as provided in Section 7.4. The Architect will investigate and make determinations and recommendations regarding concealed and unknown conditions as provided in Section 3.7.4.

**§ 4.2.9** The Architect will conduct inspections to determine the date or dates of Substantial Completion and the date of final completion; issue Certificates of Substantial Completion pursuant to Section 9.8; receive and forward to the Owner, for the Owner's review and records, written warranties and related documents required by the Contract and assembled by the Contractor pursuant to Section 9.10; and issue a final Certificate for Payment pursuant to Section 9.10.

**§ 4.2.10** If the Owner and Architect agree, the Architect will provide one or more Project representatives to assist in carrying out the Architect's responsibilities at the site. The Owner shall notify the Contractor of any change in the duties, responsibilities and limitations of authority of the Project representatives.

**§ 4.2.11** The Architect will interpret and decide matters concerning performance under, and requirements of, the Contract Documents on written request of either the Owner or Contractor. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness.

**§ 4.2.12** Interpretations and decisions of the Architect will be consistent with the intent of, and reasonably inferable from, the Contract Documents and will be in writing or in the form of drawings. When making such interpretations and decisions, the Architect will endeavor to secure faithful performance by both Owner and Contractor, will not show partiality to either, and will not be liable for results of interpretations or decisions rendered in good faith.

§ 4.2.13 The Architect's decisions on matters relating to aesthetic effect will be final if consistent with the intent expressed in the Contract Documents.

§ 4.2.14 The Architect will review and respond to requests for information about the Contract Documents. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness. If appropriate, the Architect will prepare and issue supplemental Drawings and Specifications in response to the requests for information.

## ARTICLE 5 SUBCONTRACTORS

### § 5.1 Definitions

§ 5.1.1 A Subcontractor is a person or entity who has a direct contract with the Contractor to perform a portion of the Work at the site. The term "Subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Subcontractor or an authorized representative of the Subcontractor. The term "Subcontractor" does not include a Separate Contractor or the subcontractors of a Separate Contractor.

§ 5.1.2 A Sub-subcontractor is a person or entity who has a direct or indirect contract with a Subcontractor to perform a portion of the Work at the site. The term "Sub-subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Sub-subcontractor or an authorized representative of the Sub-subcontractor.

### § 5.2 Award of Subcontracts and Other Contracts for Portions of the Work

§ 5.2.1 Unless otherwise stated in the Contract Documents, the Contractor, as soon as practicable after award of the Contract, shall notify the Owner and Architect of the persons or entities proposed for each principal portion of the Work, including those who are to furnish materials or equipment fabricated to a special design. Within 14 days of receipt of the information, the Architect may notify the Contractor whether the Owner or the Architect (1) has reasonable objection to any such proposed person or entity or (2) requires additional time for review. Failure of the Architect to provide notice within the 14-day period shall constitute notice of no reasonable objection.

§ 5.2.2 The Contractor shall not contract with a proposed person or entity to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not be required to contract with anyone to whom the Contractor has made reasonable objection.

§ 5.2.3 If the Owner or Architect has reasonable objection to a person or entity proposed by the Contractor, the Contractor shall propose another to whom the Owner or Architect has no reasonable objection. If the proposed but rejected Subcontractor was reasonably capable of performing the Work, the Contract Sum and Contract Time shall be increased or decreased by the difference, if any, occasioned by such change, and an appropriate Change Order shall be issued before commencement of the substitute Subcontractor's Work. However, no increase in the Contract Sum or Contract Time shall be allowed for such change unless the Contractor has acted promptly and responsively in submitting names as required.

§ 5.2.4 The Contractor shall not substitute a Subcontractor, person, or entity for one previously selected if the Owner or Architect makes reasonable objection to such substitution.

### § 5.3 Subcontractual Relations

By appropriate written agreement, the Contractor shall require each Subcontractor, to the extent of the Work to be performed by the Subcontractor, to be bound to the Contractor by terms of the Contract Documents, and to assume toward the Contractor all the obligations and responsibilities, including the responsibility for safety of the Subcontractor's Work that the Contractor, by these Contract Documents, assumes toward the Owner and Architect. Each subcontract agreement shall preserve and protect the rights of the Owner and Architect under the Contract Documents with respect to the Work to be performed by the Subcontractor so that subcontracting thereof will not prejudice such rights, and shall allow to the Subcontractor, unless specifically provided otherwise in the subcontract agreement, the benefit of all rights, remedies, and redress against the Contractor that the Contractor, by the Contract Documents, has against the Owner. Where appropriate, the Contractor shall require each Subcontractor to enter into similar agreements with Sub-subcontractors. The Contractor shall make available to each proposed Subcontractor, prior to the execution of the subcontract agreement, copies of the Contract Documents to which the Subcontractor will be bound, and, upon written request of the Subcontractor, identify to the Subcontractor terms and conditions of the proposed subcontract agreement that may be at variance with the Contract Documents. Subcontractors will

similarly make copies of applicable portions of such documents available to their respective proposed Sub-subcontractors.

#### **§ 5.4 Contingent Assignment of Subcontracts**

**§ 5.4.1** Each subcontract agreement for a portion of the Work is assigned by the Contractor to the Owner, provided that

- .1 assignment is effective only after termination of the Contract by the Owner for cause pursuant to Section 14.2 and only for those subcontract agreements that the Owner accepts by notifying the Subcontractor and Contractor; and
- .2 assignment is subject to the prior rights of the surety, if any, obligated under bond relating to the Contract.

When the Owner accepts the assignment of a subcontract agreement, the Owner assumes the Contractor's rights and obligations under the subcontract.

**§ 5.4.2** Upon such assignment, if the Work has been suspended for more than 30 days, the Subcontractor's compensation shall be equitably adjusted for increases in cost resulting from the suspension.

**§ 5.4.3** Upon assignment to the Owner under this Section 5.4, the Owner may further assign the subcontract to a successor contractor or other entity. If the Owner assigns the subcontract to a successor contractor or other entity, the Owner shall nevertheless remain legally responsible for all of the successor contractor's obligations under the subcontract.

### **ARTICLE 6 CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS**

#### **§ 6.1 Owner's Right to Perform Construction and to Award Separate Contracts**

**§ 6.1.1** The term "Separate Contractor(s)" shall mean other contractors retained by the Owner under separate agreements. The Owner reserves the right to perform construction or operations related to the Project with the Owner's own forces, and with Separate Contractors retained under Conditions of the Contract substantially similar to those of this Contract, including those provisions of the Conditions of the Contract related to insurance and waiver of subrogation.

**§ 6.1.2** When separate contracts are awarded for different portions of the Project or other construction or operations on the site, the term "Contractor" in the Contract Documents in each case shall mean the Contractor who executes each separate Owner-Contractor Agreement.

**§ 6.1.3** The Owner shall provide for coordination of the activities of the Owner's own forces and of each Separate Contractor with the Work of the Contractor, who shall cooperate with them. The Contractor shall participate with any Separate Contractors and the Owner in reviewing their construction schedules. The Contractor shall make any revisions to its construction schedule deemed necessary after a joint review and mutual agreement. The construction schedules shall then constitute the schedules to be used by the Contractor, Separate Contractors, and the Owner until subsequently revised.

**§ 6.1.4** Unless otherwise provided in the Contract Documents, when the Owner performs construction or operations related to the Project with the Owner's own forces or with Separate Contractors, the Owner or its Separate Contractors shall have the same obligations and rights that the Contractor has under the Conditions of the Contract, including, without excluding others, those stated in Article 3, this Article 6, and Articles 10, 11, and 12.

#### **§ 6.2 Mutual Responsibility**

**§ 6.2.1** The Contractor shall afford the Owner and Separate Contractors reasonable opportunity for introduction and storage of their materials and equipment and performance of their activities, and shall connect and coordinate the Contractor's construction and operations with theirs as required by the Contract Documents.

**§ 6.2.2** If part of the Contractor's Work depends for proper execution or results upon construction or operations by the Owner or a Separate Contractor, the Contractor shall, prior to proceeding with that portion of the Work, promptly notify the Architect of apparent discrepancies or defects in the construction or operations by the Owner or Separate Contractor that would render it unsuitable for proper execution and results of the Contractor's Work. Failure of the Contractor to notify the Architect of apparent discrepancies or defects prior to proceeding with the

Work shall constitute an acknowledgment that the Owner's or Separate Contractor's completed or partially completed construction is fit and proper to receive the Contractor's Work. The Contractor shall not be responsible for discrepancies or defects in the construction or operations by the Owner or Separate Contractor that are not apparent.

**§ 6.2.3** The Contractor shall reimburse the Owner for costs the Owner incurs that are payable to a Separate Contractor because of the Contractor's delays, improperly timed activities or defective construction. The Owner shall be responsible to the Contractor for costs the Contractor incurs because of a Separate Contractor's delays, improperly timed activities, damage to the Work or defective construction.

**§ 6.2.4** The Contractor shall promptly remedy damage that the Contractor wrongfully causes to completed or partially completed construction or to property of the Owner or Separate Contractor as provided in Section 10.2.5.

**§ 6.2.5** The Owner and each Separate Contractor shall have the same responsibilities for cutting and patching as are described for the Contractor in Section 3.14.

### **§ 6.3 Owner's Right to Clean Up**

If a dispute arises among the Contractor, Separate Contractors, and the Owner as to the responsibility under their respective contracts for maintaining the premises and surrounding area free from waste materials and rubbish, the Owner may clean up and the Architect will allocate the cost among those responsible.

## **ARTICLE 7 CHANGES IN THE WORK**

### **§ 7.1 General**

**§ 7.1.1** Changes in the Work may be accomplished after execution of the Contract, and without invalidating the Contract, by Change Order, Construction Change Directive or order for a minor change in the Work, subject to the limitations stated in this Article 7 and elsewhere in the Contract Documents.

**§ 7.1.2** A Change Order shall be based upon agreement among the Owner, Contractor, and Architect. A Construction Change Directive requires agreement by the Owner and Architect and may or may not be agreed to by the Contractor. An order for a minor change in the Work may be issued by the Architect alone.

**§ 7.1.3** Changes in the Work shall be performed under applicable provisions of the Contract Documents. The Contractor shall proceed promptly with changes in the Work, unless otherwise provided in the Change Order, Construction Change Directive, or order for a minor change in the Work.

### **§ 7.2 Change Orders**

**§ 7.2.1** A Change Order is a written instrument prepared by the Architect and signed by the Owner, Contractor, and Architect stating their agreement upon all of the following:

- .1 The change in the Work;
- .2 The amount of the adjustment, if any, in the Contract Sum; and
- .3 The extent of the adjustment, if any, in the Contract Time.

### **§ 7.3 Construction Change Directives**

**§ 7.3.1** A Construction Change Directive is a written order prepared by the Architect and signed by the Owner and Architect, directing a change in the Work prior to agreement on adjustment, if any, in the Contract Sum or Contract Time, or both. The Owner may by Construction Change Directive, without invalidating the Contract, order changes in the Work within the general scope of the Contract consisting of additions, deletions, or other revisions, the Contract Sum and Contract Time being adjusted accordingly.

**§ 7.3.2** A Construction Change Directive shall be used in the absence of total agreement on the terms of a Change Order.

**§ 7.3.3** If the Construction Change Directive provides for an adjustment to the Contract Sum, the adjustment shall be based on one of the following methods:

- .1 Mutual acceptance of a lump sum properly itemized and supported by sufficient substantiating data to permit evaluation;
- .2 Unit prices stated in the Contract Documents or subsequently agreed upon;

- .3 Cost to be determined in a manner agreed upon by the parties and a mutually acceptable fixed or percentage fee; or
- .4 As provided in Section 7.3.4.

**§ 7.3.4** If the Contractor does not respond promptly or disagrees with the method for adjustment in the Contract Sum, the Architect shall determine the adjustment on the basis of reasonable expenditures and savings of those performing the Work attributable to the change, including, in case of an increase in the Contract Sum, an amount for overhead and profit as set forth in the Agreement, or if no such amount is set forth in the Agreement, a reasonable amount. In such case, and also under Section 7.3.3.3, the Contractor shall keep and present, in such form as the Architect may prescribe, an itemized accounting together with appropriate supporting data. Unless otherwise provided in the Contract Documents, costs for the purposes of this Section 7.3.4 shall be limited to the following:

- .1 Costs of labor, including applicable payroll taxes, fringe benefits required by agreement or custom, workers' compensation insurance, and other employee costs approved by the Architect;
- .2 Costs of materials, supplies, and equipment, including cost of transportation, whether incorporated or consumed;
- .3 Rental costs of machinery and equipment, exclusive of hand tools, whether rented from the Contractor or others;
- .4 Costs of premiums for all bonds and insurance, permit fees, and sales, use, or similar taxes, directly related to the change; and
- .5 Costs of supervision and field office personnel directly attributable to the change.

**§ 7.3.5** If the Contractor disagrees with the adjustment in the Contract Time, the Contractor may make a Claim in accordance with applicable provisions of Article 15.

**§ 7.3.6** Upon receipt of a Construction Change Directive, the Contractor shall promptly proceed with the change in the Work involved and advise the Architect of the Contractor's agreement or disagreement with the method, if any, provided in the Construction Change Directive for determining the proposed adjustment in the Contract Sum or Contract Time.

**§ 7.3.7** A Construction Change Directive signed by the Contractor indicates the Contractor's agreement therewith, including adjustment in Contract Sum and Contract Time or the method for determining them. Such agreement shall be effective immediately and shall be recorded as a Change Order.

**§ 7.3.8** The amount of credit to be allowed by the Contractor to the Owner for a deletion or change that results in a net decrease in the Contract Sum shall be actual net cost as confirmed by the Architect. When both additions and credits covering related Work or substitutions are involved in a change, the allowance for overhead and profit shall be figured on the basis of net increase, if any, with respect to that change.

**§ 7.3.9** Pending final determination of the total cost of a Construction Change Directive to the Owner, the Contractor may request payment for Work completed under the Construction Change Directive in Applications for Payment. The Architect will make an interim determination for purposes of monthly certification for payment for those costs and certify for payment the amount that the Architect determines, in the Architect's professional judgment, to be reasonably justified. The Architect's interim determination of cost shall adjust the Contract Sum on the same basis as a Change Order, subject to the right of either party to disagree and assert a Claim in accordance with Article 15.

**§ 7.3.10** When the Owner and Contractor agree with a determination made by the Architect concerning the adjustments in the Contract Sum and Contract Time, or otherwise reach agreement upon the adjustments, such agreement shall be effective immediately and the Architect will prepare a Change Order. Change Orders may be issued for all or any part of a Construction Change Directive.

#### **§ 7.4 Minor Changes in the Work**

The Architect may order minor changes in the Work that are consistent with the intent of the Contract Documents and do not involve an adjustment in the Contract Sum or an extension of the Contract Time. The Architect's order for minor changes shall be in writing. If the Contractor believes that the proposed minor change in the Work will affect the Contract Sum or Contract Time, the Contractor shall notify the Architect and shall not proceed to implement the change in the Work. If the Contractor performs the Work set forth in the Architect's order for a minor

change without prior notice to the Architect that such change will affect the Contract Sum or Contract Time, the Contractor waives any adjustment to the Contract Sum or extension of the Contract Time.

## **ARTICLE 8 TIME**

### **§ 8.1 Definitions**

**§ 8.1.1** Unless otherwise provided, Contract Time is the period of time, including authorized adjustments, allotted in the Contract Documents for Substantial Completion of the Work.

**§ 8.1.2** The date of commencement of the Work is the date established in the Agreement.

**§ 8.1.3** The date of Substantial Completion is the date certified by the Architect in accordance with Section 9.8.

**§ 8.1.4** The term “day” as used in the Contract Documents shall mean calendar day unless otherwise specifically defined.

### **§ 8.2 Progress and Completion**

**§ 8.2.1** Time limits stated in the Contract Documents are of the essence of the Contract. By executing the Agreement, the Contractor confirms that the Contract Time is a reasonable period for performing the Work.

**§ 8.2.2** The Contractor shall not knowingly, except by agreement or instruction of the Owner in writing, commence the Work prior to the effective date of insurance required to be furnished by the Contractor and Owner.

**§ 8.2.3** The Contractor shall proceed expeditiously with adequate forces and shall achieve Substantial Completion within the Contract Time.

### **§ 8.3 Delays and Extensions of Time**

**§ 8.3.1** If the Contractor is delayed at any time in the commencement or progress of the Work by (1) an act or neglect of the Owner or Architect, of an employee of either, or of a Separate Contractor; (2) by changes ordered in the Work; (3) by labor disputes, fire, unusual delay in deliveries, unavoidable casualties, adverse weather conditions documented in accordance with Section 15.1.6.2, or other causes beyond the Contractor’s control; (4) by delay authorized by the Owner pending mediation and binding dispute resolution; or (5) by other causes that the Contractor asserts, and the Architect determines, justify delay, then the Contract Time shall be extended for such reasonable time as the Architect may determine.

**§ 8.3.2** Claims relating to time shall be made in accordance with applicable provisions of Article 15.

**§ 8.3.3** This Section 8.3 does not preclude recovery of damages for delay by either party under other provisions of the Contract Documents.

## **ARTICLE 9 PAYMENTS AND COMPLETION**

### **§ 9.1 Contract Sum**

**§ 9.1.1** The Contract Sum is stated in the Agreement and, including authorized adjustments, is the total amount payable by the Owner to the Contractor for performance of the Work under the Contract Documents.

**§ 9.1.2** If unit prices are stated in the Contract Documents or subsequently agreed upon, and if quantities originally contemplated are materially changed so that application of such unit prices to the actual quantities causes substantial inequity to the Owner or Contractor, the applicable unit prices shall be equitably adjusted.

### **§ 9.2 Schedule of Values**

Where the Contract is based on a stipulated sum or Guaranteed Maximum Price, the Contractor shall submit a schedule of values to the Architect before the first Application for Payment, allocating the entire Contract Sum to the various portions of the Work. The schedule of values shall be prepared in the form, and supported by the data to substantiate its accuracy, required by the Architect. This schedule, unless objected to by the Architect, shall be used as a basis for reviewing the Contractor’s Applications for Payment. Any changes to the schedule of values shall be submitted to the Architect and supported by such data to substantiate its accuracy as the Architect may require, and unless objected to by the Architect, shall be used as a basis for reviewing the Contractor’s subsequent Applications for Payment.

### **§ 9.3 Applications for Payment**

**§ 9.3.1** At least ten days before the date established for each progress payment, the Contractor shall submit to the Architect an itemized Application for Payment prepared in accordance with the schedule of values, if required under Section 9.2, for completed portions of the Work. The application shall be notarized, if required, and supported by all data substantiating the Contractor's right to payment that the Owner or Architect require, such as copies of requisitions, and releases and waivers of liens from Subcontractors and suppliers, and shall reflect retainage if provided for in the Contract Documents.

**§ 9.3.1.1** As provided in Section 7.3.9, such applications may include requests for payment on account of changes in the Work that have been properly authorized by Construction Change Directives, or by interim determinations of the Architect, but not yet included in Change Orders.

**§ 9.3.1.2** Applications for Payment shall not include requests for payment for portions of the Work for which the Contractor does not intend to pay a Subcontractor or supplier, unless such Work has been performed by others whom the Contractor intends to pay.

**§ 9.3.2** Unless otherwise provided in the Contract Documents, payments shall be made on account of materials and equipment delivered and suitably stored at the site for subsequent incorporation in the Work. If approved in advance by the Owner, payment may similarly be made for materials and equipment suitably stored off the site at a location agreed upon in writing. Payment for materials and equipment stored on or off the site shall be conditioned upon compliance by the Contractor with procedures satisfactory to the Owner to establish the Owner's title to such materials and equipment or otherwise protect the Owner's interest, and shall include the costs of applicable insurance, storage, and transportation to the site, for such materials and equipment stored off the site.

**§ 9.3.3** The Contractor warrants that title to all Work covered by an Application for Payment will pass to the Owner no later than the time of payment. The Contractor further warrants that upon submittal of an Application for Payment all Work for which Certificates for Payment have been previously issued and payments received from the Owner shall, to the best of the Contractor's knowledge, information, and belief, be free and clear of liens, claims, security interests, or encumbrances, in favor of the Contractor, Subcontractors, suppliers, or other persons or entities that provided labor, materials, and equipment relating to the Work.

### **§ 9.4 Certificates for Payment**

**§ 9.4.1** The Architect will, within seven days after receipt of the Contractor's Application for Payment, either (1) issue to the Owner a Certificate for Payment in the full amount of the Application for Payment, with a copy to the Contractor; or (2) issue to the Owner a Certificate for Payment for such amount as the Architect determines is properly due, and notify the Contractor and Owner of the Architect's reasons for withholding certification in part as provided in Section 9.5.1; or (3) withhold certification of the entire Application for Payment, and notify the Contractor and Owner of the Architect's reason for withholding certification in whole as provided in Section 9.5.1.

**§ 9.4.2** The issuance of a Certificate for Payment will constitute a representation by the Architect to the Owner, based on the Architect's evaluation of the Work and the data in the Application for Payment, that, to the best of the Architect's knowledge, information, and belief, the Work has progressed to the point indicated, the quality of the Work is in accordance with the Contract Documents, and that the Contractor is entitled to payment in the amount certified. The foregoing representations are subject to an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, to results of subsequent tests and inspections, to correction of minor deviations from the Contract Documents prior to completion, and to specific qualifications expressed by the Architect. However, the issuance of a Certificate for Payment will not be a representation that the Architect has (1) made exhaustive or continuous on-site inspections to check the quality or quantity of the Work; (2) reviewed construction means, methods, techniques, sequences, or procedures; (3) reviewed copies of requisitions received from Subcontractors and suppliers and other data requested by the Owner to substantiate the Contractor's right to payment; or (4) made examination to ascertain how or for what purpose the Contractor has used money previously paid on account of the Contract Sum.

### **§ 9.5 Decisions to Withhold Certification**

**§ 9.5.1** The Architect may withhold a Certificate for Payment in whole or in part, to the extent reasonably necessary to protect the Owner, if in the Architect's opinion the representations to the Owner required by Section 9.4.2 cannot

be made. If the Architect is unable to certify payment in the amount of the Application, the Architect will notify the Contractor and Owner as provided in Section 9.4.1. If the Contractor and Architect cannot agree on a revised amount, the Architect will promptly issue a Certificate for Payment for the amount for which the Architect is able to make such representations to the Owner. The Architect may also withhold a Certificate for Payment or, because of subsequently discovered evidence, may nullify the whole or a part of a Certificate for Payment previously issued, to such extent as may be necessary in the Architect's opinion to protect the Owner from loss for which the Contractor is responsible, including loss resulting from acts and omissions described in Section 3.3.2, because of

- .1 defective Work not remedied;
- .2 third party claims filed or reasonable evidence indicating probable filing of such claims, unless security acceptable to the Owner is provided by the Contractor;
- .3 failure of the Contractor to make payments properly to Subcontractors or suppliers for labor, materials or equipment;
- .4 reasonable evidence that the Work cannot be completed for the unpaid balance of the Contract Sum;
- .5 damage to the Owner or a Separate Contractor;
- .6 reasonable evidence that the Work will not be completed within the Contract Time, and that the unpaid balance would not be adequate to cover actual or liquidated damages for the anticipated delay; or
- .7 repeated failure to carry out the Work in accordance with the Contract Documents.

**§ 9.5.2** When either party disputes the Architect's decision regarding a Certificate for Payment under Section 9.5.1, in whole or in part, that party may submit a Claim in accordance with Article 15.

**§ 9.5.3** When the reasons for withholding certification are removed, certification will be made for amounts previously withheld.

**§ 9.5.4** If the Architect withholds certification for payment under Section 9.5.1.3, the Owner may, at its sole option, issue joint checks to the Contractor and to any Subcontractor or supplier to whom the Contractor failed to make payment for Work properly performed or material or equipment suitably delivered. If the Owner makes payments by joint check, the Owner shall notify the Architect and the Contractor shall reflect such payment on its next Application for Payment.

#### **§ 9.6 Progress Payments**

**§ 9.6.1** After the Architect has issued a Certificate for Payment, the Owner shall make payment in the manner and within the time provided in the Contract Documents, and shall so notify the Architect.

**§ 9.6.2** The Contractor shall pay each Subcontractor, no later than seven days after receipt of payment from the Owner, the amount to which the Subcontractor is entitled, reflecting percentages actually retained from payments to the Contractor on account of the Subcontractor's portion of the Work. The Contractor shall, by appropriate agreement with each Subcontractor, require each Subcontractor to make payments to Sub-subcontractors in a similar manner.

**§ 9.6.3** The Architect will, on request, furnish to a Subcontractor, if practicable, information regarding percentages of completion or amounts applied for by the Contractor and action taken thereon by the Architect and Owner on account of portions of the Work done by such Subcontractor.

**§ 9.6.4** The Owner has the right to request written evidence from the Contractor that the Contractor has properly paid Subcontractors and suppliers amounts paid by the Owner to the Contractor for subcontracted Work. If the Contractor fails to furnish such evidence within seven days, the Owner shall have the right to contact Subcontractors and suppliers to ascertain whether they have been properly paid. Neither the Owner nor Architect shall have an obligation to pay, or to see to the payment of money to, a Subcontractor or supplier, except as may otherwise be required by law.

**§ 9.6.5** The Contractor's payments to suppliers shall be treated in a manner similar to that provided in Sections 9.6.2, 9.6.3 and 9.6.4.

**§ 9.6.6** A Certificate for Payment, a progress payment, or partial or entire use or occupancy of the Project by the Owner shall not constitute acceptance of Work not in accordance with the Contract Documents.

**§ 9.6.7** Unless the Contractor provides the Owner with a payment bond in the full penal sum of the Contract Sum, payments received by the Contractor for Work properly performed by Subcontractors or provided by suppliers shall be held by the Contractor for those Subcontractors or suppliers who performed Work or furnished materials, or both, under contract with the Contractor for which payment was made by the Owner. Nothing contained herein shall require money to be placed in a separate account and not commingled with money of the Contractor, create any fiduciary liability or tort liability on the part of the Contractor for breach of trust, or entitle any person or entity to an award of punitive damages against the Contractor for breach of the requirements of this provision.

**§ 9.6.8** Provided the Owner has fulfilled its payment obligations under the Contract Documents, the Contractor shall defend and indemnify the Owner from all loss, liability, damage or expense, including reasonable attorney's fees and litigation expenses, arising out of any lien claim or other claim for payment by any Subcontractor or supplier of any tier. Upon receipt of notice of a lien claim or other claim for payment, the Owner shall notify the Contractor. If approved by the applicable court, when required, the Contractor may substitute a surety bond for the property against which the lien or other claim for payment has been asserted.

#### **§ 9.7 Failure of Payment**

If the Architect does not issue a Certificate for Payment, through no fault of the Contractor, within seven days after receipt of the contractor's Application for Payment, or if the Owner does not pay the Contractor within seven days after the date established in the Contract Documents, the amount certified by the Architect or awarded by binding dispute resolution, then the Contractor may, upon seven additional days' notice to the Owner and Architect, stop the Work until payment of the amount owing has been received. The Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable costs of shutdown, delay and start-up, plus interest as provided for in the Contract Documents.

#### **§ 9.8 Substantial Completion**

**§ 9.8.1** Substantial Completion is the stage in the progress of the Work when the Work or designated portion thereof is sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work for its intended use.

**§ 9.8.2** When the Contractor considers that the Work, or a portion thereof which the Owner agrees to accept separately, is substantially complete, the Contractor shall prepare and submit to the Architect a comprehensive list of items to be completed or corrected prior to final payment. Failure to include an item on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents.

**§ 9.8.3** Upon receipt of the Contractor's list, the Architect will make an inspection to determine whether the Work or designated portion thereof is substantially complete. If the Architect's inspection discloses any item, whether or not included on the Contractor's list, which is not sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work or designated portion thereof for its intended use, the Contractor shall, before issuance of the Certificate of Substantial Completion, complete or correct such item upon notification by the Architect. In such case, the Contractor shall then submit a request for another inspection by the Architect to determine Substantial Completion.

**§ 9.8.4** When the Work or designated portion thereof is substantially complete, the Architect will prepare a Certificate of Substantial Completion that shall establish the date of Substantial Completion; establish responsibilities of the Owner and Contractor for security, maintenance, heat, utilities, damage to the Work and insurance; and fix the time within which the Contractor shall finish all items on the list accompanying the Certificate. Warranties required by the Contract Documents shall commence on the date of Substantial Completion of the Work or designated portion thereof unless otherwise provided in the Certificate of Substantial Completion.

**§ 9.8.5** The Certificate of Substantial Completion shall be submitted to the Owner and Contractor for their written acceptance of responsibilities assigned to them in the Certificate. Upon such acceptance, and consent of surety if any, the Owner shall make payment of retainage applying to the Work or designated portion thereof. Such payment shall be adjusted for Work that is incomplete or not in accordance with the requirements of the Contract Documents.

#### **§ 9.9 Partial Occupancy or Use**

**§ 9.9.1** The Owner may occupy or use any completed or partially completed portion of the Work at any stage when such portion is designated by separate agreement with the Contractor, provided such occupancy or use is consented

to by the insurer and authorized by public authorities having jurisdiction over the Project. Such partial occupancy or use may commence whether or not the portion is substantially complete, provided the Owner and Contractor have accepted in writing the responsibilities assigned to each of them for payments, retainage, if any, security, maintenance, heat, utilities, damage to the Work and insurance, and have agreed in writing concerning the period for correction of the Work and commencement of warranties required by the Contract Documents. When the Contractor considers a portion substantially complete, the Contractor shall prepare and submit a list to the Architect as provided under Section 9.8.2. Consent of the Contractor to partial occupancy or use shall not be unreasonably withheld. The stage of the progress of the Work shall be determined by written agreement between the Owner and Contractor or, if no agreement is reached, by decision of the Architect.

**§ 9.9.2** Immediately prior to such partial occupancy or use, the Owner, Contractor, and Architect shall jointly inspect the area to be occupied or portion of the Work to be used in order to determine and record the condition of the Work.

**§ 9.9.3** Unless otherwise agreed upon, partial occupancy or use of a portion or portions of the Work shall not constitute acceptance of Work not complying with the requirements of the Contract Documents.

### **§ 9.10 Final Completion and Final Payment**

**§ 9.10.1** Upon receipt of the Contractor's notice that the Work is ready for final inspection and acceptance and upon receipt of a final Application for Payment, the Architect will promptly make such inspection. When the Architect finds the Work acceptable under the Contract Documents and the Contract fully performed, the Architect will promptly issue a final Certificate for Payment stating that to the best of the Architect's knowledge, information and belief, and on the basis of the Architect's on-site visits and inspections, the Work has been completed in accordance with the Contract Documents and that the entire balance found to be due the Contractor and noted in the final Certificate is due and payable. The Architect's final Certificate for Payment will constitute a further representation that conditions listed in Section 9.10.2 as precedent to the Contractor's being entitled to final payment have been fulfilled.

**§ 9.10.2** Neither final payment nor any remaining retained percentage shall become due until the Contractor submits to the Architect (1) an affidavit that payrolls, bills for materials and equipment, and other indebtedness connected with the Work for which the Owner or the Owner's property might be responsible or encumbered (less amounts withheld by Owner) have been paid or otherwise satisfied, (2) a certificate evidencing that insurance required by the Contract Documents to remain in force after final payment is currently in effect, (3) a written statement that the Contractor knows of no reason that the insurance will not be renewable to cover the period required by the Contract Documents, (4) consent of surety, if any, to final payment, (5) documentation of any special warranties, such as manufacturers' warranties or specific Subcontractor warranties, and (6) if required by the Owner, other data establishing payment or satisfaction of obligations, such as receipts and releases and waivers of liens, claims, security interests, or encumbrances arising out of the Contract, to the extent and in such form as may be designated by the Owner. If a Subcontractor refuses to furnish a release or waiver required by the Owner, the Contractor may furnish a bond satisfactory to the Owner to indemnify the Owner against such lien, claim, security interest, or encumbrance. If a lien, claim, security interest, or encumbrance remains unsatisfied after payments are made, the Contractor shall refund to the Owner all money that the Owner may be compelled to pay in discharging the lien, claim, security interest, or encumbrance, including all costs and reasonable attorneys' fees.

**§ 9.10.3** If, after Substantial Completion of the Work, final completion thereof is materially delayed through no fault of the Contractor or by issuance of Change Orders affecting final completion, and the Architect so confirms, the Owner shall, upon application by the Contractor and certification by the Architect, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed, corrected, and accepted. If the remaining balance for Work not fully completed or corrected is less than retainage stipulated in the Contract Documents, and if bonds have been furnished, the written consent of the surety to payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by the Contractor to the Architect prior to certification of such payment. Such payment shall be made under terms and conditions governing final payment, except that it shall not constitute a waiver of Claims.

**§ 9.10.4** The making of final payment shall constitute a waiver of Claims by the Owner except those arising from

- .1 liens, Claims, security interests, or encumbrances arising out of the Contract and unsettled;
- .2 failure of the Work to comply with the requirements of the Contract Documents;

- .3 terms of special warranties required by the Contract Documents; or
- .4 audits performed by the Owner, if permitted by the Contract Documents, after final payment.

**§ 9.10.5** Acceptance of final payment by the Contractor, a Subcontractor, or a supplier, shall constitute a waiver of claims by that payee except those previously made in writing and identified by that payee as unsettled at the time of final Application for Payment.

## **ARTICLE 10 PROTECTION OF PERSONS AND PROPERTY**

### **§ 10.1 Safety Precautions and Programs**

The Contractor shall be responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the performance of the Contract.

### **§ 10.2 Safety of Persons and Property**

**§ 10.2.1** The Contractor shall take reasonable precautions for safety of, and shall provide reasonable protection to prevent damage, injury, or loss to

- .1 employees on the Work and other persons who may be affected thereby;
- .2 the Work and materials and equipment to be incorporated therein, whether in storage on or off the site, under care, custody, or control of the Contractor, a Subcontractor, or a Sub-subcontractor; and
- .3 other property at the site or adjacent thereto, such as trees, shrubs, lawns, walks, pavements, roadways, structures, and utilities not designated for removal, relocation, or replacement in the course of construction.

**§ 10.2.2** The Contractor shall comply with, and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities, bearing on safety of persons or property or their protection from damage, injury, or loss.

**§ 10.2.3** The Contractor shall implement, erect, and maintain, as required by existing conditions and performance of the Contract, reasonable safeguards for safety and protection, including posting danger signs and other warnings against hazards; promulgating safety regulations; and notifying the owners and users of adjacent sites and utilities of the safeguards.

**§ 10.2.4** When use or storage of explosives or other hazardous materials or equipment, or unusual methods are necessary for execution of the Work, the Contractor shall exercise utmost care and carry on such activities under supervision of properly qualified personnel.

**§ 10.2.5** The Contractor shall promptly remedy damage and loss (other than damage or loss insured under property insurance required by the Contract Documents) to property referred to in Sections 10.2.1.2 and 10.2.1.3 caused in whole or in part by the Contractor, a Subcontractor, a Sub-subcontractor, or anyone directly or indirectly employed by any of them, or by anyone for whose acts they may be liable and for which the Contractor is responsible under Sections 10.2.1.2 and 10.2.1.3. The Contractor may make a Claim for the cost to remedy the damage or loss to the extent such damage or loss is attributable to acts or omissions of the Owner or Architect or anyone directly or indirectly employed by either of them, or by anyone for whose acts either of them may be liable, and not attributable to the fault or negligence of the Contractor. The foregoing obligations of the Contractor are in addition to the Contractor's obligations under Section 3.18.

**§ 10.2.6** The Contractor shall designate a responsible member of the Contractor's organization at the site whose duty shall be the prevention of accidents. This person shall be the Contractor's superintendent unless otherwise designated by the Contractor in writing to the Owner and Architect.

**§ 10.2.7** The Contractor shall not permit any part of the construction or site to be loaded so as to cause damage or create an unsafe condition.

### **§ 10.2.8 Injury or Damage to Person or Property**

If either party suffers injury or damage to person or property because of an act or omission of the other party, or of others for whose acts such party is legally responsible, notice of the injury or damage, whether or not insured, shall be given to the other party within a reasonable time not exceeding 21 days after discovery. The notice shall provide sufficient detail to enable the other party to investigate the matter.

### **§ 10.3 Hazardous Materials and Substances**

**§ 10.3.1** The Contractor is responsible for compliance with any requirements included in the Contract Documents regarding hazardous materials or substances. If the Contractor encounters a hazardous material or substance not addressed in the Contract Documents and if reasonable precautions will be inadequate to prevent foreseeable bodily injury or death to persons resulting from a material or substance, including but not limited to asbestos or polychlorinated biphenyl (PCB), encountered on the site by the Contractor, the Contractor shall, upon recognizing the condition, immediately stop Work in the affected area and notify the Owner and Architect of the condition.

**§ 10.3.2** Upon receipt of the Contractor's notice, the Owner shall obtain the services of a licensed laboratory to verify the presence or absence of the material or substance reported by the Contractor and, in the event such material or substance is found to be present, to cause it to be rendered harmless. Unless otherwise required by the Contract Documents, the Owner shall furnish in writing to the Contractor and Architect the names and qualifications of persons or entities who are to perform tests verifying the presence or absence of the material or substance or who are to perform the task of removal or safe containment of the material or substance. The Contractor and the Architect will promptly reply to the Owner in writing stating whether or not either has reasonable objection to the persons or entities proposed by the Owner. If either the Contractor or Architect has an objection to a person or entity proposed by the Owner, the Owner shall propose another to whom the Contractor and the Architect have no reasonable objection. When the material or substance has been rendered harmless, Work in the affected area shall resume upon written agreement of the Owner and Contractor. By Change Order, the Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable additional costs of shutdown, delay, and start-up.

**§ 10.3.3** To the fullest extent permitted by law, the Owner shall indemnify and hold harmless the Contractor, Subcontractors, Architect, Architect's consultants, and agents and employees of any of them from and against claims, damages, losses, and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work in the affected area if in fact the material or substance presents the risk of bodily injury or death as described in Section 10.3.1 and has not been rendered harmless, provided that such claim, damage, loss, or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), except to the extent that such damage, loss, or expense is due to the fault or negligence of the party seeking indemnity.

**§ 10.3.4** The Owner shall not be responsible under this Section 10.3 for hazardous materials or substances the Contractor brings to the site unless such materials or substances are required by the Contract Documents. The Owner shall be responsible for hazardous materials or substances required by the Contract Documents, except to the extent of the Contractor's fault or negligence in the use and handling of such materials or substances.

**§ 10.3.5** The Contractor shall reimburse the Owner for the cost and expense the Owner incurs (1) for remediation of hazardous materials or substances the Contractor brings to the site and negligently handles, or (2) where the Contractor fails to perform its obligations under Section 10.3.1, except to the extent that the cost and expense are due to the Owner's fault or negligence.

**§ 10.3.6** If, without negligence on the part of the Contractor, the Contractor is held liable by a government agency for the cost of remediation of a hazardous material or substance solely by reason of performing Work as required by the Contract Documents, the Owner shall reimburse the Contractor for all cost and expense thereby incurred.

### **§ 10.4 Emergencies**

In an emergency affecting safety of persons or property, the Contractor shall act, at the Contractor's discretion, to prevent threatened damage, injury, or loss. Additional compensation or extension of time claimed by the Contractor on account of an emergency shall be determined as provided in Article 15 and Article 7.

## **ARTICLE 11 INSURANCE AND BONDS**

### **§ 11.1 Contractor's Insurance and Bonds**

**§ 11.1.1** The Contractor shall purchase and maintain insurance of the types and limits of liability, containing the endorsements, and subject to the terms and conditions, as described in the Agreement or elsewhere in the Contract Documents. The Contractor shall purchase and maintain the required insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located. The

Owner, Architect, and Architect's consultants shall be named as additional insureds under the Contractor's commercial general liability policy or as otherwise described in the Contract Documents.

**§ 11.1.2** The Contractor shall provide surety bonds of the types, for such penal sums, and subject to such terms and conditions as required by the Contract Documents. The Contractor shall purchase and maintain the required bonds from a company or companies lawfully authorized to issue surety bonds in the jurisdiction where the Project is located.

**§ 11.1.3** Upon the request of any person or entity appearing to be a potential beneficiary of bonds covering payment of obligations arising under the Contract, the Contractor shall promptly furnish a copy of the bonds or shall authorize a copy to be furnished.

**§ 11.1.4 Notice of Cancellation or Expiration of Contractor's Required Insurance.** Within three (3) business days of the date the Contractor becomes aware of an impending or actual cancellation or expiration of any insurance required by the Contract Documents, the Contractor shall provide notice to the Owner of such impending or actual cancellation or expiration. Upon receipt of notice from the Contractor, the Owner shall, unless the lapse in coverage arises from an act or omission of the Owner, have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by the Contractor. The furnishing of notice by the Contractor shall not relieve the Contractor of any contractual obligation to provide any required coverage.

## **§ 11.2 Owner's Insurance**

**§ 11.2.1** The Owner shall purchase and maintain insurance of the types and limits of liability, containing the endorsements, and subject to the terms and conditions, as described in the Agreement or elsewhere in the Contract Documents. The Owner shall purchase and maintain the required insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located.

**§ 11.2.2 Failure to Purchase Required Property Insurance.** If the Owner fails to purchase and maintain the required property insurance, with all of the coverages and in the amounts described in the Agreement or elsewhere in the Contract Documents, the Owner shall inform the Contractor in writing prior to commencement of the Work. Upon receipt of notice from the Owner, the Contractor may delay commencement of the Work and may obtain insurance that will protect the interests of the Contractor, Subcontractors, and Sub-Subcontractors in the Work. When the failure to provide coverage has been cured or resolved, the Contract Sum and Contract Time shall be equitably adjusted. In the event the Owner fails to procure coverage, the Owner waives all rights against the Contractor, Subcontractors, and Sub-subcontractors to the extent the loss to the Owner would have been covered by the insurance to have been procured by the Owner. The cost of the insurance shall be charged to the Owner by a Change Order. If the Owner does not provide written notice, and the Contractor is damaged by the failure or neglect of the Owner to purchase or maintain the required insurance, the Owner shall reimburse the Contractor for all reasonable costs and damages attributable thereto.

**§ 11.2.3 Notice of Cancellation or Expiration of Owner's Required Property Insurance.** Within three (3) business days of the date the Owner becomes aware of an impending or actual cancellation or expiration of any property insurance required by the Contract Documents, the Owner shall provide notice to the Contractor of such impending or actual cancellation or expiration. Unless the lapse in coverage arises from an act or omission of the Contractor: (1) the Contractor, upon receipt of notice from the Owner, shall have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by either the Owner or the Contractor; (2) the Contract Time and Contract Sum shall be equitably adjusted; and (3) the Owner waives all rights against the Contractor, Subcontractors, and Sub-subcontractors to the extent any loss to the Owner would have been covered by the insurance had it not expired or been cancelled. If the Contractor purchases replacement coverage, the cost of the insurance shall be charged to the Owner by an appropriate Change Order. The furnishing of notice by the Owner shall not relieve the Owner of any contractual obligation to provide required insurance.

## **§ 11.3 Waivers of Subrogation**

**§ 11.3.1** The Owner and Contractor waive all rights against (1) each other and any of their subcontractors, sub-subcontractors, agents, and employees, each of the other; (2) the Architect and Architect's consultants; and (3) Separate Contractors, if any, and any of their subcontractors, sub-subcontractors, agents, and employees, for damages caused by fire, or other causes of loss, to the extent those losses are covered by property insurance required by the Agreement or other property insurance applicable to the Project, except such rights as they have to proceeds

of such insurance. The Owner or Contractor, as appropriate, shall require similar written waivers in favor of the individuals and entities identified above from the Architect, Architect's consultants, Separate Contractors, subcontractors, and sub-subcontractors. The policies of insurance purchased and maintained by each person or entity agreeing to waive claims pursuant to this section 11.3.1 shall not prohibit this waiver of subrogation. This waiver of subrogation shall be effective as to a person or entity (1) even though that person or entity would otherwise have a duty of indemnification, contractual or otherwise, (2) even though that person or entity did not pay the insurance premium directly or indirectly, or (3) whether or not the person or entity had an insurable interest in the damaged property.

**§ 11.3.2** If during the Project construction period the Owner insures properties, real or personal or both, at or adjacent to the site by property insurance under policies separate from those insuring the Project, or if after final payment property insurance is to be provided on the completed Project through a policy or policies other than those insuring the Project during the construction period, to the extent permissible by such policies, the Owner waives all rights in accordance with the terms of Section 11.3.1 for damages caused by fire or other causes of loss covered by this separate property insurance.

**§ 11.4 Loss of Use, Business Interruption, and Delay in Completion Insurance**

The Owner, at the Owner's option, may purchase and maintain insurance that will protect the Owner against loss of use of the Owner's property, or the inability to conduct normal operations, due to fire or other causes of loss. The Owner waives all rights of action against the Contractor and Architect for loss of use of the Owner's property, due to fire or other hazards however caused.

**§ 11.5 Adjustment and Settlement of Insured Loss**

**§ 11.5.1** A loss insured under the property insurance required by the Agreement shall be adjusted by the Owner as fiduciary and made payable to the Owner as fiduciary for the insureds, as their interests may appear, subject to requirements of any applicable mortgagee clause and of Section 11.5.2. The Owner shall pay the Architect and Contractor their just shares of insurance proceeds received by the Owner, and by appropriate agreements the Architect and Contractor shall make payments to their consultants and Subcontractors in similar manner.

**§ 11.5.2** Prior to settlement of an insured loss, the Owner shall notify the Contractor of the terms of the proposed settlement as well as the proposed allocation of the insurance proceeds. The Contractor shall have 14 days from receipt of notice to object to the proposed settlement or allocation of the proceeds. If the Contractor does not object, the Owner shall settle the loss and the Contractor shall be bound by the settlement and allocation. Upon receipt, the Owner shall deposit the insurance proceeds in a separate account and make the appropriate distributions. Thereafter, if no other agreement is made or the Owner does not terminate the Contract for convenience, the Owner and Contractor shall execute a Change Order for reconstruction of the damaged or destroyed Work in the amount allocated for that purpose. If the Contractor timely objects to either the terms of the proposed settlement or the allocation of the proceeds, the Owner may proceed to settle the insured loss, and any dispute between the Owner and Contractor arising out of the settlement or allocation of the proceeds shall be resolved pursuant to Article 15. Pending resolution of any dispute, the Owner may issue a Construction Change Directive for the reconstruction of the damaged or destroyed Work.

**ARTICLE 12 UNCOVERING AND CORRECTION OF WORK**

**§ 12.1 Uncovering of Work**

**§ 12.1.1** If a portion of the Work is covered contrary to the Architect's request or to requirements specifically expressed in the Contract Documents, it must, if requested in writing by the Architect, be uncovered for the Architect's examination and be replaced at the Contractor's expense without change in the Contract Time.

**§ 12.1.2** If a portion of the Work has been covered that the Architect has not specifically requested to examine prior to its being covered, the Architect may request to see such Work and it shall be uncovered by the Contractor. If such Work is in accordance with the Contract Documents, the Contractor shall be entitled to an equitable adjustment to the Contract Sum and Contract Time as may be appropriate. If such Work is not in accordance with the Contract Documents, the costs of uncovering the Work, and the cost of correction, shall be at the Contractor's expense.

## **§ 12.2 Correction of Work**

### **§ 12.2.1 Before Substantial Completion**

The Contractor shall promptly correct Work rejected by the Architect or failing to conform to the requirements of the Contract Documents, discovered before Substantial Completion and whether or not fabricated, installed or completed. Costs of correcting such rejected Work, including additional testing and inspections, the cost of uncovering and replacement, and compensation for the Architect's services and expenses made necessary thereby, shall be at the Contractor's expense.

### **§ 12.2.2 After Substantial Completion**

**§ 12.2.2.1** In addition to the Contractor's obligations under Section 3.5, if, within one year after the date of Substantial Completion of the Work or designated portion thereof or after the date for commencement of warranties established under Section 9.9.1, or by terms of any applicable special warranty required by the Contract Documents, any of the Work is found to be not in accordance with the requirements of the Contract Documents, the Contractor shall correct it promptly after receipt of notice from the Owner to do so, unless the Owner has previously given the Contractor a written acceptance of such condition. The Owner shall give such notice promptly after discovery of the condition. During the one-year period for correction of Work, if the Owner fails to notify the Contractor and give the Contractor an opportunity to make the correction, the Owner waives the rights to require correction by the Contractor and to make a claim for breach of warranty. If the Contractor fails to correct nonconforming Work within a reasonable time during that period after receipt of notice from the Owner or Architect, the Owner may correct it in accordance with Section 2.5.

**§ 12.2.2.2** The one-year period for correction of Work shall be extended with respect to portions of Work first performed after Substantial Completion by the period of time between Substantial Completion and the actual completion of that portion of the Work.

**§ 12.2.2.3** The one-year period for correction of Work shall not be extended by corrective Work performed by the Contractor pursuant to this Section 12.2.

**§ 12.2.3** The Contractor shall remove from the site portions of the Work that are not in accordance with the requirements of the Contract Documents and are neither corrected by the Contractor nor accepted by the Owner.

**§ 12.2.4** The Contractor shall bear the cost of correcting destroyed or damaged construction of the Owner or Separate Contractors, whether completed or partially completed, caused by the Contractor's correction or removal of Work that is not in accordance with the requirements of the Contract Documents.

**§ 12.2.5** Nothing contained in this Section 12.2 shall be construed to establish a period of limitation with respect to other obligations the Contractor has under the Contract Documents. Establishment of the one-year period for correction of Work as described in Section 12.2.2 relates only to the specific obligation of the Contractor to correct the Work, and has no relationship to the time within which the obligation to comply with the Contract Documents may be sought to be enforced, nor to the time within which proceedings may be commenced to establish the Contractor's liability with respect to the Contractor's obligations other than specifically to correct the Work.

### **§ 12.3 Acceptance of Nonconforming Work**

If the Owner prefers to accept Work that is not in accordance with the requirements of the Contract Documents, the Owner may do so instead of requiring its removal and correction, in which case the Contract Sum will be reduced as appropriate and equitable. Such adjustment shall be effected whether or not final payment has been made.

## **ARTICLE 13 MISCELLANEOUS PROVISIONS**

### **§ 13.1 Governing Law**

The Contract shall be governed by the law of the place where the Project is located, excluding that jurisdiction's choice of law rules. If the parties have selected arbitration as the method of binding dispute resolution, the Federal Arbitration Act shall govern Section 15.4.

### **§ 13.2 Successors and Assigns**

**§ 13.2.1** The Owner and Contractor respectively bind themselves, their partners, successors, assigns, and legal representatives to covenants, agreements, and obligations contained in the Contract Documents. Except as provided in Section 13.2.2, neither party to the Contract shall assign the Contract as a whole without written consent of the

other. If either party attempts to make an assignment without such consent, that party shall nevertheless remain legally responsible for all obligations under the Contract.

**§ 13.2.2** The Owner may, without consent of the Contractor, assign the Contract to a lender providing construction financing for the Project, if the lender assumes the Owner's rights and obligations under the Contract Documents. The Contractor shall execute all consents reasonably required to facilitate the assignment.

### **§ 13.3 Rights and Remedies**

**§ 13.3.1** Duties and obligations imposed by the Contract Documents and rights and remedies available thereunder shall be in addition to and not a limitation of duties, obligations, rights, and remedies otherwise imposed or available by law.

**§ 13.3.2** No action or failure to act by the Owner, Architect, or Contractor shall constitute a waiver of a right or duty afforded them under the Contract, nor shall such action or failure to act constitute approval of or acquiescence in a breach thereunder, except as may be specifically agreed upon in writing.

### **§ 13.4 Tests and Inspections**

**§ 13.4.1** Tests, inspections, and approvals of portions of the Work shall be made as required by the Contract Documents and by applicable laws, statutes, ordinances, codes, rules, and regulations or lawful orders of public authorities. Unless otherwise provided, the Contractor shall make arrangements for such tests, inspections, and approvals with an independent testing laboratory or entity acceptable to the Owner, or with the appropriate public authority, and shall bear all related costs of tests, inspections, and approvals. The Contractor shall give the Architect timely notice of when and where tests and inspections are to be made so that the Architect may be present for such procedures. The Owner shall bear costs of tests, inspections, or approvals that do not become requirements until after bids are received or negotiations concluded. The Owner shall directly arrange and pay for tests, inspections, or approvals where building codes or applicable laws or regulations so require.

**§ 13.4.2** If the Architect, Owner, or public authorities having jurisdiction determine that portions of the Work require additional testing, inspection, or approval not included under Section 13.4.1, the Architect will, upon written authorization from the Owner, instruct the Contractor to make arrangements for such additional testing, inspection, or approval, by an entity acceptable to the Owner, and the Contractor shall give timely notice to the Architect of when and where tests and inspections are to be made so that the Architect may be present for such procedures. Such costs, except as provided in Section 13.4.3, shall be at the Owner's expense.

**§ 13.4.3** If procedures for testing, inspection, or approval under Sections 13.4.1 and 13.4.2 reveal failure of the portions of the Work to comply with requirements established by the Contract Documents, all costs made necessary by such failure, including those of repeated procedures and compensation for the Architect's services and expenses, shall be at the Contractor's expense.

**§ 13.4.4** Required certificates of testing, inspection, or approval shall, unless otherwise required by the Contract Documents, be secured by the Contractor and promptly delivered to the Architect.

**§ 13.4.5** If the Architect is to observe tests, inspections, or approvals required by the Contract Documents, the Architect will do so promptly and, where practicable, at the normal place of testing.

**§ 13.4.6** Tests or inspections conducted pursuant to the Contract Documents shall be made promptly to avoid unreasonable delay in the Work.

### **§ 13.5 Interest**

Payments due and unpaid under the Contract Documents shall bear interest from the date payment is due at the rate the parties agree upon in writing or, in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located.

## ARTICLE 14 TERMINATION OR SUSPENSION OF THE CONTRACT

### § 14.1 Termination by the Contractor

§ 14.1.1 The Contractor may terminate the Contract if the Work is stopped for a period of 30 consecutive days through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, for any of the following reasons:

- .1 Issuance of an order of a court or other public authority having jurisdiction that requires all Work to be stopped;
- .2 An act of government, such as a declaration of national emergency, that requires all Work to be stopped;
- .3 Because the Architect has not issued a Certificate for Payment and has not notified the Contractor of the reason for withholding certification as provided in Section 9.4.1, or because the Owner has not made payment on a Certificate for Payment within the time stated in the Contract Documents; or
- .4 The Owner has failed to furnish to the Contractor reasonable evidence as required by Section 2.2.

§ 14.1.2 The Contractor may terminate the Contract if, through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, repeated suspensions, delays, or interruptions of the entire Work by the Owner as described in Section 14.1.3, constitute in the aggregate more than 100 percent of the total number of days scheduled for completion, or 120 days in any 365-day period, whichever is less.

§ 14.1.3 If one of the reasons described in Section 14.1.1 or 14.1.2 exists, the Contractor may, upon seven days' notice to the Owner and Architect, terminate the Contract and recover from the Owner payment for Work executed, as well as reasonable overhead and profit on Work not executed, and costs incurred by reason of such termination.

§ 14.1.4 If the Work is stopped for a period of 60 consecutive days through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, or their agents or employees or any other persons or entities performing portions of the Work because the Owner has repeatedly failed to fulfill the Owner's obligations under the Contract Documents with respect to matters important to the progress of the Work, the Contractor may, upon seven additional days' notice to the Owner and the Architect, terminate the Contract and recover from the Owner as provided in Section 14.1.3.

### § 14.2 Termination by the Owner for Cause

§ 14.2.1 The Owner may terminate the Contract if the Contractor

- .1 repeatedly refuses or fails to supply enough properly skilled workers or proper materials;
- .2 fails to make payment to Subcontractors or suppliers in accordance with the respective agreements between the Contractor and the Subcontractors or suppliers;
- .3 repeatedly disregards applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of a public authority; or
- .4 otherwise is guilty of substantial breach of a provision of the Contract Documents.

§ 14.2.2 When any of the reasons described in Section 14.2.1 exist, and upon certification by the Architect that sufficient cause exists to justify such action, the Owner may, without prejudice to any other rights or remedies of the Owner and after giving the Contractor and the Contractor's surety, if any, seven days' notice, terminate employment of the Contractor and may, subject to any prior rights of the surety:

- .1 Exclude the Contractor from the site and take possession of all materials, equipment, tools, and construction equipment and machinery thereon owned by the Contractor;
- .2 Accept assignment of subcontracts pursuant to Section 5.4; and
- .3 Finish the Work by whatever reasonable method the Owner may deem expedient. Upon written request of the Contractor, the Owner shall furnish to the Contractor a detailed accounting of the costs incurred by the Owner in finishing the Work.

§ 14.2.3 When the Owner terminates the Contract for one of the reasons stated in Section 14.2.1, the Contractor shall not be entitled to receive further payment until the Work is finished.

§ 14.2.4 If the unpaid balance of the Contract Sum exceeds costs of finishing the Work, including compensation for the Architect's services and expenses made necessary thereby, and other damages incurred by the Owner and not expressly waived, such excess shall be paid to the Contractor. If such costs and damages exceed the unpaid balance,

the Contractor shall pay the difference to the Owner. The amount to be paid to the Contractor or Owner, as the case may be, shall be certified by the Initial Decision Maker, upon application, and this obligation for payment shall survive termination of the Contract.

#### **§ 14.3 Suspension by the Owner for Convenience**

**§ 14.3.1** The Owner may, without cause, order the Contractor in writing to suspend, delay or interrupt the Work, in whole or in part for such period of time as the Owner may determine.

**§ 14.3.2** The Contract Sum and Contract Time shall be adjusted for increases in the cost and time caused by suspension, delay, or interruption under Section 14.3.1. Adjustment of the Contract Sum shall include profit. No adjustment shall be made to the extent

- .1 that performance is, was, or would have been, so suspended, delayed, or interrupted, by another cause for which the Contractor is responsible; or
- .2 that an equitable adjustment is made or denied under another provision of the Contract.

#### **§ 14.4 Termination by the Owner for Convenience**

**§ 14.4.1** The Owner may, at any time, terminate the Contract for the Owner's convenience and without cause.

**§ 14.4.2** Upon receipt of notice from the Owner of such termination for the Owner's convenience, the Contractor shall

- .1 cease operations as directed by the Owner in the notice;
- .2 take actions necessary, or that the Owner may direct, for the protection and preservation of the Work; and
- .3 except for Work directed to be performed prior to the effective date of termination stated in the notice, terminate all existing subcontracts and purchase orders and enter into no further subcontracts and purchase orders.

**§ 14.4.3** In case of such termination for the Owner's convenience, the Owner shall pay the Contractor for Work properly executed; costs incurred by reason of the termination, including costs attributable to termination of Subcontracts; and the termination fee, if any, set forth in the Agreement.

### **ARTICLE 15 CLAIMS AND DISPUTES**

#### **§ 15.1 Claims**

##### **§ 15.1.1 Definition**

A Claim is a demand or assertion by one of the parties seeking, as a matter of right, payment of money, a change in the Contract Time, or other relief with respect to the terms of the Contract. The term "Claim" also includes other disputes and matters in question between the Owner and Contractor arising out of or relating to the Contract. The responsibility to substantiate Claims shall rest with the party making the Claim. This Section 15.1.1 does not require the Owner to file a Claim in order to impose liquidated damages in accordance with the Contract Documents.

##### **§ 15.1.2 Time Limits on Claims**

The Owner and Contractor shall commence all Claims and causes of action against the other and arising out of or related to the Contract, whether in contract, tort, breach of warranty or otherwise, in accordance with the requirements of the binding dispute resolution method selected in the Agreement and within the period specified by applicable law, but in any case not more than 10 years after the date of Substantial Completion of the Work. The Owner and Contractor waive all Claims and causes of action not commenced in accordance with this Section 15.1.2.

##### **§ 15.1.3 Notice of Claims**

**§ 15.1.3.1** Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered prior to expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party and to the Initial Decision Maker with a copy sent to the Architect, if the Architect is not serving as the Initial Decision Maker. Claims by either party under this Section 15.1.3.1 shall be initiated within 21 days after occurrence of the event giving rise to such Claim or within 21 days after the claimant first recognizes the condition giving rise to the Claim, whichever is later.

**§ 15.1.3.2** Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party. In such event, no decision by the Initial Decision Maker is required.

**§ 15.1.4 Continuing Contract Performance**

**§ 15.1.4.1** Pending final resolution of a Claim, except as otherwise agreed in writing or as provided in Section 9.7 and Article 14, the Contractor shall proceed diligently with performance of the Contract and the Owner shall continue to make payments in accordance with the Contract Documents.

**§ 15.1.4.2** The Contract Sum and Contract Time shall be adjusted in accordance with the Initial Decision Maker's decision, subject to the right of either party to proceed in accordance with this Article 15. The Architect will issue Certificates for Payment in accordance with the decision of the Initial Decision Maker.

**§ 15.1.5 Claims for Additional Cost**

If the Contractor wishes to make a Claim for an increase in the Contract Sum, notice as provided in Section 15.1.3 shall be given before proceeding to execute the portion of the Work that is the subject of the Claim. Prior notice is not required for Claims relating to an emergency endangering life or property arising under Section 10.4.

**§ 15.1.6 Claims for Additional Time**

**§ 15.1.6.1** If the Contractor wishes to make a Claim for an increase in the Contract Time, notice as provided in Section 15.1.3 shall be given. The Contractor's Claim shall include an estimate of cost and of probable effect of delay on progress of the Work. In the case of a continuing delay, only one Claim is necessary.

**§ 15.1.6.2** If adverse weather conditions are the basis for a Claim for additional time, such Claim shall be documented by data substantiating that weather conditions were abnormal for the period of time, could not have been reasonably anticipated, and had an adverse effect on the scheduled construction.

**§ 15.1.7 Waiver of Claims for Consequential Damages**

The Contractor and Owner waive Claims against each other for consequential damages arising out of or relating to this Contract. This mutual waiver includes

- .1 damages incurred by the Owner for rental expenses, for losses of use, income, profit, financing, business and reputation, and for loss of management or employee productivity or of the services of such persons; and
- .2 damages incurred by the Contractor for principal office expenses including the compensation of personnel stationed there, for losses of financing, business and reputation, and for loss of profit, except anticipated profit arising directly from the Work.

This mutual waiver is applicable, without limitation, to all consequential damages due to either party's termination in accordance with Article 14. Nothing contained in this Section 15.1.7 shall be deemed to preclude assessment of liquidated damages, when applicable, in accordance with the requirements of the Contract Documents.

**§ 15.2 Initial Decision**

**§ 15.2.1** Claims, excluding those where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2 or arising under Sections 10.3, 10.4, and 11.5, shall be referred to the Initial Decision Maker for initial decision. The Architect will serve as the Initial Decision Maker, unless otherwise indicated in the Agreement. Except for those Claims excluded by this Section 15.2.1, an initial decision shall be required as a condition precedent to mediation of any Claim. If an initial decision has not been rendered within 30 days after the Claim has been referred to the Initial Decision Maker, the party asserting the Claim may demand mediation and binding dispute resolution without a decision having been rendered. Unless the Initial Decision Maker and all affected parties agree, the Initial Decision Maker will not decide disputes between the Contractor and persons or entities other than the Owner.

**§ 15.2.2** The Initial Decision Maker will review Claims and within ten days of the receipt of a Claim take one or more of the following actions: (1) request additional supporting data from the claimant or a response with supporting data from the other party, (2) reject the Claim in whole or in part, (3) approve the Claim, (4) suggest a compromise, or (5) advise the parties that the Initial Decision Maker is unable to resolve the Claim if the Initial Decision Maker lacks sufficient information to evaluate the merits of the Claim or if the Initial Decision Maker concludes that, in the

Initial Decision Maker's sole discretion, it would be inappropriate for the Initial Decision Maker to resolve the Claim.

**§ 15.2.3** In evaluating Claims, the Initial Decision Maker may, but shall not be obligated to, consult with or seek information from either party or from persons with special knowledge or expertise who may assist the Initial Decision Maker in rendering a decision. The Initial Decision Maker may request the Owner to authorize retention of such persons at the Owner's expense.

**§ 15.2.4** If the Initial Decision Maker requests a party to provide a response to a Claim or to furnish additional supporting data, such party shall respond, within ten days after receipt of the request, and shall either (1) provide a response on the requested supporting data, (2) advise the Initial Decision Maker when the response or supporting data will be furnished, or (3) advise the Initial Decision Maker that no supporting data will be furnished. Upon receipt of the response or supporting data, if any, the Initial Decision Maker will either reject or approve the Claim in whole or in part.

**§ 15.2.5** The Initial Decision Maker will render an initial decision approving or rejecting the Claim, or indicating that the Initial Decision Maker is unable to resolve the Claim. This initial decision shall (1) be in writing; (2) state the reasons therefor; and (3) notify the parties and the Architect, if the Architect is not serving as the Initial Decision Maker, of any change in the Contract Sum or Contract Time or both. The initial decision shall be final and binding on the parties but subject to mediation and, if the parties fail to resolve their dispute through mediation, to binding dispute resolution.

**§ 15.2.6** Either party may file for mediation of an initial decision at any time, subject to the terms of Section 15.2.6.1.

**§ 15.2.6.1** Either party may, within 30 days from the date of receipt of an initial decision, demand in writing that the other party file for mediation. If such a demand is made and the party receiving the demand fails to file for mediation within 30 days after receipt thereof, then both parties waive their rights to mediate or pursue binding dispute resolution proceedings with respect to the initial decision.

**§ 15.2.7** In the event of a Claim against the Contractor, the Owner may, but is not obligated to, notify the surety, if any, of the nature and amount of the Claim. If the Claim relates to a possibility of a Contractor's default, the Owner may, but is not obligated to, notify the surety and request the surety's assistance in resolving the controversy.

**§ 15.2.8** If a Claim relates to or is the subject of a mechanic's lien, the party asserting such Claim may proceed in accordance with applicable law to comply with the lien notice or filing deadlines.

### **§ 15.3 Mediation**

**§ 15.3.1** Claims, disputes, or other matters in controversy arising out of or related to the Contract, except those waived as provided for in Sections 9.10.4, 9.10.5, and 15.1.7, shall be subject to mediation as a condition precedent to binding dispute resolution.

**§ 15.3.2** The parties shall endeavor to resolve their Claims by mediation which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Mediation Procedures in effect on the date of the Agreement. A request for mediation shall be made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the mediation. The request may be made concurrently with the filing of binding dispute resolution proceedings but, in such event, mediation shall proceed in advance of binding dispute resolution proceedings, which shall be stayed pending mediation for a period of 60 days from the date of filing, unless stayed for a longer period by agreement of the parties or court order. If an arbitration is stayed pursuant to this Section 15.3.2, the parties may nonetheless proceed to the selection of the arbitrator(s) and agree upon a schedule for later proceedings.

**§ 15.3.3** Either party may, within 30 days from the date that mediation has been concluded without resolution of the dispute or 60 days after mediation has been demanded without resolution of the dispute, demand in writing that the other party file for binding dispute resolution. If such a demand is made and the party receiving the demand fails to file for binding dispute resolution within 60 days after receipt thereof, then both parties waive their rights to binding dispute resolution proceedings with respect to the initial decision.

**§ 15.3.4** The parties shall share the mediator's fee and any filing fees equally. The mediation shall be held in the place where the Project is located, unless another location is mutually agreed upon. Agreements reached in mediation shall be enforceable as settlement agreements in any court having jurisdiction thereof.

**§ 15.4 Arbitration**

**§ 15.4.1** If the parties have selected arbitration as the method for binding dispute resolution in the Agreement, any Claim subject to, but not resolved by, mediation shall be subject to arbitration which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Arbitration Rules in effect on the date of the Agreement. The Arbitration shall be conducted in the place where the Project is located, unless another location is mutually agreed upon. A demand for arbitration shall be made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the arbitration. The party filing a notice of demand for arbitration must assert in the demand all Claims then known to that party on which arbitration is permitted to be demanded.

**§ 15.4.1.1** A demand for arbitration shall be made no earlier than concurrently with the filing of a request for mediation, but in no event shall it be made after the date when the institution of legal or equitable proceedings based on the Claim would be barred by the applicable statute of limitations. For statute of limitations purposes, receipt of a written demand for arbitration by the person or entity administering the arbitration shall constitute the institution of legal or equitable proceedings based on the Claim.

**§ 15.4.2** The award rendered by the arbitrator or arbitrators shall be final, and judgment may be entered upon it in accordance with applicable law in any court having jurisdiction thereof.

**§ 15.4.3** The foregoing agreement to arbitrate and other agreements to arbitrate with an additional person or entity duly consented to by parties to the Agreement, shall be specifically enforceable under applicable law in any court having jurisdiction thereof.

**§ 15.4.4 Consolidation or Joinder**

**§ 15.4.4.1** Subject to the rules of the American Arbitration Association or other applicable arbitration rules, either party may consolidate an arbitration conducted under this Agreement with any other arbitration to which it is a party provided that (1) the arbitration agreement governing the other arbitration permits consolidation, (2) the arbitrations to be consolidated substantially involve common questions of law or fact, and (3) the arbitrations employ materially similar procedural rules and methods for selecting arbitrator(s).

**§ 15.4.4.2** Subject to the rules of the American Arbitration Association or other applicable arbitration rules, either party may include by joinder persons or entities substantially involved in a common question of law or fact whose presence is required if complete relief is to be accorded in arbitration, provided that the party sought to be joined consents in writing to such joinder. Consent to arbitration involving an additional person or entity shall not constitute consent to arbitration of any claim, dispute or other matter in question not described in the written consent.

**§ 15.4.4.3** The Owner and Contractor grant to any person or entity made a party to an arbitration conducted under this Section 15.4, whether by joinder or consolidation, the same rights of joinder and consolidation as those of the Owner and Contractor under this Agreement.

## SECTION 000820 - SCHEDULE OF VALUES

### 1 GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1, apply to this Section.
- B. Addenda issued during the bidding period that affect this section of the specifications.

#### 1.2 WORK INCLUDED

- A. The Schedule of Values shall follow the sections of the specifications and shall be subdivided into detailed categories as follows:
  - 1. Costs for such items as Performance and Payment Bonds, Contractor's insurance, permits and plan checking fees, mobilization, office coordination, job site supervision, field engineering, miscellaneous general conditions, contract close out, trench safety and fee shall be listed as individual line items.
  - 2. Costs for various construction items such as sitework and concrete shall be subdivided and detailed. For example, concrete work shall be subdivided into such items as drilling footings, footing reinforcing in place, footing concrete in place, grade beam materials, grade beam labor, etc. These subdivisions shall appear as individual line items.
  - 3. All subcontracts shall be subdivided in detail. For small subcontracts, the subdivision of costs may be limited to individual line items for labor and materials; for larger and more complex subcontracts, the subdivision of costs shall include costs of various components and equipment and labor for each,
  - 4. The cost of the individual items of subcontract work shall total the amount of the specific subcontract. There shall be no addition of contractor's overhead or other costs such as general conditions, supervision and fee.
  - 5. Where payment for suitably stored materials may be requested prior to installation, material and labor costs shall be listed as individual line items and subdivided in detail.
  - 6. Where work occurs at more than one building, for the Owner's accounting purposes and to facilitate the checking of the Contractor's Application for Payment, costs shall be scheduled separately for each [campus](#).

#### 1.3 SUBMITTALS

- A. The Schedule of Values shall be submitted in triplicate to the Architect for review as soon as possible after the award of contract and at least seven days prior to the submission of the first application for payment.
- B. The Schedule of Values shall be submitted on the Continuation Sheet, AIA Document G703-1992.
- C. Contractor's wishing to use computerized versions of AIA Document G703-1992 shall submit an example in advance to the Architect for approval. Generally, such versions shall match the format of AIA Document G703-1992.
- D. Acceptability of detail provided on the Schedule of Values will be determined solely by the Architect.

END OF SECTION 000820

TO OWNER: PROJECT: APPLICATION NO: Distribution to:  
 FROM CONTRACTOR: VIA ARCHITECT: PERIOD TO: OWNER  
 ARCHITECT  
 CONTRACTOR  
 PROJECT NOS:  
 CONTRACT FOR: CONTRACT DATE:

CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract. Continuation Sheet, AIA Document G703, is attached.

1. ORIGINAL CONTRACT SUM \$ \_\_\_\_\_  
 2. Net change by Change Orders \$ \_\_\_\_\_  
 3. CONTRACT SUM TO DATE (Line 1 ± 2) \$ \_\_\_\_\_  
 4. TOTAL COMPLETED & STORED TO DATE (Column G on G703) \$ \_\_\_\_\_  
 5. RETAINAGE:  
 a. \_\_\_\_\_ % of Completed Work \$ \_\_\_\_\_  
 (Column D + E on G703)  
 b. \_\_\_\_\_ % of Stored Material \$ \_\_\_\_\_  
 (Column F on G703)  
 Total Retainage (Lines 5a + 5b or Total in Column I of G703) \$ 0.00  
 6. TOTAL EARNED LESS RETAINAGE \$ 0.00  
 (Line 4 Less Line 5 Total)  
 7. LESS PREVIOUS CERTIFICATES FOR PAYMENT (Line 6 from prior Certificate) \$ \_\_\_\_\_  
 8. CURRENT PAYMENT DUE \$ 0.00  
 9. BALANCE TO FINISH, INCLUDING RETAINAGE \$ 0.00  
 (Line 3 less Line 6)

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner		
Total approved this Month		
TOTALS	\$0.00	\$0.00
NET CHANGES by Change Order	\$0.00	

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR:  
 By: \_\_\_\_\_ Date: \_\_\_\_\_  
 State of: \_\_\_\_\_ County of: \_\_\_\_\_  
 Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_  
 Notary Public:  
 My Commission expires: \_\_\_\_\_

ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising the application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED . . . . . \$ \_\_\_\_\_

(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.) ARCHITECT:

By: \_\_\_\_\_ Date: \_\_\_\_\_  
 This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.



General Decision Number: TX180285 09/14/2018 TX285

Superseded General Decision Number: TX20170285

State: Texas

Construction Type: Building

**County: Cameron County in Texas.**

BUILDING CONSTRUCTION PROJECTS (does not include single family homes or apartments up to and including 4 stories).

Note: Under Executive Order (EO) 13658, an hourly minimum wage of \$10.35 for calendar year 2018 applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2015. If this contract is covered by the EO, the contractor must pay all workers in any classification listed on this wage determination at least \$10.35 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract in calendar year 2018. The EO minimum wage rate will be adjusted annually. Please note that this EO applies to the above-mentioned types of contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but it does not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a)(2)-(60). Additional information on contractor requirements and worker protections under the EO is available at [www.dol.gov/whd/govcontracts](http://www.dol.gov/whd/govcontracts).

Modification Number	Publication Date
0	01/05/2018
1	<b>09/14/2018</b>

BOIL0074-003 01/01/2017

	Rates	Fringes
BOILERMAKER.....	\$ 28.00	22.35

ENGI0178-005 06/01/2014

	Rates	Fringes
POWER EQUIPMENT OPERATOR		
(1) Tower Crane.....	\$ 29.00	10.60
(2) Cranes with Pile Driving or Caisson Attachment and Hydraulic Crane 60 tons and above.....	\$ 28.75	10.60
(3) Hydraulic cranes 59 Tons and under.....	\$ 27.50	10.60

\* IRON0084-011 06/01/2018

	Rates	Fringes
IRONWORKER, ORNAMENTAL.....	\$ 23.77	7.12
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PLUM0823-002 08/01/2017		

	Rates	Fringes
PIPEFITTER (HVAC Pipe Installation Only).....	\$ 25.67	8.89
-----		
SUTX2014-011 07/21/2014		

	Rates	Fringes
BRICKLAYER.....	\$ 16.17	0.00
CARPENTER, Excludes Drywall Hanging, and Metal Stud Installation.....	\$ 16.00	0.00
CEMENT MASON/CONCRETE FINISHER...	\$ 12.46	0.00
DRYWALL FINISHER/TAPER.....	\$ 10.75	2.68
DRYWALL HANGER AND METAL STUD INSTALLER.....	\$ 19.42	0.37
ELECTRICIAN (Low Voltage Wiring Only).....	\$ 13.50	0.68
ELECTRICIAN, Excludes Low Voltage Wiring.....	\$ 14.00	0.54
INSULATOR - MECHANICAL (Duct, Pipe & Mechanical System Insulation).....	\$ 14.04	4.79
IRONWORKER, REINFORCING.....	\$ 12.01	0.00
IRONWORKER, STRUCTURAL.....	\$ 15.04	4.34
LABORER: Common or General.....	\$ 8.87	0.00
LABORER: Mason Tender - Brick...	\$ 10.00	0.00
LABORER: Mason Tender - Cement/Concrete.....	\$ 10.89	0.96
LABORER: Pipelayer.....	\$ 11.00	3.47
LABORER: Roof Tearoff.....	\$ 10.06	0.00
OPERATOR: Backhoe/Excavator/Trackhoe.....	\$ 13.15	0.00
OPERATOR: Bobcat/Skid		

Steer/Skid Loader.....	\$ 13.93	0.00
OPERATOR: Bulldozer.....	\$ 18.29	1.31
OPERATOR: Drill.....	\$ 16.22	0.34
OPERATOR: Forklift.....	\$ 14.83	0.00
OPERATOR: Grader/Blade.....	\$ 13.07	0.00
OPERATOR: Loader.....	\$ 12.87	0.70
OPERATOR: Mechanic.....	\$ 17.00	0.00
OPERATOR: Paver (Asphalt, Aggregate, and Concrete).....	\$ 16.03	0.00
OPERATOR: Roller.....	\$ 12.70	0.00
PAINTER (Brush, Roller and Spray), Excludes Drywall Finishing/Taping.....	\$ 11.27	0.00
PIPEFITTER, Excludes HVAC Pipe Installation.....	\$ 14.67	2.50
PLUMBER, Excludes HVAC Pipe Installation.....	\$ 13.59	0.00
ROOFER.....	\$ 11.42	0.00
SHEET METAL WORKER (HVAC Duct Installation Only).....	\$ 18.40	2.12
SHEET METAL WORKER, Excludes HVAC Duct Installation.....	\$ 21.13	6.53
TILE FINISHER.....	\$ 11.22	0.00
TILE SETTER.....	\$ 12.15	0.00
TRUCK DRIVER: Dump Truck.....	\$ 12.39	1.18
TRUCK DRIVER: Flatbed Truck.....	\$ 19.65	8.57
TRUCK DRIVER: Semi-Trailer Truck.....	\$ 12.50	0.00
TRUCK DRIVER: Water Truck.....	\$ 12.00	4.11

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WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

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Note: Executive Order (EO) 13706, Establishing Paid Sick Leave

for Federal Contractors applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at [www.dol.gov/whd/govcontracts](http://www.dol.gov/whd/govcontracts).

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

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The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of "identifiers" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

#### Union Rate Identifiers

A four-letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than "SU" or "UAVG" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

#### Survey Rate Identifiers

Classifications listed under the "SU" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

#### Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

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#### WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

- \* an existing published wage determination
- \* a survey underlying a wage determination
- \* a Wage and Hour Division letter setting forth a position on a wage determination matter
- \* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations  
Wage and Hour Division  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

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END OF GENERAL DECISION

# CERTIFICATION OF PROJECT COMPLIANCE

Completion of this form is required under the provisions of §61.1036(c)(3)(F) TAC for all public school district construction projects. Instructions for completion of this form can be found on page 2.

## 1. PROJECT INFORMATION

Facility:

Address:

City:

DISTRICT:

ARCHITECT/ENGINEER:

CONTRACTOR/CM:

CONTRACT DATE:

DATE DISTRICT AUTHORIZED PROJECT:

## BRIEF DESCRIPTION OF PROJECT:

## 2. CERTIFICATION OF DESIGN AND CONSTRUCTION

The intent of this document is to assure that the school district has provided to the architect/engineer the required information and the architect/engineer has reviewed the School Facilities Standards as required by the State of Texas, and used his/her reasonable professional judgment and care in the architectural/engineering design and that the contractor has constructed the project in a quality manner in general conformance with the design requirements and that the school district certifies to project completion.

**3. The District** certifies that the educational program and the educational specifications of this facility along with the identified building code to be used have been provided to the architect/engineer.

DISTRICT:

BY:

DATE:

**4. The Architect/Engineer** certifies the above information was received from the school district, and that the building(s) were designed in accordance with the applicable building codes. Further, the facility has been designed to meet or exceed the design criteria relating to space (minimum square footage), educational adequacy, and construction quality as contained in the School Facilities Standards as adopted by the Commissioner of Education, June 9, 2003, and as provided by the district.

ARCHITECT/ENGINEER:

BY:

DATE:

**5. The Contractor/CM** certifies that this project has been constructed in general conformance with the construction documents as prepared by the architect/engineer listed above.

CONTRACTOR/CM:

BY:

DATE:

**6. The District** certifies completion of the project (as defined by the architect/engineer and contractor).

DISTRICT:

BY:

DATE:

## INSTRUCTIONS FOR COMPLETION OF “CERTIFICATION OF PROJECT COMPLIANCE” FORM

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Section 1. Identify the following:

- name and address of the school facility
- name of the school district
- the Architect/Engineer and Contractor
- the date of execution of the construction contract
- the date that the school district authorized the superintendent to hire an architect/engineer
- scope of the project.

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Section 2. This section outlines the intent of the document. No action required.

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Section 3. This section is to be executed by the school district upon transmittal of the information (as listed) to the architect/engineer and is to remain in the custody of the school district throughout the entire project.

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Section 4. This section is to be executed by the architect/engineer upon completion of the plans and specifications and in conjunction with the completion of the plan review for code compliance (ref. 19 TAC §61.1033 or §61.1036, School Facilities Standards) and returned to the school district’s files.

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Section 5. This section is to be executed by the contractor upon substantial completion of the project and retained in the school district’s files.

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Section 6. This section is to be executed by the school district upon acceptance and occupancy of the project.

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NOTE: DO NOT SUBMIT THIS DOCUMENT TO THE TEXAS EDUCATION AGENCY. The school district will retain this document in their files indefinitely until review and/or submittal is required by representatives of the Texas Education Agency.

# **BISD Delegation of Authority regarding Procurement of Construction Services**

**February 11, 2008**

## **General Rules and Procedures**

### **Selection of Delivery Method**

The Board of Trustees of a school district that is considering a construction contract using a method specified by Section 44.031 (a) must, before advertising, determine which delivery method provides the best value for the district [Section 44.035 (a)].

The district shall base its selection among offerors on criteria authorized to be used under Section 44.031 (b). The district shall publish in the request for bids, proposals, or qualifications the criteria that will be used to evaluate the offerors and the relative weights given to the criteria.

The district shall document the basis of its selection and shall make the evaluations public not later than the seventh day after the date the contract is awarded.

### **Delegation**

As authorized by Senate Bill 669, Texas Education Code, Section, 44.0312. (a), Delegation, the Brownsville Independent School District's Board of Trustees hereby delegates its authority under this subchapter, regarding an action authorized or required by this subchapter to be taken by a school district, to an evaluation and ranking committee consisting of the following individuals:

### **Evaluation/Ranking Committee**

- Assistant Superintendent over Facilities Department
- BISD Facilities Administrator
- BISD Construction Manager(s)
- BISD Chief Financial Officer or Designee
- Project Architect/Engineer/Designer

In procuring construction services, the district shall provide notice of the delegation and the limits of the delegation in the request for bids, proposals, or qualifications or in an addendum to the request. If the district fails to provide that notice, a ranking, selection, or evaluation of bids proposals, or qualifications for construction services other than by the board of trustees in an open public meeting is advisory only.

The committee shall evaluate, rank, and publish said rankings in accordance with state law. The evaluation criteria and related weights have been established by the committee and are stated below. The committee reserves the right to alter the evaluation criteria and related weights as deemed appropriate, on a case by case basis, as long as said criteria and related weights are published in the bid advertisement process and ranked accordingly.

After the committee has finished ranking the offeror’s proposals, the committee shall meet with the highest ranked offeror and attempt to negotiate a construction contract which provides the “best value to the district.” Once the committee and the highest ranked offeror agree on a negotiated price and scope of work, the committee shall forward the negotiated contract amount proposal to the Superintendent’s Office for placement on the next available School Board Meeting for award consideration.

The School Board of Trustees shall have the right to approve or reject the ranking committee’s recommendations for a construction contract award. The district reserves the right to exclude firms failing to achieve a minimum total score from any further consideration for contract negotiation. Any contract changes to Board approved construction contracts shall not be permitted without further School Board approval.

Prior to the evaluation(s) and ranking(s), each member of the ranking committee must sign and submit a signed Non-Disclosure Statement to the BISD Purchasing Department.

During the discussion, evaluation, and ranking process, under no circumstances should any team member try to influence or attempt to pressure other ranking members to change the evaluation scores.

**Ranking Evaluation and Ranking Criteria**

The district reserves the right to apply any and all criteria as deemed appropriate and allowed in the Texas Education Code 44.031 (b). Including but not limited to, as provided by section 44.031 (b), part (8), other relevant factors specifically denoted in the bid package. The district specifically requests offerors to answer or provide the information to the following selection criteria. Questions left unanswered or omitted requested information may result in zero (0) points being awarded.

<b><u>Criteria</u></b>	<b><u>Weight</u></b>
Price	60 Points
Company Construction Experience	15 Points
Construction Team and Subcontractors	10 Points
Professionalism/Conflict Resolution	10 Points
Construction Performance	25 Points
Financial Strength	<u>20 Points</u>
Total Maximum Points	140 Points

**Price (60 Points):**

The price will be evaluated and scored based on the main base proposal cost. The district reserves the right to include any and all alternate price proposals in the price evaluation process. The established budget will determine which, if any, alternates will be recommended and accepted as part of the overall price ranking evaluation. After the highest ranked firm is selected, negotiations on price and changes on the scope of work may occur with the firm that provides the best value to the district.

Points will be awarded based upon the total number of offers submitted. The lowest offeror will receive the maximum number of points and the highest offeror will receive the minimum number of points. A point spread system will be established once all the offers are tabulated. The closer the prices of the offers, the larger the point spread will be.

Sample: Utilizing the 80% Spread Formula

Contractor	Price	Points
Offeror No. 1	\$1,000,000.00	60.0
Offeror No. 2	\$1,050,000.00	56.0
Offeror No. 3	\$1,100,000.00	52.0
Offeror No. 4	\$1,150,000.00	48.0

70% spread: $60 \times 70\% = 42.0$ points	Result: 18.0 point spread
75% spread: $60 \times 75\% = 45.0$ points	Result: 15.0 point spread
80% spread: $60 \times 80\% = 48.0$ points	Result: 12.0 point spread
85% spread: $60 \times 85\% = 51.0$ points	Result: 9.0 point spread
90% spread: $60 \times 90\% = 54.0$ points	Result: 6.0 point spread
95% spread: $60 \times 95\% = 57.0$ points	Result: 3.0 point spread

If the committee decided to utilize the 90% spread formula, Offeror No. 4 is only 6 points away from Offeror No. 1. The committee may feel that a 6.0 point difference may be too close, and is unfair to the lowest price offeror. A 70% spread, or 18.0 point difference, may be too far spread out and may be considered unfair to the highest price offer. Especially since the prices are not too far apart on a \$1 Million project. The point spread could be very different on a \$300,000.00 project budget versus a \$30 Million project budget. Therefore, in this particular example, the committee makes a decision to utilize the 80% spread formula and have a 12.0 point spread between the lowest price offeror and the highest priced offeror.

After the percent spread is agreed upon, in this case the 80% formula, the lowest offeror gets the maximum 60 points and the highest offeror gets 48 points. Everyone else in the middle will get their points scored proportionately (extrapolated).

The difference between Offeror No. 1 and Offeror No. 4 is:  
 $\$1,150,000.00 - \$1,000,000.00 = \$150,000.00$

$\$150,000.00 / 12 \text{ points} = \$12,500.00/\text{point}$

Thus, every point is worth \$12,500.00.

Offeror No. 3     \$1,100,000.00 - \$1,000,000.00 = \$100,000.00  
\$100,000.00/\$12,500.00 = 8.0 points     60 - 8 = 52 points

Offeror No. 4     \$1,150,000.00 - \$1,000,000.00 = \$150,000.00  
\$150,000.00/\$12,500.00 = 12 points     60 - 12 = 48 points, and so on....

This is the scoring system which will be utilized by the ranking committee on the price category for all construction projects. The point system will vary from project to project depending on the project budget ranges, on the number of offers submitted, and on the price spread differences between all offerors.

**Construction Experience, Performance,  
and Professionalism (60 points):**

In order to get points relating to construction experience, performance, and professionalism the offeror must submit the following information:

How long has your firm been in business?

How long has your firm been doing business in Texas?

What is your firm's physical address?

How many projects has your firm worked on and completed? Please list in chronological sequence, beginning with the most recent.

How many school district projects has your firm worked on and completed? Please list in chronological sequence, beginning with the most recent.

List the projects constructed of similar size, type, and complexity to this particular project. Please list in chronological sequence, beginning with the most recent.

What Job Superintendent and Project Manager do you anticipate will be working on this particular project. Submit resumes of these key individuals with emphasis on job knowledge and experience. If you are not sure, list two or three potential job superintendents or project managers who will be in charge of this project, with corresponding resumes.

Provide a list of subcontractors to be used on this project. If not sure on certain trades, please list potential alternate subcontractors.

Provide statement of firm's safety record and/or history.

**Please provide a minimum of two letters of references from above listed project owners addressing the following areas:**

What was the quality of work provided by this contractor?

How well did the contractor respond to warranty items relating to response time and quality of work?

How timely did the contractor submit all warranty and operations manual documents, and all other related close out documents?

Was the contractor on time in finishing your project as originally projected?

Did the contractor finish punch list items in a reasonable time period?

Did you or have you received any Notice of Liens for non-payment from sub-contractors and/or material suppliers on any of your projects with this particular general contractor?

Was the contractor cooperative and professional in addressing construction issues, such as design conflicts, quality of work issues, pricing change orders, and in resolving other related construction issues?

Was the contractor ever confrontational, defensive, non-responsive, argumentative, disrespectful, during the duration of the construction project?

How well did the contractor respond to change order requests, and were the proposed prices fair and reasonable?

Did the contractor hold monthly meetings and document said meetings with appropriate minutes or construction reports?

How well did the contractor work with consulting architects and/or engineers?

**Financial Information (20 Points):**

Provide one or more letter of reference(s) from a bank(s) with regards to the company's financial standing and strength.

Is your Bid Bond Company a U.S. listed Treasury Bonding Company?

If a cashier's check is submitted in lieu of a bid bond, disregard this question.

Will your Bid Bond Company be the same for your Performance and Payment Bonds, if you are awarded the project? If not, please list the Performance and Payment Bond company to be used and are they U.S. Treasury Listed. The bond companies are not required to be federally, or state treasury listed, however, utilizing unlisted bond companies will result in substantial point reductions.

Provide a statement attesting if your firm is a sole proprietorship, partnership, Limited Corporation, or Corporation, and provide a statement attesting if any individual owners of the firm have ever filed for bankruptcy.

Provide an Audited Financial Statement by a CPA firm licensed to conduct business in the State of Texas.

A Review Audited Financial Statement may be submitted but will result in some deduction of points, as deemed appropriate by ranking committee.

A Compilation Financial Report is not acceptable and will result in zero points.

If your firm has submitted a financial statement to the district on a prior project and it is not more than a year old, the district may accept and utilize that same financial statement on any new project for evaluation and ranking purposes. If the district considers your prior submitted financial statements as outdated and not recent, then the district will require that new financial statements be submitted in order to be evaluated and ranked.

#### **Notification of Criminal History of Contractor**

In accordance with Section 44.034, of the Texas Education Code, a person or business entity that enters into a contract with a school district must give advance notice to the district if the person or an owner or operator of the business entity has been convicted of a felony. The notice must include a general description of the conduct resulting in the conviction of a felony.

This section does not apply to a publicly held corporation (Section 44.034. (c)). If your firm is incorporated, please submit an attested written document stating that the offering firm is a publicly held corporation, legitimately conducting business in the State of Texas.

#### **Ranking Sheet – Form BISD-DOA- 08**

Form BISD-DOA-08 shall be used as the scoring sheet to score the contractors bids, proposals and/or request for qualifications. In the event of a tie or ties, the tie breaker shall be in the favor of the offeror which submitted the lowest price. The alternates shall be used in addition to the base price only if the base price plus the alternate(s) price fall within the project budget. Negotiations on price shall not be allowed until after the district has selected the offeror which is the highest ranked and provides the “best value” to the district, in accordance with the rules and procedures set herein.

## BISD Ranking Criteria for Selection of Building Contractors

**Project Name:** \_\_\_\_\_  
**Project Location:** \_\_\_\_\_  
**Ranking Date:** \_\_\_\_\_

**Contractor:** \_\_\_\_\_  
**Bid/Proposal Number:** \_\_\_\_\_  
**Bid Opening Date:** \_\_\_\_\_

CATEGORY	Maximum Score	Points Scored	Total Points Scored
<b>1 PRICE (60 Points)</b>			
a. Construction Price	60	_____	_____
<b>2 COMPANY CONSTRUCTION EXPERIENCE (15 Points)</b>			
a. Number of years in business	5	_____	_____
b. PK-12 School construction experience	5	_____	_____
c. Experience in similar size, complexity and cost of project	5	_____	_____
		<b>Subtotal</b>	_____
<b>3 CONSTRUCTION TEAM AND SUBCONTRACTORS (10 Points)</b>			
a. Project management and Project Superintendent experience	5	_____	_____
b. Subcontractors experience and reputation	5	_____	_____
		<b>Subtotal</b>	_____
<b>4 COMPANY'S PROFESSIONALISM AND SUBCONTRACTOR (10 POINTS)</b>			
a. Firms Professionalism/Reputation	5	_____	_____
b. Conflict Resolution Performance	5	_____	_____
		<b>Subtotal</b>	_____
<b>5 CONSTRUCTION PERFORMANCE (25 Points)</b>			
a. Quality of work	6	_____	_____
b. Project Documentation	3	_____	_____
c. History of meeting deadlines	5	_____	_____
d. Closing our Project/Completing Punchlists	3	_____	_____
e. Change Order Pricing and Processing	3	_____	_____
f. Safety Record	2	_____	_____
g. Payment of Bills	3	_____	_____
		<b>Subtotal</b>	_____
<b>6 FINANCIAL STRENGTH (20 Points)</b>			
a. Financial Statements, Independent Audited Financial Statements, Review Audit, or Compilation Report & Bank References	10	_____	_____
b. Treasury Listed Bond, Federal, or State, on unlisted Bond Co., Bonding Capacity	5	_____	_____
c. Bankruptcy History, Litigation History, Lawsuit History (Company & Owner), Criminal History	5	_____	_____
		<b>Subtotal</b>	_____
<b>TOTAL POINTS SCORED</b>	<b>140 Points</b>	<b>Grand Total</b>	_____

**\*\*Average score of Ranking Committee Members**

**BISD Ranking Criteria for  
Selection of Building Contractors**

**Project Name:HVAC & Controls Replacement**  
**Location:Aquatic Center**  
**Ranking Date: July 22, 2019**

**Contractor:**  
**Bid/Proposal Number: 20-114**  
**Bid Opening Date: July 18, 2019**

CATEGORY	Maximum Score	Points Scored	Total Points Scored
<b>1 PRICE (60 Points)</b>			
a. Construction Price	<u>60</u>	<u>        </u>	<u>0</u>
<b>2 COMPANY CONSTRUCTION EXPERIENCE (15 Points)</b>			
a. Number of years in business	<u>5</u>	<u>        </u>	
b. PK-12 School construction experience	<u>5</u>	<u>        </u>	
c. Experience in similar size, complexity and cost of project	<u>5</u>	<u>        </u>	
		<b>Subtotal</b>	<u>0</u>
<b>3 CONSTRUCTION TEAM AND SUBCONTRACTORS (10 Points)</b>			
a. Project management and Project Superintendent experience	<u>5</u>	<u>        </u>	
b. Subcontractors experience and reputation	<u>5</u>	<u>        </u>	
		<b>Subtotal</b>	<u>0</u>
<b>4 COMPANY'S PROFESSIONALISM AND SUBCONTRACTOR (10 POINTS)</b>			
a. Firms Professionalism/Reputation	<u>5</u>	<u>        </u>	
b. Conflict Resolution Performance	<u>5</u>	<u>        </u>	
		<b>Subtotal</b>	<u>0</u>
<b>5 CONSTRUCTION PERFORMANCE (25 Points)</b>			
a. Quality of work	<u>6</u>	<u>        </u>	
b. Project Documentation	<u>3</u>	<u>        </u>	
c. History of meeting deadlines	<u>5</u>	<u>        </u>	
d. Closing our Project/Completing Punchlists	<u>3</u>	<u>        </u>	
e. Change Order Pricing and Processing	<u>3</u>	<u>        </u>	
f. Safety Record	<u>2</u>	<u>        </u>	
g. Payment of Bills	<u>3</u>	<u>        </u>	
		<b>Subtotal</b>	<u>0</u>

**6 FINANCIAL STRENGTH (20 Points)**

a. Financial Statements Independent Audited Financial Statements, Review Audit, or Compilation Report. Bank Reference's)	<u>10</u>	<u>          </u>	
b. Treasury Listed Bond, Federal, or State, on unlisted Bond Co., Bonding Capacity	<u>5</u>	<u>          </u>	
c. Bankruptcy History, Litigation History, Lawsuit History (Company and Owner) Criminal History	<u>5</u>	<u>          </u>	
		<b>Subtotal</b>	<u>0</u>
<b>TOTAL POINTS SCORED</b>	<b><u>140 Points</u></b>	<b>Grand Total</b>	<b><u>0</u></b>

**\*\*Average score of Ranking Committee Members**

## SECTION 011000 - SUMMARY

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Project information.
  - 2. Work covered by Contract Documents.
  - 3. Phased construction.
  - 4. Work by Owner.
  - 5. Work under separate contracts.
  - 6. Future work.
  - 7. Purchase contracts.
  - 8. Owner-furnished products.
  - 9. Contractor-furnished, Owner-installed products.
  - 10. Access to site.
  - 11. Coordination with occupants.
  - 12. Work restrictions.
  - 13. Specification and drawing conventions.
  - 14. Miscellaneous provisions.

#### 1.3 PROJECT INFORMATION

- A. Project Identification: [Brownsville ISD Margaret M. Clark Aquatic Center HVAC & Controls Replacement](#)
  - 1. [Project Location: See Drawings, Brownsville, Texas.](#)
  - 2. [Owner: Brownsville ISD, Brownsville, Texas.](#)
- B. Engineer: Ethos Engineering, 119 West Van Buren Avenue, Suite 101, Harlingen, Texas 78550.

#### 1.4 WORK COVERED BY CONTRACT DOCUMENTS

- A. The following Summary of Work is intended as an aid to achieve an understanding of the various elements of work included in the project, as is not intended to be all-inclusive. Detailed descriptions of work and requirements are given in drawings and specifications.
- B. Specification division numbers are not intended to dictate WHO will be doing the work. The following scope of work includes work specified in drawings and specifications. All the work must be done and coordinated, regardless of whether it is done under the Sub Contractor or by the General Contractor.

## SECTION 011000 - SUMMARY

- C. Scope of Work: Provide all materials and labor associated with complete operational systems. Major items of work include, but are not limited to:
1. Demolition: Demolish listed equipment and materials. Owner has right of first refusal. Dispose of removed items that owner no longer wishes to keep.
    - a. Remove and dispose of existing Dehumidifier equipment (two units for competition pool, one for adaptive pool, related ACCUs), exhaust fan at the competition pool, related support assembly, ductwork, refrigerant, condensate drain piping, miscellaneous materials, controls, and devices associated with demolished equipment which will no longer be used, including and not limited to, hangers, supports, mounting hardware, conduit & power wiring, etc.
    - b. Save existing smoke detectors, wiring and safeties for reuse. Document devices that are not in working order.
    - c. Where indicated, save existing power and control wiring, conduit and circuit breakers for reuse. Verify size and condition of circuit breakers, conduits and wiring to be reused. Demolish other miscellaneous materials as noted in the drawings.
    - d. Clear area and prepare for new work.
  2. Base Bid:
    - a. Provide new pool dehumidifiers and ACCUs, exhaust fan, DX mini-split systems, new roof curbs/adapters, equipment support assembly, wind restraints, miscellaneous materials, utilities and accessories, indicated in the drawings.
    - b. Provide connections for ductwork, refrigerant piping, hot water piping for space and pool water heating systems, controls, miscellaneous materials, utilities and accessories, indicated in the drawings.
    - c. Provide mini-split units, refrigerant piping, condensate piping and piping supports.
    - d. Provide on-board controls for new equipment, electrical modifications, structural and roofing work as required.
      - 1) On-board controls include dehumidifier controllers to control dehumidifiers and related exhaust fans.
      - 2) Front end User Interface for Pool Operator to select modes of operation.
      - 3) Web-Sentry or equal system.
    - e. Fire Alarm: Deprogram, disconnect, reconnect and reprogram existing FA devices as required. Reuse existing duct smoke detectors, and rewire to integrate them with new equipment and controls. Coordinate work with Owner's Fire Alarm. Provide new smoke detectors, wiring and controls, where none exist.
    - f. Provide electrical modifications per drawings and specifications, as required for a complete and operational installation of all equipment including wiring of all safeties and controls. Modify/upgrade existing electrical distribution system as noted
    - g. Provide Testing, adjusting and balancing, and commissioning services. See specifications.
    - h. Provide cutting and patching and touch up painting as required.
    - i. Provide structural work.
      - 1) Where indicated provide structural work related to new HVAC equipment supports, curbs, roof penetrations, etc. See details.
      - 2) Per specifications, contractor is responsible for structural design, building code compliance, windstorm certification, and work related to exterior ductwork and associated supports.
    - j. Provide roofing work associated with installation of new HVAC equipment on adapter roof curbs. An experienced roofing contractor who is a member of the

## SECTION 011000 - SUMMARY

National Roofing Contractors Association shall provide roofing work. All work shall be performed as per the NRCA and SMACNA guidelines.

- 1) Roofing work shall be performed by Contractors that are acceptable to the Owner. Obtain written approval from Owner and Engineer.
  - k. Shop drawing submittals for all mechanical systems including but not limited to equipment, ductwork and piping. Coordination drawings for placing of mechanical systems in relation to work by other disciplines.
  - l. Provide windstorm certification for exterior work installed on the roof and on grade. Contractor is responsible for providing wind storm certification inspections and certifications for rooftop equipment. Contractor must notify Inspector prior to installing equipment, and apprise inspector of work scheduling involving equipment requiring wind inspection / certification, so that inspections may be carried out at required stage(s) of construction. Cost for inspection shall be borne by the Contractor. Inspector shall be certified by the Texas Department of Insurance (see [www.tdi.state.tx.us](http://www.tdi.state.tx.us) for a list of certified Inspectors).
  - m. Commissioning: Provide assistance with commissioning services per specifications. This includes completing systems readiness checklists, performing functional testing, providing operator training, etc.
  - n. Allowances: The owner has set aside allowances for unforeseen circumstances and for structural catwalk. See Section 012100.
3. Alternate 1: Provide 5-year bumper-bumper extended warranty and maintenance contract for Pool Dehumidification System.
  4. Alternate 2: Provide Building Automation System to monitor pool dehumidification system via a BACnet interface.
    - a. Provide Building Automation System (controls) as specified for **new pool dehumidifiers and pool exhaust fans**. Controls contractor is responsible for all controls relays, contactors, power to DDC panels, dampers, and other controls equipment. Integrate with equipment controllers and safeties. Although contractor may coordinate with other trades to provide miscellaneous electrical and mechanical work, the final responsibility for achievement of control sequences lies with controls contractor. Integrate controls with existing Districtwide BAS for a seamless system. Coordinate with mechanical contractor and equipment supplier, as needed.
      - 1) Alternate Bid 2A: Automated Logic.
      - 2) Alternate Bid 2B: Johnson Controls.
      - 3) Alternate Bid 2C: Trane.
  5. Alternate 3: Provide Building Automation System for other Building HVAC Systems, including but not limited to space air conditioning systems (RTUs, mini-splits), exhaust fans, **pool and space heating water heaters and related pumps** etc.
    - 1) Alternate Bid 3A: Automated Logic.
    - 2) Alternate Bid 3B: Johnson Controls.
    - 3) Alternate Bid 3C: Trane.
- D. Type of Contract:
1. Project will be constructed under a single prime contract.

### 1.5 COORDINATION PRIOR TO BIDDING

- A. Mechanical Contractor may perform the functions of the General Contractor.

## SECTION 011000 - SUMMARY

- B. Sub-Contractors shall coordinate all work through General Contractor, who is ultimately responsible for the entire project.
- C. Prior to bidding, Contractor shall coordinate all work in Division-23 for integration with Architectural, Structural, Electrical, Controls work, Commissioning TAB and general construction. A detailed list of inclusion and exclusions shall be provided to General Contractors at least three days prior to the end of the period set aside to request clarifications so that coordination of any missing items may be addressed and clarified by Architect/Engineer as needed.
  - 1. Delivery time of new HVAC equipment units most likely will be critical to project completion. Obtain commitment from manufacturer that units will be delivered to the site in time to achieve substantial completion by desired date. At Contractor's option, units may be delivered to the site in groups that will allow installation to be phased.
  - 2. All electrical work required for operation of mechanical systems shall be coordinated through the General Contractor prior to bidding to ensure that all starters, disconnects, VFD's, conduit and wiring are provided as part of the project. All components needed for a full operational installation of systems shall be provided. Coordinate Fire Alarm Systems interface with mechanical systems, and with Fire Alarm Contractor.
  - 3. All controls required for operation of mechanical systems shall be coordinated prior to bidding, to ensure that all equipment, materials, sensors, devices and labor are provided as part of the project. All components needed for a full operational installation of systems shall be provided. Mechanical Contractor shall coordinate and supervise installation of all controls systems.

### 1.6 PHASED CONSTRUCTION

- A. Not Applicable.

### 1.7 FUTURE WORK

- A. Not Applicable.

### 1.8 PURCHASE CONTRACTS

- A. Not Applicable.

### 1.9 OWNER-FURNISHED PRODUCTS

- A. Not Applicable.

### 1.10 CONTRACTOR-FURNISHED, OWNER-INSTALLED PRODUCTS

- A. Not Applicable.

## SECTION 011000 - SUMMARY

### 1.11 ACCESS TO SITE

- A. General: Contractor shall have limited use of Project site for construction operations as indicated on Drawings by the Contract limits and as indicated by requirements of this Section.
- B. Use of the Site: Limit use of the premises to work in areas indicated. Do not disturb portions of the site beyond the areas in which the Work is indicated.
  - 1. Owner Occupancy: Allow for Owner occupancy and use by the public.
  - 2. Limits: Confine operations to areas within contract limits indicated.
  - 3. Driveways, Walkways and Entrances: Keep driveways parking garage, loading areas, and entrances serving premises clear and available to Owner, Owner's employees, and emergency vehicles at all times. Do not use these areas for parking or storage of materials.
    - a. Schedule deliveries to minimize use of driveways and entrances by construction operations.
    - b. Schedule deliveries to minimize space and time requirements for storage of materials and equipment on-site.
- C. Condition of Existing Building: Maintain portions of existing building affected by construction operations in a weather-tight condition throughout construction period. Repair damage caused by construction operations.
- D. Site Safety: Take every precaution to ensure the site does not present a threat to the safety of occupants and/or workers. Minimal safety requirements include, but are not limited to the following:
  - 1. Temporary fencing around construction areas, and around equipment while site work is in progress.
  - 2. Yellow caution tape and construction barricades along open trenches during the day. Trenches shall be covered at night and warning lights provided on construction barricades.
  - 3. Work shall take place with minimal disruption to Owner's operations in areas surrounding the job site.

### 1.12 COORDINATION WITH OCCUPANTS

- A. Full Owner Occupancy: Owner will occupy site and existing building(s) during entire construction period. Cooperate with Owner during construction operations to minimize conflicts and facilitate Owner usage. Perform the Work so as not to interfere with Owner's day-to-day operations. Maintain existing exits unless otherwise indicated.
  - 1. Notify Owner not less than 72 hours in advance of activities that will affect Owner's operations.
  - 2. Maintain access to existing walkways, corridors, and other adjacent occupied or used facilities. Do not close or obstruct walkways, corridors, or other occupied or used facilities without written permission from Owner and authorities having jurisdiction.

### 1.13 WORK RESTRICTIONS

- A. Work Restrictions, General: Comply with restrictions on construction operations.

## SECTION 011000 - SUMMARY

1. Comply with limitations on use of public streets and with other requirements of authorities having jurisdiction.
- B. On-Site Work Hours: Schedule activities in close coordination with Owner. [When facility is in operation, limit work in the existing building to winter break, spring break, afterhours and weekends, unless otherwise indicated.](#)
- C. Existing Utility Interruptions: Do not interrupt utilities serving facilities occupied by Owner or others unless permitted under the following conditions and then only after providing temporary utility services according to requirements indicated:
  1. Notify Owner not less than two days in advance of proposed utility interruptions.
  2. Obtain Owner's written permission before proceeding with utility interruptions.
- D. Noise, Vibration, and Odors: Coordinate operations that may result in high levels of noise and vibration, odors, or other disruption to Owner occupancy with Owner.
  1. Notify Owner not less than two days in advance of proposed utility interruptions.
  2. Obtain Owner's written permission before proceeding with utility interruptions.
- E. Nonsmoking Building: Smoking is not permitted within the building or within 25 feet of entrances, operable windows, or outdoor-air intakes.
- F. Controlled Substances: Use of tobacco products and other controlled substances within the existing building is not permitted.
- G. Employee Identification: Provide identification tags for Contractor personnel working on Project site. Require personnel to use identification tags at all times.
- H. Employee Screening: Comply with Owner's requirements for drug and background screening of Contractor personnel working on Project site.
  1. Maintain list of approved screened personnel with Owner's representative.

### 1.14 WORK SEQUENCE AND DEADLINES

- A. **The Bid requires that the project be certified Finally Complete within the time limits imposed in the Bid Form.** Failure to comply with contract completion dates will result in assessment of liquidated damages.
- B. **Extended power or air conditioning outages, and disruptive indoor work must take place only during unoccupied hours or on weekends.** All electrical, HVAC systems shall be operational by the next occupancy period.
- C. **Substantial completion:** [See bid form for Completion Schedule.](#)

### 1.15 COORDINATION

- A. All questions, requests for information, submittals, and correspondence from the Contractor shall be submitted via the General Contractor, who will forward to the Engineer.
- B. Contractor shall not make any changes to design without written authorization from the Engineer. If changes are requested by the Owner, Engineer, General Contractor, Suppliers,

## SECTION 011000 - SUMMARY

Manufacturers, or any others, Contractor should issue a written RFI for response by the Engineer.

- C. Contractor shall issue seven (7) days written notice prior to any activities that require the presence of the Engineer at the job-site. This applies to all inspections required by specifications, and particularly to those where work will be covered (below grade).
- D. Issue written notification of the following tasks and allow five (5) days for Engineer to respond and schedule an inspection as required:
  - 1. Electrical:
    - a. Upon completion of pulling all wiring, making all terminations, labeling and color-coding wires at the panel-boards and prior to installing their covers.
  - 2. When ready for Systems Readiness Checklists (Commissioning).
  - 3. When ready for Functional Performance testing (Commissioning).
  - 4. When ready for Substantial Completion Inspection.
  - 5. When ready for Final Inspection.
  - 6. Failure to issue written notification may result in work having to be redone to allow for proper inspection. It is this contractor's responsibility to make sure Engineer receives notification.
- E. Construction Coordination:
  - 1. The contractor shall supply a complete and comprehensive construction schedule for the project. This schedule shall include durations for the specific tasks required, and shall demonstrate a construction process chain of events, organized to create minimum disruption and minimum inconvenience to building occupants.
  - 2. Contractor shall organize daily work schedules to accommodate the building occupants' functions, comfort, and work schedules. Mandatory achievement of a non-disruptive environment shall be the sole responsibility of the Contractor, and shall at no time incur additional charges for Owner. This shall include weekend and evening work hours, if necessary, to accomplish non-disruptive requirement, and on-schedule completion.
  - 3. A non-disruptive environment shall be defined as: an environment where large-scale activities, or activities causing extreme noise and/or inconvenience are minimal in occupied areas during occupied times. When disruptive tasks must occur during occupied hours, such activities shall be coordinated with Owner's personnel a minimum of one week in advance.
- F. Waste Material and Debris: All waste material and debris from this project shall become the property of the contractor and shall be removed from the site. Exterior of the site shall be kept clean and free of material and debris from this project at all times. All waste material and debris generated by any work under this contract shall be handled, transported, stored, and disposed by the contractor and by his subcontractors in accordance with all applicable Federal, State, and local laws, ordinances, regulations, court orders, or other types of rules or rulings having the effect of law including, but not limited to, Executive Order 11752, 17 December 1978; the Federal Water pollution Control Act, as amended, 33 USC, Sec. 1251 et seq; the Clean Air Act, as amended, 42 USC, Sec. 7401 et seq; the Solid Waste Disposal Act, as amended, 41 USC sec 136 et seq; the Endangered Species Act of 1973, as amended, 16 USC, Sec 153 et seq; and the Environmental Protection Agency guidelines on thermal processing and land disposal of solid waste ( 40 CFR 240 and 241 ).

## SECTION 011000 - SUMMARY

### 1.16 SPECIFICATION AND DRAWING CONVENTIONS

- A. Specification Content: The Specifications use certain conventions for the style of language and the intended meaning of certain terms, words, and phrases when used in particular situations. These conventions are as follows:
  - 1. Imperative mood and streamlined language are generally used in the Specifications. The words "shall," "shall be," or "shall comply with," depending on the context, are implied where a colon (:) is used within a sentence or phrase.
  - 2. Specification requirements are to be performed by Contractor unless specifically stated otherwise.
- B. Division 01 General Requirements: Requirements of Sections in Division 01 apply to the Work of all Sections in the Specifications.

### 1.17 SUBMITTALS

- A. Manufacturer's standard dimensioned drawings, performance and product data shall be edited to delete reference to equipment, features, or information, which is not applicable to the equipment being supplied for this project.
- B. Faxes and copies of faxes are not acceptable.
- C. Provide sufficient copies of approved data, with the engineer's approved stamp, for inclusion in the operations and maintenance manuals.
- D. Electrical Submittals shall be submitted in no more than one three ring binder.
- E. Partial submittals shall not be reviewed until a complete bound submittal package is received.
- F. Allow two weeks for initial submittal review by Engineer, from the day it is received at the Engineer's office.
- G. Allow one week for review of resubmittals by Engineer.
- H. All submittal review comments shall be forwarded by Engineer to Engineer, who will then distribute as per Division 1.

### 1.18 SCHEDULE OF VALUES

- A. Schedule of Values shall be included with bound submittals. Submittals without a Schedule of Values **shall not** be reviewed.
- B. Contractor shall submit a Schedule of Values reflecting the total value of Work in the Contract, and broken down into the following items as a minimum, with a line item for Materials/Equipment and another for Labor.
  - 1. Structural
  - 2. Roofing
  - 3. Architectural
  - 4. HVAC

## SECTION 011000 - SUMMARY

5. Plumbing
6. Electrical
7. Controls
8. TAB
9. Commissioning
10. Allowances
11. Miscellaneous.
12. Administrative and project management.

### 1.19 MISCELLANEOUS PROVISIONS

- A. Code Compliance:
1. Occupational Safety and Health Act (OSHA)
  2. National Electric Code (NEC)
  3. National Fire Code
  4. International Building Code
  5. UL 916
  6. Local ordinances

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 011000

## SECTION 012100 - ALLOWANCES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section includes administrative and procedural requirements governing allowances.
  - 1. Certain items are specified in the Contract Documents by allowances. Allowances have been established in lieu of additional requirements and to defer selection of actual materials and equipment to a later date when direction will be provided to Contractor. If necessary, additional requirements will be issued by Change Order.

#### 1.3 SELECTION AND PURCHASE

- A. At the earliest practical date after award of the Contract, advise Engineer of the date when final selection and purchase of each product or system described by an allowance must be completed to avoid delaying the Work.
- B. At Engineer's request, obtain proposals for each allowance for use in making final selections. Include recommendations that are relevant to performing the Work.
- C. Purchase products and systems selected by Engineer from the designated supplier.

#### 1.4 ACTION SUBMITTALS

- A. Submit proposals for purchase of products or systems included in allowances, in the form specified for Change Orders.

#### 1.5 INFORMATIONAL SUBMITTALS

- A. Submit invoices or delivery slips to show actual quantities of materials delivered to the site for use in fulfillment of each allowance.
- B. Submit time sheets and other documentation to show labor time and cost for installation of allowance items that include installation as part of the allowance.
- C. Coordinate and process submittals for allowance items in same manner as for other portions of the Work.

## SECTION 012100 - ALLOWANCES

### 1.6 COORDINATION

- A. Coordinate allowance items with other portions of the Work.

### 1.7 ALLOWANCES

- A. Use the allowance only as directed by Engineer for Owner's purposes and only by Change Orders that indicate amounts to be charged to the allowance.
- B. Contract Sum shall include Contractor's overhead and profit, insurance and bonding for the Contingency and other specific Allowances.
- C. Change Orders or Allowance Expenditures authorizing use of funds from the Contingency or other specific Allowances will not include Contractor's overhead and profit, nor insurance and bonding. Other related costs such as equipment rental, delivery charges, etc. can be included in these costs, but all costs must be submitted by the general contractor with itemized (or unit) pricing from the subcontractor(s) and/or material supplier(s).
- D. At Project closeout, credit unused amounts remaining in allowances to Owner by Change Order.

## PART 2 - PRODUCTS (Not Used)

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine products covered by an allowance promptly on delivery for damage or defects. Return damaged or defective products to manufacturer for replacement.

### 3.2 PREPARATION

- A. Coordinate materials and their installation for each allowance with related materials and installations to ensure that each allowance item is completely integrated and interfaced with related work.

### 3.3 SCHEDULE OF ALLOWANCES

- A. Include listed Owner's Contingency Allowance for additional work and unforeseen circumstances. Allowance will be used only as directed by Owner and Engineer with a written consent.
  - 1. Allowance No. 1 for Contingency: \$30,000.
  - 2. Allowance No. 2 for Structural Work related to tunnel catwalk: \$30,000.

END OF SECTION 012100

## SECTION 012900 - PAYMENT PROCEDURES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section includes administrative and procedural requirements necessary to prepare and process Applications for Payment.

#### 1.3 DEFINITIONS

- A. Schedule of Values: A statement furnished by Contractor allocating portions of the Contract Sum to various portions of the Work and used as the basis for reviewing Contractor's Applications for Payment.

#### 1.4 SCHEDULE OF VALUES

- A. Coordination: Coordinate preparation of the schedule of values with preparation of Contractor's construction schedule.
  - 1. Coordinate line items in the schedule of values with other required administrative forms and schedules, including the following:
    - a. Application for Payment forms with continuation sheets.
    - b. Submittal schedule.
    - c. Items required to be indicated as separate activities in Contractor's construction schedule.
  - 2. Submit the schedule of values to Engineer at earliest possible date, but no later than seven days before the date scheduled for submittal of initial Applications for Payment.
  - 3. Subschedules for Phased Work: Where the Work is separated into phases requiring separately phased payments, provide subschedules showing values coordinated with each phase of payment.
  - 4. Subschedules for Separate Elements of Work: Where the Contractor's construction schedule defines separate elements of the Work, provide subschedules showing values coordinated with each element.
- B. Format and Content: Use Project Manual table of contents as a guide to establish line items for the schedule of values. Provide at least one line item for each Specification Section.
  - 1. Identification: Include the following Project identification on the schedule of values:
    - a. Project name and location.

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- b. Name of Engineer.
  - c. Engineer's project number.
  - d. Contractor's name and address.
  - e. Date of submittal.
2. Arrange schedule of values consistent with format of AIA Document G703.
3. Arrange the schedule of values in tabular form with separate columns to indicate the following for each item listed:
  - a. Related Specification Section or Division.
  - b. Description of the Work.
  - c. Name of subcontractor.
  - d. Name of manufacturer or fabricator.
  - e. Name of supplier.
  - f. Change Orders (numbers) that affect value.
  - g. Dollar value of the following, as a percentage of the Contract Sum to nearest one-hundredth percent, adjusted to total 100 percent.
    - 1) Labor.
    - 2) Materials.
    - 3) Equipment.
4. Provide a breakdown of the Contract Sum in enough detail to facilitate continued evaluation of Applications for Payment and progress reports. Coordinate with Project Manual table of contents. Provide multiple line items for principal subcontract amounts, where appropriate.
5. Round amounts to nearest whole dollar; total shall equal the Contract Sum.
6. Provide a separate line item in the schedule of values for each part of the Work where Applications for Payment may include materials or equipment purchased or fabricated and stored, but not yet installed.
  - a. Differentiate between items stored on-site and items stored off-site. If required, include evidence of insurance.
7. Provide separate line items in the schedule of values for initial cost of materials, for each subsequent stage of completion, and for total installed value of that part of the Work.
8. Allowances: Provide a separate line item in the schedule of values for each allowance. Show line-item value of unit-cost allowances, as a product of the unit cost, multiplied by measured quantity. Use information indicated in the Contract Documents to determine quantities.
9. Each item in the schedule of values and Applications for Payment shall be complete. Include total cost and proportionate share of general overhead and profit for each item.
  - a. Temporary facilities and other major cost items that are not direct cost of actual work-in-place may be shown either as separate line items in the schedule of values or distributed as general overhead expense, at Contractor's option.
10. Schedule Updating: Update and resubmit the schedule of values before the next Applications for Payment when Change Orders or Construction Change Directives result in a change in the Contract Sum.

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### 1.5 APPLICATIONS FOR PAYMENT

- A. Each Application for Payment following the initial Application for Payment shall be consistent with previous applications and payments as certified by Engineer and paid for by Owner.
  - 1. Initial Application for Payment, Application for Payment at time of Substantial Completion, and final Application for Payment involve additional requirements.
- B. Payment Application Times: The date for each progress payment shall be as per mutual agreement between Owner and Contractor. The period covered by each Application for Payment starts on the day following the end of the preceding period and ends 15 days before the date for each progress payment.
- C. Application for Payment Forms: Use AIA Document G702 and AIA Document G703 as form for Applications for Payment.
- D. Application Preparation: Complete every entry on form. Notarize and execute by a person authorized to sign legal documents on behalf of Contractor. Engineer will return incomplete applications without action.
  - 1. Entries shall match data on the schedule of values and Contractor's construction schedule. Use updated schedules if revisions were made.
  - 2. Include amounts for work completed following previous Application for Payment, whether or not payment has been received. Include only amounts for work completed at time of Application for Payment.
  - 3. Include amounts of Change Orders and Construction Change Directives issued before last day of construction period covered by application.
- E. Stored Materials: Include in Application for Payment amounts applied for materials or equipment purchased or fabricated and stored, but not yet installed. Differentiate between items stored on-site and items stored off-site.
  - 1. Provide certificate of insurance, evidence of transfer of title to Owner, and consent of surety to payment, for stored materials.
  - 2. Provide supporting documentation that verifies amount requested, such as paid invoices. Match amount requested with amounts indicated on documentation; do not include overhead and profit on stored materials.
  - 3. Provide summary documentation for stored materials indicating the following:
    - a. Value of materials previously stored and remaining stored as of date of previous Applications for Payment.
    - b. Value of previously stored materials put in place after date of previous Application for Payment and on or before date of current Application for Payment.
    - c. Value of materials stored since date of previous Application for Payment and remaining stored as of date of current Application for Payment.
- F. Transmittal: Submit three signed and notarized original copies of each Application for Payment to Engineer by a method ensuring receipt. One copy shall include waivers of lien and similar attachments if required.

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1. Transmit each copy with a transmittal form listing attachments and recording appropriate information about application.
- G. Waivers of Mechanic's Lien: With each Application for Payment, submit waivers of mechanic's liens from subcontractors, sub-subcontractors, and suppliers for construction period covered by the previous application.
1. Submit partial waivers on each item for amount requested in previous application, after deduction for retainage, on each item.
  2. When an application shows completion of an item, submit conditional final or full waivers.
  3. Owner reserves the right to designate which entities involved in the Work must submit waivers.
  4. Submit final Application for Payment with or preceded by conditional final waivers from every entity involved with performance of the Work covered by the application who is lawfully entitled to a lien.
  5. Waiver Forms: Submit executed waivers of lien on forms, acceptable to Owner.
- H. Initial Application for Payment: Administrative actions and submittals that must precede or coincide with submittal of first Application for Payment include the following:
1. List of subcontractors.
  2. Schedule of values.
  3. Contractor's construction schedule (preliminary if not final).
  4. Products list (preliminary if not final).
  5. Schedule of unit prices.
  6. Submittal schedule (preliminary if not final).
  7. Copies of building permits.
  8. Copies of authorizations and licenses from authorities having jurisdiction for performance of the Work.
  9. Certificates of insurance and insurance policies.
  10. Performance and payment bonds.
  11. Data needed to acquire Owner's insurance.
- I. Application for Payment at Substantial Completion: After Engineer issues the Certificate of Substantial Completion, submit an Application for Payment showing 100 percent completion for portion of the Work claimed as substantially complete.
1. Include documentation supporting claim that the Work is substantially complete and a statement showing an accounting of changes to the Contract Sum.
  2. This application shall reflect Certificate(s) of Substantial Completion issued previously for Owner occupancy of designated portions of the Work.
- J. Final Payment Application: After completing Project closeout requirements, submit final Application for Payment with releases and supporting documentation not previously submitted and accepted, including, but not limited, to the following:
1. Evidence of completion of Project closeout requirements.
  2. Insurance certificates for products and completed operations where required and proof that taxes, fees, and similar obligations were paid.
  3. Updated final statement, accounting for final changes to the Contract Sum.

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4. AIA Document G706, "Contractor's Affidavit of Payment of Debts and Claims."
5. AIA Document G706A, "Contractor's Affidavit of Release of Liens."
6. AIA Document G707, "Consent of Surety to Final Payment."
7. Evidence that claims have been settled.
8. Final liquidated damages settlement statement.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 012900

## SECTION 013100 - PROJECT MANAGEMENT AND COORDINATION

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section includes administrative provisions for coordinating construction operations on Project including, but not limited to, the following:
  - 1. General coordination procedures.
  - 2. Coordination drawings.
  - 3. Requests for Information (RFIs).
  - 4. Project meetings.
- B. Each contractor shall participate in coordination requirements. Certain areas of responsibility are assigned to a specific contractor.

#### 1.3 INFORMATIONAL SUBMITTALS

- A. Subcontract List: Prepare a written summary identifying individuals or firms proposed for each portion of the Work, including those who are to furnish products or equipment fabricated to a special design. Include the following information in tabular form:
  - 1. Name, address, and telephone number of entity performing subcontract or supplying products.
  - 2. Number and title of related Specification Section(s) covered by subcontract.
  - 3. Drawing number and detail references, as appropriate, covered by subcontract.
- B. Key Personnel Names: Within 15 days of starting construction operations, submit a list of key personnel assignments, including superintendent and other personnel in attendance at Project site. Identify individuals and their duties and responsibilities; list addresses and telephone numbers, including home, office, and cellular telephone numbers and e-mail addresses. Provide names, addresses, and telephone numbers of individuals assigned as alternates in the absence of individuals assigned to Project.

#### 1.4 GENERAL COORDINATION PROCEDURES

- A. Coordination: Coordinate construction operations included in different Sections of the Specifications to ensure efficient and orderly installation of each part of the Work. Coordinate construction operations, included in different Sections, that depend on each other for proper installation, connection, and operation.

## SECTION 013100 - PROJECT MANAGEMENT AND COORDINATION

1. Schedule construction operations in sequence required to obtain the best results where installation of one part of the Work depends on installation of other components, before or after its own installation.
  2. Coordinate installation of different components to ensure maximum performance and accessibility for required maintenance, service, and repair.
  3. Make adequate provisions to accommodate items scheduled for later installation.
- B. Prepare memoranda for distribution to each party involved, outlining special procedures required for coordination. Include such items as required notices, reports, and list of attendees at meetings.
1. Prepare similar memoranda for Owner and separate contractors if coordination of their Work is required.
- C. Administrative Procedures: Coordinate scheduling and timing of required administrative procedures with other construction activities to avoid conflicts and to ensure orderly progress of the Work. Such administrative activities include, but are not limited to, the following:
1. Preparation of Contractor's construction schedule.
  2. Preparation of the schedule of values.
  3. Installation and removal of temporary facilities and controls.
  4. Delivery and processing of submittals.
  5. Progress meetings.
  6. Preinstallation conferences.
  7. Project closeout activities.
  8. Startup and adjustment of systems.
- D. Conservation: Coordinate construction activities to ensure that operations are carried out with consideration given to conservation of energy, water, and materials. Coordinate use of temporary utilities to minimize waste.
1. Salvage materials and equipment involved in performance of, but not actually incorporated into, the Work. See other Sections for disposition of salvaged materials that are designated as Owner's property.

### 1.5 COORDINATION DRAWINGS

- A. Coordination Drawings, General: Prepare coordination drawings according to requirements in individual Sections, and additionally where installation is not completely shown on Shop Drawings, where limited space availability necessitates coordination, or if coordination is required to facilitate integration of products and materials fabricated or installed by more than one entity.
1. Content: Project-specific information, drawn accurately to a scale large enough to indicate and resolve conflicts. Do not base coordination drawings on standard printed data. Include the following information, as applicable:
    - a. Use applicable Drawings as a basis for preparation of coordination drawings. Prepare sections, elevations, and details as needed to describe relationship of various systems and components.

## SECTION 013100 - PROJECT MANAGEMENT AND COORDINATION

- b. Coordinate the addition of trade-specific information to the coordination drawings by multiple contractors in a sequence that best provides for coordination of the information and resolution of conflicts between installed components before submitting for review.
- c. Indicate functional and spatial relationships of components of Engineerural, structural, civil, mechanical, and electrical systems.
- d. Indicate space requirements for routine maintenance and for anticipated replacement of components during the life of the installation.
- e. Show location and size of access doors required for access to concealed dampers, valves, and other controls.
- f. Indicate required installation sequences.
- g. Indicate dimensions shown on the Drawings. Specifically note dimensions that appear to be in conflict with submitted equipment and minimum clearance requirements. Provide alternate sketches to Engineer indicating proposed resolution of such conflicts. Minor dimension changes and difficult installations will not be considered changes to the Contract.

### B. Coordination Drawing Organization: Organize coordination drawings as follows:

1. Floor Plans and Reflected Ceiling Plans: Show Engineerural and structural elements, and mechanical, plumbing, fire-protection, fire-alarm, and electrical Work. Show locations of visible ceiling-mounted devices relative to acoustical ceiling grid. Supplement plan drawings with section drawings where required to adequately represent the Work.
2. Plenum Space: Indicate subframing for support of ceiling and wall systems, mechanical and electrical equipment, and related Work. Locate components within ceiling plenum to accommodate layout of light fixtures indicated on Drawings. Indicate areas of conflict between light fixtures and other components.
3. Mechanical Rooms: Provide coordination drawings for mechanical rooms showing plans and elevations of mechanical, plumbing, fire-protection, fire-alarm, and electrical equipment.
4. Structural Penetrations: Indicate penetrations and openings required for all disciplines.
5. Slab Edge and Embedded Items: Indicate slab edge locations and sizes and locations of embedded items for metal fabrications, sleeves, anchor bolts, bearing plates, angles, door floor closers, slab depressions for floor finishes, curbs and housekeeping pads, and similar items.
6. Mechanical and Plumbing Work: Show the following:
  - a. Sizes and bottom elevations of ductwork, piping, and conduit runs, including insulation, bracing, flanges, and support systems.
  - b. Dimensions of major components, such as dampers, valves, diffusers, access doors, cleanouts and electrical distribution equipment.
  - c. Fire-rated enclosures around ductwork.
7. Electrical Work: Show the following:
  - a. Runs of vertical and horizontal conduit 1-1/4 inches in diameter and larger.
  - b. Light fixture, exit light, emergency battery pack, smoke detector, and other fire-alarm locations.
  - c. Panel board, switch board, switchgear, transformer, busway, generator, and motor control center locations.
  - d. Location of pull boxes and junction boxes, dimensioned from column center lines.

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8. Fire-Protection System: Show the following:
  - a. Locations of standpipes, mains piping, branch lines, pipe drops, and sprinkler heads.
9. Review: Engineer will review coordination drawings to confirm that the Work is being coordinated, but not for the details of the coordination, which are Contractor's responsibility. If Engineer determines that coordination drawings are not being prepared in sufficient scope or detail, or are otherwise deficient, Engineer will so inform Contractor, who shall make changes as directed and resubmit.
10. Coordination Drawing Prints: Prepare coordination drawing prints according to requirements in Section 013300 "Submittal Procedures."

### 1.6 REQUESTS FOR INFORMATION (RFIs)

- A. General: Immediately on discovery of the need for additional information or interpretation of the Contract Documents, Contractor shall prepare and submit an RFI in the form specified.
  1. Engineer will return RFIs submitted to Engineer by other entities controlled by Contractor with no response.
  2. Coordinate and submit RFIs in a prompt manner so as to avoid delays in Contractor's work or work of subcontractors.
- B. Content of the RFI: Include a detailed, legible description of item needing information or interpretation.

### 1.7 PROJECT MEETINGS

- A. General: Schedule and conduct meetings and conferences at Project site unless otherwise indicated.
  1. Attendees: Inform participants and others involved, and individuals whose presence is required, of date and time of each meeting. Notify Owner and Engineer of scheduled meeting dates and times.
  2. Agenda: Prepare the meeting agenda. Distribute the agenda to all invited attendees.
  3. Minutes: Entity responsible for conducting meeting will record significant discussions and agreements achieved. Distribute the meeting minutes to everyone concerned, including Owner and Engineer, within three days of the meeting.
- B. Preconstruction Conference: **Schedule and conduct** a preconstruction conference before starting construction, at a time convenient to Owner and Engineer, but no later than **15** days after execution of the Agreement.
  1. Conduct the conference to review responsibilities and personnel assignments.
  2. Attendees: Authorized representatives of Owner, Engineer, and their consultants; Contractor and its superintendent; major subcontractors; suppliers; and other concerned parties shall attend the conference. Participants at the conference shall be familiar with Project and authorized to conclude matters relating to the Work.
  3. Agenda: Discuss items of significance that could affect progress, including the following:

## SECTION 013100 - PROJECT MANAGEMENT AND COORDINATION

- a. Tentative construction schedule.
  - b. Phasing.
  - c. Critical work sequencing and long-lead items.
  - d. Designation of key personnel and their duties.
  - e. Lines of communications.
  - f. Procedures for processing field decisions and Change Orders.
  - g. Procedures for RFIs.
  - h. Procedures for testing and inspecting.
  - i. Procedures for processing Applications for Payment.
  - j. Distribution of the Contract Documents.
  - k. Submittal procedures.
  - l. Preparation of record documents.
  - m. Use of the premises and existing building.
  - n. Work restrictions.
  - o. Working hours.
  - p. Owner's occupancy requirements.
  - q. Responsibility for temporary facilities and controls.
  - r. Procedures for moisture and mold control.
  - s. Procedures for disruptions and shutdowns.
  - t. Construction waste management and recycling.
  - u. Parking availability.
  - v. Office, work, and storage areas.
  - w. Equipment deliveries and priorities.
  - x. First aid.
  - y. Security.
  - z. Progress cleaning.
4. Minutes: Entity responsible for conducting meeting will record and distribute meeting minutes.
- C. Preinstallation Conferences: Conduct a preinstallation conference at Project site before each construction activity that requires coordination with other construction.
1. Record significant conference discussions, agreements, and disagreements, including required corrective measures and actions.
  2. Reporting: Distribute minutes of the meeting to each party present and to other parties requiring information.
  3. Do not proceed with installation if the conference cannot be successfully concluded. Initiate whatever actions are necessary to resolve impediments to performance of the Work and reconvene the conference at earliest feasible date.
- D. Project Closeout Conference: Schedule and conduct a project closeout conference, at a time convenient to Owner and Engineer, but no later than 30 days prior to the scheduled date of Substantial Completion.
1. Conduct the conference to review requirements and responsibilities related to Project closeout.
  2. Agenda: Discuss items of significance that could affect or delay Project closeout, including the following:
    - a. Preparation of record documents.

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- b. Procedures required prior to inspection for Substantial Completion and for final inspection for acceptance.
  - c. Submittal of written warranties.
  - d. Requirements for preparing operations and maintenance data.
  - e. Requirements for delivery of material samples, attic stock, and spare parts.
  - f. Requirements for demonstration and training.
  - g. Preparation of Contractor's punch list.
  - h. Procedures for processing Applications for Payment at Substantial Completion and for final payment.
  - i. Submittal procedures.
  - j. Coordination of separate contracts.
  - k. Owner's partial occupancy requirements.
  - l. Installation of Owner's furniture, fixtures, and equipment.
  - m. Responsibility for removing temporary facilities and controls.
3. Minutes: Entity conducting meeting will record and distribute meeting minutes.
- E. Progress Meetings: Conduct progress meetings at regular intervals.
- 1. Coordinate dates of meetings with preparation of payment requests.
  - 2. Agenda: Review and correct or approve minutes of previous progress meeting. Review other items of significance that could affect progress. Include topics for discussion as appropriate to status of Project.
    - a. Contractor's Construction Schedule: Review progress since the last meeting. Determine whether each activity is on time, ahead of schedule, or behind schedule, in relation to Contractor's construction schedule. Determine how construction behind schedule will be expedited; secure commitments from parties involved to do so. Discuss whether schedule revisions are required to ensure that current and subsequent activities will be completed within the Contract Time.
      - 1) Review schedule for next period.
    - b. Review present and future needs of each entity present, including the following:
      - 1) Interface requirements.
      - 2) Sequence of operations.
      - 3) Resolution of BIM component conflicts.
      - 4) Status of submittals.
      - 5) Deliveries.
      - 6) Off-site fabrication.
      - 7) Access.
      - 8) Site utilization.
      - 9) Temporary facilities and controls.
      - 10) Progress cleaning.
      - 11) Quality and work standards.
      - 12) Status of correction of deficient items.
      - 13) Field observations.
      - 14) Status of RFIs.
      - 15) Status of proposal requests.
      - 16) Pending changes.

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- 17) Status of Change Orders.
  - 18) Pending claims and disputes.
  - 19) Documentation of information for payment requests.
3. Minutes: Entity responsible for conducting the meeting will record and distribute the meeting minutes to each party present and to parties requiring information.
- a. Schedule Updating: Revise Contractor's construction schedule after each progress meeting where revisions to the schedule have been made or recognized. Issue revised schedule concurrently with the report of each meeting.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 013100

## SECTION 013300 - SUBMITTAL PROCEDURES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section includes requirements for the submittal schedule and administrative and procedural requirements for submitting Shop Drawings, Product Data, Samples, and other submittals.

#### 1.3 DEFINITIONS

- A. Action Submittals: Written and graphic information and physical samples that require Engineer's responsive action. Action submittals are those submittals indicated in individual Specification Sections as "action submittals."
- B. Informational Submittals: Written and graphic information and physical samples that do not require Engineer's responsive action. Submittals may be rejected for not complying with requirements. Informational submittals are those submittals indicated in individual Specification Sections as "informational submittals."

#### 1.4 ACTION SUBMITTALS

- A. Submittal Schedule: Submit a schedule of submittals, arranged in chronological order by dates required by construction schedule. Include time required for review, ordering, manufacturing, fabrication, and delivery when establishing dates. Include additional time required for making corrections or revisions to submittals noted by Engineer and additional time for handling and reviewing submittals required by those corrections.

#### 1.5 SUBMITTAL ADMINISTRATIVE REQUIREMENTS

- A. Engineer's Digital Data Files: Electronic digital data files of the Contract Drawings will be provided by Engineer for Contractor's use in preparing submittals.
- B. Coordination: Coordinate preparation and processing of submittals with performance of construction activities.
  - 1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals, and related activities that require sequential activity.
  - 2. Submit all submittal items required for each Specification Section concurrently unless partial submittals for portions of the Work are indicated on approved submittal schedule.

## SECTION 013300 - SUBMITTAL PROCEDURES

- a. Engineer reserves the right to withhold action on a submittal requiring coordination with other submittals until related submittals are received.
- C. Processing Time: Allow time for submittal review, including time for resubmittals, as follows. Time for review shall commence on Engineer's receipt of submittal. No extension of the Contract Time will be authorized because of failure to transmit submittals enough in advance of the Work to permit processing, including resubmittals.
1. Initial Review: Allow 8 days for initial review of each submittal. Allow additional time if coordination with subsequent submittals is required. Engineer will advise Contractor when a submittal being processed must be delayed for coordination.
- D. Paper Submittals: Place a permanent label or title block on each submittal item for identification.
1. Indicate name of firm or entity that prepared each submittal on label or title block.
  2. Include the following information for processing and recording action taken:
    - a. Project name.
    - b. Date.
    - c. Name of Engineer.
    - d. Name of Contractor.
    - e. Name of subcontractor.
    - f. Name of supplier.
    - g. Name of manufacturer.
    - h. Submittal number or other unique identifier, including revision identifier.
      - 1) Submittal number shall use Specification Section number followed by a decimal point and then a sequential number (e.g., 061000.01). Resubmittals shall include an alphabetic suffix after another decimal point (e.g., 061000.01.A).
    - i. Number and title of appropriate Specification Section.
    - j. Drawing number and detail references, as appropriate.
    - k. Location(s) where product is to be installed, as appropriate.
    - l. Other necessary identification.
    - m. Deviations: Highlight, encircle, or otherwise identify deviations from the Contract Documents on submittals.
  3. Transmittal for Paper Submittals: Assemble each submittal individually and appropriately for transmittal and handling. Transmit each submittal using a transmittal form. Engineer will discard submittals received from sources other than Contractor.
- E. Electronic Submittals: Identify and incorporate information in each electronic submittal file as follows:
1. Assemble complete submittal package into a single indexed file incorporating submittal requirements of a single Specification Section and transmittal form with links enabling navigation to each item.
  2. Name file with submittal number or other unique identifier, including revision identifier.

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- a. File name shall use project identifier and Specification Section number followed by a decimal point and then a sequential number (e.g., LNHS-061000.01). Resubmittals shall include an alphabetic suffix after another decimal point (e.g., LNHS-061000.01.A).
3. Provide means for insertion to permanently record Contractor's review and approval markings and action taken by Engineer.
4. Transmittal Form for Electronic Submittals: Use electronic form acceptable to Owner, containing the following information:
  - a. Project name.
  - b. Date.
  - c. Name and address of Engineer.
  - d. Name of Construction Manager.
  - e. Name of Contractor.
  - f. Name of firm or entity that prepared submittal.
  - g. Names of subcontractor, manufacturer, and supplier.
  - h. Category and type of submittal.
  - i. Submittal purpose and description.
  - j. Specification Section number and title.
  - k. Specification paragraph number or drawing designation and generic name for each of multiple items.
  - l. Drawing number and detail references, as appropriate.
  - m. Location(s) where product is to be installed, as appropriate.
  - n. Related physical samples submitted directly.
  - o. Indication of full or partial submittal.
  - p. Transmittal number, numbered consecutively.
  - q. Submittal and transmittal distribution record.
  - r. Other necessary identification.
  - s. Remarks.
5. Metadata: Include the following information as keywords in the electronic submittal file metadata:
  - a. Project name.
  - b. Number and title of appropriate Specification Section.
  - c. Manufacturer name.
  - d. Product name.
- F. Options: Identify options requiring selection by Engineer.
- G. Deviations and Additional Information: On an attached separate sheet, prepared on Contractor's letterhead, record relevant information, requests for data, revisions other than those requested by Engineer on previous submittals, and deviations from requirements in the Contract Documents, including minor variations and limitations. Include same identification information as related submittal.
- H. Resubmittals: Make resubmittals in same form and number of copies as initial submittal.
  1. Note date and content of previous submittal.

## SECTION 013300 - SUBMITTAL PROCEDURES

2. Note date and content of revision in label or title block and clearly indicate extent of revision.
  3. Resubmit submittals until they are marked with approval notation from Engineer's action stamp.
- I. Distribution: Furnish copies of final submittals to manufacturers, subcontractors, suppliers, fabricators, installers, authorities having jurisdiction, and others as necessary for performance of construction activities. Show distribution on transmittal forms.
  - J. Use for Construction: Retain complete copies of submittals on Project site. Use only final action submittals that are marked with approval notation from Engineer's action stamp.

## PART 2 - PRODUCTS

### 2.1 SUBMITTAL PROCEDURES

- A. General Submittal Procedure Requirements: Prepare and submit submittals required by individual Specification Sections. Types of submittals are indicated in individual Specification Sections.
  1. Submit electronic submittals via email as PDF electronic files.
  2. Submittals: Submit 3 paper copies of each submittal unless otherwise indicated. Engineer will return 2 copies.
  3. Certificates and Certifications Submittals: Provide a statement that includes signature of entity responsible for preparing certification. Certificates and certifications shall be signed by an officer or other individual authorized to sign documents on behalf of that entity.
    - a. Provide a digital signature with digital certificate on electronically submitted certificates and certifications where indicated.
- B. Product Data: Collect information into a single submittal for each element of construction and type of product or equipment.
  1. If information must be specially prepared for submittal because standard published data are not suitable for use, submit as Shop Drawings, not as Product Data.
  2. Mark each copy of each submittal to show which products and options are applicable.
  3. Include the following information, as applicable:
    - a. Manufacturer's catalog cuts.
    - b. Manufacturer's product specifications.
    - c. Standard color charts.
    - d. Statement of compliance with specified referenced standards.
    - e. Testing by recognized testing agency.
    - f. Application of testing agency labels and seals.
    - g. Notation of coordination requirements.
    - h. Availability and delivery time information.

## SECTION 013300 - SUBMITTAL PROCEDURES

4. For equipment, include the following in addition to the above, as applicable:
    - a. Wiring diagrams showing factory-installed wiring.
    - b. Printed performance curves.
    - c. Operational range diagrams.
    - d. Clearances required to other construction, if not indicated on accompanying Shop Drawings.
  5. Submit Product Data before or concurrent with Samples.
  6. Submit Product Data in the following format:
    - a. PDF electronic file.
    - b. Or 3 paper copies of Product Data unless otherwise indicated. Engineer will return 2 copies.
- C. Shop Drawings: Prepare Project-specific information, drawn accurately to scale. Do not base Shop Drawings on reproductions of the Contract Documents or standard printed data.
1. Preparation: Fully illustrate requirements in the Contract Documents. Include the following information, as applicable:
    - a. Identification of products.
    - b. Schedules.
    - c. Compliance with specified standards.
    - d. Notation of coordination requirements.
    - e. Notation of dimensions established by field measurement.
    - f. Relationship and attachment to adjoining construction clearly indicated.
    - g. Seal and signature of professional engineer if specified.
  2. Submit Shop Drawings in the following format:
    - a. PDF electronic file.
    - b. Or 3 opaque (bond) copies of each submittal.

### 2.2 DELEGATED-DESIGN SERVICES

- A. Performance and Design Criteria: Where professional design services or certifications by a design professional are specifically required of Contractor by the Contract Documents, provide products and systems complying with specific performance and design criteria indicated.
  1. If criteria indicated are not sufficient to perform services or certification required, submit a written request for additional information to Engineer.
- B. Delegated-Design Services Certification: In addition to Shop Drawings, Product Data, and other required submittals, submit digitally signed PDF electronic file and three paper copies of certificate, signed and sealed by the responsible design professional, for each product and system specifically assigned to Contractor to be designed or certified by a design professional.
  1. Indicate that products and systems comply with performance and design criteria in the Contract Documents. Include list of codes, loads, and other factors used in performing these services.

## SECTION 013300 - SUBMITTAL PROCEDURES

### PART 3 - EXECUTION

#### 3.1 CONTRACTOR'S REVIEW

- A. Action and Informational Submittals: Review each submittal and check for coordination with other Work of the Contract and for compliance with the Contract Documents. Note corrections and field dimensions. Mark with approval stamp before submitting to Engineer.
- B. Project Closeout and Maintenance Material Submittals: See requirements in Section 017700 "Closeout Procedures."
- C. Approval Stamp: Stamp each submittal with a uniform, approval stamp. Include Project name and location, submittal number, Specification Section title and number, name of reviewer, date of Contractor's approval, and statement certifying that submittal has been reviewed, checked, and approved for compliance with the Contract Documents.

#### 3.2 ENGINEER'S ACTION

- A. Submittals: Engineer will review each submittal, make marks to indicate corrections or revisions required, and return it. Engineer will stamp each submittal with an action stamp and will mark stamp appropriately to indicate action.
- B. Partial submittals prepared for a portion of the Work will be reviewed when use of partial submittals has received prior approval from Engineer.
- C. Incomplete submittals are unacceptable, will be considered nonresponsive, and will be returned for resubmittal without review.
- D. Submittals not required by the Contract Documents may be returned by the Engineer without action.

END OF SECTION 013300

## SECTION 014200 - REFERENCES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### 1.2 DEFINITIONS

- A. General: Basic Contract definitions are included in the Conditions of the Contract.
- B. "Approved": When used to convey Architect's action on Contractor's submittals, applications, and requests, "approved" is limited to Architect's duties and responsibilities as stated in the Conditions of the Contract.
- C. "Directed": A command or instruction by Architect. Other terms including "requested," "authorized," "selected," "required," and "permitted" have the same meaning as "directed."
- D. "Indicated": Requirements expressed by graphic representations or in written form on Drawings, in Specifications, and in other Contract Documents. Other terms including "shown," "noted," "scheduled," and "specified" have the same meaning as "indicated."
- E. "Regulations": Laws, ordinances, statutes, and lawful orders issued by authorities having jurisdiction, and rules, conventions, and agreements within the construction industry that control performance of the Work.
- F. "Furnish": Supply and deliver to Project site, ready for unloading, unpacking, assembly, installation, and similar operations.
- G. "Install": Unload, temporarily store, unpack, assemble, erect, place, anchor, apply, work to dimension, finish, cure, protect, clean, and similar operations at Project site.
- H. "Provide": Furnish and install, complete and ready for the intended use.
- I. "Project Site": Space available for performing construction activities. The extent of Project site is shown on Drawings and may or may not be identical with the description of the land on which Project is to be built.
- J. "Installer": An installer is the Contractor or another entity engaged by Contractor as an employee, Subcontractor, or Sub-subcontractor, to perform a particular construction operation, including installation, erection, application, and similar operations.
- K. The term "experienced," when used with an entity, means having successfully completed a minimum of **five** previous projects similar in size and scope to this Project; being familiar with special requirements indicated; and having complied with requirements of authorities having jurisdiction.

## SECTION 014200 - REFERENCES

### 1.3 INDUSTRY STANDARDS

- A. Applicability of Standards: Unless the Contract Documents include more stringent requirements, applicable construction industry standards have the same force and effect as if bound or copied directly into the Contract Documents to the extent referenced. Such standards are made a part of the Contract Documents by reference.
- B. Publication Dates: Comply with standards in effect as of date of the Contract Documents unless otherwise indicated.
- C. Conflicting Requirements: If compliance with two or more standards is specified and the standards establish different or conflicting requirements for minimum quantities or quality levels, comply with the most stringent requirement. Refer uncertainties and requirements that are different, but apparently equal, to Engineer for a decision before proceeding.
  - 1. Minimum Quantity or Quality Levels: The quantity or quality level shown or specified shall be the minimum provided or performed. The actual installation may comply exactly with the minimum quantity or quality specified, or it may exceed the minimum within reasonable limits. To comply with these requirements, indicated numeric values are minimum or maximum, as appropriate, for the context of requirements. Refer uncertainties to Engineer for a decision before proceeding.
- D. Copies of Standards: Each entity engaged in construction on Project should be familiar with industry standards applicable to its construction activity. Copies of applicable standards are not bound with the Contract Documents.
  - 1. Where copies of standards are needed to perform a required construction activity, obtain copies directly from publication source.

### 1.4 ABBREVIATIONS AND ACRONYMS

- A. Industry Organizations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities indicated in Gale's "Encyclopedia of Associations: National Organizations of the U.S." or in Columbia Books' "National Trade & Professional Associations of the United States."
- B. Code Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. This information is believed to be accurate as of the date of the Contract Documents.
  - 1. DIN - Deutsches Institut für Normung e.V.; [www.din.de](http://www.din.de).
  - 2. IAPMO - International Association of Plumbing and Mechanical Officials; [www.iapmo.org](http://www.iapmo.org).
  - 3. ICC - International Code Council; [www.iccsafe.org](http://www.iccsafe.org).
  - 4. ICC-ES - ICC Evaluation Service, LLC; [www.icc-es.org](http://www.icc-es.org).
- C. Federal Government Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. Information is subject to change and is up to date as of the date of the Contract Documents.

## SECTION 014200 - REFERENCES

1. COE - Army Corps of Engineers; [www.usace.army.mil](http://www.usace.army.mil).
  2. CPSC - Consumer Product Safety Commission; [www.cpsc.gov](http://www.cpsc.gov).
  3. DOC - Department of Commerce; National Institute of Standards and Technology; [www.nist.gov](http://www.nist.gov).
  4. DOD - Department of Defense; [www.quicksearch.dla.mil](http://www.quicksearch.dla.mil).
  5. DOE - Department of Energy; [www.energy.gov](http://www.energy.gov).
  6. EPA - Environmental Protection Agency; [www.epa.gov](http://www.epa.gov).
  7. FAA - Federal Aviation Administration; [www.faa.gov](http://www.faa.gov).
  8. FG - Federal Government Publications; [www.gpo.gov](http://www.gpo.gov).
  9. GSA - General Services Administration; [www.gsa.gov](http://www.gsa.gov).
  10. HUD - Department of Housing and Urban Development; [www.hud.gov](http://www.hud.gov).
  11. LBL - Lawrence Berkeley National Laboratory; Environmental Energy Technologies Division; [www.eetd.lbl.gov](http://www.eetd.lbl.gov).
  12. OSHA - Occupational Safety & Health Administration; [www.osha.gov](http://www.osha.gov).
  13. SD - Department of State; [www.state.gov](http://www.state.gov).
  14. TRB - Transportation Research Board; National Cooperative Highway Research Program; The National Academies; [www.trb.org](http://www.trb.org).
  15. USDA - Department of Agriculture; Agriculture Research Service; U.S. Salinity Laboratory; [www.ars.usda.gov](http://www.ars.usda.gov).
  16. USDA - Department of Agriculture; Rural Utilities Service; [www.usda.gov](http://www.usda.gov).
  17. USDJ - Department of Justice; Office of Justice Programs; National Institute of Justice; [www.ojp.usdoj.gov](http://www.ojp.usdoj.gov).
  18. USP - U.S. Pharmacopeial Convention; [www.usp.org](http://www.usp.org).
  19. USPS - United States Postal Service; [www.usps.com](http://www.usps.com).
- D. Standards and Regulations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the standards and regulations in the following list. This information is subject to change and is believed to be accurate as of the date of the Contract Documents.
1. CFR - Code of Federal Regulations; Available from Government Printing Office; [www.gpo.gov/fdsys](http://www.gpo.gov/fdsys).
  2. DOD - Department of Defense; Military Specifications and Standards; Available from DLA Document Services; [www.quicksearch.dla.mil](http://www.quicksearch.dla.mil).
  3. DSCC - Defense Supply Center Columbus; (See FS).
  4. FED-STD - Federal Standard; (See FS).
  5. FS - Federal Specification; Available from DLA Document Services; [www.quicksearch.dla.mil](http://www.quicksearch.dla.mil).
    - a. Available from Defense Standardization Program; [www.dsp.dla.mil](http://www.dsp.dla.mil).
    - b. Available from General Services Administration; [www.gsa.gov](http://www.gsa.gov).
    - c. Available from National Institute of Building Sciences/Whole Building Design Guide; [www.wbdg.org/ccb](http://www.wbdg.org/ccb).
  6. MILSPEC - Military Specification and Standards; (See DOD).
  7. USAB - United States Access Board; [www.access-board.gov](http://www.access-board.gov).
  8. USATBCB - U.S. Architectural & Transportation Barriers Compliance Board; (See USAB).
- E. State Government Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following

## SECTION 014200 - REFERENCES

list. This information is subject to change and is believed to be accurate as of the date of the Contract Documents.

1. CBHF; State of California; Department of Consumer Affairs; Bureau of Electronic and Appliance Repair, Home Furnishings and Thermal Insulation; [www.bearhfti.ca.gov](http://www.bearhfti.ca.gov).
2. CCR; California Code of Regulations; Office of Administrative Law; California Title 24 Energy Code; [www.calregs.com](http://www.calregs.com).
3. CDHS; California Department of Health Services; (See CDPH).
4. CDPH; California Department of Public Health; Indoor Air Quality Program; [www.cal-iaq.org](http://www.cal-iaq.org).
5. CPUC; California Public Utilities Commission; [www.cpuc.ca.gov](http://www.cpuc.ca.gov).
6. SCAQMD; South Coast Air Quality Management District; [www.aqmd.gov](http://www.aqmd.gov).
7. TFS; Texas A&M Forest Service; Sustainable Forestry and Economic Development; [www.txforestservation.tamu.edu](http://www.txforestservation.tamu.edu).

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 014200

## SECTION 016000 - PRODUCT REQUIREMENTS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section includes administrative and procedural requirements for selection of products for use in Project; product delivery, storage, and handling; manufacturers' standard warranties on products; special warranties; and comparable products.

#### 1.3 DEFINITIONS

- A. Products: Items obtained for incorporating into the Work, whether purchased for Project or taken from previously purchased stock. The term "product" includes the terms "material," "equipment," "system," and terms of similar intent.
  - 1. Named Products: Items identified by manufacturer's product name, including make or model number or other designation shown or listed in manufacturer's published product literature, that is current as of date of the Contract Documents.
  - 2. New Products: Items that have not previously been incorporated into another project or facility. Products salvaged or recycled from other projects are not considered new products.
  - 3. Comparable Product: Product that is demonstrated and approved through submittal process to have the indicated qualities related to type, function, dimension, in-service performance, physical properties, appearance, and other characteristics that equal or exceed those of specified product.
- B. Basis-of-Design Product Specification: A specification in which a specific manufacturer's product is named and accompanied by the words "basis-of-design product," including make or model number or other designation, to establish the significant qualities related to type, function, dimension, in-service performance, physical properties, appearance, and other characteristics for purposes of evaluating comparable products of additional manufacturers named in the specification.

#### 1.4 ACTION SUBMITTALS

- A. Comparable Product Requests: Submit request for consideration of each comparable product 7 days prior to bidding. Identify product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.
  - 1. Include data to indicate compliance with the requirements specified in "Comparable Products" Article.

## SECTION 016000 - PRODUCT REQUIREMENTS

- B. Basis-of-Design Product Specification Submittal: Comply with requirements in Section 013300 "Submittal Procedures." Show compliance with requirements.

### 1.5 QUALITY ASSURANCE

- A. Compatibility of Options: If Contractor is given option of selecting between two or more products for use on Project, select product compatible with products previously selected, even if previously selected products were also options.
  - 1. Each contractor is responsible for providing products and construction methods compatible with products and construction methods of other contractors.
  - 2. If a dispute arises between contractors over concurrently selectable but incompatible products, Engineer will determine which products shall be used.

### 1.6 PRODUCT DELIVERY, STORAGE, AND HANDLING

- A. Deliver, store, and handle products using means and methods that will prevent damage, deterioration, and loss, including theft and vandalism. Comply with manufacturer's written instructions.
- B. Delivery and Handling:
  - 1. Schedule delivery to minimize long-term storage at Project site and to prevent overcrowding of construction spaces.
  - 2. Coordinate delivery with installation time to ensure minimum holding time for items that are flammable, hazardous, easily damaged, or sensitive to deterioration, theft, and other losses.
  - 3. Deliver products to Project site in an undamaged condition in manufacturer's original sealed container or other packaging system, complete with labels and instructions for handling, storing, unpacking, protecting, and installing.
  - 4. Inspect products on delivery to determine compliance with the Contract Documents and to determine that products are undamaged and properly protected.
- C. Storage:
  - 1. Store products to allow for inspection and measurement of quantity or counting of units.
  - 2. Store materials in a manner that will not endanger Project structure.
  - 3. Store products that are subject to damage by the elements, under cover in a weathertight enclosure above ground, with ventilation adequate to prevent condensation.
  - 4. Protect foam plastic from exposure to sunlight, except to extent necessary for period of installation and concealment.
  - 5. Comply with product manufacturer's written instructions for temperature, humidity, ventilation, and weather-protection requirements for storage.
  - 6. Protect stored products from damage and liquids from freezing.
  - 7. Provide a secure location and enclosure at Project site for storage of materials and equipment by Owner's construction forces. Coordinate location with Owner.

## SECTION 016000 - PRODUCT REQUIREMENTS

### 1.7 PRODUCT WARRANTIES

- A. Warranties specified in other Sections shall be in addition to, and run concurrent with, other warranties required by the Contract Documents. Manufacturer's disclaimers and limitations on product warranties do not relieve Contractor of obligations under requirements of the Contract Documents.
  - 1. Manufacturer's Warranty: Written warranty furnished by individual manufacturer for a particular product and specifically endorsed by manufacturer to Owner.
  - 2. Special Warranty: Written warranty required by the Contract Documents to provide specific rights for Owner.
- B. Special Warranties: Prepare a written document that contains appropriate terms and identification, ready for execution.
  - 1. Manufacturer's Standard Form: Modified to include Project-specific information and properly executed.
  - 2. Specified Form: When specified forms are included with the Specifications, prepare a written document using indicated form properly executed.
  - 3. See other Sections for specific content requirements and particular requirements for submitting special warranties.
- C. Submittal Time: Comply with requirements in Section 017700 "Closeout Procedures."

## PART 2 - PRODUCTS

### 2.1 PRODUCT SELECTION PROCEDURES

- A. General Product Requirements: Provide products that comply with the Contract Documents, are undamaged and, unless otherwise indicated, are new at time of installation.
  - 1. Provide products complete with accessories, trim, finish, fasteners, and other items needed for a complete installation and indicated use and effect.
  - 2. Standard Products: If available, and unless custom products or nonstandard options are specified, provide standard products of types that have been produced and used successfully in similar situations on other projects.
  - 3. Owner reserves the right to limit selection to products with warranties not in conflict with requirements of the Contract Documents.
  - 4. Where products are accompanied by the term "as selected," Engineer will make selection.
  - 5. Descriptive, performance, and reference standard requirements in the Specifications establish salient characteristics of products.
  - 6. Or Equal: For products specified by name and accompanied by the term "or equal," or "or approved equal," or "or approved," comply with requirements in "Comparable Products" Article to obtain approval for use of an unnamed product.
- B. Product Selection Procedures:

## SECTION 016000 - PRODUCT REQUIREMENTS

1. Product: Where Specifications name a single manufacturer and product, provide the named product that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered.
2. Manufacturer/Source: Where Specifications name a single manufacturer or source, provide a product by the named manufacturer or source that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered.
3. Products:
  - a. Where Specifications include a list of names of both manufacturers and products, provide one of the products listed that complies with requirements. Comparable products or substitutions for Contractor's convenience **will not** be considered unless otherwise indicated.
4. Manufacturers:
  - a. Where Specifications include a list of manufacturers' names, provide a product by one of the manufacturers listed that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered.
5. Basis-of-Design Product: Where Specifications name a product, or refer to a product indicated on Drawings, and include a list of manufacturers, provide the specified or indicated product or a comparable product by one of the other named manufacturers. Drawings and Specifications indicate sizes, profiles, dimensions, and other characteristics that are based on the product named. Comply with requirements in "Comparable Products" Article for consideration of an unnamed product by one of the other named manufacturers.

PART 3 - EXECUTION (Not Used)

END OF SECTION 016000

## SECTION 017300 - EXECUTION

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section includes general administrative and procedural requirements governing execution of the Work including, but not limited to, the following:
  - 1. Construction layout.
  - 2. Field engineering and surveying.
  - 3. Installation of the Work.
  - 4. Cutting and patching.
  - 5. Coordination of Owner-installed products.
  - 6. Progress cleaning.
  - 7. Starting and adjusting.
  - 8. Protection of installed construction.

#### 1.3 DEFINITIONS

- A. Cutting: Removal of in-place construction necessary to permit installation or performance of other work.
- B. Patching: Fitting and repair work required to restore construction to original conditions after installation of other work.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. Cutting and Patching Plan: Submit plan describing procedures at least 10 days prior to the time cutting and patching will be performed. Include the following information:
  - 1. Extent: Describe reason for and extent of each occurrence of cutting and patching.
  - 2. Changes to In-Place Construction: Describe anticipated results. Include changes to structural elements and operating components as well as changes in building appearance and other significant visual elements.
  - 3. Products: List products to be used for patching and firms or entities that will perform patching work.
  - 4. Dates: Indicate when cutting and patching will be performed.
  - 5. Utilities and Mechanical and Electrical Systems: List services and systems that cutting and patching procedures will disturb or affect. List services and systems that will be relocated and those that will be temporarily out of service. Indicate length of time permanent services and systems will be disrupted.

## SECTION 017300 - EXECUTION

- a. Include description of provisions for temporary services and systems during interruption of permanent services and systems.
6. Engineer's Approval: Obtain approval of cutting and patching proposal before cutting and patching. Approval does not waive right to later require removal and replacement of unsatisfactory work.

### 1.5 QUALITY ASSURANCE

- A. Cutting and Patching: Comply with requirements for and limitations on cutting and patching of construction elements.
  1. Structural Elements: Do not cut and patch structural elements in a manner that could change their load-carrying capacity or increase deflection. When cutting and patching structural elements, retain a licensed Structural Engineer. Notify Structural Engineer of locations and details of cutting and obtain written approval before proceeding. Shore, brace, and support structural elements during cutting and patching.
  2. Operational Elements: Do not cut and patch operating elements and related components in a manner that results in reducing their capacity to perform as intended or that results in increased maintenance or decreased operational life or safety. Operational elements include the following:
    - a. Primary operational systems and equipment.
    - b. Fire separation assemblies.
    - c. Air or smoke barriers.
    - d. Fire-suppression systems.
    - e. Mechanical systems piping and ducts.
    - f. Control systems.
    - g. Communication systems.
    - h. Fire-detection and -alarm systems.
    - i. Conveying systems.
    - j. Electrical wiring systems.
    - k. Operating systems of special construction.
  3. Other Construction Elements: Do not cut and patch other construction elements or components in a manner that could change their load-carrying capacity, that results in reducing their capacity to perform as intended, or that results in increased maintenance or decreased operational life or safety. Other construction elements include but are not limited to the following:
    - a. Water, moisture, or vapor barriers.
    - b. Membranes and flashings.
    - c. Exterior curtain-wall construction.
    - d. Sprayed fire-resistive material.
    - e. Equipment supports.
    - f. Piping, ductwork, vessels, and equipment.
    - g. Noise- and vibration-control elements and systems.
  4. Visual Elements: Do not cut and patch construction in a manner that results in visual evidence of cutting and patching. Do not cut and patch exposed construction in a manner

## SECTION 017300 - EXECUTION

that would, in Architect's opinion, reduce the building's aesthetic qualities. Remove and replace construction that has been cut and patched in a visually unsatisfactory manner.

- B. Cutting and Patching Conference: Before proceeding, meet at Project site with parties involved in cutting and patching, including mechanical and electrical trades. Review areas of potential interference and conflict. Coordinate procedures and resolve potential conflicts before proceeding.
- C. Manufacturer's Installation Instructions: Obtain and maintain on-site manufacturer's written recommendations and instructions for installation of products and equipment.

### 1.6 WARRANTY

- A. Existing Warranties: Remove, replace, patch, and repair materials and surfaces cut or damaged during cutting and patching operations, by methods and with materials so as not to void existing warranties.

## PART 2 - PRODUCTS

### 2.1 MATERIALS

- A. General: Comply with requirements specified in other Sections.
- B. In-Place Materials: Use materials for patching identical to in-place materials. For exposed surfaces, use materials that visually match in-place adjacent surfaces to the fullest extent possible.
  - 1. If identical materials are unavailable or cannot be used, use materials that, when installed, will provide a match acceptable to Architect for the visual and functional performance of in-place materials.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Existing Conditions: The existence and location of underground and other utilities and construction indicated as existing are not guaranteed. Before beginning sitework, investigate and verify the existence and location of underground utilities, mechanical, plumbing, and electrical systems, and other construction affecting the Work.
  - 1. Before construction, verify the location and invert elevation at points of connection of sanitary sewer, storm sewer, and water-service piping; underground electrical services, and other utilities.
  - 2. Furnish location data for work related to Project that must be performed by public utilities serving Project site.

## SECTION 017300 - EXECUTION

- B. Examination and Acceptance of Conditions: Before proceeding with each component of the Work, examine substrates, areas, and conditions, with Installer or Applicator present where indicated, for compliance with requirements for installation tolerances and other conditions affecting performance. Record observations.
  - 1. Examine roughing-in for mechanical and electrical systems to verify actual locations of connections before equipment and fixture installation.
  - 2. Examine walls, floors, and roofs for suitable conditions where products and systems are to be installed.
  - 3. Verify compatibility with and suitability of substrates, including compatibility with existing finishes or primers.
- C. Written Report: Where a written report listing conditions detrimental to performance of the Work is required by other Sections, include the following:
  - 1. Description of the Work.
  - 2. List of detrimental conditions, including substrates.
  - 3. List of unacceptable installation tolerances.
  - 4. Recommended corrections.
- D. Proceed with installation only after unsatisfactory conditions have been corrected. Proceeding with the Work indicates acceptance of surfaces and conditions.

### 3.2 PREPARATION

- A. Existing Utility Information: Furnish information to local utility and Owner that is necessary to adjust, move, or relocate existing utility structures, utility poles, lines, services, or other utility appurtenances located in or affected by construction. Coordinate with authorities having jurisdiction.
- B. Field Measurements: Take field measurements as required to fit the Work properly. Recheck measurements before installing each product. Where portions of the Work are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication. Coordinate fabrication schedule with construction progress to avoid delaying the Work.
- C. Space Requirements: Verify space requirements and dimensions of items shown diagrammatically on Drawings.
- D. Review of Contract Documents and Field Conditions: Immediately on discovery of the need for clarification of the Contract Documents caused by differing field conditions outside the control of Contractor, submit a request for information to Architect according to requirements in Section 013100 "Project Management and Coordination."

### 3.3 CONSTRUCTION LAYOUT

- A. Verification: Before proceeding to lay out the Work, verify layout information shown on Drawings, in relation to the property survey and existing benchmarks. If discrepancies are discovered, notify Architect promptly.

## SECTION 017300 - EXECUTION

- B. Site Improvements: Locate and lay out site improvements, including pavements, grading, fill and topsoil placement, utility slopes, and rim and invert elevations.
- C. Building Lines and Levels: Locate and lay out control lines and levels for structures, building foundations, column grids, and floor levels, including those required for mechanical and electrical work. Transfer survey markings and elevations for use with control lines and levels. Level foundations and piers from two or more locations.
- D. Record Log: Maintain a log of layout control work. Record deviations from required lines and levels. Include beginning and ending dates and times of surveys, weather conditions, name and duty of each survey party member, and types of instruments and tapes used. Make the log available for reference by Architect.

### 3.4 INSTALLATION

- A. General: Locate the Work and components of the Work accurately, in correct alignment and elevation, as indicated.
  - 1. Make vertical work plumb and make horizontal work level.
  - 2. Where space is limited, install components to maximize space available for maintenance and ease of removal for replacement.
  - 3. Conceal pipes, ducts, and wiring in finished areas unless otherwise indicated.
  - 4. Maintain minimum headroom clearance of 96 inches in occupied spaces and 90 inches in unoccupied spaces.
- B. Comply with manufacturer's written instructions and recommendations for installing products in applications indicated.
- C. Install products at the time and under conditions that will ensure the best possible results. Maintain conditions required for product performance until Substantial Completion.
- D. Conduct construction operations so no part of the Work is subjected to damaging operations or loading in excess of that expected during normal conditions of occupancy.
- E. Sequence the Work and allow adequate clearances to accommodate movement of construction items on site and placement in permanent locations.
- F. Tools and Equipment: Do not use tools or equipment that produce harmful noise levels.
- G. Templates: Obtain and distribute to the parties involved templates for work specified to be factory prepared and field installed. Check Shop Drawings of other work to confirm that adequate provisions are made for locating and installing products to comply with indicated requirements.
- H. Attachment: Provide blocking and attachment plates and anchors and fasteners of adequate size and number to securely anchor each component in place, accurately located and aligned with other portions of the Work. Where size and type of attachments are not indicated, verify size and type required for load conditions.

## SECTION 017300 - EXECUTION

1. Mounting Heights: Where mounting heights are not indicated, mount components at heights directed by Architect.
  2. Allow for building movement, including thermal expansion and contraction.
  3. Coordinate installation of anchorages. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to Project site in time for installation.
- I. Joints: Make joints of uniform width. Where joint locations in exposed work are not indicated, arrange joints for the best visual effect. Fit exposed connections together to form hairline joints.
- J. Hazardous Materials: Use products, cleaners, and installation materials that are not considered hazardous.

### 3.5 CUTTING AND PATCHING

- A. Cutting and Patching, General: Employ skilled workers to perform cutting and patching. Proceed with cutting and patching at the earliest feasible time, and complete without delay.
1. Cut in-place construction to provide for installation of other components or performance of other construction, and subsequently patch as required to restore surfaces to their original condition.
- B. Existing Warranties: Remove, replace, patch, and repair materials and surfaces cut or damaged during installation or cutting and patching operations, by methods and with materials so as not to void existing warranties.
- C. Temporary Support: Provide temporary support of work to be cut.
- D. Protection: Protect in-place construction during cutting and patching to prevent damage. Provide protection from adverse weather conditions for portions of Project that might be exposed during cutting and patching operations.
- E. Adjacent Occupied Areas: Where interference with use of adjoining areas or interruption of free passage to adjoining areas is unavoidable, coordinate cutting and patching according to requirements in Section 011000 "Summary."
- F. Existing Utility Services and Mechanical/Electrical Systems: Where existing services/systems are required to be removed, relocated, or abandoned, bypass such services/systems before cutting to prevent interruption to occupied areas.
- G. Cutting: Cut in-place construction by sawing, drilling, breaking, chipping, grinding, and similar operations, including excavation, using methods least likely to damage elements retained or adjoining construction. If possible, review proposed procedures with original Installer; comply with original Installer's written recommendations.
1. In general, use hand or small power tools designed for sawing and grinding, not hammering and chopping. Cut holes and slots neatly to minimum size required, and with minimum disturbance of adjacent surfaces. Temporarily cover openings when not in use.
  2. Finished Surfaces: Cut or drill from the exposed or finished side into concealed surfaces.

## SECTION 017300 - EXECUTION

3. Concrete and Masonry: Cut using a cutting machine, such as an abrasive saw or a diamond-core drill.
  4. Excavating and Backfilling: Comply with requirements in applicable Sections where required by cutting and patching operations.
  5. Mechanical and Electrical Services: Cut off pipe or conduit in walls or partitions to be removed. Cap, valve, or plug and seal remaining portion of pipe or conduit to prevent entrance of moisture or other foreign matter after cutting.
  6. Proceed with patching after construction operations requiring cutting are complete.
- H. Patching: Patch construction by filling, repairing, refinishing, closing up, and similar operations following performance of other work. Patch with durable seams that are as invisible as practicable. Provide materials and comply with installation requirements specified in other Sections, where applicable.
1. Inspection: Where feasible, test and inspect patched areas after completion to demonstrate physical integrity of installation.
  2. Exposed Finishes: Restore exposed finishes of patched areas and extend finish restoration into retained adjoining construction in a manner that will minimize evidence of patching and refinishing.
    - a. Clean piping, conduit, and similar features before applying paint or other finishing materials.
    - b. Restore damaged pipe covering to its original condition.
  3. Floors and Walls: Where walls or partitions that are removed extend one finished area into another, patch and repair floor and wall surfaces in the new space. Provide an even surface of uniform finish, color, texture, and appearance. Remove in-place floor and wall coverings and replace with new materials, if necessary, to achieve uniform color and appearance.
    - a. Where patching occurs in a painted surface, prepare substrate and apply primer and intermediate paint coats appropriate for substrate over the patch, and apply final paint coat over entire unbroken surface containing the patch. Provide additional coats until patch blends with adjacent surfaces.
  4. Ceilings: Patch, repair, or rehang in-place ceilings as necessary to provide an even-plane surface of uniform appearance.
  5. Exterior Building Enclosure: Patch components in a manner that restores enclosure to a weathertight condition and ensures thermal and moisture integrity of building enclosure.
- I. Cleaning: Clean areas and spaces where cutting and patching are performed. Remove paint, mortar, oils, putty, and similar materials from adjacent finished surfaces.

### 3.6 OWNER-INSTALLED PRODUCTS

- A. Site Access: Provide access to Project site for Owner's construction personnel.
- B. Coordination: Coordinate construction and operations of the Work with work performed by Owner's construction personnel.

## SECTION 017300 - EXECUTION

1. Construction Schedule: Inform Owner of Contractor's preferred construction schedule for Owner's portion of the Work. Adjust construction schedule based on a mutually agreeable timetable. Notify Owner if changes to schedule are required due to differences in actual construction progress.
2. Preinstallation Conferences: Include Owner's construction personnel at preinstallation conferences covering portions of the Work that are to receive Owner's work. Attend preinstallation conferences conducted by Owner's construction personnel if portions of the Work depend on Owner's construction.

### 3.7 PROGRESS CLEANING

- A. General: Clean Project site and work areas daily, including common areas. Enforce requirements strictly. Dispose of materials lawfully.
  1. Comply with requirements in NFPA 241 for removal of combustible waste materials and debris.
  2. Do not hold waste materials more than seven days during normal weather or three days if the temperature is expected to rise above 80 deg F.
  3. Containerize hazardous and unsanitary waste materials separately from other waste. Mark containers appropriately and dispose of legally, according to regulations.
    - a. Use containers intended for holding waste materials of type to be stored.
  4. Coordinate progress cleaning for joint-use areas where Contractor and other contractors are working concurrently.
- B. Site: Maintain Project site free of waste materials and debris.
- C. Work Areas: Clean areas where work is in progress to the level of cleanliness necessary for proper execution of the Work.
  1. Remove liquid spills promptly.
  2. Where dust would impair proper execution of the Work, broom-clean or vacuum the entire work area, as appropriate.
- D. Installed Work: Keep installed work clean. Clean installed surfaces according to written instructions of manufacturer or fabricator of product installed, using only cleaning materials specifically recommended. If specific cleaning materials are not recommended, use cleaning materials that are not hazardous to health or property and that will not damage exposed surfaces.
- E. Concealed Spaces: Remove debris from concealed spaces before enclosing the space.
- F. Exposed Surfaces in Finished Areas: Clean exposed surfaces and protect as necessary to ensure freedom from damage and deterioration at time of Substantial Completion.
- G. Waste Disposal: Do not bury or burn waste materials on-site. Do not wash waste materials down sewers or into waterways. Comply with waste disposal requirements.

## SECTION 017300 - EXECUTION

- H. During handling and installation, clean and protect construction in progress and adjoining materials already in place. Apply protective covering where required to ensure protection from damage or deterioration at Substantial Completion.
- I. Clean and provide maintenance on completed construction as frequently as necessary through the remainder of the construction period. Adjust and lubricate operable components to ensure operability without damaging effects.
- J. Limiting Exposures: Supervise construction operations to assure that no part of the construction, completed or in progress, is subject to harmful, dangerous, damaging, or otherwise deleterious exposure during the construction period.

### 3.8 STARTING AND ADJUSTING

- A. Coordinate startup and adjusting of equipment and operating components with requirements in Section 019113 "General Commissioning Requirements."
- B. Start equipment and operating components to confirm proper operation. Remove malfunctioning units, replace with new units, and retest.
- C. Adjust equipment for proper operation. Adjust operating components for proper operation without binding.
- D. Test each piece of equipment to verify proper operation. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- E. Manufacturer's Field Service: Comply with qualification requirements in Section 014000 "Quality Requirements."

### 3.9 PROTECTION OF INSTALLED CONSTRUCTION

- A. Provide final protection and maintain conditions that ensure installed Work is without damage or deterioration at time of Substantial Completion.
- B. Comply with manufacturer's written instructions for temperature and relative humidity.

END OF SECTION 017300

## SECTION 017320 - SELECTIVE DEMOLITION

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Demolition and removal of selected portions of building or structure.
  - 2. Demolition and removal of selected site elements.
  - 3. Salvage of existing items to be reused or recycled.

#### 1.3 DEFINITIONS

- A. Remove: Detach items from existing construction and dispose of them off-site unless indicated to be salvaged or reinstalled.
- B. Remove and Salvage: Detach items from existing construction, in a manner to prevent damage, and deliver to Owner ready for reuse.
- C. Remove and Reinstall: Detach items from existing construction, in a manner to prevent damage, prepare for reuse, and reinstall where indicated.
- D. Existing to Remain: Leave existing items that are not to be removed and that are not otherwise indicated to be salvaged or reinstalled.
- E. Dismantle: To remove by disassembling or detaching an item from a surface, using gentle methods and equipment to prevent damage to the item and surfaces; disposing of items unless indicated to be salvaged or reinstalled.

#### 1.4 MATERIALS OWNERSHIP

- A. Unless otherwise indicated, demolition waste becomes property of Contractor.
- B. Historic items, relics, antiques, and similar objects including, but not limited to, cornerstones and their contents, commemorative plaques and tablets, and other items of interest or value to Owner that may be uncovered during demolition remain the property of Owner.
  - 1. Carefully salvage in a manner to prevent damage and promptly return to Owner.

## SECTION 017320 - SELECTIVE DEMOLITION

### 1.5 PREINSTALLATION MEETINGS

- A. Predemolition Conference: Conduct conference at Project site.
  - 1. Inspect and discuss condition of construction to be selectively demolished.
  - 2. Review structural load limitations of existing structure.
  - 3. Review and finalize selective demolition schedule and verify availability of materials, demolition personnel, equipment, and facilities needed to make progress and avoid delays.
  - 4. Review requirements of work performed by other trades that rely on substrates exposed by selective demolition operations.
  - 5. Review areas where existing construction is to remain and requires protection.

### 1.6 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For refrigerant recovery technician.
- B. Proposed Protection Measures: Submit report, including Drawings, that indicates the measures proposed for protecting individuals and property, for environmental protection , for dust control and , for noise control. Indicate proposed locations and construction of barriers.
- C. Schedule of Selective Demolition Activities: Indicate the following:
  - 1. Detailed sequence of selective demolition and removal work, with starting and ending dates for each activity. Ensure Owner's building manager's and other tenants' on-site operations are uninterrupted.
  - 2. Interruption of utility services. Indicate how long utility services will be interrupted.
  - 3. Coordination for shutoff, capping, and continuation of utility services.
  - 4. Use of elevator and stairs.
  - 5. Coordination of Owner's continuing occupancy of portions of existing building and of Owner's partial occupancy of completed Work.
- D. Predemolition Photographs or Video: Show existing conditions of adjoining construction, including finish surfaces, that might be misconstrued as damage caused by demolition operations.
- E. Statement of Refrigerant Recovery: Signed by refrigerant recovery technician responsible for recovering refrigerant, stating that all refrigerant that was present was recovered and that recovery was performed according to EPA regulations. Include name and address of technician and date refrigerant was recovered.
- F. Warranties: Documentation indicating that existing warranties are still in effect after completion of selective demolition.

### 1.7 CLOSEOUT SUBMITTALS

- A. Inventory: Submit a list of items that have been removed and salvaged.

## SECTION 017320 - SELECTIVE DEMOLITION

### 1.8 QUALITY ASSURANCE

- A. Refrigerant Recovery Technician Qualifications: Certified by an EPA-approved certification program.

### 1.9 FIELD CONDITIONS

- A. Owner will occupy portions of building immediately adjacent to selective demolition area. Conduct selective demolition so Owner's operations will not be disrupted.
- B. Conditions existing at time of inspection for bidding purpose will be maintained by Owner as far as practical.
- C. Notify Engineer of discrepancies between existing conditions and Drawings before proceeding with selective demolition.
- D. Hazardous Materials: It is not expected that hazardous materials will be encountered in the Work.
  - 1. Hazardous materials will be removed by Owner before start of the Work.
  - 2. If suspected hazardous materials are encountered, do not disturb; immediately notify Engineer and Owner. Hazardous materials will be removed by Owner under a separate contract.
- E. Historic Areas: Demolition and hauling equipment and other materials shall be of sizes that clear surfaces within historic spaces, areas, rooms, and openings, including temporary protection.
- F. Storage or sale of removed items or materials on-site is not permitted.
- G. Utility Service: Maintain existing utilities indicated to remain in service and protect them against damage during selective demolition operations.
  - 1. Maintain fire-protection facilities in service during selective demolition operations.

### 1.10 WARRANTY

- A. Existing Warranties: Remove, replace, patch, and repair materials and surfaces cut or damaged during selective demolition, by methods and with materials and using approved contractors so as not to void existing warranties. Notify warrantor before proceeding. Existing warranties include the following:
  - a. Roofing.
  - b. Firestopping.
- B. Notify warrantor on completion of selective demolition, and obtain documentation verifying that existing system has been inspected and warranty remains in effect. Submit documentation at Project closeout.

## SECTION 017320 - SELECTIVE DEMOLITION

### 1.11 COORDINATION

- A. Arrange selective demolition schedule so as not to interfere with Owner's operations.

## PART 2 - PRODUCTS

### 2.1 PERFORMANCE REQUIREMENTS

- A. Regulatory Requirements: Comply with governing EPA notification regulations before beginning selective demolition. Comply with hauling and disposal regulations of authorities having jurisdiction.
- B. Standards: Comply with ASSE A10.6 and NFPA 241.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Verify that utilities have been disconnected and capped before starting selective demolition operations.
- B. Review Project Record Documents of existing construction or other existing condition and hazardous material information provided by Owner. Owner does not guarantee that existing conditions are same as those indicated in Project Record Documents.
- C. Engage a professional engineer to perform an engineering survey of condition of building to determine whether removing any element might result in structural deficiency or unplanned collapse of any portion of structure or adjacent structures during selective building demolition operations.
  - 1. Perform surveys as the Work progresses to detect hazards resulting from selective demolition activities.
- D. Steel Tendons: Locate tensioned steel tendons and include recommendations for de-tensioning.
- E. Verify that hazardous materials have been remediated before proceeding with building demolition operations.
- F. Survey of Existing Conditions: Record existing conditions by use of preconstruction photographs or video.
  - 1. Comply with requirements specified in Section 013233 "Photographic Documentation."
  - 2. Inventory and record the condition of items to be removed and salvaged. Provide photographs or video of conditions that might be misconstrued as damage caused by salvage operations.
  - 3. Before selective demolition or removal of existing building elements that will be reproduced or duplicated in final Work, make permanent record of measurements, materials, and construction details required to make exact reproduction.

## SECTION 017320 - SELECTIVE DEMOLITION

### 3.2 PREPARATION

- A. Refrigerant: Before starting demolition, remove refrigerant from mechanical equipment according to 40 CFR 82 and regulations of authorities having jurisdiction.

### 3.3 UTILITY SERVICES AND MECHANICAL/ELECTRICAL SYSTEMS

- A. Existing Services/Systems to Remain: Maintain services/systems indicated to remain and protect them against damage.
- B. Existing Services/Systems to Be Removed, Relocated, or Abandoned: Locate, identify, disconnect, and seal or cap off utility services and mechanical/electrical systems serving areas to be selectively demolished.
  - 1. Arrange to shut off utilities with utility companies.
  - 2. If services/systems are required to be removed, relocated, or abandoned, provide temporary services/systems that bypass area of selective demolition and that maintain continuity of services/systems to other parts of building.
  - 3. Disconnect, demolish, and remove fire-suppression systems, plumbing, and HVAC systems, equipment, and components indicated on Drawings to be removed.
    - a. Piping to Be Removed: Remove portion of piping indicated to be removed and cap or plug remaining piping with same or compatible piping material.
    - b. Piping to Be Abandoned in Place: Drain piping and cap or plug piping with same or compatible piping material and leave in place.
    - c. Equipment to Be Removed: Disconnect and cap services and remove equipment.
    - d. Equipment to Be Removed and Reinstalled: Disconnect and cap services and remove, clean, and store equipment; when appropriate, reinstall, reconnect, and make equipment operational.
    - e. Equipment to Be Removed and Salvaged: Disconnect and cap services and remove equipment and deliver to Owner.
    - f. Ducts to Be Removed: Remove portion of ducts indicated to be removed and plug remaining ducts with same or compatible ductwork material.
    - g. Ducts to Be Abandoned in Place: Cap or plug ducts with same or compatible ductwork material and leave in place.

### 3.4 PROTECTION

- A. Temporary Protection: Provide temporary barricades and other protection required to prevent injury to people and damage to adjacent buildings and facilities to remain.
  - 1. Provide protection to ensure safe passage of people around selective demolition area and to and from occupied portions of building.
  - 2. Provide temporary weather protection, during interval between selective demolition of existing construction on exterior surfaces and new construction, to prevent water leakage and damage to structure and interior areas.
  - 3. Protect walls, ceilings, floors, and other existing finish work that are to remain or that are exposed during selective demolition operations.
  - 4. Cover and protect furniture, furnishings, and equipment that have not been removed.
  - 5. Comply with requirements for temporary enclosures, dust control, heating, and cooling.

## SECTION 017320 - SELECTIVE DEMOLITION

- B. Temporary Shoring: Design, provide, and maintain shoring, bracing, and structural supports as required to preserve stability and prevent movement, settlement, or collapse of construction and finishes to remain, and to prevent unexpected or uncontrolled movement or collapse of construction being demolished.
  - 1. Strengthen or add new supports when required during progress of selective demolition.
- C. Remove temporary barricades and protections where hazards no longer exist.

### 3.5 SELECTIVE DEMOLITION, GENERAL

- A. General: Demolish and remove existing construction only to the extent required by new construction and as indicated. Use methods required to complete the Work within limitations of governing regulations and as follows:
  - 1. Proceed with selective demolition systematically, from higher to lower level. Complete selective demolition operations above each floor or tier before disturbing supporting members on the next lower level.
  - 2. Neatly cut openings and holes plumb, square, and true to dimensions required. Use cutting methods least likely to damage construction to remain or adjoining construction. Use hand tools or small power tools designed for sawing or grinding, not hammering and chopping. Temporarily cover openings to remain.
  - 3. Cut or drill from the exposed or finished side into concealed surfaces to avoid marring existing finished surfaces.
  - 4. Do not use cutting torches until work area is cleared of flammable materials. At concealed spaces, such as duct and pipe interiors, verify condition and contents of hidden space before starting flame-cutting operations. Maintain portable fire-suppression devices during flame-cutting operations.
  - 5. Maintain fire watch during and after flame-cutting operations.
  - 6. Maintain adequate ventilation when using cutting torches.
  - 7. Remove decayed, vermin-infested, or otherwise dangerous or unsuitable materials and promptly dispose of off-site.
  - 8. Remove structural framing members and lower to ground by method suitable to avoid free fall and to prevent ground impact or dust generation.
  - 9. Locate selective demolition equipment and remove debris and materials so as not to impose excessive loads on supporting walls, floors, or framing.
  - 10. Dispose of demolished items and materials promptly.
- B. Site Access and Temporary Controls: Conduct selective demolition and debris-removal operations to ensure minimum interference with roads, streets, walks, walkways, and other adjacent occupied and used facilities.
- C. Work in Historic Areas: Selective demolition may be performed only in areas of Project that are not designated as historic. In historic spaces, areas, and rooms, or on historic surfaces, the terms "demolish" or "remove" shall mean historic "removal" or "dismantling" as specified in Section 024296 "Historic Removal and Dismantling."
- D. Removed and Salvaged Items:
  - 1. Clean salvaged items.

## SECTION 017320 - SELECTIVE DEMOLITION

2. Pack or crate items after cleaning. Identify contents of containers.
3. Store items in a secure area until delivery to Owner.
4. Transport items to Owner's storage area designated by Owner.
5. Protect items from damage during transport and storage.

### E. Removed and Reinstalled Items:

1. Clean and repair items to functional condition adequate for intended reuse.
2. Pack or crate items after cleaning and repairing. Identify contents of containers.
3. Protect items from damage during transport and storage.
4. Reinstall items in locations indicated. Comply with installation requirements for new materials and equipment. Provide connections, supports, and miscellaneous materials necessary to make item functional for use indicated.

### F. Existing Items to Remain: Protect construction indicated to remain against damage and soiling during selective demolition. When permitted by Engineer, items may be removed to a suitable, protected storage location during selective demolition and cleaned and reinstalled in their original locations after selective demolition operations are complete.

## 3.6 SELECTIVE DEMOLITION PROCEDURES FOR SPECIFIC MATERIALS

- A. Concrete: Demolish in small sections. Using power-driven saw, cut concrete to a depth of at least **3/4 inch** at junctures with construction to remain. Dislodge concrete from reinforcement at perimeter of areas being demolished, cut reinforcement, and then remove remainder of concrete. Neatly trim openings to dimensions indicated.
- B. Concrete: Demolish in sections. Cut concrete full depth at junctures with construction to remain and at regular intervals using power-driven saw, and then remove concrete between saw cuts.
- C. Masonry: Demolish in small sections. Cut masonry at junctures with construction to remain, using power-driven saw, and then remove masonry between saw cuts.
- D. Concrete Slabs-on-Grade: Saw-cut perimeter of area to be demolished, and then break up and remove.
- E. Resilient Floor Coverings: Remove floor coverings and adhesive according to recommendations in RFCI's "Recommended Work Practices for the Removal of Resilient Floor Coverings." Do not use methods requiring solvent-based adhesive strippers.
- F. Roofing: Remove no more existing roofing than what can be covered in one day by new roofing and so that building interior remains watertight and weathertight.
  1. Remove existing roof membrane, flashings, copings, and roof accessories.
  2. Remove existing roofing system down to substrate.

## 3.7 DISPOSAL OF DEMOLISHED MATERIALS

- A. Remove demolition waste materials from Project site and dispose of them in an EPA-approved construction and demolition waste landfill acceptable to authorities having jurisdiction.

## SECTION 017320 - SELECTIVE DEMOLITION

1. Do not allow demolished materials to accumulate on-site.
2. Remove and transport debris in a manner that will prevent spillage on adjacent surfaces and areas.
3. Remove debris from elevated portions of building by chute, hoist, or other device that will convey debris to grade level in a controlled descent.
4. Comply with requirements specified in Section 017419 "Construction Waste Management and Disposal."

B. Burning: Do not burn demolished materials.

### 3.8 CLEANING

- A. Clean adjacent structures and improvements of dust, dirt, and debris caused by selective demolition operations. Return adjacent areas to condition existing before selective demolition operations began.

END OF SECTION 017320

## SECTION 017400 - GUARANTEES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1, apply to this Section.
- B. Refer to Instructions to Bidders for substitution of materials and products.
- C. Addenda issued during the bidding period that affect this section of the specifications.

#### 1.2 WORK INCLUDED

- A. Unless stated otherwise on the Certificate of Substantial Completion, all guarantees shall commence with the date of Substantial Completion.
- B. Unless otherwise stated in these specifications, all guarantees shall include labor, material and delivery costs required for correction.
- C. General: In addition to the General Contractor's one year guarantee for the entire project, guarantees shall be furnished by subcontractors and suppliers. These guarantees shall be submitted to the Engineer in duplicate prior to application for final payment. Refer to individual specification sections for additional guarantees and requirements.
  - 1. One Year Guarantees
    - a. MEP SYSTEMs.
  - 2. Two Year Guarantees
    - a. Controls
  - 3. Three Year Guarantees
    - a. VFDs, HVAC onboard controllers
  - 4. Special System Guarantees
    - a. Dehumidifiers: See specifications.

### PART 2 - PRODUCTS

#### 2.1 GUARANTEES

- A. Where guarantees are indicated to be provided by subcontractor or supplier, a detailed warranty written on the required form shall be provided. Refer to Section 017700 for Warranty forms.
- B. Manufacturer's standard warranties shall be adjusted as required to include all specified requirements in addition to manufacturer's normal provisions. Manufacturer guarantees shall be written on appropriate printed letterhead.

#### 2.2 MATERIALS

## SECTION 017400 - GUARANTEES

- A. Unless otherwise approved by the Engineer, all replacement materials shall be new and provided by the same manufacturer as the original installation.

### PART 3 - EXECUTION

#### 3.1 GENERAL

- A. Contractor shall arrange for all required inspections during the warranty period. Regardless of the wording of individual warranties, the Owner shall not be responsible for notification requirements for routine inspections during the General Contractor's warranty period.
- B. Upon receipt of written or verbal notice by the Owner or Engineer of a deficiency, the Contractor shall promptly respond with inspection and repair during the General Contractor's warranty period.
- C. The General Contractor shall be responsible for coordinating the activities of subcontractors, suppliers and manufacturers during the General Contractor's warranty period and the subcontractor/supplier/manufacturer extended warranty period.

END OF SECTION 017400

## SECTION 017700 - CLOSEOUT PROCEDURES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section includes administrative and procedural requirements for contract closeout, including, but not limited to, the following:
  - 1. Substantial Completion procedures.
  - 2. Final completion procedures.
  - 3. Warranties.
  - 4. Final cleaning.
  - 5. Repair of the Work.
- B. Related Requirements:
  - 1. "Construction Contract Close Out Checklist", of this Section.
  - 2. [Additional requirements from Owner](#).
  - 3. Section 017300 "Execution" for progress cleaning of Project site.
  - 4. Section 017823 "Operation and Maintenance Data" for operation and maintenance manual requirements.
  - 5. Section 017839 "Project Record Documents" for submitting record Drawings, record Specifications, and record Product Data.
  - 6. Section 017900 "Demonstration and Training" for requirements for instructing Owner's personnel.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For cleaning agents.
- B. Contractor's List of Incomplete Items: Initial submittal at Substantial Completion.
- C. Certified List of Incomplete Items: Final submittal at Final Completion.

#### 1.4 CLOSEOUT SUBMITTALS

- A. Certificates of Release: From authorities having jurisdiction.
- B. Certificate of Insurance: For continuing coverage.
- C. Field Report: For pest control inspection.

## SECTION 017700 - CLOSEOUT PROCEDURES

### 1.5 MAINTENANCE MATERIAL SUBMITTALS

- A. Schedule of Maintenance Material Items: For maintenance material submittal items specified in other Sections.

### 1.6 SUBSTANTIAL COMPLETION PROCEDURES

- A. Contractor's List of Incomplete Items: Prepare and submit a list of items to be completed and corrected (Contractor's punch list), indicating the value of each item on the list and reasons why the Work is incomplete.
- B. Submittals Prior to Substantial Completion: Complete the following a minimum of 15 days prior to requesting inspection for determining date of Substantial Completion. List items below that are incomplete at time of request.
  - 1. Certificates of Release: Obtain and submit releases from authorities having jurisdiction permitting Owner unrestricted use of the Work and access to services and utilities. Include occupancy permits, operating certificates, and similar releases.
  - 2. Submit closeout submittals specified in other Division 01 Sections, including project record documents, operation and maintenance manuals, final completion construction photographic documentation, damage or settlement surveys, property surveys, and similar final record information.
  - 3. Submit closeout submittals specified in individual Sections, including specific warranties, workmanship bonds, maintenance service agreements, final certifications, and similar documents.
  - 4. Submit maintenance material submittals specified in individual Sections, including tools, spare parts, extra materials, and similar items, and deliver to location designated by Engineer. Label with manufacturer's name and model number where applicable.
    - a. Schedule of Maintenance Material Items: Prepare and submit schedule of maintenance material submittal items, including name and quantity of each item and name and number of related Specification Section. Obtain Engineer's signature for receipt of submittals.
  - 5. Submit test/adjust/balance records.
  - 6. Submit changeover information related to Owner's occupancy, use, operation, and maintenance.
- C. Procedures Prior to Substantial Completion: Complete the following a minimum of 15 days prior to requesting inspection for determining date of Substantial Completion. List items below that are incomplete at time of request.
  - 1. Advise Owner of pending insurance changeover requirements.
  - 2. Make final changeover of permanent locks and deliver keys to Owner. Advise Owner's personnel of changeover in security provisions.
  - 3. Complete startup and testing of systems and equipment.
  - 4. Perform preventive maintenance on equipment used prior to Substantial Completion.
  - 5. Instruct Owner's personnel in operation, adjustment, and maintenance of products, equipment, and systems. Submit demonstration and training video recordings specified in Section 017900 "Demonstration and Training."

## SECTION 017700 - CLOSEOUT PROCEDURES

6. Advise Owner of changeover in heat and other utilities.
  7. Participate with Owner in conducting inspection and walkthrough with local emergency responders.
  8. Terminate and remove temporary facilities from Project site, along with mockups, construction tools, and similar elements.
  9. Complete final cleaning requirements, including touchup painting.
  10. Touch up and otherwise repair and restore marred exposed finishes to eliminate visual defects.
- D. Inspection: Submit a written request for inspection to determine Substantial Completion a minimum of 10 days prior to date the work will be completed and ready for final inspection and tests. On receipt of request, Engineer will either proceed with inspection or notify Contractor of unfulfilled requirements. Engineer will prepare the Certificate of Substantial Completion after inspection or will notify Contractor of items, either on Contractor's list or additional items identified by Engineer, that must be completed or corrected before certificate will be issued.
1. Reinspection: Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.
  2. Results of completed inspection will form the basis of requirements for final completion.

### 1.7 FINAL COMPLETION PROCEDURES

- A. Submittals Prior to Final Completion: Before requesting final inspection for determining final completion, complete the following:
1. Submit a final Application for Payment according to Section 012900 "Payment Procedures."
  2. Certified List of Incomplete Items: Submit certified copy of Engineer's Substantial Completion inspection list of items to be completed or corrected (punch list), endorsed and dated by Engineer. Certified copy of the list shall state that each item has been completed or otherwise resolved for acceptance.
  3. Certificate of Insurance: Submit evidence of final, continuing insurance coverage complying with insurance requirements.
  4. Submit pest-control final inspection report.
- B. Inspection:
1. It is expected that Contractor will have thoroughly verified that all requirements have been fulfilled, and deficiencies repaired, **before** notifying Engineer that system is ready for final inspection, and **before** arranging final acceptance testing with Owner. Owner and Engineer therefore expect to make but one final inspection of system.
  2. Submit a written request for final inspection for acceptance. Provide at least 7 days' notice to Owner and Owner's representative before test. Arrange mutually convenient time for conducting test.
  3. On receipt of request, Engineer will either proceed with inspection. Engineer will prepare a final Certificate for Payment after inspection or will notify Contractor of construction that must be completed or corrected before certificate will be issued.
  4. Reinspection:

## SECTION 017700 - CLOSEOUT PROCEDURES

- a. Should more than one "final" inspection be required due to significant deficiencies, Contractor will be required to reimburse Engineer at a rate of \$200.00 per hour for expenses to cover any and all re-inspections required.
- b. Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.

### 1.8 LIST OF INCOMPLETE ITEMS (PUNCH LIST)

- A. Organization of List: Include name and identification of each space and area affected by construction operations for incomplete items and items needing correction including, if necessary, areas disturbed by Contractor that are outside the limits of construction.
  1. Organize list of spaces in sequential order.
  2. Organize items applying to each space by major element, including categories for ceiling, individual walls, floors, equipment, and building systems.
  3. Include the following information at the top of each page:
    - a. Project name.
    - b. Date.
    - c. Name of Engineer.
    - d. Name of Contractor.
    - e. Page number.

### 1.9 SUBMITTAL OF PROJECT WARRANTIES

- A. Time of Submittal: Submit written warranties on request of Engineer for designated portions of the Work where commencement of warranties other than date of Substantial Completion is indicated, or when delay in submittal of warranties might limit Owner's rights under warranty.
- B. Partial Occupancy: Submit properly executed warranties within 15 days of completion of designated portions of the Work that are completed and occupied or used by Owner during construction period by separate agreement with Contractor.
- C. Organize warranty documents into an orderly sequence based on the table of contents of Project Manual.
  1. Bind warranties and bonds in heavy-duty, three-ring, vinyl-covered, loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive 8-1/2-by-11-inch paper.
  2. Provide heavy paper dividers with plastic-covered tabs for each separate warranty. Mark tab to identify the product or installation. Provide a typed description of the product or installation, including the name of the product and the name, address, and telephone number of Installer.
  3. Identify each binder on the front and spine with the typed or printed title "WARRANTIES," Project name, and name of Contractor.
  4. Warranty Electronic File: Scan warranties and bonds and assemble complete warranty and bond submittal package into a single indexed electronic PDF file with links enabling navigation to each item. Provide bookmarked table of contents at beginning of document.

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- D. Provide additional copies of each warranty to include in operation and maintenance manuals.

### 1.10 PROJECT RECORD DOCUMENTS

- A. General: Do not use Project Record Documents for construction purposes. Protect Project Record Documents from deterioration and loss. Provide access to Project Record Documents for Engineer's reference during normal working hours.
- B. Record Drawings: Maintain and submit one set of blue- or black-line white prints of Contract Drawings and Shop Drawings.
  - 1. Mark Record Prints to show the actual installation where installation varies from that shown originally. Require individual or entity who obtained record data, whether individual or entity is Installer, subcontractor, or similar entity, to prepare the marked-up Record Prints.
    - a. Give particular attention to information on concealed elements that cannot be readily identified and recorded later.
    - b. Accurately record information in an understandable drawing technique.
    - c. Record data as soon as possible after obtaining it. Record and check the markup before enclosing concealed installations.
    - d. Mark Contract Drawings or Shop Drawings, whichever is most capable of showing actual physical conditions, completely and accurately. Where Shop Drawings are marked, show cross-reference on Contract Drawings.
  - 2. Mark record sets with erasable, red-colored pencil. Use other colors to distinguish between changes for different categories of the Work at the same location.
  - 3. Mark important additional information that was either shown schematically or omitted from original Drawings.
  - 4. Note Construction Change Directive numbers, Change Order numbers, alternate numbers, and similar identification where applicable.
  - 5. Identify and date each Record Drawing; include the designation "PROJECT RECORD DRAWING" in a prominent location. Organize into manageable sets; bind each set with durable paper cover sheets. Include identification on cover sheets.
- C. Record Specifications: Submit one copy of Project's Specifications, including addenda and contract modifications. Mark copy to indicate the actual product installation where installation varies from that indicated in Specifications, addenda, and contract modifications.
  - 1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
  - 2. Mark copy with the proprietary name and model number of products, materials, and equipment furnished, including substitutions and product options selected.
  - 3. Note related Change Orders, Record Drawings, where applicable.
- D. Record Product Data: Submit one copy of each Product Data submittal. Mark one set to indicate the actual product installation where installation varies substantially from that indicated in Product Data.

## SECTION 017700 - CLOSEOUT PROCEDURES

1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
  2. Include significant changes in the product delivered to Project site and changes in manufacturer's written instructions for installation.
  3. Note related Change Orders, Record Drawings, where applicable.
- E. Miscellaneous Record Submittals: Assemble miscellaneous records required by other Specification Sections for miscellaneous record keeping and submittal in connection with actual performance of the Work. Bind or file miscellaneous records and identify each, ready for continued use and reference.

## PART 2 - PRODUCTS

### 2.1 MATERIALS

- A. Cleaning Agents: Use cleaning materials and agents recommended by manufacturer or fabricator of the surface to be cleaned. Do not use cleaning agents that are potentially hazardous to health or property or that might damage finished surfaces.
1. Use cleaning products that comply with Green Seal's GS-37, or if GS-37 is not applicable, use products that comply with the California Code of Regulations maximum allowable VOC levels.

## PART 3 - EXECUTION

### 3.1 DEMONSTRATION AND TRAINING

- A. Instruction: Instruct Owner's personnel to adjust, operate, and maintain systems, subsystems, and equipment not part of a system.
1. Provide instructors experienced in operation and maintenance procedures.
  2. Provide instruction at mutually agreed-on times. For equipment that requires seasonal operation, provide similar instruction at the start of each season.
  3. Schedule training with Owner, through Engineer with at least **seven** days' advance notice.
  4. Coordinate instructors, including providing notification of dates, times, length of instruction, and course content.
- B. Program Structure: Develop an instruction program that includes individual training modules for each system and equipment not part of a system, as required by individual Specification Sections. For each training module, develop a learning objective and teaching outline. Include instruction for the following:
1. System design and operational philosophy.
  2. Review of documentation.
  3. Operations.
  4. Adjustments.
  5. Troubleshooting.

## SECTION 017700 - CLOSEOUT PROCEDURES

6. Maintenance.
7. Repair.

### 3.2 FINAL CLEANING

- A. General: Perform final cleaning. Conduct cleaning and waste-removal operations to comply with local laws and ordinances and Federal and local environmental and antipollution regulations.
- B. Cleaning: Employ experienced workers or professional cleaners for final cleaning. Clean each surface or unit to condition expected in an average commercial building cleaning and maintenance program. Comply with manufacturer's written instructions.
  1. Complete the following cleaning operations before requesting inspection for certification of Substantial Completion for entire Project or for a designated portion of Project:
    - a. Clean Project site, yard, and grounds, in areas disturbed by construction activities, including landscape development areas, of rubbish, waste material, litter, and other foreign substances.
    - b. Sweep paved areas broom clean. Remove petrochemical spills, stains, and other foreign deposits.
    - c. Rake grounds that are neither planted nor paved to a smooth, even-textured surface.
    - d. Remove tools, construction equipment, machinery, and surplus material from Project site.
    - e. Clean exposed exterior and interior hard-surfaced finishes to a dirt-free condition, free of stains, films, and similar foreign substances. Avoid disturbing natural weathering of exterior surfaces. Restore reflective surfaces to their original condition.
    - f. Remove debris and surface dust from limited access spaces, including roofs, plenums, shafts, trenches, equipment vaults, manholes, attics, and similar spaces.
    - g. Sweep concrete floors broom clean in unoccupied spaces.
    - h. Vacuum carpet and similar soft surfaces, removing debris and excess nap; clean according to manufacturer's recommendations if visible soil or stains remain.
    - i. Clean transparent materials, including mirrors and glass in doors and windows. Remove glazing compounds and other noticeable, vision-obscuring materials. Polish mirrors and glass, taking care not to scratch surfaces.
    - j. Remove labels that are not permanent.
    - k. Wipe surfaces of mechanical and electrical equipment and similar equipment. Remove excess lubrication, paint and mortar droppings, and other foreign substances.
    - l. Clean plumbing fixtures to a sanitary condition, free of stains, including stains resulting from water exposure.
    - m. Replace disposable air filters and clean permanent air filters. Clean exposed surfaces of diffusers, registers, and grills.
    - n. Clean ducts, blowers, and coils if units were operated without filters during construction or that display contamination with particulate matter on inspection.
      - 1) Clean HVAC system in compliance with NADCA Standard 1992-01. Provide written report on completion of cleaning.

## SECTION 017700 - CLOSEOUT PROCEDURES

- o. Clean light fixtures, lamps, globes, and reflectors to function with full efficiency.
  - p. Leave Project clean and ready for occupancy.
- C. Pest Control: Comply with pest control requirements in Section 015000 "Temporary Facilities and Controls." Prepare written report.
- D. Construction Waste Disposal: Comply with waste disposal requirements.

### 3.3 REPAIR OF THE WORK

- A. Complete repair and restoration operations before requesting inspection for determination of Substantial Completion.
- B. Repair or remove and replace defective construction. Repairing includes replacing defective parts, refinishing damaged surfaces, touching up with matching materials, and properly adjusting operating equipment. Where damaged or worn items cannot be repaired or restored, provide replacements. Remove and replace operating components that cannot be repaired. Restore damaged construction and permanent facilities used during construction to specified condition.
  - 1. Remove and replace chipped, scratched, and broken glass, reflective surfaces, and other damaged transparent materials.
  - 2. Touch up and otherwise repair and restore marred or exposed finishes and surfaces. Replace finishes and surfaces that already show evidence of repair or restoration.
    - a. Do not paint over "UL" and other required labels and identification, including mechanical and electrical nameplates. Remove paint applied to required labels and identification.
  - 3. Replace parts subject to operating conditions during construction that may impede operation or reduce longevity.
  - 4. Replace burned-out bulbs, bulbs noticeably dimmed by hours of use, and defective and noisy starters in fluorescent and mercury vapor fixtures to comply with requirements for new fixtures.

## PART 4 - PROJECT CLOSEOUT MANUAL AND FORMS

### 4.1 FORMS

- A. The following forms shall be submitted to the Engineer during the construction contract close out process:
  - 1. Contractor's Affidavit of Payment of Debts and Claims
  - 2. Contractor's Affidavit of Payment of Release of Liens
  - 3. Consent of Surety to Final Payment
  - 4. General Contractor Affidavit and Release
  - 5. Subcontractor/Supplier Affidavit and Release
  - 6. General Contractor Guarantee
  - 7. Subcontractor Guarantee

## SECTION 017700 - CLOSEOUT PROCEDURES

8. General Contractor Hazardous Material Certificate
9. Subcontractor/Supplier Hazardous Material Certificate

B. Use only the listed or enclosed forms.

### 4.2 PROJECT CLOSE OUT MANUAL

- A. The Contractor shall assemble and deliver to the Engineer two (2) complete copies of the Project Close Out Manual consisting of the documents listed below and others as may be required under other sections of the Project Manual. Manual shall be constructed of a good quality vinyl 3 ring binder with all pages 8½” x 11”.
- B. First sheet shall identify the project, Owner, Engineer, Engineer’s project number, Consultants and Contractor. Provide company name, address, telephone number and contact representative for each.
- C. Subsequent pages shall include the Table of Contents as included herein and all project data included in the Table of Contents. Provide identifying tabs between all sections.

### 4.3 CLOSEOUT CHECKLIST

A. The Construction Contract Closeout Checklist included herein recaps the major items to be addressed during the close out process. This list is to be used by the Engineer and Contractor.

**B. Standard AIA forms are not included in this section, but shall be attached in the order given below.**

#### C. CONSTRUCTION CONTRACT CLOSEOUT MANUAL TABLE OF CONTENTS

1. Section 1: Contractor’s Affidavit of Payment of Debts and Claims (AIA G706)
2. Section 2: Contractor’s Affidavit of Release of Liens (AIA G706A)
3. Section 3: Consent of Surety to Final Payment (AIA G707)
4. Section 4: Certificate of Substantial Completion (AIA G704)
5. Section 5: General Contractor Affidavit and Release
6. Section 6: Subcontractor/Supplier Affidavit and Release (from each subcontractor and supplier)
7. Section 7: General Contractor Guarantee
8. Section 8: Subcontractor Guarantee (from each subcontractor)
9. Section 9: List of Final Subcontractors/Suppliers (AIA G805)
10. Section 10: Manufacturer’s Guarantees and extended service contracts (NA)
11. Section 11: General Contractor Hazardous Material Certificate
12. Section 12: Subcontractor/Supplier Hazardous Material Certificate
13. Section 13: Miscellaneous
14. Section 14: N/A
15. Section 15: Mechanical Systems
16. Section 16: Electrical Systems
17. Section 17: Ceiling Systems
18. Section 18: Control System

SECTION 017700 - CLOSEOUT PROCEDURES

**GENERAL CONTRACTOR AFFIDAVIT AND RELEASE**

STATE OF TEXAS

PROJECT: \_\_\_\_\_

COUNTY OF \_\_\_\_\_

OWNER: \_\_\_\_\_

ENGINEER: \_\_\_\_\_

KNOW ALL MEN BY THESE PRESENTS:

\_\_\_\_\_, being first duly sworn, disposes and says:

1. That he/she is the \_\_\_\_\_ of \_\_\_\_\_, the contractor who constructed the project referenced above, and that, he/she is duly authorized to make this General Contractor Affidavit and Release.
2. That to the best of his/her knowledge and belief, all work required under the contract of the subject construction project has been performed in accordance with the terms thereof, there are no unsatisfied claims for damages resulting from injury or death to any employees, subcontractors, or the public at large arising out of the performance of said contract, or any suits or claims for any other damages of any kind, nature, or description which might constitute a lien upon the property of the Owner.
3. That upon full payment of all sums due him/her for materials furnished and services rendered by the undersigned in connection with the performance of said contract, as evidenced by the amount shown on the Final Application and Certificate for Payment, the Contractor will release the Owner, the Engineer and the Engineer's consultants from any and all claims of any character arising out of or in any way connected with performance of said contract.

ATTEST (If Corporation)

\_\_\_\_\_  
Name of Contractor

\_\_\_\_\_  
Secretary

\_\_\_\_\_  
By

\_\_\_\_\_  
Date

Subscribed and sworn to before me on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

Notary Public: \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

SECTION 017700 - CLOSEOUT PROCEDURES

**SUBCONTRACTOR / SUPPLIER AFFIDAVIT AND RELEASE**

STATE OF TEXAS

PROJECT: \_\_\_\_\_

COUNTY OF \_\_\_\_\_

OWNER: \_\_\_\_\_

ENGINEER: \_\_\_\_\_

CONTRACTOR: \_\_\_\_\_

KNOW ALL MEN BY THESE PRESENTS:

\_\_\_\_\_, being first duly sworn, disposes and says:

1. That he/she is the \_\_\_\_\_ of \_\_\_\_\_, the subcontractor/supplier who supplied, installed, and/or erected the work described below, and that, he/she is duly authorized to make this Subcontractor/Supplier Affidavit and Release:

Work Performed: \_\_\_\_\_

Specification Section(s): \_\_\_\_\_

2. That all work required under the subject subcontract or purchase order of the subject construction project has been performed in accordance with the terms thereof.

3. That to the best of his/her knowledge and belief, there are no unsatisfied claims for damages resulting from injury or death to any employees, sub-subcontractors, or the public at large arising out of the performance of said contract, or any suits or claims for any other damages of any kind, nature, or description which might constitute a lien upon the property of the Owner.

4. That upon full payment by the Contractor of all sums due it for materials furnished and services rendered by the undersigned in connection with the performance of said contract, as evidenced by the final payment amount shown below, the Subcontractor/Supplier will release the Owner, the Engineer and the Engineer's consultants from any and all claims of any character arising out of or in any way connected with performance of said contract.

a. Total Amount Paid to Date to this Subcontractor/Supplier: \_\_\_\_\_

b. Final Payment Amount owed to this Subcontractor/Supplier: \_\_\_\_\_

c. Final Subcontract Amount: \_\_\_\_\_  
(a + b = c)

ATTEST (If Corporation)

\_\_\_\_\_  
Name of Subcontractor / Supplier

\_\_\_\_\_  
Secretary

\_\_\_\_\_  
By

\_\_\_\_\_  
Date

Subscribed and sworn to before me on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

SECTION 017700 - CLOSEOUT PROCEDURES

Notary Public: \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

SECTION 017700 - CLOSEOUT PROCEDURES

**GENERAL CONTRACTOR GUARANTEE**

STATE OF TEXAS

PROJECT: \_\_\_\_\_

COUNTY OF \_\_\_\_\_

OWNER: \_\_\_\_\_

ENGINEER: \_\_\_\_\_

KNOW ALL MEN BY THESE PRESENTS:

\_\_\_\_\_, being first duly sworn, disposes and says:

1. That he/she is the \_\_\_\_\_ of \_\_\_\_\_, the contractor who constructed the project referenced above, and that, he/she is duly authorized to make this General Contractor Guarantee.
2. The undersigned Contractor warrants to the Owner and Engineer that materials and equipment furnished under the Contract are of good quality and new except where otherwise required or permitted by the Contract Documents, that the Work is free from defects not inherent in the quality required or permitted, and that the Work conforms with the requirements of the Contract Documents. Work not conforming to these requirements, including substitutions not properly approved and authorized, may be considered defective. The Contractor's warranty excludes remedy from damage or defect caused by abuse, modifications not executed by the Contractor, improper or insufficient maintenance, improper operation, or normal wear and tear under normal usage.
3. In the event of failure of materials, products, or workmanship, during the specified warranty periods, the Contractor shall take appropriate measures to assure correction or replacement of the defective items, whether notified by the Owner or Engineer.
4. The Contractor warrants the entire project for a period of **12** months from the Date of Substantial Completion and specific sections of work for such additional periods as enumerated in the Contract Documents, except as follows:

\_\_\_\_\_  
\_\_\_\_\_

SECTION 017700 - CLOSEOUT PROCEDURES

ATTEST (If Corporation)

\_\_\_\_\_  
Name of Contractor

\_\_\_\_\_  
Secretary

\_\_\_\_\_  
By

\_\_\_\_\_  
Date

Subscribed and sworn to before me on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

Notary Public: \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

SECTION 017700 - CLOSEOUT PROCEDURES

**SUBCONTRACTOR GUARANTEE**

STATE OF TEXAS

PROJECT: \_\_\_\_\_

COUNTY OF \_\_\_\_\_

OWNER: \_\_\_\_\_

ENGINEER: \_\_\_\_\_

CONTRACTOR: \_\_\_\_\_

KNOW ALL MEN BY THESE PRESENTS:

\_\_\_\_\_, being first duly sworn, disposes and says:

1. That he/she is the \_\_\_\_\_ of \_\_\_\_\_, the subcontractor who supplied, installed, and/or erected the work described below, and that, he/she is duly authorized to make this Subcontractor Guarantee:

Work Performed: \_\_\_\_\_

Specification Section(s): \_\_\_\_\_

2. The undersigned Subcontractor warrants to the Owner and Engineer that materials and equipment furnished under the Contract are of good quality and new except where otherwise required or permitted by the Contract Documents, that the Work is free from defects not inherent in the quality required or permitted, and that the Work conforms with the requirements of the Contract Documents. Work not conforming to these requirements, including substitutions not properly approved and authorized, may be considered defective. The Subcontractor's warranty excludes remedy from damage or defect caused by abuse, modifications not executed by the Subcontractor, improper or insufficient maintenance, improper operation, or normal wear and tear under normal usage.
3. In the event of failure of materials, products, or workmanship, during the specified warranty periods, the Contractor shall take appropriate measures to assure correction or replacement of the defective items, whether notified by the Contractor, Owner or Engineer.
4. The Subcontractor warrants the work performed for a period of \_\_\_\_\_ months from the Date of Substantial Completion, except as follows:

\_\_\_\_\_  
\_\_\_\_\_

SECTION 017700 - CLOSEOUT PROCEDURES

ATTEST (If Corporation)

\_\_\_\_\_  
Name of Subcontractor / Supplier

\_\_\_\_\_  
Secretary

\_\_\_\_\_  
By

\_\_\_\_\_  
Date

Subscribed and sworn to before me on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

Notary Public: \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

SECTION 017700 - CLOSEOUT PROCEDURES

**GENERAL CONTRACTOR HAZARDOUS MATERIAL CERTIFICATE**

STATE OF TEXAS

PROJECT: \_\_\_\_\_

COUNTY OF \_\_\_\_\_

OWNER: \_\_\_\_\_

ENGINEER: \_\_\_\_\_

KNOW ALL MEN BY THESE PRESENTS:

\_\_\_\_\_, being first duly sworn, disposes and says:

1. That he/she is the \_\_\_\_\_ of \_\_\_\_\_, the contractor who constructed the project referenced above, and that, he/she is duly authorized to make this Certification.
2. That to the best of his/her information, knowledge, and belief none of the below listed hazardous materials have been incorporated into the project:
  - Asbestos
  - Lead
  - P.C.B. (Polychloride Biphenyls)
  - Refrigerant R-11, R-12, R-113, R-114, R-500 and R-502

SECTION 017700 - CLOSEOUT PROCEDURES

**SUBCONTRACTOR HAZARDOUS MATERIAL CERTIFICATE**

STATE OF TEXAS

PROJECT: \_\_\_\_\_

COUNTY OF \_\_\_\_\_

OWNER: \_\_\_\_\_

ENGINEER: \_\_\_\_\_

KNOW ALL MEN BY THESE PRESENTS:

\_\_\_\_\_, being first duly sworn, disposes and says:

3. That he/she is the \_\_\_\_\_ of \_\_\_\_\_, the contractor who constructed the project referenced above, and that, he/she is duly authorized to make this Certification.
4. That to the best of his/her information, knowledge, and belief none of the below listed hazardous materials have been incorporated into the project:
  - Asbestos
  - Lead
  - P.C.B. (Polychloride Biphenyls)
  - Refrigerant R-11, R-12, R-113, R-114, R-500 and R-502

END OF SECTION 017700

## SECTION 017823 - OPERATION AND MAINTENANCE DATA

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section includes administrative and procedural requirements for preparing operation and maintenance manuals, including the following:
  - 1. Operation and maintenance documentation directory.
  - 2. Emergency manuals.
  - 3. Operation manuals for systems, subsystems, and equipment.
  - 4. Product maintenance manuals.
  - 5. Systems and equipment maintenance manuals.

#### 1.3 DEFINITIONS

- A. System: An organized collection of parts, equipment, or subsystems united by regular interaction.
- B. Subsystem: A portion of a system with characteristics similar to a system.

#### 1.4 CLOSEOUT SUBMITTALS

- A. Manual Content: Operations and maintenance manual content is specified in individual Specification Sections to be reviewed at the time of Section submittals. Submit reviewed manual content formatted and organized as required by this Section.
  - 1. Architect will comment on whether content of operations and maintenance submittals are acceptable.
  - 2. Where applicable, clarify and update reviewed manual content to correspond to revisions and field conditions.
- B. Format: Submit operations and maintenance manuals in the following format:
  - 1. PDF electronic file. Assemble each manual into a composite electronically indexed file. Submit on digital media acceptable to Architect.
    - a. Name each indexed document file in composite electronic index with applicable item name. Include a complete electronically linked operation and maintenance directory.
    - b. Enable inserted reviewer comments on draft submittals.

## SECTION 017823 - OPERATION AND MAINTENANCE DATA

2. Three paper copies. Include a complete operation and maintenance directory. Enclose title pages and directories in clear plastic sleeves. Architect will return two copies.
- C. Initial Manual Submittal: Submit draft copy of each manual at least 30 days before commencing demonstration and training. Architect will comment on whether general scope and content of manual are acceptable.
- D. Final Manual Submittal: Submit each manual in final form prior to requesting inspection for Substantial Completion and at least 15 days before commencing demonstration and training. Architect will return copy with comments.

## PART 2 - PRODUCTS

### 2.1 OPERATION AND MAINTENANCE DOCUMENTATION DIRECTORY

- A. Directory: Prepare a single, comprehensive directory of emergency, operation, and maintenance data and materials, listing items and their location to facilitate ready access to desired information. Include a section in the directory for each of the following:
  1. List of documents.
  2. List of systems.
  3. List of equipment.
  4. Table of contents.
- B. List of Systems and Subsystems: List systems alphabetically. Include references to operation and maintenance manuals that contain information about each system.
- C. List of Equipment: List equipment for each system, organized alphabetically by system. For pieces of equipment not part of system, list alphabetically in separate list.
- D. Tables of Contents: Include a table of contents for each emergency, operation, and maintenance manual.
- E. Identification: In the documentation directory and in each operation and maintenance manual, identify each system, subsystem, and piece of equipment with same designation used in the Contract Documents. If no designation exists, assign a designation according to ASHRAE Guideline 4, "Preparation of Operating and Maintenance Documentation for Building Systems."

### 2.2 REQUIREMENTS FOR EMERGENCY, OPERATION, AND MAINTENANCE MANUALS

- A. Organization: Unless otherwise indicated, organize each manual into a separate section for each system and subsystem, and a separate section for each piece of equipment not part of a system. Each manual shall contain the following materials, in the order listed:
  1. Title page.
  2. Table of contents.
  3. Manual contents.

## SECTION 017823 - OPERATION AND MAINTENANCE DATA

- B. Title Page: Include the following information:
1. Subject matter included in manual.
  2. Name and address of Project.
  3. Name and address of Owner.
  4. Date of submittal.
  5. Name and contact information for Contractor.
  6. Name and contact information for Construction Manager.
  7. Name and contact information for Architect.
  8. Name and contact information for Commissioning Authority.
  9. Names and contact information for major consultants to the Architect that designed the systems contained in the manuals.
  10. Cross-reference to related systems in other operation and maintenance manuals.
- C. Table of Contents: List each product included in manual, identified by product name, indexed to the content of the volume, and cross-referenced to Specification Section number in Project Manual.
1. If operation or maintenance documentation requires more than one volume to accommodate data, include comprehensive table of contents for all volumes in each volume of the set.
- D. Manual Contents: Organize into sets of manageable size. Arrange contents alphabetically by system, subsystem, and equipment. If possible, assemble instructions for subsystems, equipment, and components of one system into a single binder.
- E. Manuals, Electronic Files: Submit manuals in the form of a multiple file composite electronic PDF file for each manual type required.
1. Electronic Files: Use electronic files prepared by manufacturer where available. Where scanning of paper documents is required, configure scanned file for minimum readable file size.
  2. File Names and Bookmarks: Enable bookmarking of individual documents based on file names. Name document files to correspond to system, subsystem, and equipment names used in manual directory and table of contents. Group documents for each system and subsystem into individual composite bookmarked files, then create composite manual, so that resulting bookmarks reflect the system, subsystem, and equipment names in a readily navigated file tree. Configure electronic manual to display bookmark panel on opening file.
- F. Manuals, Paper Copy: Submit manuals in the form of hard copy, bound and labeled volumes.
1. Binders: Heavy-duty, three-ring, vinyl-covered, loose-leaf binders, in thickness necessary to accommodate contents, sized to hold 8-1/2-by-11-inch paper; with clear plastic sleeve on spine to hold label describing contents and with pockets inside covers to hold folded oversize sheets.
    - a. If two or more binders are necessary to accommodate data of a system, organize data in each binder into groupings by subsystem and related components. Cross-reference other binders if necessary to provide essential information for proper operation or maintenance of equipment or system.

## SECTION 017823 - OPERATION AND MAINTENANCE DATA

- b. Identify each binder on front and spine, with printed title "OPERATION AND MAINTENANCE MANUAL," Project title or name, and subject matter of contents, and indicate Specification Section number on bottom of spine. Indicate volume number for multiple-volume sets.
2. Dividers: Heavy-paper dividers with plastic-covered tabs for each section of the manual. Mark each tab to indicate contents. Include typed list of products and major components of equipment included in the section on each divider, cross-referenced to Specification Section number and title of Project Manual.
  3. Protective Plastic Sleeves: Transparent plastic sleeves designed to enclose diagnostic software storage media for computerized electronic equipment.
  4. Supplementary Text: Prepared on 8-1/2-by-11-inch white bond paper.
  5. Drawings: Attach reinforced, punched binder tabs on drawings and bind with text.
    - a. If oversize drawings are necessary, fold drawings to same size as text pages and use as foldouts.
    - b. If drawings are too large to be used as foldouts, fold and place drawings in labeled envelopes and bind envelopes in rear of manual. At appropriate locations in manual, insert typewritten pages indicating drawing titles, descriptions of contents, and drawing locations.

### 2.3 EMERGENCY MANUALS

- A. Content: Organize manual into a separate section for each of the following:
  1. Type of emergency.
  2. Emergency instructions.
  3. Emergency procedures.
- B. Type of Emergency: Where applicable for each type of emergency indicated below, include instructions and procedures for each system, subsystem, piece of equipment, and component:
  1. Fire.
  2. Flood.
  3. Gas leak.
  4. Water leak.
  5. Power failure.
  6. Water outage.
  7. System, subsystem, or equipment failure.
  8. Chemical release or spill.
- C. Emergency Instructions: Describe and explain warnings, trouble indications, error messages, and similar codes and signals. Include responsibilities of Owner's operating personnel for notification of Installer, supplier, and manufacturer to maintain warranties.
- D. Emergency Procedures: Include the following, as applicable:
  1. Instructions on stopping.
  2. Shutdown instructions for each type of emergency.
  3. Operating instructions for conditions outside normal operating limits.

## SECTION 017823 - OPERATION AND MAINTENANCE DATA

4. Required sequences for electric or electronic systems.
5. Special operating instructions and procedures.

### 2.4 OPERATION MANUALS

- A. Content: In addition to requirements in this Section, include operation data required in individual Specification Sections and the following information:
1. System, subsystem, and equipment descriptions. Use designations for systems and equipment indicated on Contract Documents.
  2. Performance and design criteria if Contractor has delegated design responsibility.
  3. Operating standards.
  4. Operating procedures.
  5. Operating logs.
  6. Wiring diagrams.
  7. Control diagrams.
  8. Piped system diagrams.
  9. Precautions against improper use.
  10. License requirements including inspection and renewal dates.
- B. Descriptions: Include the following:
1. Product name and model number. Use designations for products indicated on Contract Documents.
  2. Manufacturer's name.
  3. Equipment identification with serial number of each component.
  4. Equipment function.
  5. Operating characteristics.
  6. Limiting conditions.
  7. Performance curves.
  8. Engineering data and tests.
  9. Complete nomenclature and number of replacement parts.
- C. Operating Procedures: Include the following, as applicable:
1. Startup procedures.
  2. Equipment or system break-in procedures.
  3. Routine and normal operating instructions.
  4. Regulation and control procedures.
  5. Instructions on stopping.
  6. Normal shutdown instructions.
  7. Seasonal and weekend operating instructions.
  8. Required sequences for electric or electronic systems.
  9. Special operating instructions and procedures.
- D. Systems and Equipment Controls: Describe the sequence of operation, and diagram controls as installed.
- E. Piped Systems: Diagram piping as installed, and identify color-coding where required for identification.

## SECTION 017823 - OPERATION AND MAINTENANCE DATA

### 2.5 PRODUCT MAINTENANCE MANUALS

- A. Content: Organize manual into a separate section for each product, material, and finish. Include source information, product information, maintenance procedures, repair materials and sources, and warranties and bonds, as described below.
- B. Source Information: List each product included in manual, identified by product name and arranged to match manual's table of contents. For each product, list name, address, and telephone number of Installer or supplier and maintenance service agent, and cross-reference Specification Section number and title in Project Manual and drawing or schedule designation or identifier where applicable.
- C. Product Information: Include the following, as applicable:
  - 1. Product name and model number.
  - 2. Manufacturer's name.
  - 3. Color, pattern, and texture.
  - 4. Material and chemical composition.
  - 5. Reordering information for specially manufactured products.
- D. Maintenance Procedures: Include manufacturer's written recommendations and the following:
  - 1. Inspection procedures.
  - 2. Types of cleaning agents to be used and methods of cleaning.
  - 3. List of cleaning agents and methods of cleaning detrimental to product.
  - 4. Schedule for routine cleaning and maintenance.
  - 5. Repair instructions.
- E. Repair Materials and Sources: Include lists of materials and local sources of materials and related services.
- F. Warranties and Bonds: Include copies of warranties and bonds and lists of circumstances and conditions that would affect validity of warranties or bonds.
  - 1. Include procedures to follow and required notifications for warranty claims.

### 2.6 SYSTEMS AND EQUIPMENT MAINTENANCE MANUALS

- A. Content: For each system, subsystem, and piece of equipment not part of a system, include source information, manufacturers' maintenance documentation, maintenance procedures, maintenance and service schedules, spare parts list and source information, maintenance service contracts, and warranty and bond information, as described below.
- B. Source Information: List each system, subsystem, and piece of equipment included in manual, identified by product name and arranged to match manual's table of contents. For each product, list name, address, and telephone number of Installer or supplier and maintenance service agent, and cross-reference Specification Section number and title in Project Manual and drawing or schedule designation or identifier where applicable.

## SECTION 017823 - OPERATION AND MAINTENANCE DATA

- C. **Manufacturers' Maintenance Documentation:** Manufacturers' maintenance documentation including the following information for each component part or piece of equipment:
  - 1. Standard maintenance instructions and bulletins.
  - 2. Drawings, diagrams, and instructions required for maintenance, including disassembly and component removal, replacement, and assembly.
  - 3. Identification and nomenclature of parts and components.
  - 4. List of items recommended to be stocked as spare parts.
  
- D. **Maintenance Procedures:** Include the following information and items that detail essential maintenance procedures:
  - 1. Test and inspection instructions.
  - 2. Troubleshooting guide.
  - 3. Precautions against improper maintenance.
  - 4. Disassembly; component removal, repair, and replacement; and reassembly instructions.
  - 5. Aligning, adjusting, and checking instructions.
  - 6. Demonstration and training video recording, if available.
  
- E. **Maintenance and Service Schedules:** Include service and lubrication requirements, list of required lubricants for equipment, and separate schedules for preventive and routine maintenance and service with standard time allotment.
  - 1. **Scheduled Maintenance and Service:** Tabulate actions for daily, weekly, monthly, quarterly, semiannual, and annual frequencies.
  - 2. **Maintenance and Service Record:** Include manufacturers' forms for recording maintenance.
  
- F. **Spare Parts List and Source Information:** Include lists of replacement and repair parts, with parts identified and cross-referenced to manufacturers' maintenance documentation and local sources of maintenance materials and related services.
  
- G. **Maintenance Service Contracts:** Include copies of maintenance agreements with name and telephone number of service agent.
  
- H. **Warranties and Bonds:** Include copies of warranties and bonds and lists of circumstances and conditions that would affect validity of warranties or bonds.
  - 1. Include procedures to follow and required notifications for warranty claims.

## PART 3 - EXECUTION

### 3.1 MANUAL PREPARATION

- A. **Operation and Maintenance Documentation Directory:** Prepare a separate manual that provides an organized reference to emergency, operation, and maintenance manuals.

## SECTION 017823 - OPERATION AND MAINTENANCE DATA

- B. Emergency Manual: Assemble a complete set of emergency information indicating procedures for use by emergency personnel and by Owner's operating personnel for types of emergencies indicated.
- C. Product Maintenance Manual: Assemble a complete set of maintenance data indicating care and maintenance of each product, material, and finish incorporated into the Work.
- D. Operation and Maintenance Manuals: Assemble a complete set of operation and maintenance data indicating operation and maintenance of each system, subsystem, and piece of equipment not part of a system.
  - 1. Engage a factory-authorized service representative to assemble and prepare information for each system, subsystem, and piece of equipment not part of a system.
  - 2. Prepare a separate manual for each system and subsystem, in the form of an instructional manual for use by Owner's operating personnel.
- E. Manufacturers' Data: Where manuals contain manufacturers' standard printed data, include only sheets pertinent to product or component installed. Mark each sheet to identify each product or component incorporated into the Work. If data include more than one item in a tabular format, identify each item using appropriate references from the Contract Documents. Identify data applicable to the Work and delete references to information not applicable.
  - 1. Prepare supplementary text if manufacturers' standard printed data are not available and where the information is necessary for proper operation and maintenance of equipment or systems.
- F. Drawings: Prepare drawings supplementing manufacturers' printed data to illustrate the relationship of component parts of equipment and systems and to illustrate control sequence and flow diagrams. Coordinate these drawings with information contained in record Drawings to ensure correct illustration of completed installation.
  - 1. Do not use original project record documents as part of operation and maintenance manuals.
  - 2. Comply with requirements of newly prepared record Drawings in Section 017839 "Project Record Documents."
- G. Comply with Section 017700 "Closeout Procedures" for schedule for submitting operation and maintenance documentation.

END OF SECTION 017823

## SECTION 019113 - GENERAL COMMISSIONING REQUIREMENTS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

##### A. Section Includes:

1. General requirements for coordinating and scheduling commissioning.
2. Commissioning meetings.
3. Commissioning reports.
4. Equipment and systems installation, startup, and field quality-control testing indicated in the Contract Documents.
5. Use of test equipment, instrumentation, and tools for commissioning.
6. System readiness checklists, including, but not limited to, installation checks, startup, performance tests, and performance test demonstration.
7. Commissioning tests and commissioning test demonstration.
8. Work to correct commissioning issues.
9. Work to repeat tests when equipment and systems fail acceptance criteria.
10. Adjusting, verifying, and documenting identified systems and assemblies.

##### B. Related Requirements:

1. [Section 013300 "Submittal Procedures"](#) for submittal procedures requirements for commissioning.
2. [Section 017700 "Closeout Procedures"](#) for certificate of Construction Phase Commissioning Completion submittal requirements.
3. [Section 017823 "Operation and Maintenance Data"](#) for preliminary operation and maintenance data submittal.
4. [Section 220800 "Commissioning of Plumbing"](#) for technical commissioning requirements for plumbing.
5. [Section 230800 "Commissioning of HVAC"](#) for technical commissioning requirements for HVAC.
6. [Section 260800 "Commissioning of Electrical Systems"](#) for technical commissioning requirements for electrical systems.

#### 1.3 DEFINITIONS

- A. Acceptance Criteria: Threshold of acceptable work quality or performance specified for a commissioning activity, including, but not limited to, system readiness checklists, performance tests, performance test demonstrations, commissioning tests and commissioning test demonstrations.

## SECTION 019113 - GENERAL COMMISSIONING REQUIREMENTS

- B. Basis-of-Design Document (BoD): A document prepared by Engineer, or Commissioning Authority that records concepts, calculations, decisions, and product selections used to comply with Owner's Project Requirements and to suit applicable regulatory requirements, standards, and guidelines.
- C. Commissioning Authority (CxA): An entity engaged by Owner, and identified in Section 011000 "Summary," to evaluate Commissioning-Process Work.
- D. Commissioning Plan: A document, prepared by Commissioning Authority, that outlines the organization, schedule, allocation of resources, and documentation requirements of commissioning.
- E. Commissioning (Cx): A quality-focused process for verifying and documenting that the facility and all of its systems and assemblies are planned, designed, installed, and tested to comply with Owner's Project Requirements. The requirements specified here are limited to the construction phase commissioning activities. The scope of commissioning is defined in [Section 011000 "Summary."](#)
- F. Construction Phase Commissioning Completion: The stage of completion and acceptance of commissioning when resolution of deficient conditions and issues discovered during commissioning and retesting until acceptable results are obtained has been accomplished. Owner will establish in writing the date Construction Phase Commissioning Completion is achieved. [See Section 017700 "Closeout Procedures" for certificate of Construction Phase Commissioning Completion submittal requirements.](#)
  - 1. Commissioning is complete when the work specified in this Section and related Sections has been completed and accepted, including, but not limited to, the following:
    - a. Completion of tests and acceptance of test results.
    - b. Resolution of issues, as verified by retests performed and documented with acceptance of retest results.
    - c. [Comply with requirements in "Demonstration and Training."](#)
    - d. Completion and acceptance of submittals and reports.
- G. Functional Test: Test of dynamic function of systems, as opposed to components, under full operation in various modes through all control system's sequences of operation using manual (direct observation) or monitoring methods following prescribed test procedures in sequential written form
- H. Owner's Project Requirements (OPR): A document that details the functional requirements of a project and the expectations of how it will be used and operated, including Project goals, measurable performance criteria, cost considerations, benchmarks, success criteria, and supporting information.
- I. Owner's Witness: Commissioning Authority, Owner's Project Manager, or Architect-designated witness authorized to authenticate test demonstration data and to sign completed test data forms.
- J. Construction or System readiness Checklist: List, provided by Commissioning Authority to installer, of items to inspect and elementary component tests to conduct to verify proper installation of equipment prior to functional testing.

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- K. Sampling: Functionally testing only a fraction of total number of identical or near identical pieces of equipment.
- L. Seasonal Commissioning: Testing of equipment that can be done only during periods of peak heating or cooling, when HVAC equipment is operating at full-load or heavy-load conditions.
- M. Simulated Condition: Condition created for purpose of testing response of system.
- N. "Systems," "Assemblies," "Subsystems," "Equipment," and "Components": Where these terms are used together or separately, they shall mean "as-built" systems, assemblies, subsystems, equipment, and components.
- O. Test: Performance tests, performance test demonstrations, commissioning tests, and commissioning test demonstrations.
- P. Trending: Monitoring using building control system.

### 1.4 COMPENSATION

- A. Should Architect, Commissioning Authority, other Owner's witness, or Owner's staff perform additional services or incur additional expenses due to actions of Contractor listed below, compensate Owner for such additional services and expenses.
  - 1. Failure to provide timely notice of commissioning activities schedule changes.
  - 2. Failure to meet acceptance criteria for test demonstrations.
- B. Contractor shall compensate Owner for such additional services and expenses at the rate of \$150.00 per labor hour plus \$100.00 per round trip plus per diem allowances for meals and lodging according to current U.S. General Services Administration (GSA) Per Diem Rates.

### 1.5 COMMISSIONING TEAM

- A. Members Appointed by Contractor(s):
  - 1. Commissioning Coordinator: A person or entity employed by Contractor to manage, schedule, and coordinate commissioning.
  - 2. Project superintendent and other employees that Contractor may deem appropriate for a particular portion of the commissioning.
  - 3. Subcontractors, installers, suppliers, and specialists that Contractor may deem appropriate for a particular portion of the commissioning.
  - 4. Appointed team members shall have the authority to act on behalf of the entity they represent.
- B. Members Appointed by Owner:
  - 1. Commissioning Authority (CxA), plus consultants that CxA may deem appropriate for a particular portion of the commissioning.
    - a. CxA: Ethos Engineering, Cesar Gonzalez, PE. Cell (956) 564.2827
  - 2. Owner representative(s), facility operations and maintenance personnel, plus other employees, separate contractors, and consultants that Owner may deem appropriate for a particular portion of the commissioning.

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3. MEP Engineer, plus employees and consultants that Architect may deem appropriate for a particular portion of the commissioning.
  - a. MEP: Ethos Engineering, Guillermo Quintanilla. Cell (956) 564.2811.

### 1.6 INFORMATIONAL SUBMITTALS

- A. Comply with requirements in Section 013300 "Submittal Procedures" for submittal procedures general requirements for commissioning.
- B. Commissioning Plan Information:
  1. List of Contractor-appointed commissioning team members to include specific personnel and subcontractors to the performance of the various commissioning requirements.
  2. Schedule of commissioning activities, integrated with the construction schedule. Comply with requirements in Section 013200 "Construction Progress Documentation" for construction schedule general requirements for commissioning.
  3. Contractor personnel and subcontractors to participate in each test.
  4. List of instrumentation required for each test to include identification of parties that will provide instrumentation for each test.
- C. Commissioning schedule.
- D. Two-week look-ahead schedules.
- E. Commissioning Coordinator Letter of Authority:
  1. Within 10 days after approval of Commissioning Coordinator qualifications, submit a letter of authority for Commissioning Coordinator, signed by a principal of Contractor's firm. Letter shall authorize Commissioning Coordinator to do the following:
    - a. Make inspections required for commissioning.
    - b. Coordinate, schedule, and manage commissioning of Contractor, subcontractors, and suppliers.
    - c. Obtain documentation required for commissioning from Contractor, subcontractors, and suppliers.
    - d. Report issues, delayed resolution of issues, schedule conflicts, and lack of cooperation or expertise on the part of members of the commissioning team.
- F. Test Reports:
  1. Pre-Startup Report: Prior to startup of equipment or a system, submit signed, completed system readiness checklists.
  2. Test Data Reports: At the end of each day in which tests are conducted, submit test data for tests performed.
  3. Commissioning Issues Reports: Daily, at the end of each day in which tests are conducted, submit commissioning issue reports for tests for which acceptable results were not achieved.
  4. Weekly Progress Report: Weekly, at the end of each week in which tests are conducted, submit a progress report.
  5. Data Trend Logs: Submit data trend logs at the end of the trend log period.
  6. System Alarm Logs: Daily, at the start of days following a day in which tests were performed, submit print-out of log of alarms that occurred since the last log was printed.

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- G. System readiness checklists:
  - 1. Material checks.
  - 2. Installation checks.
  - 3. Startup procedures, where required.

### 1.7 CLOSEOUT SUBMITTALS

- A. Commissioning Report:
  - 1. At Construction Phase Commissioning Completion, include the following:
    - a. Pre-startup reports.
    - b. Test data forms, completed and signed.
    - c. Commissioning issues report log.
    - d. Commissioning issues reports showing resolution of issues.
    - e. Correspondence or other documents related to resolution of issues.
    - f. Other reports required by commissioning.
    - g. List unresolved issues and reasons they remain unresolved and should be exempted from the requirements for Construction Phase Commissioning Completion.
    - h. Report shall include commissioning work of Contractor.
- B. Request for Certificate of Construction Phase Commissioning Completion.
- C. Operation and Maintenance Data: For proprietary test equipment, instrumentation, and tools to include in operation and maintenance manuals.

### 1.8 COMMISSIONING TEAM RESPONSIBILITIES

- A. COMMISSIONING AUTHORITY: Responsibilities of the CxA during the Construction Phase include the following:
  - 1. Coordinate and direct steps of the total Commissioning Process for systems being installed as part of this contract. Coordinate commissioning work schedule with Owner and Contractor.
  - 2. Provide Commissioning Plan.
  - 3. Attend planning and construction-site meetings as required to obtain information relating to Commissioning Process. Convene commissioning team meetings as required.
  - 4. Plan and conduct commissioning scoping and coordination meetings. Provide notice to all Team members to attend scheduled commissioning meetings.
  - 5. Request all information required for Commissioning Process from manufacturers, Contractor, and Design Professionals.
  - 6. Review Design Professionals' design documents to gain clear understanding of design intent. (Not in scope)
  - 7. Review submittals for compliance with commissioning needs. (Not in scope).
  - 8. Verify that systems and equipment have been installed and started in accordance with manufacturer's recommendations and with generally recognized construction standards, and that documentation of such has been provided.
  - 9. Assist in resolving discrepancies.

## SECTION 019113 - GENERAL COMMISSIONING REQUIREMENTS

10. Prepare System readiness checklists to ensure systems have been installed according to project specifications. Verify that System readiness checklists have been addressed by Contractor and are accurate. Deliver final System readiness checklists to Owner.
  11. Prepare Functional Test procedures to demonstrate performance of systems according to project specifications. Observe and document performance of systems, as per process detailed in Functional Test procedures.
  12. Verify the execution of commissioning process activities using random sampling. The sampling rate may vary from 1 to 100 percent. Verification will include, but is not limited to, equipment submittals, system readiness checklists, training, operating and maintenance data, tests, and test reports to verify compliance with the OPR. When a random sample does not meet the requirement, the CxA will report the failure in the Issues Log.
  13. Prepare and maintain an Issues Log.
  14. Compile test data, inspection reports, and certificates; include them in the systems manual and commissioning process report.
  15. Review testing and balancing (TAB) reports; notify Owner of deficiencies.
  16. Recommend acceptance or non-acceptance of systems to Owner.
  17. Verify that Operations and Maintenance (O&M) documentation is acceptable. Operations and Maintenance manuals shall be submitted simultaneously to CxA and to Design Professionals for review. (Not in scope)
  18. Verify that training has taken place by collecting training documentation from Contractor.
  19. Compile and maintain commissioning record.
  20. Provide pre-final and final commissioning reports to all commissioning team members. The report shall include:
    - a. Communications between Owner, CxA, Design Professionals, Vendors, and/or Contractor and Subcontractors related to Commissioning Process.
    - b. Minutes of commissioning meetings.
    - c. Findings and pertinent observations.
    - d. A listing of any deficiencies, unresolved issues, and compromises in the environmentally responsive features
    - e. Manufacturer's start-up reports.
    - f. An Issues Log which:
      - 1) Describes design, installation, and performance issues which are at variance with the Owner's project requirements and Contract Documents.
      - 2) Identifies and tracks issues as they are encountered, documenting the status of unresolved and resolved issues.
      - 3) Documents corrective modifications made.
    - g. System readiness checklists.
    - h. Testing plans and Functional Test reports.
    - i. Listing of off-season test(s) not performed and a schedule for their completion.
  21. Conduct an inspection of the building and its systems within 10 months after substantial completion and prior to the expiration of warranties. Prepare a report documenting findings that should be addressed prior to expiration of warranties.
- B. CONTRACTOR: Responsibilities of the General Contractor (GC) as related to Commissioning Process include, but are not limited to the following:
1. Facilitate coordination of Commissioning work by CxA.
  2. Attend Commissioning meetings or other meetings called by CxA to facilitate the Commissioning Process.
  3. Integrate and coordinate commissioning process activities with construction schedule.

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4. Review CxA's Functional Test procedures for feasibility, safety, and impact on warranty, and provide CxA with written comment on same.
5. Provide all documentation relating to manufacturer's recommended performance testing of equipment and systems.
6. Provide Operations and Maintenance Data to CxA for preparation of checklists and training manuals.
7. Provide testing and balancing report.
8. Provide As-built drawings and documentation to facilitate Functional Testing.
9. Assure and facilitate participation and cooperation of specialty subcontractors (electrical, mechanical, Building Automation, etc.), and equipment suppliers as required for the Commissioning Process.
10. Require subcontractors to inspect systems installed and fill-out System readiness checklists (provided by CxA) to verify installation has taken place in accordance with manufacturer's instructions, and in a workmanlike manner in accordance with project documents and generally accepted construction practices. Certify to CxA that installation work listed in System readiness checklists has been completed and accompany CxA during verification of completed System readiness checklists.
11. Install systems and equipment in strict conformance with project specifications, manufacturer's recommended installation procedures, and System readiness checklists, as prepared by CxA.
12. Provide data concerning performance, installation, and start-up of systems.
13. Provide copy of manufacturer's filled-out start-up forms for equipment and systems.
14. Ensure systems have been started and fully checked for proper operation prior to arranging for Functional Testing with CxA. Prepare and submit to CxA written certification that each piece of equipment and/or system has been started according to manufacturer's recommended procedure, and that system has been tested for compliance with operational requirements.
  - a. GC shall carry out manufacturer's recommended start-up and testing procedures, regardless of whether or not they are specifically listed in CxA's Functional Test procedures.
  - b. GC is not relieved of obligation for systems / equipment demonstration where performance testing is required by specifications, but a Functional Performance Test is not specifically designated by CxA.
15. Coordinate with CxA to determine mutually acceptable date of Functional Performance Tests.
16. Review and accept construction checklists provided by the CxA.
17. Direct and coordinate commissioning testing among subcontractors, suppliers, and vendors.
18. Complete commissioning process test procedures.
19. Provide qualified personnel to assist and participate in Commissioning.
20. Provide test instruments and communications devices, as prescribed by CxA and where identified in this specifications manual, as required for carrying out Functional Testing of systems.
21. Evaluate performance deficiencies identified in test reports and, in collaboration with entity responsible for system and equipment installation, recommend corrective action.
22. Cooperate with the CxA for resolution of issues recorded in the Issues Log.
23. Ensure deficiencies found in the Commissioning Process are corrected within the time schedule shown in the CA report.
24. Provide CxA with all submittals, start-up instructions manuals, operating parameters, and other pertinent information related to Commissioning Process. This information shall be

## SECTION 019113 - GENERAL COMMISSIONING REQUIREMENTS

- provided directly to the CxA as a digital PDF file at the same time that the submittals are made to the architect and/or engineer.
25. Prepare and submit to CxA proposed Training Program outline for each system.
  26. Coordinate and provide training of Owner's personnel. Provide CxA with proposed training agenda no less than 14 days prior to scheduled training sessions. Provide documentation that training took place (including system being trained on, trainer's name and contact information, sign-in sheet verifying who attended training, length of training, and signature of owner's authorized person certifying training took place satisfactorily).
  27. Prepare Operation and Maintenance manuals and As-Built drawings in accordance with specifications; submit copy to CxA in addition to other contractually required submissions. Revise and resubmit manuals in accordance with Design Professionals and CxA's comments.
  28. All costs associated with the participation of GC, Sub-Contractors, Design Professionals, and Equipment Vendors in the Commissioning Process shall be included as part of the Construction Contract.
- C. Subcontractors and vendors shall prepare and submit to Commissioning Authority Manufacturer's installation and performance test procedures to demonstrate performance of systems according to these specifications and checklists prepared by Commissioning Authority.
- D. Owner's Representative: Responsibilities of the Owner's Representative as related to Commissioning Process include, but are not limited to the following:
1. Provide the OPR documentation to the CxA and GC for information and use.
  2. Assign operation and maintenance personnel and schedule them to participate in commissioning team activities.
  3. Provide the BoD documentation, prepared by Architect and approved by Owner, to the CxA and GC for use in developing the commissioning plan, systems manual, and operation and maintenance training plan.
  4. Manage contracts of Architect and GC.
  5. Arrange for facility operating and maintenance personnel to attend various field commissioning activities and field training sessions.
  6. Provide final approval for completion of Commissioning Work.
  7. Warranty Period: Ensure that seasonal or deferred testing and deficiency issues are addressed.
- E. Architect: Responsibilities of the Architect as related to Commissioning Process include, but are not limited to the following:
1. Attend commissioning scoping meeting and other commissioning team meetings as requested by Commissioning Authority and as selected by Architect.
  2. Perform normal submittal review, construction observation, record drawing preparation, and operations and maintenance data preparation, as required by Contract Documents.
  3. Review Commissioning Authority's submittal review comments and issue directive to GC and/or Design Professionals as deemed applicable. (Not in CxA's scope to review submittals).
  4. Coordinate resolution of system deficiencies identified during commissioning, as required by Contract Documents. Review Commissioning Issues Logs and issue directives to GC and/or Design Professionals as applicable.
  5. Prepare and submit final as-built design intent documentation for inclusion in Operation and Maintenance Data Manual, and review and approve Operation and Maintenance Data Manual.

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6. Review Commissioning Report and issue directive to resolve all outstanding deficiencies prior to project close-out.
  7. Warranty Period: Coordinate resolution of design non-conformance and design deficiencies identified during warranty period commissioning.
- F. Design Professionals Responsible for Design of Each Portion of Work Being Commissioned:
1. Perform normal submittal review, construction observations, and record drawing preparation, as required by Contract Documents. Perform site observation immediately preceding system startup.
  2. Respond to deficiencies identified by Commissioning Authority as directed by Architect.
  3. Provide design narrative and sequence documentation requested by Commissioning Authority. Assist, along with GC, in clarifying operation and control of commissioned equipment in areas where specifications, control drawings, or equipment documentation are not sufficient for writing detailed testing procedures.
  4. Attend commissioning scoping meetings and other commissioning team meetings as requested by Commissioning Authority and as selected by Architect or responsible design professional.
  5. Participate in resolution of system deficiencies identified during commissioning, as required by Contract Documents.
  6. Prepare and submit final as-built design intent and operating parameters documentation for inclusion in Operation and Maintenance Manual, and review and approve Operation and Maintenance Manual.

## PART 2 - PRODUCTS

### 2.1 TEST EQUIPMENT, INSTRUMENTATION, AND TOOLS

- A. Test equipment and instrumentation required to perform the commissioning shall remain the property of Contractor unless otherwise indicated.

### 2.2 REPORT FORMAT AND ORGANIZATION

- A. General Format and Organization:
  1. Retain first two subparagraphs below for projects requiring hard-copy submittals; delete for projects requiring only electronic submittals.
  2. Bind report in three-ring binders.
  3. Label the front cover and spine of each binder with the report title, volume number, project name, Contractor's name, and date of report.
  4. Record report on compact disk.
  5. Electronic Data: Portable document format (PDF); a single file with outline-organized bookmarks for major and minor tabs and tab contents itemized for specific reports.
- B. Commissioning Report:
  1. Include a table of contents and an index to each test.
  2. Include major tabs for each Specification Section.
  3. Include minor tabs for each test.
  4. Within each minor tab, include the following:

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- a. Test specification.
- b. Pre-startup reports.
- c. Test data forms, completed and signed.
- d. Commissioning issue reports, showing resolution of issues, and documentation related to resolution of issues pertaining to a single test. Group data forms, commissioning issue reports showing resolution of issues, and documentation related to resolution of issues for each test repetition together within the minor tab, in reverse chronological order (most recent on top).

### PART 3 - EXECUTION

#### 3.1 PREPARATION

- A. Review preliminary system readiness checklists and preliminary test procedures and data forms.

#### 3.2 GENERAL

##### A. Authority

1. The Commissioning Authority carries out the Cx responsibilities as the Owner's authorized agent in accordance with plans, specifications, and contractual requirements.
2. CxA reports deficiencies found to the GC, Architect and Owner.
3. The Architect evaluates deficiencies and issues directive to GC to remedy CxA's deficiencies lists, in accordance with contract documents.
4. No change in scope work is to take place without express written consent of Owner. Any deficiencies identified by CxA that are deemed by Architect to be outside of the scope of work shall be discussed with Owner for consideration.
5. GC and CxA are to copy Architect on all correspondence related to the commissioning process.

##### B. Participation In The Commissioning Process

1. GC shall attend meetings related to Commissioning process and arrange for attendance by subcontractors and vendors prior to commissioning of their systems, at the discretion of CxA.
2. Provide skilled technicians to start and test all systems, and place systems in complete and fully functioning service in accordance with contract documents and design intent.
3. Provide skilled technicians, experienced and familiar with systems being commissioned, to assist CxA in commissioning process.
4. Attend initial commissioning team scoping meeting, pre-commissioning meetings specific to each system, and other meetings requested by CxA as required to discuss resolution of deficiencies.
5. Coordinate with sub-Contractors and equipment vendors/representatives to set aside adequate time to address System readiness Checklists, Functional Testing, Operations and Maintenance Training, and associated coordination meetings.

##### C. Work Prior To Testing

1. A commissioning team scoping meeting shall be held at a time and place designated by Commissioning Authority. Owner, Architect, Commissioning Authority, Contractor, and Mechanical, Electrical, and Controls Contractors, shall be present at this meeting. The main objectives of the meeting are to familiarize all parties with the requirements of the

## SECTION 019113 - GENERAL COMMISSIONING REQUIREMENTS

commissioning process; to ensure that the responsibilities of each party are clearly understood; and obtain information to develop the preliminary commissioning plan, including:

- a. Personnel representing the various entities participating in the process (GC, subcontractors, Owner, Architect, Engineer, CxA)
  - b. Lines of communications;
  - c. Assignment of responsibilities;
  - d. Review system readiness checklists;
  - e. Submittal schedule;
  - f. Preliminary construction schedule
2. Following the initial commissioning team scoping meeting, and upon reviewing submittals, CxA shall prepare a preliminary Commissioning Plan outlining procedures and responsibilities, including names and contact information of responsible parties, tentative dates for commissioning activities, and system readiness checklists. Preliminary Commissioning Plan shall be distributed to GC and Owner electronically for review and comment. CxA shall modify the Commissioning Plan based on feedback from GC and Owner and will generate a final Cx Plan.
  3. Prior to system readiness and functional testing, CxA will conduct site inspections at critical times and issue Cx Field Reports with observations on installation deficiencies so that they may be issued by Architect as deemed appropriate
  4. GC shall complete all phases of the work so the systems can be started, tested, adjusted, balanced, and otherwise commissioned.
  5. GC shall verify requirements of Divisions 22, 23 and 26 outlining responsibilities for start-up of equipment with obligations to complete systems, including all sub-systems so that they are fully functional.
  6. A minimum of fourteen (14) days prior to date of system readiness performance test, submit to Commissioning Authority for review, detailed description of equipment start-up procedures which GC proposes to perform to demonstrate conformance of systems to specifications and commissioning checklists.
  7. Convene system-specific pre-commissioning meetings prior to start of system readiness testing of each system. The GC shall hold a pre-commissioning meeting with all Team members in attendance. The purpose of the meeting is to review the system readiness checklists, and equipment start-up procedures for each system to be commissioned, confirm that systems are ready for testing, and define a schedule for testing activities.

### D. System readiness checks and functional performance tests

1. The GC shall provide all materials, services, and labor required to operate equipment and/or system in order to perform the system readiness checks and functional performance tests. A system readiness check or functional performance test shall be aborted if any system deficiency prevents the successful completion of the test or if any participating commissioning team member of which participation is specified is not present for the test. The GC shall reimburse the Owner and A/E for all costs associated with effort lost due to tests that are aborted. These costs shall include salary, travel costs and per diem (where applicable).
2. Functional performance tests may sometimes duplicate the checking, testing, and inspection methods established in related Sections. Where checking, testing, and inspection methods are not specified in other Sections, methods shall be established which will provide required information. Testing and verification required by this section shall be performed during the Commissioning phase. Requirements in related Sections are independent from the requirements of this Section and shall not be used to satisfy any of the requirements specified in this Section without the approval of CxA.

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3. Follow start-up and initial checkout procedures listed in article titled “RESPONSIBILITIES” in Part 1, and additional requirements specified in this Section. Divisions 22, 23 and 26 have startup responsibilities and are required to complete systems and sub-systems so systems are fully functional, meeting design requirements of Contract Documents. Commissioning procedures and functional testing do not relieve or lessen this responsibility or shift this responsibility, in whole or in part, to Commissioning Agent or Owner.

### E. Work To Resolve Deficiencies

1. Complete corrective work in a timely manner to allow expeditious completion of commissioning process. If deadlines pass without resolution of identified problems, Owner reserves the right to obtain supplementary services and/or equipment to resolve the problem. Costs thus incurred will be GC’s responsibility.

## 3.3 SUSTAINABILITY REQUIREMENTS

- A. Comply with requirements listed in specifications and drawings as it relates to sustainability features that will be verified during the Commissioning process.

## 3.4 SYSTEM READINESS CHECKLISTS

### A. General

1. System readiness checklists are important to ensure that equipment and systems are properly connected and operational, and installed in accordance with specifications, drawings, manufacturer's requirements, and all applicable codes.
2. Checklists ensure that functional performance testing (in-depth checkout) may proceed without unnecessary delays.
3. Performance of system readiness checklists, startup, and checkout shall be directed and executed by subcontractor or vendor. Only individuals that have direct knowledge and who witnessed that line item task on system readiness checklist was performed shall initial or check item off.
4. Each piece of equipment and major distribution system receive full system readiness checkout. No sampling strategies are used.
5. System readiness checkout for given system must be successfully completed prior to formal functional performance testing of equipment or subsystems of given system.

### B. System readiness Checklist

1. System readiness performance tests shall be documented in a checklist format, as prepared and provided by CxA, for each piece of equipment. Each checklist shall be initialed by GC, verifying that all items on checklist have been addressed and completed.
2. Commissioning System readiness checklists are not to preclude GC from applying his own construction inspection checklists.
3. All system elements shall be checked to verify that they have been installed, adjusted, and calibrated properly, that all connections have been made correctly, and that it is ready to function as specified. Verify that each piece of equipment or system has been checked for proper lubrication, drive rotation, control sequence, and other conditions which may cause damage.
4. Verify that tests, meter readings and specific electrical characteristics agree with those required by equipment or system manufacturer.

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5. All discrete elements and sub-systems shall be adjusted and shall be checked for proper operation. Verify wiring and support components for equipment are complete and tested.
6. Do not conduct start-up procedure recommended by equipment/system manufacturer at prior to system readiness testing.
7. Subcontractors shall clearly list outstanding items of initial start-up and system readiness procedures that were not completed successfully at bottom of procedures form or on separate sheet attached to form. Completed forms and attached sheets shall be provided to Commissioning Authority within 2 days of test completion. Installing subcontractor or vendor shall correct deficient or incomplete areas in timely manner and shall submit updated system readiness checklist and startup report with statement of correction on original non-compliance report.
8. When system readiness checklists for a particular system or subsystems are completed, GC will request verification by CxA. GC and subcontractors shall accompany CxA during system readiness checklist verification.
9. If during system readiness checklist verification, CxA finds a significant number of deficiencies, GC shall have all the checklists associated with similar system redone.

### 3.5 SYSTEM START-UP

- A. GC will arrange for start-up of operating equipment and systems after (or at the same time as) system readiness testing and prior to scheduling Functional Testing.
- B. Start-up of equipment and systems shall be performed only by a manufacturer's representative, or person(s) who are specifically manufacturer-approved. All start-up personnel shall be trained and authorized, experienced and knowledgeable in the operations of such equipment and systems.
- C. Coordinate schedule for start-up of various equipment and systems so that subsystems required for major systems operation are tested first.
- D. Manufacturer's start-up reports must be submitted to CxA prior to scheduling Functional Testing.

### 3.6 FUNCTIONAL TESTING

- A. The objective of Functional Testing is to demonstrate that each system is operating according to documented design intent and Contract Documents, through all possible modes of operation.
- B. GC and sub-Contractors shall include in his bid proposal all costs associated with preparation and execution of Testing Procedures for all systems to be commissioned.
- C. Functional testing is intended to begin upon completion of each system and after system readiness checklists have been completed. Functional testing may proceed prior to completion of systems or sub-systems at discretion of Commissioning Authority. Beginning system testing before completion does not relieve GC from fully completing system, including system readiness checklists as early as possible.
- D. GC and sub-Contractors shall provide detailed Testing Procedures that will allow all items on checklists to be verified.

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- E. Testing shall be conducted under specified operating conditions as recommended or approved by Commissioning Authority.
- F. A Functional Performance Test shall be performed on each complete system. Each function shall be demonstrated to the satisfaction of Commissioning Authority in accordance with proposed test procedures developed to demonstrate compliance with specifications.
- G. Each Functional Test shall be witnessed and signed off by Commissioning Authority upon satisfactory completion. Functional Test is not to be considered complete until Owner accepts Commissioning Authority's recommendation for completion.
- H. All elements of system shall be tested to demonstrate that total systems satisfy all requirements of these specifications. Testing shall be accomplished on hierarchical basis. Test each piece of equipment for proper operation, followed by each subsystem, followed by the entire system, followed by any inter-ties to other major systems.
- I. Notification, Scheduling Of Functional Testing and Re-Testing
  - 1. Notify CxA and Owner, in writing, of request for scheduling Functional Testing. Submit request no fewer than five days prior to desired date for beginning functional testing.
    - a. GC must certify that systems and equipment are functioning satisfactorily, according to specifications and design intent, prior to requesting Functional Testing. Upon receipt of such certification, CxA will schedule with GC a time for the particular system test.
      - 1) CxA will attempt to schedule Functional Testing when convenient for GC and his vendors, and to minimize lost time to GC.
    - b. GC will resolve all deficiencies identified during initial test prior to submitting request, in writing, for re-testing. Such request for re-testing shall certify that GC has resolved all deficiencies, or list reason why any deficiencies remain which cannot be resolved.
    - c. CxA will re-test to ensure that all deficiencies have been resolved.
      - 1) Deficiencies that were not detected in first Functional Test, but are discovered in subsequent re-testing, are to be resolved by GC as if they had been discovered in initial testing.
- J. Functional Testing Requirements And Procedures
  - 1. GC and sub-Contractors shall perform tests in the presence of CxA. Tests not witnessed by CxA shall not be considered complete.
  - 2. To facilitate Functional Testing, when requested by CxA, GC shall provide services of personnel to accompany CxA for the duration of Functional Testing, including any follow-up testing. Such personnel must be experienced, qualified, and intimately familiar with the system being tested.
    - a. Participation by representative(s) of direct digital controls (DDC) systems is of particular importance in Functional Testing. All systems which are controlled and/or monitored by DDC are to be thoroughly tested, point by point, through all modes of operation, with the assistance of manufacturer's representative. DDC graphics, setpoints, and programming are to be included as a part of Functional Testing as well.
    - b. GC must provide services of personnel to accompany CxA for equipment and systems which may pose particular health and safety concerns, such as boilers.
    - c. Should he fail to provide representative to accompany CxA during Functional Testing, GC continues to bear full responsibility for equipment warranty. Owner

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and CxA will not be held responsible for damage to equipment, or other actions which might impact warranty, when performing Functional Testing of systems where GC has not provided authorized accompanying representative to operate equipment.

3. Each system shall be operated through all modes of operation including, but not limited to seasonal, occupied, unoccupied, warm-up, cool-down, part-load, and full-load, where system response is specified.
    - a. For multiple units, sampling strategy established by Commissioning Authority and subject to approval of Owner may be used.
    - b. Verification of each sequence in sequences of operation is required.
    - c. Proper responses to such modes and conditions as power failure, freeze condition, low oil pressure, no flow, equipment failure, and the like, shall also be tested.
  4. Where possible, inspections carried out on systems by local Authorities Having Jurisdiction (AHJ) may serve as Functional Testing for purposes of Commissioning.
    - a. CxA will accompany AHJ during testing procedures required by AHJ.
    - b. It is responsibility of GC to arrange for testing by AHJ and to coordinate with CxA to find mutually convenient times for testing. Provide CxA a minimum of four days in advance of intent to schedule testing by AHJ.
    - c. CxA will issue a separate report on results of testing.
    - d. CxA reserves the right to require additional testing, should testing by AHJ not adequately cover all system components in all modes of operation.
  5. Functional Testing is to be dedicated solely to testing of equipment and systems, and not to resolution of deficiencies. Deficiencies identified during testing process must be corrected by GC at a time other than during Functional Testing.
  6. Within six days of performing functional test, CxA will issue test report with findings a list of deficiencies that must be addressed by GC or sub-Contractors.
  7. Commissioning Authority shall submit a Final Report to Owner recommending acceptance or non-acceptance of individual system components as well as the systems as a whole.
- K. Re-Testing And Failure To Remedy Deficiencies
1. Despite GC's best efforts to ensure systems are problem-free, it is expected that some deficiencies will be found during initial inspection of System readiness Checklist, and during initial Functional Testing; such deficiencies are expected to be minimal.
  2. It is GC's responsibility to remedy identified deficiencies, both in System readiness Checklist and in Functional Testing phases of work, in a timely and thorough manner.
  3. It is GC's responsibility to ensure that all deficiencies are corrected prior to requesting a re-inspection or re-test of systems and equipment. Do not request re-inspection or re-test until deficiencies are corrected.
    - a. At his discretion, CxA may agree to re-testing systems or equipment where deficiencies remain which are beyond GC's control to resolve expeditiously.
    - b. Typically such re-testing of incomplete systems and equipment will take place only if remaining deficiencies are minor in scope and nature, and are of such nature that they cannot be resolved in a timely manner (such as those due to difficulties in obtaining parts, or where Owner has requested a change that has delayed work, etc.)
  4. CxA will carry out a second re-inspection or re-test of systems and equipment subsequent to receiving GC's request.
    - a. If CxA finds deficiencies identified in initial inspection or test have not been remedied (with exception of un-resolvable deficiencies noted above), and such

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remaining deficiencies are significant enough to require additional inspection or re-testing, GC will be back-charged for CxA's expenses, per Article 1.5.

### 3.7 DEFERRED TESTING

- A. "Seasonal Commissioning" pertains to testing during peak heating or cooling seasons when HVAC equipment is operating at full-load or heavy-load conditions. Initial commissioning will be done as soon as contract work is completed, regardless of season. Seasonal Commissioning under full- or heavy-load conditions other than the current season will be handled at later time by GC and CxA.
  - 1. If adequate load may be artificially placed upon heating or cooling equipment, CxA, at his discretion, may perform functional testing during non-peak load periods.
  - 2. GC is to provide services of personnel and participate in seasonal testing process in the same manner as he would in non-seasonal testing.
  - 3. Until off-season commissioning can be accomplished, Owner may retain an amount from GC's payment sufficient to cover the cost of off-season testing.
  
- B. Unforeseen Deferred Tests: If any check or test cannot be completed due to building structure, required occupancy condition, or other reason, execution of checklists and functional testing may be delayed upon approval of Owner. Tests shall be conducted in same manner as seasonal tests, as soon as possible. Services of required parties will be negotiated. Make final adjustments to Operation and Maintenance Manuals and record drawings due to unforeseen deferred tests.
  - 1. GC is to provide services of personnel and participate in deferred testing in the same manner as he would for normal commissioning.
  - 2. Until deferred testing can be accomplished, Owner may retain an amount from GC's payment sufficient to cover the cost of deferred testing.

### 3.8 TRAINING

- A. The following requirements are in addition to operation and maintenance requirements specified elsewhere in this specifications manual. GC shall be responsible for training coordination and scheduling, and ultimately to ensure that training is completed.
  
- B. Scheduling
  - 1. Organize training to fit Owner's schedule and to optimize the learning experience. Limit continuous sessions to no more than four hours, or otherwise only as approved by Owner and/or Architect.
  - 2. Provide an outline of the proposed training agenda for review by Owner and CxA a minimum of 10 days prior to proposed date for training session.
  - 3. Provide CxA a minimum 5 days advance notice of intent to carry out a training session.
  - 4. The CxA will not be required to attend all training sessions for building personnel, but will attend selected sessions and monitor progress and content.
  - 5. No training will take place prior to successful completion of Functional Testing.
  
- C. Training Materials
  - 1. Develop Training Manuals to meet requirements of individual equipment specification sections.

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2. Operating and Maintenance Manuals alone are NOT considered training manuals. O&M Manuals may be used as reference, but shall not be considered to meet requirements for training materials.
3. Develop a detailed outline showing how training program will be organized, including classroom and hands-on training as required by individual specifications sections.
4. Provide with training materials, a quick-reference "how-to" index which will allow operators to easily access information included in Training Manuals and/or O&M Manuals. This reference will include, as a minimum; routine normal operating instructions and sequences. Include regulation, control, stopping, shut-down, and emergency instructions.
5. Refer to individual equipment or system specifications for minimum material to be covered as part of the training program.

### D. Documentation

1. All training sessions are to be fully documented. Document:
  - a. Basic information on training session (name of system, time, date, and location of training, name of presenter, length of training session, etc.).
  - b. Names of persons who attended the training session (provide a sign-in sheet).
  - c. Signature from authorized Owner's representative indicating that training took place and was satisfactory.
2. Provide CxA copy of sign-in sheet with training session documentation.

## 3.9 O&M MANUALS

- A. Provide operation and maintenance manuals as specified in section 017700 Closeout Submittals, and as outlined in individual sections of Divisions 22, 23 and 26.
- B. Provide CxA with a single copy of Operation and Maintenance Manuals for review. CxA's copy of O&M manuals shall be submitted through Architect.
- C. CxA shall review O&M Manuals and submit comments through the Architect.

## 3.10 SYSTEMS TO BE COMMISSIONED

- A. Refer to commissioning specifications sections in Related Sections, including the following:
  1. 230800 - COMMISSIONING OF MECHANICAL SYSTEMS

END OF SECTION 019113

## SECTION 077200 - ROOF ACCESSORIES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. This Section includes the following: Factory fabricated roof curb adapter.

#### 1.3 SUBMITTALS

- A. Product Data: For each type of product indicated. Include construction details, materials, dimensions of individual components and profiles, and finishes.
- B. Coordination Drawings: Roof plans drawn to scale and coordinating penetrations and roof-mounted items. Show the following:
  1. Size and location of roof accessories specified in this Section.
  2. Method of attaching roof accessories to roof or building structure.
  3. Other roof-mounted items including mechanical and electrical equipment, ductwork, piping, and conduit.
  4. Coordinate dimensions with shop drawings of equipment to be supported.

#### 1.4 QUALITY ASSURANCE

- A. Substitutions: Requests for substitution shall be submitted in writing at least 10 days prior to bid date and shall be accompanied by product literature and samples. No substitution will be permitted after bid date.
- B. Standards: Comply with the following:
  1. SMACNA's "Architectural Sheet Metal Manual" details for fabrication of units, including flanges and cap flashing to coordinate with type of roofing indicated.
  2. NRCA's "Roofing and Waterproofing Manual" details for installing units.

### PART 2 - PRODUCTS

#### 2.1 MATERIALS, GENERAL

- A. G-90 galvanized steel with paint-grip coating.
- B. Insulation: Manufacturer's standard rigid or semirigid glass-fiber board of 2" thickness, 1-1/2lb density, R8 value.
- C. Wood Nailers: Softwood lumber, pressure treated with waterborne preservatives for aboveground use, complying with AWPA C2; not less than 1-1/2 inches thick.

## SECTION 077200 - ROOF ACCESSORIES

- D. Fasteners: Stainless steel metal as recommended by manufacturer. Match finish of exposed fasteners with finish of material being fastened.
- E. Gaskets: Manufacturer's standard tubular or fingered design of neoprene, EPDM, or PVC; or flat design of foam rubber, sponge neoprene, or cork.
- F. Bituminous Coating: SSPC-Paint 12, solvent-type bituminous mastic, nominally free of sulfur and containing no asbestos fibers, compounded for 15-mil dry film thickness per coating.
- G. Mastic Sealant: Polyisobutylene; nonhardening, nonskinning, nondrying, nonmigrating sealant.
- H. Elastomeric Sealant: Generic type recommended by unit manufacturer that is compatible with joint surfaces; ASTM C 920, Type S, Grade NS, Class 25, and Uses NT, G, A, and, as applicable to joint substrates indicated, O.
- I. Roofing Cement: ASTM D 4586, nonasbestos, fibrated asphalt cement designed for trowel application or other adhesive compatible with roofing system.

### 2.2 ROOF CURBS

- A. General: Provide roof curbs capable of supporting superimposed live and dead loads, including equipment loads and other construction to be supported on roof curbs. Coordinate dimensions with rough-in information or Shop Drawings of equipment to be supported.
- B. Fabrication: Unless otherwise indicated or required for strength, fabricate units from minimum 16 gauge, galvanized steel with paint grip coating, with welded corners and with seams joined by continuous water and air-tight welds. Tack or spot welding is unacceptable.
  - 1. All external welds shall be prepared and coated with corrosion inhibitor compound.
  - 2. Curb adapter walls shall be insulated with 1-1/2" thick, three-pound density insulation. Exposed edges shall be encapsulated to ensure no insulation erodes into the air stream. Insulation shall be either foil faced or coated with antimicrobial coating such that the fibers are not airborne over the life of the building.
  - 3. Provide preservative-treated wood nailers at tops of curbs and formed flange at perimeter bottom for mounting to roof.
  - 4. Provide formed cants and base profile coordinated with roof insulation thickness.
  - 5. The Manufacturer shall limit static pressure gain to .25 inches/water gauge
  - 6. Fabricate units to minimum height of 18 inches, unless otherwise indicated.
  - 7. Changes in airflow direction to be accomplished by 90-degree elbows with turning vanes.
  - 8. Curb adapters shall be manufactured in one piece except when width exceeds 108". If width exceeds 108", the curb shall be designed with prefabricated joints for ease of installation. It will be manufactured in separate pieces with the number and length of the pieces determined by the total length of the unit. The Manufacturer shall supply drawings for assembly and installation.
  - 9. Curb adapters shall provide full support of the new unit and shall include 3/8" gasketing.
  - 10. Counter flashing shall extend over the original curb a minimum of 1" and be welded and weatherproof.

### 2.3 FINISHES, GENERAL

## SECTION 077200 - ROOF ACCESSORIES

- A. Surface preparation: Oil, grease and other deposits of surface contamination shall be removed by solvent or detergent washing. All surfaces must be clean, dry and free of any dirt, dust, grease, oil or other deleterious materials prior to coating. Care shall be taken to ensure surfaces remain clean before and during coating process.
- B. Application system:
  - 1. Coating shall provide a standard 5 year manufacturer's limited warranty.
  - 2. Metal surfaces shall be finished with a corrosion protection system equal to one of the following:
    - a. Energy Guard ZRU Primer. Finish coat shall consist of EnergyGuard DCC Cabinet Casing polyurethane coating. Coatings shall be applied by a certified applicator and shall result in a finish with an ASTM B117-90 salt spray rating of 10,000 hours.
    - b. Prime coat of ICI Devran 201 Universal Epoxy Primer to thickness of not less than 3.0 mils DFT (dry film thickness) nor more than 8.0 mils DFT. Minimum recoat time for Devran 201 Universal Epoxy Primer is 3.5 hours at 77 F with 80% relative humidity. Finish coat shall consist of Devthane 379 UVA Aliphatic Urethane Gloss Enamel applied to thickness of not less than 1.0 mils DFT nor more than 5.0 mils DFT.

## PART 3 - EXECUTION

### 3.1 INSTALLATION

- A. General: Comply with manufacturer's written instructions. Coordinate installation of roof accessories with installation of roof deck, roof insulation, flashing, roofing membranes, penetrations, equipment, and other construction involving roof accessories to ensure that each element of the Work performs properly and that combined elements are waterproof and weather tight. Anchor roof accessories securely to supporting structural substrates so they are capable of withstanding lateral and thermal stresses, and inward and outward loading pressures.
- B. Separation: Separate metal from incompatible metal or corrosive substrates, including wood, by coating concealed surfaces, at locations of contact, with bituminous coating or providing other permanent separation.
- C. Flange Seals: Unless otherwise indicated, set flanges of accessory units in a thick bed of roofing cement to form a seal.
- D. Cap Flashing: Where required as component of accessory, install cap flashing to provide waterproof overlap with roofing or roof flashing (as counter flashing). Seal overlap with thick bead of mastic sealant.
- E. Operational Units: Test-operate units with operable components. Clean and lubricate joints and hardware. Adjust for proper operation.

### 3.2 CLEANING AND PROTECTION

- A. Clean exposed surfaces according to manufacturer's written instructions. Touch up damaged metal coatings.

END OF SECTION 077200

## SECTION 077300 - WIND LOAD RATED ROOF CURBS AND RESTRAINT BRACKETS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Design, construct, furnish and install roof curbs, brackets and related items to meet governing building codes, as demonstrated by comprehensive analysis that the load resisting capabilities meet or exceed requirements.

#### 1.3 QUALITY ASSURANCE

- A. Meet requirements of the International Building Code, ASCE Std 7, TDI, and other applicable codes for the location. This specification shall be a minimum requirement for wind load design consideration, and is not intended as a substitute for legislated, more stringent, national, state or local requirements.
- B. Wind-induced forces shall be determined by governing code requirements [for the location of the project](#).
  - 1. Wind-generated force shall be reduced into an equivalent statically applied force.
  - 2. The statically applied force shall act in horizontal and vertical directions at the center of gravity of the rooftop mounted equipment, resulting in torsion, flexure, tension and shear forces that the wind restraint brackets shall be shown to be able to resist.
- C. Install products in strict accordance with applicable codes and manufacturers' standards. Whenever a conflict occurs between the manufacturers or construction standards, the most stringent shall apply.

#### 1.4 SUBMITTALS

- A. Manufacturer's statement showing that the curbs and wind load restraint brackets meet the applicable code requirements, signed and sealed by a licensed professional engineer (PE). Provide the following:
  - 1. Wind restraint calculations for all connections of rooftop-mounted equipment to roof curb, and roof curb to the structure.
  - 2. Drawings showing curbs, wind restraint bracket dimensions, make and model compatible with rooftop unit, including type of connection hardware required.

## SECTION 077300 - WIND LOAD RATED ROOF CURBS AND RESTRAINT BRACKETS

### PART 2 - PRODUCTS

#### 2.1 ROOF CURBS AND RESTRAINTS

- A. Approved manufacturers of roof curbs and wind load restraint brackets:
  - 1. Curbs Plus, Complete Curbs, Thybar Corporation.
  - 2. Others shall obtain a written pre-approval one week prior to bidding.
- B. Products shall be made of a material (Prime G-90 galvanized steel or galvalume) compatible with roof curb and the rooftop unit base-rail material. Dissimilar metals shall not be used.
  - 1. Fully welded mitered corners for wind load consideration
  - 2. Base flange attachments for securing curb to structure.
  - 3. Factory installed wood nailer for attachment of roofing material.

### PART 3 - EXECUTION

#### 3.1 INSTALLATION

- A. Install in accordance with manufacturer's written instructions.
- B. Attach rooftop equipment to roof curbs with wind load restraint brackets of size, type and quantity as determined by equipment manufacturer.
- C. Attach roof curb to the building structure at the curb base flanges. Base flange attachment options include anchor bolts, welded connections and mechanical fasteners.
- D. Do not install wind load restraint brackets in a manner that will result in inadequate maintenance access, base-rail damage, or roof curb reduced weight carrying capacity.
- E. Prior to performing installation of restraint brackets, notify Engineer of any conflicts with other trades or equipment that may result in undesirable contact due to inadequate space or other unforeseen conditions. Notify Engineer of any discrepancies between the specifications and field conditions or changes required due to specific equipment selection prior to installation.
- F. Corrective work necessitated by discrepancies or conflicts after installation shall be at the contractor's expense.

#### 3.2 INSPECTION

- A. On completion of installation, inspect the completed system and report in writing any installation error or other faults in the system that could affect the wind load resistant capabilities of the roof top assembly.
- B. The Contractor shall submit a report to the project designer, including the above report with consequent steps taken to properly complete the wind load restraint installation.

END OF SECTION 077300

## SECTION 230513 - COMMON MOTOR REQUIREMENTS FOR HVAC EQUIPMENT

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section includes general requirements for single-phase and polyphase, general-purpose, horizontal, small and medium, squirrel-cage induction motors for use on ac power systems up to 600 V and installed at equipment manufacturer's factory or shipped separately by equipment manufacturer for field installation.

#### 1.3 COORDINATION

- A. Coordinate features of motors, installed units, and accessory devices to be compatible with the following:
  - 1. Motor controllers.
  - 2. Torque, speed, and horsepower requirements of the load.
  - 3. Ratings and characteristics of supply circuit and required control sequence.
  - 4. Ambient and environmental conditions of installation location.

### PART 2 - PRODUCTS

#### 2.1 GENERAL MOTOR REQUIREMENTS

- A. Comply with requirements in this Section except when stricter requirements are specified in HVAC equipment schedules or Sections.
- B. Comply with NEMA MG 1 unless otherwise indicated.
- C. Comply with IEEE 841 for severe-duty motors.

#### 2.2 MOTOR CHARACTERISTICS

- A. Duty: Continuous duty at ambient temperature of 40 deg C and at altitude of 3300 feet above sea level.
- B. Capacity and Torque Characteristics: Sufficient to start, accelerate, and operate connected loads at designated speeds, at installed altitude and environment, with indicated operating sequence, and without exceeding nameplate ratings or considering service factor.

## SECTION 230513 - COMMON MOTOR REQUIREMENTS FOR HVAC EQUIPMENT

### 2.3 POLYPHASE MOTORS

- A. Description: NEMA MG 1, Design B, medium induction motor.
- B. Efficiency: Energy efficient, as defined in NEMA MG 1.
- C. Service Factor: 1.15.
- D. Multispeed Motors: Variable torque. Unless otherwise noted, windings shall be:
  - 1. For motors with 2:1 speed ratio, consequent pole, single winding.
  - 2. For motors with other than 2:1 speed ratio, separate winding for each speed.
- E. Multispeed Motors: Separate winding for each speed.
- F. Rotor: Random-wound, squirrel cage.
- G. Bearings: Regreasable, shielded, antifriction ball bearings suitable for radial and thrust loading.
- H. Temperature Rise: Match insulation rating.
- I. Insulation: Class F.
- J. Code Letter Designation:
  - 1. Motors 15 HP and Larger: NEMA starting Code F or Code G.
  - 2. Motors Smaller than 15 HP: Manufacturer's standard starting characteristic.
- K. Enclosure Material: Cast iron for motor frame sizes 324T and larger; rolled steel for motor frame sizes smaller than 324T.

### 2.4 POLYPHASE MOTORS WITH ADDITIONAL REQUIREMENTS

- A. Motors Used with Reduced-Voltage and Multispeed Controllers: Match wiring connection requirements for controller with required motor leads. Provide terminals in motor terminal box, suited to control method.
- B. Motors Used with Variable Frequency Controllers: Ratings, characteristics, and features coordinated with and approved by controller manufacturer.
  - 1. Windings: Copper magnet wire with moisture-resistant insulation varnish, designed and tested to resist transient spikes, high frequencies, and short time rise pulses produced by pulse-width modulated inverters.
  - 2. Energy- and Premium-Efficient Motors: Class B temperature rise; Class F insulation.
  - 3. Inverter-Duty Motors: Class F temperature rise; Class H insulation.
  - 4. Thermal Protection: Comply with NEMA MG 1 requirements for thermally protected motors.
- C. Severe-Duty Motors: Comply with IEEE 841, with 1.15 minimum service factor.

## SECTION 230513 - COMMON MOTOR REQUIREMENTS FOR HVAC EQUIPMENT

### 2.5 SINGLE-PHASE MOTORS

- A. Motors larger than 1/20 HP shall be one of the following, to suit starting torque and requirements of specific motor application:
  - 1. Permanent-split capacitor.
  - 2. Split phase.
  - 3. Capacitor start, inductor run.
  - 4. Capacitor start, capacitor run.
- B. Multispeed Motors: Variable-torque, permanent-split-capacitor type.
- C. Bearings: Prelubricated, antifriction ball bearings or sleeve bearings suitable for radial and thrust loading.
- D. Motors 1/20 HP and Smaller: Shaded-pole type.
- E. Thermal Protection: Internal protection to automatically open power supply circuit to motor when winding temperature exceeds a safe value calibrated to temperature rating of motor insulation. Thermal-protection device shall automatically reset when motor temperature returns to normal range.

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 230513

## SECTION 230517 - SLEEVES AND SLEEVE SEALS FOR HVAC PIPING

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Sleeves.
  - 2. Stack-sleeve fittings.
  - 3. Sleeve-seal systems.
  - 4. Sleeve-seal fittings.
  - 5. Grout.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.

### PART 2 - PRODUCTS

#### 2.1 SLEEVES

- A. Cast-Iron Wall Pipes: Cast or fabricated of cast or ductile iron and equivalent to ductile-iron pressure pipe, with plain ends and integral waterstop unless otherwise indicated.
- B. Galvanized-Steel Wall Pipes: ASTM A 53/A 53M, Schedule 40, with plain ends and welded steel collar; zinc coated.
- C. Galvanized-Steel-Pipe Sleeves: ASTM A 53/A 53M, Type E, Grade B, Schedule 40, zinc coated, with plain ends.
- D. Galvanized-Steel-Sheet Sleeves: 0.0239-inch minimum thickness; round tube closed with welded longitudinal joint.

#### 2.2 STACK-SLEEVE FITTINGS

- A. Manufacturers:
  - 1. Smith, Jay R. Mfg. Co.
  - 2. Zurn Specification Drainage Operation; Zurn Plumbing Products Group.

## SECTION 230517 - SLEEVES AND SLEEVE SEALS FOR HVAC PIPING

- B. Description: Manufactured, cast-iron sleeve with integral clamping flange. Include clamping ring, bolts, and nuts for membrane flashing.
  - 1. Underdeck Clamp: Clamping ring with setscrews.

### 2.3 SLEEVE-SEAL SYSTEMS

- A. Manufacturers:
  - 1. Advance Products & Systems, Inc.
  - 2. CALPICO, Inc.
  - 3. Metraflex Company (The).
  - 4. Pipeline Seal and Insulator, Inc.
  - 5. Proco Products, Inc.
- B. Description: Modular sealing-element unit, designed for field assembly, for filling annular space between piping and sleeve.
  - 1. Sealing Elements: EPDM-rubber interlocking links shaped to fit surface of pipe. Include type and number required for pipe material and size of pipe.
  - 2. Pressure Plates: Carbon steel.
  - 3. Connecting Bolts and Nuts: Carbon steel, with corrosion-resistant coating, of length required to secure pressure plates to sealing elements.

### 2.4 SLEEVE-SEAL FITTINGS

- A. Manufacturers:
  - 1. Presealed Systems.
- B. Description: Manufactured plastic, sleeve-type, waterstop assembly made for imbedding in concrete slab or wall. Unit has plastic or rubber waterstop collar with center opening to match piping OD.

### 2.5 GROUT

- A. Standard: ASTM C 1107/C 1107M, Grade B, post-hardening and volume-adjusting, dry, hydraulic-cement grout.
- B. Characteristics: Nonshrink; recommended for interior and exterior applications.
- C. Design Mix: 5000-psi, 28-day compressive strength.
- D. Packaging: Premixed and factory packaged.

## SECTION 230517 - SLEEVES AND SLEEVE SEALS FOR HVAC PIPING

### PART 3 - EXECUTION

#### 3.1 SLEEVE INSTALLATION

- A. Install sleeves for piping passing through penetrations in floors, partitions, roofs, and walls.
- B. For sleeves that will have sleeve-seal system installed, select sleeves of size large enough to provide 1-inch annular clear space between piping and concrete slabs and walls.
- C. Install sleeves in concrete floors, concrete roof slabs, and concrete walls as new slabs and walls are constructed.
  - 1. Cut sleeves to length for mounting flush with both surfaces.
    - a. Exception: Extend sleeves installed in floors of mechanical equipment areas or other wet areas 2 inches above finished floor level.
  - 2. Using grout, seal the space outside of sleeves in slabs and walls without sleeve-seal system.
- D. Install sleeves for pipes passing through interior partitions.
  - 1. Cut sleeves to length for mounting flush with both surfaces.
  - 2. Install sleeves that are large enough to provide 1/4-inch annular clear space between sleeve and pipe or pipe insulation.
  - 3. Seal annular space between sleeve and piping or piping insulation; use joint sealants appropriate for size, depth, and location of joint. Comply with requirements for sealants specified in Section 079200 "Joint Sealants."
- E. Fire-Barrier Penetrations: Maintain indicated fire rating of walls, partitions, ceilings, and floors at pipe penetrations. Seal pipe penetrations with firestop materials. Comply with requirements for firestopping specified in Section 078413 "Penetration Firestopping."

#### 3.2 STACK-SLEEVE-FITTING INSTALLATION

- A. Install stack-sleeve fittings in new slabs as slabs are constructed.
  - 1. Install fittings that are large enough to provide 1/4-inch annular clear space between sleeve and pipe or pipe insulation.
  - 2. Secure flashing between clamping flanges for pipes penetrating floors with membrane waterproofing. Comply with requirements for flashing specified in Section 076200 "Sheet Metal Flashing and Trim."
  - 3. Install section of cast-iron soil pipe to extend sleeve to 2 inches above finished floor level.
  - 4. Extend cast-iron sleeve fittings below floor slab as required to secure clamping ring if ring is specified.
  - 5. Using grout, seal the space around outside of stack-sleeve fittings.

## SECTION 230517 - SLEEVES AND SLEEVE SEALS FOR HVAC PIPING

- B. Fire-Barrier Penetrations: Maintain indicated fire rating of floors at pipe penetrations. Seal pipe penetrations with firestop materials. Comply with requirements for firestopping specified in Section 078413 "Penetration Firestopping."

### 3.3 SLEEVE-SEAL-SYSTEM INSTALLATION

- A. Install sleeve-seal systems in sleeves in exterior concrete walls and slabs-on-grade at service piping entries into building.
- B. Select type, size, and number of sealing elements required for piping material and size and for sleeve ID or hole size. Position piping in center of sleeve. Center piping in penetration, assemble sleeve-seal system components, and install in annular space between piping and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make a watertight seal.

### 3.4 SLEEVE-SEAL-FITTING INSTALLATION

- A. Install sleeve-seal fittings in new walls and slabs as they are constructed.
- B. Assemble fitting components of length to be flush with both surfaces of concrete slabs and walls. Position waterstop flange to be centered in concrete slab or wall.
- C. Secure nailing flanges to concrete forms.
- D. Using grout, seal the space around outside of sleeve-seal fittings.

### 3.5 SLEEVE AND SLEEVE-SEAL SCHEDULE

- A. Use sleeves and sleeve seals for the following piping-penetration applications:
  1. Exterior Concrete Walls above Grade: Cast-iron wall sleeves.
  2. Exterior Concrete Walls below Grade: Cast-iron wall sleeves with sleeve-seal system. Select sleeve size to allow for 1-inch annular clear space between piping and sleeve for installing sleeve-seal system.
  3. Concrete Slabs-on-Grade: Cast-iron wall sleeves with sleeve-seal system. Select sleeve size to allow for 1-inch annular clear space between piping and sleeve for installing sleeve-seal system.
  4. Concrete Slabs above Grade: Galvanized-steel-pipe sleeves.
  5. Interior Partitions: Galvanized-steel-pipe sleeves.

END OF SECTION 230517

## SECTION 230518 - ESCUTCHEONS FOR HVAC PIPING

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Escutcheons.
  - 2. Floor plates.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.

### PART 2 - PRODUCTS

#### 2.1 ESCUTCHEONS

- A. One-Piece, Cast-Brass Type: With polished, chrome-plated and rough-brass finish and setscrew fastener.
- B. One-Piece, Deep-Pattern Type: Deep-drawn, box-shaped brass with chrome-plated finish and spring-clip fasteners.
- C. One-Piece, Stamped-Steel Type: With chrome-plated finish and spring-clip fasteners.
- D. Split-Casting Brass Type: With polished, chrome-plated and rough-brass finish and with concealed hinge and setscrew.

#### 2.2 FLOOR PLATES

- A. One-Piece Floor Plates: Cast-iron flange with holes for fasteners.
- B. Split-Casting Floor Plates: Cast brass with concealed hinge.

## SECTION 230518 - ESCUTCHEONS FOR HVAC PIPING

### PART 3 - EXECUTION

#### 3.1 INSTALLATION

- A. Install escutcheons for piping penetrations of walls, ceilings, and finished floors.
- B. Install escutcheons with ID to closely fit around pipe, tube, and insulation of piping and with OD that completely covers opening.
  - 1. Escutcheons for New Piping:
    - a. Piping with Fitting or Sleeve Protruding from Wall: One-piece, deep-pattern type.
    - b. Insulated Piping: One-piece, stamped-steel type.
    - c. Bare Piping at Wall and Floor Penetrations in Finished Spaces: One-piece, cast-brass type with polished, chrome-plated finish.
    - d. Bare Piping at Ceiling Penetrations in Finished Spaces: One-piece, cast-brass or split-casting brass type with polished, chrome-plated finish.
    - e. Bare Piping in Unfinished Service Spaces: One-piece, cast-brass type with rough-brass finish.
    - f. Bare Piping in Equipment Rooms: One-piece, cast-brass type with rough-brass finish.
  - 2. Escutcheons for Existing Piping:
    - a. Insulated Piping: Split-plate, stamped-steel type with concealed hinge.
    - b. Bare Piping at Wall and Floor Penetrations in Finished Spaces: Split-casting brass type with polished, chrome-plated finish.
    - c. Bare Piping at Wall and Floor Penetrations in Finished Spaces: Split-plate, stamped-steel type with concealed hinge.
    - d. Bare Piping at Ceiling Penetrations in Finished Spaces: Split-casting brass type with polished, chrome-plated finish.
    - e. Bare Piping at Ceiling Penetrations in Finished Spaces: Split-plate, stamped-steel type with concealed hinge.
    - f. Bare Piping in Unfinished Service Spaces: Split-casting brass type with rough-brass finish.
    - g. Bare Piping in Equipment Rooms: Split-casting brass type with rough-brass finish.
- C. Install floor plates for piping penetrations of equipment-room floors.
- D. Install floor plates with ID to closely fit around pipe, tube, and insulation of piping and with OD that completely covers opening.
  - 1. New Piping: One-piece, floor-plate type.
  - 2. Existing Piping: Split-casting, floor-plate type.

#### 3.2 FIELD QUALITY CONTROL

- A. Replace broken and damaged escutcheons and floor plates using new materials.

END OF SECTION 230518

## SECTION 230519 - METERS AND GAGES FOR HVAC PIPING

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Liquid-in-glass thermometers.
  - 2. Thermowells.
  - 3. Dial-type pressure gages.
  - 4. Gage attachments.
  - 5. Test plugs.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Wiring Diagrams: For power, signal, and control wiring.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. Product Certificates: For each type of meter and gage, from manufacturer.

#### 1.5 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For meters and gages to include in operation and maintenance manuals.

### PART 2 - PRODUCTS

#### 2.1 LIQUID-IN-GLASS THERMOMETERS

- A. Metal-Case, Industrial-Style, Liquid-in-Glass Thermometers:
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Terice, H. O. Co.
    - b. Weiss Instruments, Inc.
    - c. Weksler Glass Thermometer Corp.

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2. Standard: ASME B40.200.
3. Case: Cast aluminum; 9-inch nominal size unless otherwise indicated.
4. Case Form: Adjustable angle unless otherwise indicated.
5. Tube: Glass with magnifying lens and blue or red organic liquid.
6. Tube Background: Nonreflective aluminum with permanently etched scale markings graduated in deg F.
7. Window: Glass.
8. Stem: Aluminum and of length to suit installation.
  - a. Design for Air-Duct Installation: With ventilated shroud.
  - b. Design for Thermowell Installation: Bare stem.
9. Connector: 1-1/4 inches, with ASME B1.1 screw threads.
10. Accuracy: Plus or minus 1 percent of scale range or one scale division, to a maximum of 1.5 percent of scale range.

### 2.2 DUCT-THERMOMETER MOUNTING BRACKETS

- A. Description: Flanged bracket with screw holes, for attachment to air duct and made to hold thermometer stem.

### 2.3 THERMOWELLS

- A. Manufacturers: Same as manufacturer of thermometer being used.
- B. Thermowells:
  1. Standard: ASME B40.200.
  2. Description: Pressure-tight, socket-type fitting made for insertion into piping tee fitting.
  3. Material for Use with Copper Tubing: brass.
  4. Material for Use with Steel Piping: stainless steel.
  5. Type: Stepped shank unless straight or tapered shank is indicated.
  6. Bore: Diameter required to match thermometer bulb or stem.
  7. Insertion Length: Length required to match thermometer bulb or stem.
  8. Lagging Extension: Include on thermowells for insulated piping and tubing.
  9. Bushings: For converting size of thermowell's internal screw thread to size of thermometer connection.
- C. Heat-Transfer Medium: Mixture of graphite and glycerin.

### 2.4 PRESSURE GAGES

- A. Direct-Mounted, Metal-Case, Dial-Type Pressure Gages:
  1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
    - a. **Treice, H. O. Co.**

## SECTION 230519 - METERS AND GAGES FOR HVAC PIPING

- b. [Weiss Instruments, Inc.](#)
  - c. [Weksler Glass Thermometer Corp.](#)
- 2. Standard: ASME B40.100.
  - 3. Case: Liquid-filled type(s); cast aluminum or drawn steel; 4-1/2-inch nominal diameter.
  - 4. Pressure-Element Assembly: Bourdon tube unless otherwise indicated.
  - 5. Pressure Connection: Brass, with NPS 1/4 or NPS 1/2, ASME B1.20.1 pipe threads and bottom-outlet type unless back-outlet type is indicated.
  - 6. Movement: Mechanical, with link to pressure element and connection to pointer.
  - 7. Dial: Nonreflective aluminum with permanently etched scale markings graduated in psi.
  - 8. Pointer: Dark-colored metal.
  - 9. Window: Glass.
  - 10. Ring: Stainless steel.
  - 11. Accuracy: Grade A, plus or minus 1 percent of middle half of scale range.

### 2.5 GAGE ATTACHMENTS

- A. Snubbers: ASME B40.100, brass; with NPS 1/4 or NPS 1/2, ASME B1.20.1 pipe threads and surge-dampening device. Include extension for use on insulated piping.
- B. Siphons: Loop-shaped section of stainless-steel pipe with NPS 1/4 or NPS 1/2 pipe threads.
- C. Valves: Brass or stainless-steel needle, with NPS 1/4 or NPS 1/2, ASME B1.20.1 pipe threads.

### 2.6 TEST PLUGS

- A. [Manufacturers](#): Subject to compliance with requirements, provide products by one of the following:
  - 1. [Trerice, H. O. Co.](#)
  - 2. [Weiss Instruments, Inc.](#)
  - 3. [Weksler Glass Thermometer Corp.](#)
- B. Description: Test-station fitting made for insertion into piping tee fitting.
- C. Body: Brass or stainless steel with core inserts and gasketed and threaded cap. Include extended stem on units to be installed in insulated piping.
- D. Thread Size: NPS 1/4 or NPS 1/2, ASME B1.20.1 pipe thread.
- E. Minimum Pressure and Temperature Rating: 500 psig at 200 deg F.
- F. Core Inserts: Chlorosulfonated polyethylene synthetic and EPDM self-sealing rubber.

### 2.7 TEST-PLUG KITS

- A. [Manufacturers](#): Subject to compliance with requirements, provide products by one of the following:

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1. [Trerice, H. O. Co.](#)
  2. [Weiss Instruments, Inc.](#)
- B. Furnish one test-plug kit(s) containing two thermometer(s), one pressure gage and adapter, and carrying case. Thermometer sensing elements, pressure gage, and adapter probes shall be of diameter to fit test plugs and of length to project into piping.
- C. Low-Range Thermometer: Small, bimetallic insertion type with 1- to 2-inch- diameter dial and tapered-end sensing element. Dial range shall be at least 25 to 125 deg F.
- D. High-Range Thermometer: Small, bimetallic insertion type with 1- to 2-inch- diameter dial and tapered-end sensing element. Dial range shall be at least 0 to 220 deg F.
- E. Pressure Gage: Small, Bourdon-tube insertion type with 2- to 3-inch- diameter dial and probe. Dial range shall be at least 0 to 300 psig.
- F. Carrying Case: Metal or plastic, with formed instrument padding.

### 2.8 SIGHT FLOW INDICATORS

- A. [Manufacturers:](#) Subject to compliance with requirements, provide products by one of the following:
1. [Dwyer Instruments, Inc.](#)
  2. [Emerson Process Management; Rosemount Division.](#)
  3. [KOBOLD Instruments, Inc. - USA.](#)
- B. Description: Piping inline-installation device for visual verification of flow.
- C. Construction: Bronze or stainless-steel body, with sight glass and ball, flapper, or paddle wheel indicator, and threaded or flanged ends.
- D. Minimum Pressure Rating: 300 psig.
- E. Minimum Temperature Rating: 200 deg F.
- F. End Connections for NPS 2 and Smaller: Threaded.
- G. End Connections for NPS 2-1/2 and Larger: Flanged.

## PART 3 - EXECUTION

### 3.1 INSTALLATION

- A. Install thermowells with socket extending one-third of pipe diameter and in vertical position in piping tees.
- B. Install thermowells with extension on insulated piping.

## SECTION 230519 - METERS AND GAGES FOR HVAC PIPING

- C. Fill thermowells with heat-transfer medium.
- D. Install direct-mounted thermometers in thermowells and adjust vertical and tilted positions.
- E. Install duct-thermometer mounting brackets in walls of ducts. Attach to duct with screws.
- F. Install direct-mounted pressure gages in piping tees with pressure gage located on pipe at the most readable position.
- G. Install valve and snubber in piping for each pressure gage for fluids (except steam).
- H. Install test plugs in piping tees.
- I. Install flow indicators in piping systems in accessible positions for easy viewing.
- J. Install thermometers in the following locations:
  - 1. Inlet and outlet of each hydronic zone.
  - 2. Inlet and outlet of each hydronic boiler.
  - 3. Two inlets and two outlets of each chiller.
  - 4. Inlet and outlet of each hydronic coil in air-handling units.
  - 5. Two inlets and two outlets of each hydronic heat exchanger.
  - 6. Inlet and outlet of each thermal-storage tank.
  - 7. Outside-, return-, supply-, and mixed-air ducts.
- K. Install pressure gages in the following locations:
  - 1. Discharge of each pressure-reducing valve.
  - 2. Inlet and outlet of each chiller chilled-water and condenser-water connection.
  - 3. Suction and discharge of each pump.

### 3.2 CONNECTIONS

- A. Install meters and gages adjacent to machines and equipment to allow service and maintenance of meters, gages, machines, and equipment.

### 3.3 ADJUSTING

- A. After installation, calibrate meters according to manufacturer's written instructions.
- B. Adjust faces of meters and gages to proper angle for best visibility.
- C. Thermometer stems shall be of length to match thermowell insertion length.

### 3.4 THERMOMETER SCALE-RANGE SCHEDULE

- A. Scale Range for Chilled-Water Piping: 0 to 100 deg F.
- B. Scale Range for Condenser-Water Piping: 0 to 100 deg F.

SECTION 230519 - METERS AND GAGES FOR HVAC PIPING

- C. Scale Range for Heating, Hot-Water Piping: 0 to 250 deg F.
- D. Scale Range for Air Ducts: Minus 40 to plus 110 deg F.

END OF SECTION 230519

## SECTION 230523 - GENERAL-DUTY VALVES FOR HVAC PIPING

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

##### A. Section Includes:

1. Bronze angle valves.
2. Brass ball valves.
3. Bronze ball valves.
4. Iron ball valves.
5. Iron, single-flange butterfly valves.
6. Iron, grooved-end butterfly valves.
7. High-performance butterfly valves.
8. Bronze lift check valves.
9. Bronze swing check valves.
10. Iron swing check valves.
11. Iron swing check valves with closure control.
12. Iron, grooved-end swing-check valves.
13. Iron, center-guided check valves.
14. Iron, plate-type check valves.
15. Bronze gate valves.
16. Iron gate valves.
17. Bronze globe valves.
18. Iron globe valves.
19. Chainwheels.

##### B. Related Sections:

1. Division 23 HVAC piping Sections for specialty valves applicable to those Sections only.
2. Division 23 Section "Identification for HVAC Piping and Equipment" for valve tags and schedules.

#### 1.3 DEFINITIONS

- A. CWP: Cold working pressure.
- B. EPDM: Ethylene propylene copolymer rubber.
- C. NBR: Acrylonitrile-butadiene, Buna-N, or nitrile rubber.
- D. NRS: Nonrising stem.

## SECTION 230523 - GENERAL-DUTY VALVES FOR HVAC PIPING

- E. OS&Y: Outside screw and yoke.
- F. RS: Rising stem.

### 1.4 SUBMITTALS

- A. Product Data: For each type of valve indicated.

### 1.5 QUALITY ASSURANCE

- A. Source Limitations for Valves: Obtain each type of valve from single source from single manufacturer.
- B. ASME Compliance:
  - 1. ASME B16.10 and ASME B16.34 for ferrous valve dimensions and design criteria.
  - 2. ASME B31.1 for power piping valves.
  - 3. ASME B31.9 for building services piping valves.

### 1.6 DELIVERY, STORAGE, AND HANDLING

- A. Prepare valves for shipping as follows:
  - 1. Protect internal parts against rust and corrosion.
  - 2. Protect threads, flange faces, grooves, and weld ends.
  - 3. Set angle, gate, and globe valves closed to prevent rattling.
  - 4. Set ball and plug valves open to minimize exposure of functional surfaces.
  - 5. Set butterfly valves closed or slightly open.
  - 6. Block check valves in either closed or open position.
- B. Use the following precautions during storage:
  - 1. Maintain valve end protection.
  - 2. Store valves indoors and maintain at higher than ambient dew point temperature. If outdoor storage is necessary, store valves off the ground in watertight enclosures.
- C. Use sling to handle large valves; rig sling to avoid damage to exposed parts. Do not use handwheels or stems as lifting or rigging points.

## PART 2 - PRODUCTS

### 2.1 MANUFACTURERS

- A. In other Part 2 articles where subparagraph titles below introduce lists, the following requirements apply for product selection:
  - 1. Manufacturers: Subject to compliance with requirements, provide products by the manufacturers specified.

## SECTION 230523 - GENERAL-DUTY VALVES FOR HVAC PIPING

### 2.2 VALVES, GENERAL

- A. Refer to Part 3 "Valve Applications" Article for applications of valves.
- B. Bronze Valves: NPS 2 and smaller with threaded ends, unless otherwise indicated.
- C. Ferrous Valves: NPS 2-1/2 and larger with flanged ends, unless otherwise indicated.
- D. Valve Pressure and Temperature Ratings: Not less than indicated and as required for system pressures and temperatures.
- E. Valve Sizes: Same as upstream pipe, unless otherwise indicated.
- F. Valve Actuators:
  - 1. Chainwheel: For attachment to valves, of size and mounting height, as indicated in the "Valve Installation" Article in Part 3.
  - 2. Gear Drive: For quarter-turn valves NPS 8 and larger.
  - 3. Handwheel: For valves other than quarter-turn types.
  - 4. Lever Handle: For quarter-turn valves NPS 6 and smaller, except plug valves.
  - 5. Wrench: For plug valves with square heads. Furnish Owner with 1 wrench for every 10 plug valves, for each size square plug head.
- G. Extended Valve Stems: On insulated valves.
- H. Valve Flanges: ASME B16.1 for cast-iron valves, ASME B16.5 for steel valves, and ASME B16.24 for bronze valves.
- I. Valve Grooved Ends: AWWA C606.
  - 1. Threaded: With threads according to ASME B1.20.1.
- J. Valve Bypass and Drain Connections: MSS SP-45.

### 2.3 COPPER-ALLOY BALL VALVES

- A. Manufacturers:
  - 1. Copper-Alloy Ball Valves:
    - a. Crane Co.; Crane Valve Group; Stockham Div.
    - b. Grinnell Corporation.
    - c. NIBCO INC.
    - d. Watts Industries, Inc.; Water Products Div.
    - e. Kitz Corporation
- B. Copper-Alloy Ball Valves, General: MSS SP-110.
- C. Two-Piece, Copper-Alloy Ball Valves: bronze body with full-port, chrome-plated bronze ball; PTFE or TFE seats; and 600-psig minimum CWP rating and blowout-proof stem.

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- D. Copper-Alloy Ball Valves: Two-piece bronze body with exhaust vent opening, chrome-plated ball with vent, blowout-proof stem, locking handle, and working pressure rating 600-psigCWP.

### 2.4 PLUG VALVES

- A. Plug Valves: MSS SP-78, 300 -psi CWP, ASTM A 126 cast-iron body and bonnet, cast-iron plug, Buna N, Viton, or teflon packing, flanged or grooved end connections:
  - 1. Operator: Lever.

### 2.5 FERROUS-ALLOY BALL VALVES

- A. Manufacturers:
  - 1. Crane Co.; Crane Valve Group; Stockham Div.
  - 2. NIBCO INC.
  - 3. Kitz Corporation
- B. Ferrous-Alloy Ball Valves, General: MSS SP-72, with flanged ends.
- C. Ferrous-Alloy Ball Valves: Class 150, full port.

### 2.6 FERROUS-ALLOY BUTTERFLY VALVES

- A. Manufacturers:
  - 1. Ferrous-Alloy Butterfly Valves:
    - a. Keystone
    - b. Kitz Corporation
- B. Butterfly Valves: MSS SP-67, 300 -psi CWP, for tight shutoff, 300 -psi maximum pressure differential, ASTM A 126 cast-iron body and bonnet, extended neck, stainless-steel stem, field-replaceable EPDM or Buna N sleeve and stem seals, fully flanged style:
  - 1. Disc Type: Elastomer-coated ductile iron.
  - 2. Gear Drive: For quarter-turn valves NPS 8 and larger.
  - 3. Operator for Sizes 2 Inchesto 6 Inches: Standard lever handle with memory stop.

### 2.7 BRONZE CHECK VALVES

- A. Manufacturers:
  - 1. Type 4, Bronze, Swing Check Valves with Nonmetallic Disc:
    - a. Crane Co.; Crane Valve Group; Stockham Div.
    - b. Grinnell Corporation.
    - c. Milwaukee Valve Company.
    - d. NIBCO INC.
    - e. Red-White Valve Corp.
    - f. Watts Industries, Inc.; Water Products Div.

## SECTION 230523 - GENERAL-DUTY VALVES FOR HVAC PIPING

### g. Kitz Corporation

- B. Bronze Check Valves, General: MSS SP-80.
- C. Type 4, Class 125, Bronze, Swing Check Valves: Bronze body with nonmetallic disc and bronze seat.

## 2.8 FERROUS-ALLOY WAFER CHECK VALVES

### A. Manufacturers:

- 1. Dual-Plate, Ferrous-Alloy, Wafer Check Valves:
  - a. Crane Co.; Crane Valve Group; Stockham Div.
  - b. Grinnell Corporation.
  - c. NIBCO INC.
  - d. Red-White Valve Corp.
  - e. Watts Industries, Inc.; Water Products Div.
  - f. Kitz Corporation

- B. Ferrous-Alloy Wafer Check Valves, General: API 594, spring loaded.
- C. Dual-Plate, Class 125 or 150, Ferrous-Alloy, Wafer Check Valves: Flangeless body.

## 2.9 CAST-IRON GLOBE VALVES

### A. Manufacturers:

- 1. Type I, Cast-Iron Globe Valves with Metal Seats:
  - a. Crane Co.; Crane Valve Group; Stockham Div.
  - b. Grinnell Corporation.
  - c. NIBCO INC.
  - d. Red-White Valve Corp.
  - e. Kitz Corporation

- B. Cast-Iron Globe Valves, General: MSS SP-85.
- C. Type I, Class 125, Cast-Iron Globe Valves: Gray-iron body with bronze seats.

## 2.10 BRONZE GATE VALVES

### A. Manufacturers:

- 1. Bronze, Gate Valves:
  - a. Crane Co.; Crane Valve Group; Stockham Div.
  - b. Grinnell Corporation.
  - c. NIBCO INC.
  - d. Powell, Wm. Co.
  - e. Red-White Valve Corp.
  - f. Watts Industries, Inc.; Water Products Div.

## SECTION 230523 - GENERAL-DUTY VALVES FOR HVAC PIPING

### g. Kitz Corporation

- B. Bronze Gate Valves, General: MSS SP-80, with ferrous-alloy handwheel.
- C. Type 1, Class 125, Bronze Gate Valves: Bronze body with nonrising stem and bronze solid wedge and union-ring bonnet.

## 2.11 BRONZE GLOBE VALVES

### A. Manufacturers:

- 1. Type 2, Bronze Globe Valves with Nonmetallic Disc:
  - a. Crane Co.; Crane Valve Group; Stockham Div.
  - b. Grinnell Corporation.
  - c. NIBCO INC.
  - d. Red-White Valve Corp.
  - e. Kitz Corporation

- B. Bronze Globe Valves, General: MSS SP-80, with ferrous-alloy handwheel.
- C. Type 2, Class 125, Bronze Globe Valves: Bronze body with PTFE or TFE disc and union-ring bonnet.

## 2.12 CHAINWHEELS

### A. Manufacturers:

- 1. Babbitt Steam Specialty Co.
- 2. Roto Hammer Industries.
- 3. Trumbull Industries.

### B. Description: Valve actuation assembly with sprocket rim, brackets, and chain.

- 1. Brackets: Type, number, size, and fasteners required to mount actuator on valve.
- 2. Attachment: For connection to butterfly valve stems.
- 3. Sprocket Rim with Chain Guides: Ductile iron of type and size required for valve. Include zinc coating.
- 4. Chain: Hot-dip, galvanized steel, of size required to fit sprocket rim.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine piping system for compliance with requirements for installation tolerances and other conditions affecting performance.
  - 1. Proceed with installation only after unsatisfactory conditions have been corrected.

## SECTION 230523 - GENERAL-DUTY VALVES FOR HVAC PIPING

- B. Examine valve interior for cleanliness, freedom from foreign matter, and corrosion. Remove special packing materials, such as blocks, used to prevent disc movement during shipping and handling.
- C. Operate valves in positions from fully open to fully closed. Examine guides and seats made accessible by such operations.
- D. Examine threads on valve and mating pipe for form and cleanliness.
- E. Examine mating flange faces for conditions that might cause leakage. Check bolting for proper size, length, and material. Verify that gasket is of proper size, that its material composition is suitable for service, and that it is free from defects and damage.
- F. Do not attempt to repair defective valves; replace with new valves.

### 3.2 VALVE INSTALLATION

- A. Install valves with unions or flanges at each piece of equipment arranged to allow service, maintenance, and equipment removal without system shutdown.
- B. Locate valves for easy access and provide separate support where necessary.
- C. Install valves in horizontal piping with stem at or above center of pipe.
- D. Install valves in position to allow full stem movement.
- E. Install chainwheels on operators for valves NPS 4 and larger and more than 96 inches above floor. Extend chains to 60 inches above finished floor.
- F. Install check valves for proper direction of flow and as follows:
  - 1. Swing Check Valves: In horizontal position with hinge pin level.
  - 2. Plate-Type Check Valves: In horizontal or vertical position, between flanges.
  - 3. Lift Check Valves: With stem upright and plumb.

### 3.3 GENERAL REQUIREMENTS FOR VALVE APPLICATIONS

- A. If valve applications are not indicated, use the following:
  - 1. Shutoff Service: Ball, butterfly or plug valves.
  - 2. Butterfly Valve Dead-End Service: Single-flange (lug) type.
  - 3. Throttling Service: Globe, angle or ball valves.
  - 4. Pump-Discharge Check Valves: Spring-loaded, lift-disc check valves
- B. If valves with specified SWP classes or CWP ratings are not available, the same types of valves with higher SWP classes or CWP ratings may be substituted.
- C. Select valves, except wafer types, with the following end connections:

## SECTION 230523 - GENERAL-DUTY VALVES FOR HVAC PIPING

1. For Copper Tubing, NPS 2 and Smaller: Threaded ends except where solder-joint valve-end option is indicated in valve schedules below.
2. For Copper Tubing, NPS 2-1/2 to NPS 4: Flanged ends except where threaded valve-end option is indicated in valve schedules below.
3. For Steel Piping, NPS 2 and Smaller: Threaded ends.
4. For Steel Piping, NPS 2-1/2 to NPS 4: Flanged ends except where threaded valve-end option is indicated in valve schedules below.
5. For Steel Piping, NPS 5 and Larger: Flanged ends.
6. For Grooved-End Steel Piping: Valve ends may be grooved.

### 3.4 HEATING-WATER VALVE SCHEDULE

A. Hydronic-Water Systems: Use the following valve types:

1. Gate Valves: Class 150, bronze body; or Class 125, cast-iron body.
2. Ball Valves: Class 150, 600-psi CWP, with stem extension and memory stop.
3. Plug Valves: Buna N packing.
4. Globe Valves: Class 125, bronze body with bronze or teflon disc; or Class 125, cast-iron body.
5. Butterfly Valves: Nickel-plated ductile iron, aluminum bronze, or elastomer-coated ductile iron disc; EPDM sleeve and stem seals.
6. Check Valves: Dual-plate, wafer, Class 150 ferrous alloy.

B. Pool-Water Systems:

1. Provide valves rated for pool applications, that are rated for chlorinated water.

### 3.5 JOINT CONSTRUCTION

- A. Refer to Division 23 Sections for basic piping joint construction.
- B. Grooved Joints: Assemble joints with keyed coupling housing, gasket, lubricant, and bolts according to coupling and fitting manufacturer's written instructions.
- C. Soldered Joints: Use ASTM B 813, water-flushable, lead-free flux; ASTM B 32, lead-free-alloy solder; and ASTM B 828 procedure, unless otherwise indicated.

### 3.6 ADJUSTING

- A. Adjust or replace valve packing after piping systems have been tested and put into service but before final adjusting and balancing. Replace valves if persistent leaking occurs.

END OF SECTION 230523

## SECTION 230529 - HANGERS AND SUPPORTS FOR HVAC PIPING AND EQUIPMENT

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:

1. Metal pipe hangers and supports.
2. Trapeze pipe hangers.
3. Metal framing systems.
4. Thermal-hanger shield inserts.
5. Fastener systems.
6. Pipe stands.
7. Equipment supports.

- B. Related Sections:

1. Section 055000 "Metal Fabrications" for structural-steel shapes and plates for trapeze hangers for pipe and equipment supports.
2. Section 230516 "Expansion Fittings and Loops for HVAC Piping" for pipe guides and anchors.
3. Section 230548.13 "Vibration Controls for HVAC" for vibration isolation devices.
4. Section 233113 "Metal Ducts" and Section 233116 "Nonmetal Ducts" for duct hangers and supports.

#### 1.3 DEFINITIONS

- A. MSS: Manufacturers Standardization Society of The Valve and Fittings Industry Inc.

#### 1.4 PERFORMANCE REQUIREMENTS

- A. Delegated Design: Design trapeze pipe hangers and equipment supports, including comprehensive engineering analysis by a qualified professional engineer, using performance requirements and design criteria indicated.
- B. Structural Performance: Hangers and supports for HVAC piping and equipment shall withstand the effects of gravity loads and stresses within limits and under conditions indicated according to ASCE/SEI 7.
  1. Design supports for multiple pipes, including pipe stands, capable of supporting combined weight of supported systems, system contents, and test water.

## SECTION 230529 - HANGERS AND SUPPORTS FOR HVAC PIPING AND EQUIPMENT

2. Design equipment supports capable of supporting combined operating weight of supported equipment and connected systems and components.

### 1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Shop Drawings: Show fabrication and installation details and include calculations for the following; include Product Data for components:
  1. Trapeze pipe hangers.
  2. Metal framing systems.
  3. Pipe stands.
  4. Equipment supports.
- C. Delegated-Design Submittal: For trapeze hangers indicated to comply with performance requirements and design criteria, including analysis data signed and sealed by the qualified professional engineer responsible for their preparation.
  1. Detail fabrication and assembly of trapeze hangers.
  2. Design Calculations: Calculate requirements for designing trapeze hangers.

### 1.6 INFORMATIONAL SUBMITTALS

- A. Welding certificates.

### 1.7 QUALITY ASSURANCE

- A. Structural Steel Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code - Steel."
- B. Pipe Welding Qualifications: Qualify procedures and operators according to ASME Boiler and Pressure Vessel Code.

## PART 2 - PRODUCTS

### 2.1 METAL PIPE HANGERS AND SUPPORTS

- A. Carbon-Steel Pipe Hangers and Supports:
  1. Description: MSS SP-58, Types 1 through 58, factory-fabricated components.
  2. Galvanized Metallic Coatings: Pregalvanized or hot dipped.

## SECTION 230529 - HANGERS AND SUPPORTS FOR HVAC PIPING AND EQUIPMENT

### 2.2 TRAPEZE PIPE HANGERS

- A. Description: MSS SP-69, Type 59, shop- or field-fabricated pipe-support assembly made from structural carbon-steel shapes with MSS SP-58 carbon-steel hanger rods, nuts, saddles, and U-bolts.

### 2.3 METAL FRAMING SYSTEMS

- A. MFMA Manufacturer Metal Framing Systems:
  - 1. Manufacturers:
    - a. Allied Tube & Conduit.
    - b. Cooper B-Line, Inc.
    - c. Flex-Strut Inc.
    - d. GS Metals Corp.
    - e. Thomas & Betts Corporation.
    - f. Unistrut Corporation; Tyco International, Ltd.
    - g. Wesanco, Inc.
  - 2. Description: Shop- or field-fabricated pipe-support assembly for supporting multiple parallel pipes.
  - 3. Standard: MFMA-4.
  - 4. Channels: Continuous slotted steel channel with inturred lips.
  - 5. Channel Nuts: Formed or stamped steel nuts or other devices designed to fit into channel slot and, when tightened, prevent slipping along channel.
  - 6. Hanger Rods: Continuous-thread rod, nuts, and washer made of carbon steel.
  - 7. Metallic Coating: Hot-dipped galvanized.
  - 8. Paint Coating: Epoxy.

### 2.4 THERMAL-HANGER SHIELD INSERTS

- A. Manufacturers:
  - 1. Carpenter & Paterson, Inc.
  - 2. ERICO/Michigan Hanger Co.
  - 3. PHS Industries, Inc.
  - 4. Pipe Shields, Inc.
  - 5. Rilco Manufacturing Company, Inc.
  - 6. Value Engineered Products, Inc.
- B. Insulation-Insert Material for Piping: ASTM C 552, Type II cellular glass with 100-psig minimum compressive strength and vapor barrier.
- C. For Trapeze or Clamped Systems: Insert and shield shall cover entire circumference of pipe.
- D. For Clevis or Band Hangers: Insert and shield shall cover lower 180 degrees of pipe.
- E. Insert Length: Extend 2 inches beyond sheet metal shield for piping operating below ambient air temperature.

## SECTION 230529 - HANGERS AND SUPPORTS FOR HVAC PIPING AND EQUIPMENT

### 2.5 FASTENER SYSTEMS

- A. Mechanical-Expansion Anchors: Insert-wedge-type, stainless- steel anchors, for use in hardened portland cement concrete; with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.

### 2.6 PIPE STANDS

- A. General Requirements for Pipe Stands: Shop- or field-fabricated assemblies made of manufactured corrosion-resistant components to support roof-mounted piping.
- B. Compact Pipe Stand: One-piece plastic unit with integral-rod roller, pipe clamps, or V-shaped cradle to support pipe, for roof installation without membrane penetration.
- C. Low-Type, Single-Pipe Stand: One-piece stainless-steel base unit with plastic roller, for roof installation without membrane penetration.
- D. High-Type, Single-Pipe Stand:
  - 1. Description: Assembly of base, vertical and horizontal members, and pipe support, for roof installation without membrane penetration.
  - 2. Base: Plastic.
  - 3. Vertical Members: Two or more cadmium-plated-steel or stainless-steel, continuous-thread rods.
  - 4. Horizontal Member: Cadmium-plated-steel or stainless-steel rod with plastic or stainless-steel, roller-type pipe support.
- E. High-Type, Multiple-Pipe Stand:
  - 1. Description: Assembly of bases, vertical and horizontal members, and pipe supports, for roof installation without membrane penetration.
  - 2. Bases: One or more; plastic.
  - 3. Vertical Members: Two or more protective-coated-steel channels.
  - 4. Horizontal Member: Protective-coated-steel channel.
  - 5. Pipe Supports: Galvanized-steel, clevis-type pipe hangers.
- F. Curb-Mounted-Type Pipe Stands: Shop- or field-fabricated pipe supports made from structural-steel shapes, continuous-thread rods, and rollers, for mounting on permanent stationary roof curb.

### 2.7 EQUIPMENT SUPPORTS

- A. Description: Welded, shop- or field-fabricated equipment support made from structural carbon-steel shapes.

### 2.8 MISCELLANEOUS MATERIALS

- A. Structural Steel: ASTM A 36/A 36M, carbon-steel plates, shapes, and bars; black and galvanized.

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- B. Grout: ASTM C 1107, factory-mixed and -packaged, dry, hydraulic-cement, nonshrink and nonmetallic grout; suitable for interior and exterior applications.
  - 1. Properties: Nonstaining, noncorrosive, and nongaseous.
  - 2. Design Mix: 5000-psi, 28-day compressive strength.

### PART 3 - EXECUTION

#### 3.1 HANGER AND SUPPORT INSTALLATION

- A. Metal Pipe-Hanger Installation: Comply with MSS SP-69 and MSS SP-89. Install hangers, supports, clamps, and attachments as required to properly support piping from the building structure.
- B. Metal Trapeze Pipe-Hanger Installation: Comply with MSS SP-69 and MSS SP-89. Arrange for grouping of parallel runs of horizontal piping, and support together on field-fabricated trapeze pipe hangers.
  - 1. Pipes of Various Sizes: Support together and space trapezes for smallest pipe size or install intermediate supports for smaller diameter pipes as specified for individual pipe hangers.
  - 2. Field fabricate from ASTM A 36/A 36M, carbon-steel shapes selected for loads being supported. Weld steel according to AWS D1.1/D1.1M.
- C. Metal Framing System Installation: Arrange for grouping of parallel runs of piping, and support together on field-assembled metal framing systems.
- D. Thermal-Hanger Shield Installation: Install in pipe hanger or shield for insulated piping.
- E. Fastener System Installation:
  - 1. Install mechanical-expansion anchors in concrete after concrete is placed and completely cured. Install fasteners according to manufacturer's written instructions.
- F. Pipe Stand Installation:
  - 1. Pipe Stand Types except Curb-Mounted Type: Assemble components and mount on smooth roof surface. Do not penetrate roof membrane.
  - 2. Curb-Mounted-Type Pipe Stands: Assemble components or fabricate pipe stand and mount on permanent, stationary roof curb. See Section 077200 "Roof Accessories" for curbs.
- G. Install hangers and supports complete with necessary attachments, inserts, bolts, rods, nuts, washers, and other accessories.
- H. Equipment Support Installation: Fabricate from welded-structural-steel shapes.
- I. Install hangers and supports to allow controlled thermal and seismic movement of piping systems, to permit freedom of movement between pipe anchors, and to facilitate action of expansion joints, expansion loops, expansion bends, and similar units.

## SECTION 230529 - HANGERS AND SUPPORTS FOR HVAC PIPING AND EQUIPMENT

- J. Install lateral bracing with pipe hangers and supports to prevent swaying.
- K. Install building attachments within concrete slabs or attach to structural steel. Install additional attachments at concentrated loads, including valves, flanges, and strainers, NPS 2-1/2 and larger and at changes in direction of piping. Install concrete inserts before concrete is placed; fasten inserts to forms and install reinforcing bars through openings at top of inserts.
- L. Load Distribution: Install hangers and supports so that piping live and dead loads and stresses from movement will not be transmitted to connected equipment.
- M. Pipe Slopes: Install hangers and supports to provide indicated pipe slopes and to not exceed maximum pipe deflections allowed by ASME B31.9 for building services piping.
- N. Insulated Piping:
  - 1. Attach clamps and spacers to piping.
    - a. Piping Operating above Ambient Air Temperature: Clamp may project through insulation.
    - b. Piping Operating below Ambient Air Temperature: Use thermal-hanger shield insert with clamp sized to match OD of insert.
    - c. Do not exceed pipe stress limits allowed by ASME B31.9 for building services piping.
  - 2. Install MSS SP-58, Type 39, protection saddles if insulation without vapor barrier is indicated. Fill interior voids with insulation that matches adjoining insulation.
    - a. Option: Thermal-hanger shield inserts may be used. Include steel weight-distribution plate for pipe NPS 4 and larger if pipe is installed on rollers.
  - 3. Install MSS SP-58, Type 40, protective shields on cold piping with vapor barrier. Shields shall span an arc of 180 degrees.
    - a. Option: Thermal-hanger shield inserts may be used. Include steel weight-distribution plate for pipe NPS 4 and larger if pipe is installed on rollers.
  - 4. Shield Dimensions for Pipe: Not less than the following:
    - a. NPS 1/4 to NPS 3-1/2: 12 inches long and 0.048 inch thick.
    - b. NPS 4: 12 inches long and 0.06 inch thick.
    - c. NPS 5 and NPS 6: 18 inches long and 0.06 inch thick.
    - d. NPS 8 to NPS 14: 24 inches long and 0.075 inch thick.
    - e. NPS 16 to NPS 24: 24 inches long and 0.105 inch thick.
  - 5. Pipes NPS 8 and Larger: Include wood or reinforced calcium-silicate-insulation inserts of length at least as long as protective shield.
  - 6. Thermal-Hanger Shields: Install with insulation same thickness as piping insulation.

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### 3.2 EQUIPMENT SUPPORTS

- A. Fabricate structural-steel stands to suspend equipment from structure overhead or to support equipment above floor.
- B. Grouting: Place grout under supports for equipment and make bearing surface smooth.
- C. Provide lateral bracing, to prevent swaying, for equipment supports.

### 3.3 METAL FABRICATIONS

- A. Cut, drill, and fit miscellaneous metal fabrications for trapeze pipe hangers and equipment supports.
- B. Fit exposed connections together to form hairline joints. Field weld connections that cannot be shop welded because of shipping size limitations.
- C. Field Welding: Comply with AWS D1.1/D1.1M procedures for shielded, metal arc welding; appearance and quality of welds; and methods used in correcting welding work; and with the following:
  - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
  - 2. Obtain fusion without undercut or overlap.
  - 3. Remove welding flux immediately.
  - 4. Finish welds at exposed connections so no roughness shows after finishing and so contours of welded surfaces match adjacent contours.

### 3.4 ADJUSTING

- A. Hanger Adjustments: Adjust hangers to distribute loads equally on attachments and to achieve indicated slope of pipe.
- B. Trim excess length of continuous-thread hanger and support rods to 1-1/2 inches.

### 3.5 PAINTING

- A. Touchup: Clean field welds and abraded areas of shop paint. Paint exposed areas immediately after erecting hangers and supports. Use same materials as used for shop painting. Comply with SSPC-PA 1 requirements for touching up field-painted surfaces.
  - 1. Apply paint by brush or spray to provide a minimum dry film thickness of 2.0 mils.
- B. Touchup: Cleaning and touchup painting of field welds, bolted connections, and abraded areas of shop paint on miscellaneous metal are specified in Division 09 Sections.
- C. Galvanized Surfaces: Clean welds, bolted connections, and abraded areas and apply galvanizing-repair paint to comply with ASTM A 780.

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### 3.6 HANGER AND SUPPORT SCHEDULE

- A. Specific hanger and support requirements are in Sections specifying piping systems and equipment.
- B. Comply with MSS SP-69 for pipe-hanger selections and applications that are not specified in piping system Sections.
- C. Use hangers and supports with galvanized metallic coatings for piping and equipment that will not have field-applied finish.
- D. Use nonmetallic coatings on attachments for electrolytic protection where attachments are in direct contact with copper tubing.
- E. Use carbon-steel pipe hangers and supports metal trapeze pipe hangers and attachments for general service applications.
- F. Use stainless-steel pipe hangers and stainless-steel attachments for hostile environment applications.
- G. Use copper-plated pipe hangers and copper attachments for copper piping and tubing.
- H. Use padded hangers for piping that is subject to scratching.
- I. Use thermal-hanger shield inserts for insulated piping and tubing.
- J. Horizontal-Piping Hangers and Supports: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
  - 1. Adjustable, Steel Clevis Hangers (MSS Type 1): For suspension of noninsulated or insulated, stationary pipes NPS 1/2 to NPS 30.
  - 2. Pipe Saddle Supports (MSS Type 36): For support of pipes NPS 4 to NPS 36, with steel-pipe base stanchion support and cast-iron floor flange or carbon-steel plate.
  - 3. Pipe Stanchion Saddles (MSS Type 37): For support of pipes NPS 4 to NPS 36, with steel-pipe base stanchion support and cast-iron floor flange or carbon-steel plate, and with U-bolt to retain pipe.
  - 4. Adjustable Roller Hangers (MSS Type 43): For suspension of pipes NPS 2-1/2 to NPS 24, from single rod if horizontal movement caused by expansion and contraction might occur.
  - 5. Complete Pipe Rolls (MSS Type 44): For support of pipes NPS 2 to NPS 42 if longitudinal movement caused by expansion and contraction might occur but vertical adjustment is not necessary.
  - 6. Pipe Roll and Plate Units (MSS Type 45): For support of pipes NPS 2 to NPS 24 if small horizontal movement caused by expansion and contraction might occur and vertical adjustment is not necessary.
  - 7. Adjustable Pipe Roll and Base Units (MSS Type 46): For support of pipes NPS 2 to NPS 30 if vertical and lateral adjustment during installation might be required in addition to expansion and contraction.
- K. Vertical-Piping Clamps: Unless otherwise indicated and except as specified in piping system Sections, install the following types:

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1. Extension Pipe or Riser Clamps (MSS Type 8): For support of pipe risers NPS 3/4 to NPS 24.
  2. Carbon- or Alloy-Steel Riser Clamps (MSS Type 42): For support of pipe risers NPS 3/4 to NPS 24 if longer ends are required for riser clamps.
- L. Hanger-Rod Attachments: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Steel Turnbuckles (MSS Type 13): For adjustment up to 6 inches for heavy loads.
  2. Steel Clevises (MSS Type 14): For 120 to 450 deg F piping installations.
  3. Swivel Turnbuckles (MSS Type 15): For use with MSS Type 11, split pipe rings.
  4. Malleable-Iron Sockets (MSS Type 16): For attaching hanger rods to various types of building attachments.
  5. Steel Weldless Eye Nuts (MSS Type 17): For 120 to 450 deg F piping installations.
- M. Building Attachments: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Steel or Malleable Concrete Inserts (MSS Type 18): For upper attachment to suspend pipe hangers from concrete ceiling.
  2. Top-Beam C-Clamps (MSS Type 19): For use under roof installations with bar-joint construction, to attach to top flange of structural shape.
  3. Side-Beam or Channel Clamps (MSS Type 20): For attaching to bottom flange of beams, channels, or angles.
  4. Center-Beam Clamps (MSS Type 21): For attaching to center of bottom flange of beams.
  5. Welded Beam Attachments (MSS Type 22): For attaching to bottom of beams if loads are considerable and rod sizes are large.
  6. C-Clamps (MSS Type 23): For structural shapes.
  7. Top-Beam Clamps (MSS Type 25): For top of beams if hanger rod is required tangent to flange edge.
  8. Side-Beam Clamps (MSS Type 27): For bottom of steel I-beams.
  9. Steel-Beam Clamps with Eye Nuts (MSS Type 28): For attaching to bottom of steel I-beams for heavy loads.
  10. Linked-Steel Clamps with Eye Nuts (MSS Type 29): For attaching to bottom of steel I-beams for heavy loads, with link extensions.
  11. Malleable-Beam Clamps with Extension Pieces (MSS Type 30): For attaching to structural steel.
  12. Welded-Steel Brackets: For support of pipes from below or for suspending from above by using clip and rod. Use one of the following for indicated loads:
    - a. Light (MSS Type 31): 750 lb.
    - b. Medium (MSS Type 32): 1500 lb.
    - c. Heavy (MSS Type 33): 3000 lb.
  13. Horizontal Travelers (MSS Type 58): For supporting piping systems subject to linear horizontal movement where headroom is limited.
- N. Saddles and Shields: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Steel-Pipe-Covering Protection Saddles (MSS Type 39): To fill interior voids with insulation that matches adjoining insulation.

## SECTION 230529 - HANGERS AND SUPPORTS FOR HVAC PIPING AND EQUIPMENT

2. Protection Shields (MSS Type 40): Of length recommended in writing by manufacturer to prevent crushing insulation.
  3. Thermal-Hanger Shield Inserts: For supporting insulated pipe.
- O. Comply with MSS SP-69 for trapeze pipe-hanger selections and applications that are not specified in piping system Sections.
- P. Comply with MFMA-103 for metal framing system selections and applications that are not specified in piping system Sections.
- Q. Use mechanical-expansion anchors instead of building attachments where required in concrete construction.

END OF SECTION 230529

## SECTION 230548.13 - VIBRATION CONTROLS FOR HVAC

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

##### A. Section Includes:

1. Elastomeric isolation pads.
2. Elastomeric isolation mounts.
3. Restrained elastomeric isolation mounts.
4. Housed-restrained-spring isolators.
5. Elastomeric hangers.
6. Spring hangers.
7. Vibration isolation equipment bases.

##### B. Related Requirements:

1. Section 210548.13 "Vibration Controls for Fire Suppression" for devices for fire-suppression equipment and systems.
2. Section 220548.13 "Vibration Controls for Plumbing" for devices for plumbing equipment and systems.

#### 1.3 ACTION SUBMITTALS

##### A. Product Data: For each type of product.

1. Include rated load, rated deflection, and overload capacity for each vibration isolation device.
2. Illustrate and indicate style, material, strength, fastening provision, and finish for each type and size of vibration isolation device type required.

##### B. Shop Drawings:

1. Detail fabrication and assembly of equipment bases. Detail fabrication including anchorages and attachments to structure and to supported equipment. Include adjustable motor bases, rails, and frames for equipment mounting.
2. Vibration Isolation Base Details: Detail fabrication including anchorages and attachments to structure and to supported equipment. Include adjustable motor bases, rails, and frames for equipment mounting.

##### C. Delegated-Design Submittal: For each vibration isolation device.

## SECTION 230548.13 - VIBRATION CONTROLS FOR HVAC

1. Include design calculations for selecting vibration isolators and for designing vibration isolation bases.

### D. Wind-Restraint Details:

1. Basic Wind Speed: Refer to Arch.
2. Building Classification Category: Refer to Arch.
3. Code recommended wind pressure multiplied by the maximum area of the HVAC component projected on a vertical plane that is normal to the wind direction, and 45 degrees either side of normal.
4. Design Analysis: To support selection and arrangement of **wind** restraints. Include calculations of combined tensile and shear loads.
- 5.

## 1.4 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Show coordination of vibration isolation device installation for HVAC piping and equipment with other systems and equipment in the vicinity, including other supports and restraints, if any.
- B. Qualification Data: For testing agency.
- C. Welding certificates.

## 1.5 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: Provide operation and maintenance manuals.

## 1.6 QUALITY ASSURANCE

- A. Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code - Steel."

## PART 2 - PRODUCTS

### 2.1 VIBRATION ISOLATORS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  1. Ace Mountings Co., Inc.
  2. Amber/Booth Company, Inc.
  3. California Dynamics Corporation.
  4. Isolation Technology, Inc.
  5. Kinetics Noise Control.
  6. Mason Industries.
  7. Vibration Eliminator Co., Inc.

## SECTION 230548.13 - VIBRATION CONTROLS FOR HVAC

8. Vibration Isolation.
  9. Vibration Mountings & Controls, Inc.
- B. Elastomeric Isolation Pads:
1. Fabrication: Single or multiple layers of sufficient durometer stiffness for uniform loading over pad area.
  2. Size: Factory or field cut to match requirements of supported equipment.
  3. Pad Material: Oil and water resistant with elastomeric properties.
  4. Surface Pattern: Ribbed or Waffle pattern.
  5. Infused nonwoven cotton or synthetic fibers.
  6. Load-bearing metal plates adhered to pads.
  7. Sandwich-Core Material: Resilient and elastomeric.
    - a. Surface Pattern: Ribbed or Waffle pattern.
    - b. Infused nonwoven cotton or synthetic fibers.
- C. Double-Deflection, Elastomeric Isolation Mounts:
1. Mounting Plates:
    - a. Top Plate: Encapsulated steel load transfer top plates, factory drilled and threaded.
    - b. Baseplate: Encapsulated steel bottom plates with holes provided for anchoring to support structure.
  2. Elastomeric Material: Molded, oil-resistant rubber, neoprene, or other elastomeric material.
- D. Restrained Elastomeric Isolation Mounts
1. Description: All-directional isolator with restraints containing two separate and opposing elastomeric elements that prevent central threaded element and attachment hardware from contacting the housing during normal operation.
    - a. Housing: Cast-ductile iron or welded steel.
    - b. Elastomeric Material: Molded, oil-resistant rubber, neoprene, or other elastomeric material.
- E. Freestanding, Laterally Stable, Open-Spring Isolators:
1. Outside Spring Diameter: Not less than 80 percent of the compressed height of the spring at rated load.
  2. Minimum Additional Travel: 50 percent of the required deflection at rated load.
  3. Lateral Stiffness: More than 80 percent of rated vertical stiffness.
  4. Overload Capacity: Support 200 percent of rated load, fully compressed, without deformation or failure.
  5. Baseplates: Factory-drilled steel plate for bolting to structure with an elastomeric isolator pad attached to the underside. Baseplates shall limit floor load to 500 psig.
  6. Top Plate and Adjustment Bolt: Threaded top plate with adjustment bolt and cap screw to fasten and level equipment.
- F. Freestanding, Laterally Stable, Open-Spring Isolators with Vertical-Limit Stop Restraint:
1. Housing: Steel housing with vertical-limit stops to prevent spring extension due to weight being removed.

## SECTION 230548.13 - VIBRATION CONTROLS FOR HVAC

- a. Base with holes for bolting to structure with an elastomeric isolator pad attached to the underside. Bases shall limit floor load to 500 psig.
  - b. Top plate with threaded mounting holes elastomeric pad.
  - c. Internal leveling bolt that acts as blocking during installation.
2. Restraint: Limit stop as required for equipment and authorities having jurisdiction.
  3. Outside Spring Diameter: Not less than 80 percent of the compressed height of the spring at rated load.
  4. Minimum Additional Travel: 50 percent of the required deflection at rated load.
  5. Lateral Stiffness: More than 80 percent of rated vertical stiffness.
  6. Overload Capacity: Support 200 percent of rated load, fully compressed, without deformation or failure.
- G. Elastomeric Mount in a Steel Frame with Upper and Lower Steel Hanger Rods:
1. Frame: Steel, fabricated with a connection for an upper threaded hanger rod and an opening on the underside to allow for a maximum of 30 degrees of angular lower hanger-rod misalignment without binding or reducing isolation efficiency.
  2. Dampening Element: Molded, oil-resistant rubber, neoprene, or other elastomeric material with a projecting bushing for the underside opening preventing steel to steel contact.
- H. Combination Coil-Spring and Elastomeric-Insert Hanger with Spring and Insert in Compression:
1. Frame: Steel, fabricated for connection to threaded hanger rods and to allow for a maximum of 30 degrees of angular hanger-rod misalignment without binding or reducing isolation efficiency.
  2. Outside Spring Diameter: Not less than 80 percent of the compressed height of the spring at rated load.
  3. Minimum Additional Travel: 50 percent of the required deflection at rated load.
  4. Lateral Stiffness: More than 80 percent of rated vertical stiffness.
  5. Overload Capacity: Support 200 percent of rated load, fully compressed, without deformation or failure.
  6. Elastomeric Element: Molded, oil-resistant rubber or neoprene. Steel-washer-reinforced cup to support spring and bushing projecting through bottom of frame.
  7. Adjustable Vertical Stop: Steel washer with neoprene washer "up-stop" on lower threaded rod.
  8. Self-centering hanger rod cap to ensure concentricity between hanger rod and support spring coil.
- I. Steel Rails: Factory-fabricated, welded, structural-steel rails.
1. Design Requirements: Lowest possible mounting height with not less than 1-inch clearance above the floor. Include equipment anchor bolts and auxiliary motor slide rails.
    - a. Include supports for suction and discharge elbows for pumps.
  2. Structural Steel: Steel shapes, plates, and bars complying with ASTM A 36/A 36M. Rails shall have shape to accommodate supported equipment.
  3. Support Brackets: Factory-welded steel brackets on frame for outrigger isolation mountings and to provide for anchor bolts and equipment support.

## SECTION 230548.13 - VIBRATION CONTROLS FOR HVAC

- J. Steel Bases: Factory-fabricated, welded, structural-steel bases and rails.
  - 1. Design Requirements: Lowest possible mounting height with not less than 1-inch clearance above the floor. Include equipment anchor bolts and auxiliary motor slide bases or rails.
    - a. Include supports for suction and discharge elbows for pumps.
  - 2. Structural Steel: Steel shapes, plates, and bars complying with ASTM A 36/A 36M. Bases shall have shape to accommodate supported equipment.
  - 3. Support Brackets: Factory-welded steel brackets on frame for outrigger isolation mountings and to provide for anchor bolts and equipment support.

### 2.2 VIBRATION ISOLATION EQUIPMENT BASES

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Amber/Booth Company, Inc.
  - 2. California Dynamics Corporation.
  - 3. Isolation Technology, Inc.
  - 4. Kinetics Noise Control.
  - 5. Mason Industries.
  - 6. Vibration Eliminator Co., Inc.
  - 7. Vibration Isolation.
  - 8. Vibration Mountings & Controls, Inc.
- B. Inertia Base: Factory-fabricated, welded, structural-steel bases and rails ready for placement of cast-in-place concrete.
  - 1. Design Requirements: Lowest possible mounting height with not less than 1-inch clearance above the floor. Include equipment anchor bolts and auxiliary motor slide bases or rails.
    - a. Include supports for suction and discharge elbows for pumps.
  - 2. Structural Steel: Steel shapes, plates, and bars complying with ASTM A 36/A 36M. Bases shall have shape to accommodate supported equipment.
  - 3. Support Brackets: Factory-welded steel brackets on frame for outrigger isolation mountings and to provide for anchor bolts and equipment support.
  - 4. Fabrication: Fabricate steel templates to hold equipment anchor-bolt sleeves and anchors in place during placement of concrete. Obtain anchor-bolt templates from supported equipment manufacturer.

## SECTION 230548.13 - VIBRATION CONTROLS FOR HVAC

### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Examine areas and equipment to receive vibration isolation control devices for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine roughing-in of reinforcement and cast-in-place anchors to verify actual locations before installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 APPLICATIONS

- A. Multiple Pipe Supports: Secure pipes to trapeze member with clamps approved for application by an agency acceptable to authorities having jurisdiction.

#### 3.3 VIBRATION ISOLATION EQUIPMENT BASES INSTALLATION

- A. Coordinate the location of embedded connection hardware with supported equipment attachment and mounting points and with requirements for concrete reinforcement and formwork specified in Division 03 Sections.

#### 3.4 VIBRATION-CONTROL AND WIND-RESTRAINT DEVICE INSTALLATION

- A. Comply with requirements in Division 07 Section "Roof Accessories" for installation of roof curbs, equipment supports, and roof penetrations.
- B. Install cables so they do not bend across edges of adjacent equipment or building structure.
- C. Install bushing assemblies for anchor bolts for floor-mounted equipment, arranged to provide resilient media between anchor bolt and mounting hole in concrete base.
- D. Install bushing assemblies for mounting bolts for wall-mounted equipment, arranged to provide resilient media where equipment or equipment-mounting channels are attached to wall.
- E. Attachment to Structure: If specific attachment is not indicated, anchor bracing to structure at flanges of beams, at upper truss chords of bar joists, or at concrete members.
- F. Drilled-in Anchors:
  - 1. Identify position of reinforcing steel and other embedded items prior to drilling holes for anchors. Do not damage existing reinforcing or embedded items during coring or drilling. Notify the structural engineer if reinforcing steel or other embedded items are encountered during drilling. Locate and avoid prestressed tendons, electrical and telecommunications conduit, and gas lines.
  - 2. Do not drill holes in concrete or masonry until concrete, mortar, or grout has achieved full design strength.

## SECTION 230548.13 - VIBRATION CONTROLS FOR HVAC

3. Wedge Anchors: Protect threads from damage during anchor installation. Heavy-duty sleeve anchors shall be installed with sleeve fully engaged in the structural element to which anchor is to be fastened.
4. Adhesive Anchors: Clean holes to remove loose material and drilling dust prior to installation of adhesive. Place adhesive in holes proceeding from the bottom of the hole and progressing toward the surface in such a manner as to avoid introduction of air pockets in the adhesive.
5. Set anchors to manufacturer's recommended torque, using a torque wrench.
6. Install zinc-coated steel anchors for interior and stainless-steel anchors for exterior applications.

### 3.5 ADJUSTING

- A. Adjust isolators after piping system is at operating weight.
- B. Adjust active height of spring isolators.

END OF SECTION 230548.13

## SECTION 230553 - IDENTIFICATION FOR HVAC PIPING AND EQUIPMENT

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Equipment labels.
  - 2. Warning signs and labels.
  - 3. Pipe labels.
  - 4. Duct labels.
  - 5. Stencils.
  - 6. Warning tags.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Samples: For color, letter style, and graphic representation required for each identification material and device.
- C. Equipment Label Schedule: Include a listing of all equipment to be labeled with the proposed content for each label.

#### 1.4 COORDINATION

- A. Coordinate installation of identifying devices with completion of covering and painting of surfaces where devices are to be applied.
- B. Coordinate installation of identifying devices with locations of access panels and doors.
- C. Install identifying devices before installing acoustical ceilings and similar concealment.

### PART 2 - PRODUCTS

#### 2.1 EQUIPMENT LABELS

- A. Plastic Labels for Equipment:
  - 1. Material and Thickness: Multilayer, multicolor, plastic labels for mechanical engraving, 1/8 inch thick, and having predrilled holes for attachment hardware.

## SECTION 230553 - IDENTIFICATION FOR HVAC PIPING AND EQUIPMENT

2. Maximum Temperature: Able to withstand temperatures up to 160 deg F.
  3. Minimum Label Size: Length and width vary for required label content, but not less than 2-1/2 by 3/4 inch.
  4. Minimum Letter Size: 1/4 inch for name of units if viewing distance is less than 24 inches, 1/2 inch for viewing distances up to 72 inches, and proportionately larger lettering for greater viewing distances. Include secondary lettering two-thirds to three-quarters the size of principal lettering.
  5. Fasteners: Stainless-steel rivets or self-tapping screws.
  6. Adhesive: Contact-type permanent adhesive, compatible with label and with substrate.
- B. Label Content: Include equipment's Drawing designation or unique equipment number, Drawing numbers where equipment is indicated (plans, details, and schedules), and the Specification Section number and title where equipment is specified.
- C. Equipment Label Schedule: For each item of equipment to be labeled, on 8-1/2-by-11-inch bond paper. Tabulate equipment identification number, and identify Drawing numbers where equipment is indicated (plans, details, and schedules) and the Specification Section number and title where equipment is specified. Equipment schedule shall be included in operation and maintenance data.

### 2.2 WARNING SIGNS AND LABELS

- A. Material and Thickness: Multilayer, multicolor, plastic labels for mechanical engraving, 1/8 inch thick, and having predrilled holes for attachment hardware.
- B. Maximum Temperature: Able to withstand temperatures up to 160 deg F.
- C. Minimum Label Size: Length and width vary for required label content, but not less than 2-1/2 by 3/4 inch.
- D. Minimum Letter Size: 1/4 inch for name of units if viewing distance is less than 24 inches, 1/2 inch for viewing distances up to 72 inches, and proportionately larger lettering for greater viewing distances. Include secondary lettering two-thirds to three-quarters the size of principal lettering.
- E. Fasteners: Stainless-steel rivets or self-tapping screws.
- F. Adhesive: Contact-type permanent adhesive, compatible with label and with substrate.
- G. Label Content: Include caution and warning information plus emergency notification instructions.

### 2.3 PIPE LABELS

- A. General Requirements for Manufactured Pipe Labels: Preprinted, color-coded, with lettering indicating service, and showing flow direction according to ASME A13.1.
- B. Pretensioned Pipe Labels: Precoiled, semirigid plastic formed to cover full circumference of pipe and to attach to pipe without fasteners or adhesive.

## SECTION 230553 - IDENTIFICATION FOR HVAC PIPING AND EQUIPMENT

- C. Self-Adhesive Pipe Labels: Printed plastic with contact-type, permanent-adhesive backing.
- D. Pipe Label Contents: Include identification of piping service using same designations or abbreviations as used on Drawings; also include pipe size and an arrow indicating flow direction.
  - 1. Flow-Direction Arrows: Integral with piping system service lettering to accommodate both directions or as separate unit on each pipe label to indicate flow direction.
  - 2. Lettering Size: At least 1-1/2 inch for viewing distances up to 72 inches and proportionately larger lettering for greater viewing distances.

### 2.4 DUCT LABELS

- A. Material and Thickness: Multilayer, multicolor, plastic labels for mechanical engraving, 1/8 inch thick, and having predrilled holes for attachment hardware.
- B. Maximum Temperature: Able to withstand temperatures up to 160 deg F.
- C. Minimum Label Size: Length and width vary for required label content, but not less than 2-1/2 by 3/4 inch.
- D. Minimum Letter Size: 1/4 inch for name of units if viewing distance is less than 24 inches, 1/2 inch for viewing distances up to 72 inches, and proportionately larger lettering for greater viewing distances. Include secondary lettering two-thirds to three-quarters the size of principal lettering.
- E. Fasteners: Stainless-steel rivets or self-tapping screws.
- F. Adhesive: Contact-type permanent adhesive, compatible with label and with substrate.
- G. Duct Label Contents: Include identification of duct service using same designations or abbreviations as used on Drawings; also include duct size and an arrow indicating flow direction.
  - 1. Flow-Direction Arrows: Integral with duct system service lettering to accommodate both directions or as separate unit on each duct label to indicate flow direction.
  - 2. Lettering Size: At least 1-1/2 inches high.

### 2.5 WARNING TAGS

- A. Description: Preprinted or partially preprinted accident-prevention tags of plasticized card stock with matte finish suitable for writing.
  - 1. Size: 3 by 5-1/4 inches minimum.
  - 2. Fasteners: Brass grommet and wire.
  - 3. Nomenclature: Large-size primary caption such as "DANGER," "CAUTION," or "DO NOT OPERATE."
  - 4. Color: Safety-yellow background with black lettering.

## SECTION 230553 - IDENTIFICATION FOR HVAC PIPING AND EQUIPMENT

### PART 3 - EXECUTION

#### 3.1 PREPARATION

- A. Clean piping and equipment surfaces of substances that could impair bond of identification devices, including dirt, oil, grease, release agents, and incompatible primers, paints, and encapsulants.

#### 3.2 GENERAL INSTALLATION REQUIREMENTS

- A. Coordinate installation of identifying devices with completion of covering and painting of surfaces where devices are to be applied.
- B. Coordinate installation of identifying devices with locations of access panels and doors.
- C. Install identifying devices before installing acoustical ceilings and similar concealment.

#### 3.3 EQUIPMENT LABEL INSTALLATION

- A. Install or permanently fasten labels on each major item of mechanical equipment.
- B. Locate equipment labels where accessible and visible.

#### 3.4 PIPE LABEL INSTALLATION

- A. Piping Color Coding: Painting of piping is specified in Division 09 Sections.
- B. Stenciled Pipe Label Option: Stenciled labels may be provided instead of manufactured pipe labels, at Installer's option. Install stenciled pipe labels, complying with ASME A13.1, with painted, color-coded bands or rectangles on each piping system.
  - 1. Identification Paint: Use for contrasting background.
  - 2. Stencil Paint: Use for pipe marking.
- C. Pipe Label Locations: Locate pipe labels where piping is exposed or above accessible ceilings in finished spaces; machine rooms; accessible maintenance spaces such as shafts, tunnels, and plenums; and exterior exposed locations as follows:
  - 1. Near each valve and control device.
  - 2. Near each branch connection, excluding short takeoffs for fixtures and terminal units. Where flow pattern is not obvious, mark each pipe at branch.
  - 3. Near penetrations and on both sides of through walls, floors, ceilings, and inaccessible enclosures.
  - 4. At access doors, manholes, and similar access points that permit view of concealed piping.
  - 5. Near major equipment items and other points of origination and termination.
  - 6. Spaced at maximum intervals of 50 feet along each run. Reduce intervals to 25 feet in areas of congested piping and equipment.

## SECTION 230553 - IDENTIFICATION FOR HVAC PIPING AND EQUIPMENT

7. On piping above removable acoustical ceilings. Omit intermediately spaced labels.
- D. Directional Flow Arrows: Arrows shall be used to indicate direction of flow in pipes, including pipes where flow is allowed in both directions.
- E. Pipe Label Color Schedule: Coordinate with Owner.

### 3.5 DUCT LABEL INSTALLATION

- A. Install self-adhesive duct labels with permanent adhesive on air ducts in the following color codes: Coordinate with Owner.
- B. Locate labels near points where ducts enter into and exit from concealed spaces and at maximum intervals of 50 feet in each space where ducts are exposed or concealed by removable ceiling system.

### 3.6 WARNING-TAG INSTALLATION

- A. Write required message on, and attach warning tags to, equipment and other items where required.

### 3.7 PAINTING

- A. Clarification: In exposed areas (with no acoustic ceiling tiles), piping and piping insulation shall be painted. Although Division 9 may not specifically call for painting of MEP items, it states paint type and requirements for different materials. To extent possible coordinate painting with Division 9 and with Architect. Where adequate specifications are not available, use the following general guidelines:
  1. Ferrous Metal: Semi-Gloss, Alkyd-Enamel Finish: 2 finish coats over an enamel undercoat and primer.
    - a. Primer: Quick-drying, rust-inhibitive, alkyd-based or epoxy-metal primer, as recommended by the manufacturer for this substrate, applied at spreading rate recommended by the manufacturer to achieve a total dry film thickness of not less than 1.5 mils. S-W: Kem Kromik Universal Metal Primer B50NZ6/B50WZ1.
    - b. Undercoat: Alkyd, interior enamel undercoat or semi-gloss, interior, alkyd-enamel finish coat, as recommended by the manufacturer for this substrate, applied at spreading rate recommended by the manufacturer to achieve a total dry film thickness of not less than 1.2 mils. S-W: Pro-mar 200 Interior Alkyd Enamel B34W200 Series.
    - c. Finish Coat: Same as undercoat. Semi-gloss, alkyd, interior enamel applied at spreading rate recommended by the manufacturer to achieve a total dry film thickness of not less than 1.2 mils.
  2. ASJ Jacket: Semi-Gloss, Acrylic-Enamel Finish: 2 finish coats.
    - a. Undercoat: Semi-gloss acrylic latex enamel applied at spreading rate recommended by manufacturer to achieve a dry film thickness of 2.0 mils. S-W: Pro-Mar Interior Latex Egg-Shell Enamel B20W200.

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- b. Finish Coat: Same as undercoat. Semi-gloss, acrylic latex enamel applied at spreading rate recommended by the manufacturer to achieve a total dry film thickness of not less than 1.2 mils

B. Final colors shall be coordinated with Owner and Architect during construction.

END OF SECTION 230553

## SECTION 230593 - TESTING, ADJUSTING, AND BALANCING FOR HVAC

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:

- 1. Balancing Air Systems:
  - a. Variable-air-volume systems.
- 2. Balancing Hydronic Piping Systems:
- 3. Testing, Adjusting, and Balancing Equipment:
  - a. Motors
  - b. AHUs and RTUs
- 4. Testing, adjusting, and balancing existing systems and equipment.
- 5. Duct leakage tests.
- 6. Control system verification.
- 7. Other tests as specified.

#### 1.3 DEFINITIONS

- A. AABC: Associated Air Balance Council.
- B. BAS: Building automation systems.
- C. TAB: Testing, adjusting, and balancing.
- D. TABB: Testing, Adjusting, and Balancing Bureau.
- E. TAB Specialist: An independent entity meeting qualifications to perform TAB work.
- F. TDH: Total dynamic head.

#### 1.4 ACTION SUBMITTALS

- A. Sustainability Submittals:

- 1. Air-Balance Report: Documentation indicating that work complies with ASHRAE 62.1, Section 7.2.2 - "Air Balancing."

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2. TAB Report: Documentation indicating that work complies with ASHRAE/IESNA 90.1, Section 6.7.2.3 - "System Balancing."

### 1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: Within 30 days of Contractor's Notice to Proceed, submit documentation that the TAB specialist and this Project's TAB team members meet the qualifications specified in "Quality Assurance" Article.
- B. Contract Documents Examination Report: Within 60 days of Contractor's Notice to Proceed, submit the Contract Documents review report as specified in Part 3.
- C. System Readiness Checklists: Within 60 days of Contractor's Notice to Proceed, submit system readiness checklists as specified in "Preparation" Article.
- D. Examination Report: Submit a summary report of the examination review required in "Examination" Article.
- E. Certified TAB reports.
- F. Instrument calibration reports, to include the following:
  1. Instrument type and make.
  2. Serial number.
  3. Application.
  4. Dates of use.
  5. Dates of calibration.

### 1.6 QUALITY ASSURANCE

- A. TAB Specialists Qualifications: Certified by AABC.
  1. [TAB Companies: Limited to PHI or Aerodynamics.](#)
  2. TAB Field Supervisor: Employee of the TAB specialist and certified by AABC.
  3. TAB Technician: Employee of the TAB specialist and certified by AABC as a TAB technician.
- B. Instrumentation Type, Quantity, Accuracy, and Calibration: Comply with requirements in ASHRAE 111, Section 4, "Instrumentation."
- C. ASHRAE Compliance: Applicable requirements in ASHRAE 62.1, Section 7.2.2 - "Air Balancing."
- D. ASHRAE/IESNA Compliance: Applicable requirements in ASHRAE/IESNA 90.1, Section 6.7.2.3 - "System Balancing."

### 1.7 FIELD CONDITIONS

- A. Owner Occupancy: Cooperate with Owner during TAB operations to minimize conflicts with Owner's operations.

## SECTION 230593 - TESTING, ADJUSTING, AND BALANCING FOR HVAC

### PART 2 - PRODUCTS (Not Applicable)

### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Examine the Contract Documents to become familiar with Project requirements and to discover conditions in systems designs that may preclude proper TAB of systems and equipment.
- B. Examine installed systems for balancing devices, such as test ports, gage cocks, thermometer wells, flow-control devices, balancing valves and fittings, and manual volume dampers. Verify that locations of these balancing devices are applicable for intended purpose and are accessible.
- C. Examine the approved submittals for HVAC systems and equipment.
- D. Examine design data including HVAC system descriptions, statements of design assumptions for environmental conditions and systems output, and statements of philosophies and assumptions about HVAC system and equipment controls.
- E. Examine ceiling plenums and underfloor air plenums used for supply, return, or relief air to verify that they are properly separated from adjacent areas. Verify that penetrations in plenum walls are sealed and fire-stopped if required.
- F. Examine equipment performance data including fan and pump curves.
  - 1. Relate performance data to Project conditions and requirements, including system effects that can create undesired or unpredicted conditions that cause reduced capacities in all or part of a system.
  - 2. Calculate system-effect factors to reduce performance ratings of HVAC equipment when installed under conditions different from the conditions used to rate equipment performance. To calculate system effects for air systems, use tables and charts found in AMCA 201, "Fans and Systems," or in SMACNA's "HVAC Systems - Duct Design." Compare results with the design data and installed conditions.
- G. Examine system and equipment installations and verify that field quality-control testing, cleaning, and adjusting specified in individual Sections have been performed.
- H. Examine test reports specified in individual system and equipment Sections.
- I. Examine HVAC equipment and verify that bearings are greased, belts are aligned and tight, filters are clean, and equipment with functioning controls is ready for operation.
- J. Examine terminal units, such as variable-air-volume boxes, and verify that they are accessible and their controls are connected and functioning.
- K. Examine strainers. Verify that startup screens have been replaced by permanent screens with indicated perforations.
- L. Examine control valves for proper installation for their intended function of throttling, diverting, or mixing fluid flows.

## SECTION 230593 - TESTING, ADJUSTING, AND BALANCING FOR HVAC

- M. Examine heat-transfer coils for correct piping connections and for clean and straight fins.
- N. Examine system pumps to ensure absence of entrained air in the suction piping.
- O. Examine operating safety interlocks and controls on HVAC equipment.
- P. Report deficiencies discovered before and during performance of TAB procedures. Observe and record system reactions to changes in conditions. Record default set points if different from indicated values.

### 3.2 PREPARATION

- A. Prepare a TAB plan that includes the following:
  - 1. Equipment and systems to be tested.
  - 2. Strategies and step-by-step procedures for balancing the systems.
  - 3. Instrumentation to be used.
  - 4. Sample forms with specific identification for all equipment.
- B. Perform system-readiness checks of HVAC systems and equipment to verify system readiness for TAB work. Include, at a minimum, the following:
  - 1. Airside:
    - a. Verify that leakage and pressure tests on air distribution systems have been satisfactorily completed.
    - b. Duct systems are complete with terminals installed.
    - c. Volume, smoke, and fire dampers are open and functional.
    - d. Clean filters are installed.
    - e. Fans are operating, free of vibration, and rotating in correct direction.
    - f. Variable-frequency controllers' startup is complete and safeties are verified.
    - g. Automatic temperature-control systems are operational.
    - h. Ceilings are installed.
    - i. Windows and doors are installed.
    - j. Suitable access to balancing devices and equipment is provided.
  - 2. Hydronics:
    - a. Verify leakage and pressure tests on water distribution systems have been satisfactorily completed.
    - b. Piping is complete with terminals installed.
    - c. Water treatment is complete.
    - d. Systems are flushed, filled, and air purged.
    - e. Strainers are pulled and cleaned.
    - f. Control valves are functioning per the sequence of operation.
    - g. Shutoff and balance valves have been verified to be 100 percent open.
    - h. Pumps are started and proper rotation is verified.
    - i. Pump gage connections are installed directly at pump inlet and outlet flanges or in discharge and suction pipe prior to valves or strainers.
    - j. Variable-frequency controllers' startup is complete and safeties are verified.

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- k. Suitable access to balancing devices and equipment is provided.

### 3.3 GENERAL PROCEDURES FOR TESTING AND BALANCING

- A. Perform testing and balancing procedures on each system according to the procedures contained in AABC's "National Standards for Total System Balance" and in this Section.
- B. Cut insulation, ducts, pipes, and equipment cabinets for installation of test probes to the minimum extent necessary for TAB procedures.
  - 1. After testing and balancing, patch probe holes in ducts with same material and thickness as used to construct ducts.
  - 2. After testing and balancing, install test ports and duct access doors that comply with requirements in Section 233300 "Air Duct Accessories."
  - 3. Install and join new insulation that matches removed materials. Restore insulation, coverings, vapor barrier, and finish according to Section 230713 "Duct Insulation," Section 230716 "HVAC Equipment Insulation," and Section 230719 "HVAC Piping Insulation."
- C. Mark equipment and balancing devices, including damper-control positions, valve position indicators, fan-speed-control levers, and similar controls and devices, with paint or other suitable, permanent identification material to show final settings.
- D. Take and report testing and balancing measurements in **inch-pound** units.

### 3.4 GENERAL PROCEDURES FOR BALANCING AIR SYSTEMS

- A. Prepare test reports for both fans and outlets. Obtain manufacturer's outlet factors and recommended testing procedures. Cross-check the summation of required outlet volumes with required fan volumes.
- B. Prepare schematic diagrams of systems' "as-built" duct layouts.
- C. For variable-air-volume systems, develop a plan to simulate diversity.
- D. Determine the best locations in main and branch ducts for accurate duct-airflow measurements.
- E. Check airflow patterns from the outdoor-air louvers and dampers and the return- and exhaust-air dampers through the supply-fan discharge and mixing dampers.
- F. Locate start-stop and disconnect switches, electrical interlocks, and motor starters.
- G. Verify that motor starters are equipped with properly sized thermal protection.
- H. Check dampers for proper position to achieve desired airflow path.
- I. Check for airflow blockages.
- J. Check condensate drains for proper connections and functioning.

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- K. Check for proper sealing of air-handling-unit components.
- L. Verify that air duct system is sealed as specified in Section 233113 "Metal Ducts."

### 3.5 PROCEDURES FOR CONSTANT-VOLUME AIR SYSTEMS

- A. Adjust fans to deliver total indicated airflows within the maximum allowable fan speed listed by fan manufacturer.
  - 1. Measure total airflow.
    - a. Set outside-air, return-air, and relief-air dampers for proper position that simulates minimum outdoor-air conditions.
    - b. Where duct conditions allow, measure airflow by Pitot-tube traverse. If necessary, perform multiple Pitot-tube traverses to obtain total airflow.
    - c. Where duct conditions are not suitable for Pitot-tube traverse measurements, a coil traverse may be acceptable.
    - d. If a reliable Pitot-tube traverse or coil traverse is not possible, measure airflow at terminals and calculate the total airflow.
  - 2. Measure fan static pressures as follows:
    - a. Measure static pressure directly at the fan outlet or through the flexible connection.
    - b. Measure static pressure directly at the fan inlet or through the flexible connection.
    - c. Measure static pressure across each component that makes up the air-handling system.
    - d. Report artificial loading of filters at the time static pressures are measured.
  - 3. Review Record Documents to determine variations in design static pressures versus actual static pressures. Calculate actual system-effect factors. Recommend adjustments to accommodate actual conditions.
  - 4. Obtain approval from commissioning authority for adjustment of fan speed higher or lower than indicated speed. Comply with requirements in HVAC Sections for air-handling units for adjustment of fans, belts, and pulley sizes to achieve indicated air-handling-unit performance.
  - 5. Do not make fan-speed adjustments that result in motor overload. Consult equipment manufacturers about fan-speed safety factors. Modulate dampers and measure fan-motor amperage to ensure that no overload occurs. Measure amperage in full-cooling, full-heating, economizer, and any other operating mode to determine the maximum required brake horsepower.
- B. Adjust volume dampers for main duct, submain ducts, and major branch ducts to indicated airflows.
  - 1. Measure airflow of submain and branch ducts.
  - 2. Adjust submain and branch duct volume dampers for specified airflow.
  - 3. Re-measure each submain and branch duct after all have been adjusted.
- C. Adjust air inlets and outlets for each space to indicated airflows.

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1. Set airflow patterns of adjustable outlets for proper distribution without drafts.
2. Measure inlets and outlets airflow.
3. Adjust each inlet and outlet for specified airflow.
4. Re-measure each inlet and outlet after they have been adjusted.

### D. Verify final system conditions.

1. Re-measure and confirm that minimum outdoor, return, and relief airflows are within design. Readjust to design if necessary.
2. Re-measure and confirm that total airflow is within design.
3. Re-measure all final fan operating data, rpms, volts, amps, and static profile.
4. Mark all final settings.
5. Test system in economizer mode. Verify proper operation and adjust if necessary.
6. Measure and record all operating data.
7. Record final fan-performance data.

## 3.6 GENERAL PROCEDURES FOR HYDRONIC SYSTEMS

- A. Prepare test reports for pumps, coils, and heat exchangers. Obtain approved submittals and manufacturer-recommended testing procedures. Crosscheck the summation of required coil and heat exchanger flow rates with pump design flow rate.
- B. Prepare schematic diagrams of systems' "as-built" piping layouts.
- C. In addition to requirements in "Preparation" Article, prepare hydronic systems for testing and balancing as follows:
  1. Check liquid level in expansion tank.
  2. Check highest vent for adequate pressure.
  3. Check flow-control valves for proper position.
  4. Locate start-stop and disconnect switches, electrical interlocks, and motor starters.
  5. Verify that motor starters are equipped with properly sized thermal protection.
  6. Check that air has been purged from the system.

## 3.7 PROCEDURES FOR PRIMARY-SECONDARY HYDRONIC SYSTEMS

- A. Balance the primary circuit flow first.
- B. Balance the secondary circuits after the primary circuits are complete.
- C. Adjust pumps to deliver total design gpm.
  1. Measure total water flow.
    - a. Position valves for full flow through coils.
    - b. Measure flow by main flow meter, if installed.
    - c. If main flow meter is not installed, determine flow by pump TDH or exchanger pressure drop.
  2. Measure pump TDH as follows:

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- a. Measure discharge pressure directly at the pump outlet flange or in discharge pipe prior to any valves.
  - b. Measure inlet pressure directly at the pump inlet flange or in suction pipe prior to any valves or strainers.
  - c. Convert pressure to head and correct for differences in gage heights.
  - d. Verify pump impeller size by measuring the TDH with the discharge valve closed. Note the point on manufacturer's pump curve at zero flow and verify that the pump has the intended impeller size.
  - e. With valves open, read pump TDH. Adjust pump discharge valve until design water flow is achieved.
3. Monitor motor performance during procedures and do not operate motor in an overloaded condition.
- D. Adjust flow-measuring devices installed in mains and branches to design water flows.
1. Measure flow in main and branch pipes.
  2. Adjust main and branch balance valves for design flow.
  3. Re-measure each main and branch after all have been adjusted.
- E. Adjust flow-measuring devices installed at terminals for each space to design water flows.
1. Measure flow at terminals.
  2. Adjust each terminal to design flow.
  3. Re-measure each terminal after it is adjusted.
  4. Position control valves to bypass the coil and adjust the bypass valve to maintain design flow.
  5. Perform temperature tests after flows have been balanced.
- F. For systems with pressure-independent valves at terminals:
1. Measure differential pressure and verify that it is within manufacturer's specified range.
  2. Perform temperature tests after flows have been verified.
- G. For systems without pressure-independent valves or flow-measuring devices at terminals:
1. Measure and balance coils by either coil pressure drop or temperature method.
  2. If balanced by coil pressure drop, perform temperature tests after flows have been verified.
- H. Verify final system conditions as follows:
1. Re-measure and confirm that total water flow is within design.
  2. Re-measure final pumps' operating data, TDH, volts, amps, and static profile.
  3. Mark final settings.
- I. Verify that memory stops have been set.

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### 3.8 PROCEDURES FOR MOTORS

- A. Motors 1/2 HP and Larger: Test at final balanced conditions and record the following data:
1. Manufacturer's name, model number, and serial number.
  2. Motor horsepower rating.
  3. Motor rpm.
  4. Phase and hertz.
  5. Nameplate and measured voltage, each phase.
  6. Nameplate and measured amperage, each phase.
  7. Starter size and thermal-protection-element rating.
  8. Service factor and frame size.
- B. Motors Driven by Variable-Frequency Controllers: Test manual bypass of controller to prove proper operation.

### 3.9 SENSOR ACCURACY TESTING

- A. Measure accuracy of all sensors (temperature, humidity, dewpoint, pressure, etc.) associated with air conditioning systems and the Building Automation System (BAS).
- B. Provide a written report, separate from and prior to the final TAB report, to include the following:
1. List of each different type of sensor, manufacturer and model, and its accuracy as stated by manufacturer.
  2. List of every sensor in the project, identified by room number and associated HVAC unit name.
  3. Reading of sensor as measured by TAB, and as simultaneously reported by the BAS and/or other HVAC system, and difference between. (Example format for temperature sensor below.)

Temperature Sensors			
ID	BAS reading deg F	TAB reading deg F	Difference
Room 100 / RTU-100	72.4	72.5	-0.1
Room 124 / RTU-124	72.1	71.7	0.4
Room 124 / RTU-124	73.5	71.6	1.9
  4. List observations regarding sensors installation which may impact satisfactory operation of HVAC systems, such as improper location of sensors.
- C. At direction of Engineer, BAS and/or HVAC systems providers will be required to replace or calibrate sensors based upon this TAB sensors accuracy report. Subsequent to such replacement and calibration, re-measure accuracy of those sensors which were calibrated or replaced and submit report per directions above.

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### 3.10 DUCT LEAKAGE TESTS

- A. Duct leakage testing will be performed by mechanical installation contractor.
- B. Witness the duct pressure testing and provide written report on results immediately thereafter.
  - 1. Verify that proper test methods are used and that leakage rates are within specified tolerances.
  - 2. Report results of all testing and any deficiencies observed. Provide floor plans in report indicating sections of duct tested, and test criterion.

### 3.11 TEMPERATURE TESTING

- A. During testing, adjusting, and balancing, report need for adjustment in temperature regulation within the automatic temperature-control system.
- B. Measure indoor wet- and dry-bulb temperatures every other hour for a period of 2 successive 8-hour days, in each separately controlled zone, to prove correctness of final temperature settings. Measure when the building or zone is occupied.
- C. Measure outside-air, wet- and dry-bulb temperatures.
- D. Data may be trended using Building Automation System. However, calibration of DDC sensors must be verified prior to trending data.

### 3.12 CONTROLS VERIFICATION

- A. In conjunction with system balancing, perform the following:
  - 1. Verify temperature control system is operating within the design limitations.
  - 2. Verify the operation of lockout or interlock systems.
  - 3. Verify the operation of valve and damper actuators.
  - 4. Verify that controlled devices are properly installed and connected to correct controller.
  - 5. Verify that controlled devices travel freely and are in position indicated by controller: open, closed, or modulating.
  - 6. Verify location and installation of sensors to ensure that they sense only intended temperature, humidity, or pressure.
  - 7. Verify damper leakage is in accordance with submitted performance and not so excessive that it prevents system operation in accordance with design intent.
  - 8. Confirm that the sequences of operation are in compliance with Contract Documents.
- B. Reporting: Include a summary of verifications performed, remaining deficiencies, and variations from indicated conditions.

### 3.13 TOLERANCES

- A. Set HVAC system's airflow rates and water flow rates within the following tolerances:
  - 1. Supply, Return, and Exhaust Fans and Equipment with Fans: Plus or minus 10 percent.

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2. Air Outlets and Inlets: Plus or minus 10 percent.
  3. Cooling-Water Flow Rate: Plus or minus 10 percent.
- B. Maintaining pressure relationships as designed shall have priority over the tolerances specified above.

### 3.14 FINAL REPORT

- A. General: Prepare a certified written report; tabulate and divide the report into separate sections for tested systems and balanced systems.
1. Report shall be in pdf format where all data in the entire report is searchable. Reports containing PDF scans of paper copies are not acceptable and will be rejected without review.
- B. Report Format:
1. Title page.
    - a. Project name and location.
    - b. Name and address of:
      - 1) TAB specialist.
      - 2) General Contractor.
      - 3) Architect's name and address.
      - 4) Engineer's name and address.
      - 5) General Contractor's name and address.
    - c. Date of report submission.
  2. Table of Contents with the total number of pages defined for each section of the report. Number each page in the report.
  3. Include a certification sheet at the front of the report's binder, signed and sealed by the certified testing and balancing engineer, certifying validity and accuracy of field data. Include signature of TAB supervisor who certifies the report.
  4. **Include a page summarizing equipment, devices, and systems which cannot be balanced to specified conditions, reasons why they cannot be so balanced, and recommendations for resolving these issues.**
  5. **Provide a summary list of every air handler and fan, with a column for its design and actual CFM, and % deviation from design CFM.**
  6. Include a list of instruments used for procedures, along with proof of calibration.
  7. Certified field-report data.
  8. List of abbreviations used in report.
  9. Nomenclature and data sheets for each item of equipment, including manufacturer's name, type, size. Include, at minimum:
    - a. Fan curves.
    - b. Pump curves.
    - c. Manufacturers' test data.
    - d. Field test reports prepared by system and equipment installers.

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- e. Other information relative to equipment performance; do not include Shop Drawings and Product Data.
- C. Final Report Contents: In addition to items listed above, include:
- 1. Original test report of sensor accuracy testing.
  - 2. Duct leakage test report.
  - 3. Summary of observations on proper drainage of condensate drain pans for every item of equipment having a condensate drainage system.
    - a. Proper pan slope and pan condensate evacuation.
    - b. Adequate condensate trap depth versus static requirement.
    - c. Adequate slope and lack of 'bellies' in condensate pipe drainage system.
    - d. Proper pumped condensate operation.
  - 4. Field observations list of conditions of filters (verify construction filters are removed and final filters are clean) and filter racks.
  - 5. Field measurements and observations regarding leakage of outdoor air and control air dampers.
  - 6. Certified field-report data for each balanced system, including specified versus final performance, notable characteristics of systems, description of system operation sequence if it varies from the Contract Documents
  - 7. Layouts of air and hydronic distribution systems from construction as-built drawings.
    - a. Number all air / water devices and systems referenced in report body.
    - b. Scans of paper drawings are not acceptable. Hand-written notes for numbering devices, duct runs, etc., are permissible, but the basic floor plans and duct / piping layouts, equipment and devices locations, etc., must be from original pdf files.
    - c. Indicate duct, outlet, and inlet sizes, pipe and valve sizes and locations, locations of major equipment items such as air handlers, fans, air terminal units, pumps, etc., balancing stations.
- D. VFD-served equipment:
- 1. A pump or fan served by a VFD must be balanced for maximum energy efficiency by limiting maximum speed via the VFD ("Maximum Allowed Speed"). It is not acceptable to operate VFD at 60hz (or higher) and then balance system by throttling valves or dampers.
  - 2. VFD Maximum Allowed Speed is to be determined such that design flow is met in the most critical (highest pressure drop) flow path with no throttling in that path. Other flow paths may then be balanced by throttling as needed with VFD at Maximum Allowed Speed.
  - 3. VFD Maximum Allowed Speed shall be programmed at the VFD controller or AHU/RTU.
- E. RTU/Air-Handling-Unit Test Reports: For air-handling units with coils, include the following:
- 1. Unit Data:
    - a. Unit identification.
    - b. Location.

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- c. Make and type.
  - d. Model number and unit size.
  - e. Manufacturer's serial number.
  - f. Unit arrangement and class.
  - g. Discharge arrangement.
  - h. Sheave make, size in inches, and bore.
  - i. Center-to-center dimensions of sheave and amount of adjustments in inches.
  - j. Number, make, and size of belts.
  - k. Number, type, and size of filters.
2. Motor Data:
    - a. Motor make, and frame type and size.
    - b. Horsepower and rpm.
    - c. Volts, phase, and hertz.
    - d. Full-load amperage and service factor.
    - e. Sheave make, size in inches, and bore.
    - f. Center-to-center dimensions of sheave and amount of adjustments in inches.
3. Test Data (Indicated and Actual Values):
    - a. Total airflow rate in cfm.
    - b. Total system static pressure in inches wg.
    - c. Fan rpm.
    - d. Discharge static pressure in inches wg.
    - e. Filter static-pressure differential in inches wg.
    - f. Cooling-coil static-pressure differential in inches wg.
    - g. Heating-coil static-pressure differential in inches wg.
    - h. Outdoor airflow in cfm.
    - i. Return airflow in cfm.
    - j. Outdoor-air damper position.
    - k. Return-air damper position.
    - l. Vortex damper position.
    - m. Chilled water flow in gpm.
    - n. Coil pressure loss.
    - o. Settings for outdoor-, return-, and exhaust-air dampers.
      - 1) Air handling units / RTU's *may* be designed to operate with fan varying from high to low speed depending upon compressor operation.
      - 2) For such units, TAB must measure required outside air damper (& return, where applicable) position for intake of design ventilation air not only at full fan speed, but at low fan speed as well. Report results in final TAB report.
      - 3) Convey required damper positions to BAS contractor as soon as they are known, prior to submission of final TAB report.
- F. Round, Flat-Oval, and Rectangular Duct Traverse Reports: Include a diagram with a grid representing the duct cross-section and record the following:
1. Report Data:
    - a. System and air-handling-unit number.

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- b. Location and zone.
- c. Traverse air temperature in deg F.
- d. Duct static pressure in inches wg.
- e. Duct size in inches.
- f. Duct area in sq. ft..
- g. Indicated airflow rate in cfm.
- h. Indicated velocity in fpm.
- i. Actual airflow rate in cfm.
- j. Actual average velocity in fpm.
- k. Barometric pressure in psig.

### G. Instrument Calibration Reports:

- 1. Report Data:
  - a. Instrument type and make.
  - b. Serial number.
  - c. Application.
  - d. Dates of use.
  - e. Dates of calibration.

### 3.15 ADDITIONAL TESTS

- A. Within 90 days of completing TAB, perform additional TAB to verify that balanced conditions are being maintained throughout and to correct unusual conditions.
- B. Seasonal Periods: If initial TAB procedures were not performed during near-peak summer conditions, perform additional TAB during near-peak summer conditions.

### 3.16 SYSTEMS TO BE BALANCED THIS PROJECT

- A. Systems of this project to receive TAB services (along with related and ancillary items which must be incorporated to achieve balance) include the following:
  - 1. Dehumidifiers.
  - 2. Exhaust fans
  - 3. Space and pool heating water flows at the dehumidifiers

END OF SECTION 230593

## SECTION 230713 - DUCT INSULATION

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section includes insulating the following duct services:
  - 1. Indoor, concealed supply and outdoor air.
  - 2. Indoor, concealed return located in unconditioned space.
  - 3. Exterior, exposed supply air.
- B. Related Sections:
  - 1. Section 230719 "HVAC Piping Insulation."

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated. Include thermal conductivity, water-vapor permeance thickness, and jackets (both factory- and field-applied if any).
- B. Shop Drawings: Include plans, elevations, sections, details, and attachments to other work.
  - 1. Detail application of protective shields, saddles, and inserts at hangers for each type of insulation and hanger.
  - 2. Detail insulation application at elbows, fittings, dampers, specialties and flanges for each type of insulation.
  - 3. Detail application of field-applied jackets.
  - 4. Detail application at linkages of control devices.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For qualified Installer.
- B. Field quality-control reports.

#### 1.5 QUALITY ASSURANCE

- A. Surface-Burning Characteristics: For insulation and related materials, as determined by testing identical products according to ASTM E 84, by a testing agency acceptable to authorities having jurisdiction. Factory label insulation and jacket materials and adhesive, mastic, tapes, and cement material containers, with appropriate markings of applicable testing agency.

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1. Insulation Installed Indoors: Flame-spread index of 25 or less, and smoke-developed index of 50 or less.
2. Insulation Installed Outdoors: Flame-spread index of 75 or less, and smoke-developed index of 150 or less.

### 1.6 DELIVERY, STORAGE, AND HANDLING

- A. Packaging: Insulation material containers shall be marked by manufacturer with appropriate ASTM standard designation, type and grade, and maximum use temperature.

### 1.7 COORDINATION

- A. Coordinate sizes and locations of supports, hangers, and insulation shields specified in Section 230529 "Hangers and Supports for HVAC Piping and Equipment."
- B. Coordinate clearance requirements with duct Installer for duct insulation application. Before preparing ductwork Shop Drawings, establish and maintain clearance requirements for installation of insulation and field-applied jackets and finishes and for space required for maintenance.
- C. Coordinate installation and testing of heat tracing.

### 1.8 SCHEDULING

- A. Schedule insulation application after pressure testing systems and, where required, after installing and testing heat tracing. Insulation application may begin on segments that have satisfactory test results.

## PART 2 - PRODUCTS

### 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, and are limited to, the following:
  1. Mineral-Fiber Insulation:
    - a. CertainTeed Manson.
    - b. Knauf FiberGlass GmbH.
    - c. Owens-Corning Fiberglas Corp.
    - d. Schuller International, Inc.

### 2.2 INSULATION MATERIALS

- A. Comply with requirements in "Duct Insulation Schedule, General," "Indoor Duct and Plenum Insulation Schedule," and "Aboveground, Outdoor Duct and Plenum Insulation Schedule" articles for where insulating materials shall be applied.

## SECTION 230713 - DUCT INSULATION

- B. Products shall not contain asbestos, lead, mercury, or mercury compounds.
- C. Products that come in contact with stainless steel shall have a leachable chloride content of less than 50 ppm when tested according to ASTM C 871.
- D. Insulation materials for use on austenitic stainless steel shall be qualified as acceptable according to ASTM C 795.
- E. Foam insulation materials shall not use CFC or HCFC blowing agents in the manufacturing process.
- F. Flexible Elastomeric Insulation: Closed-cell, sponge- or expanded-rubber materials. Comply with ASTM C 534, Type II for sheet materials.
- G. Mineral-Fiber Blanket Insulation: Mineral or glass fibers bonded with a thermosetting resin. Comply with ASTM C 553, Type II and ASTM C 1290. Factory-applied jacket requirements are specified in "Factory-Applied Jackets" Article.
- H. Mineral-Fiber Board Thermal Insulation: Glass fibers bonded with a thermosetting resin. Comply with ASTM C 612, Type IB, without facing and with all-service jacket manufactured from kraft paper, reinforcing scrim, aluminum foil, and vinyl film.

### 2.3 ADHESIVES

- A. Materials shall be compatible with insulation materials, jackets, and substrates and for bonding insulation to itself and to surfaces to be insulated unless otherwise indicated.
- B. Mineral-Fiber Adhesive: Comply with MIL-A-3316C, Class 2, Grade A.
  - 1. For indoor applications, adhesive shall have a VOC content of 80 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  - 2. Adhesive shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."
- C. ASJ Adhesive, and FSK Jacket Adhesive: Comply with MIL-A-3316C, Class 2, Grade A for bonding insulation jacket lap seams and joints.
  - 1. For indoor applications, adhesive shall have a VOC content of 50 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  - 2. Adhesive shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."

### 2.4 MASTICS

- A. Materials shall be compatible with insulation materials, jackets, and substrates; comply with MIL-PRF-19565C, Type II.

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1. For indoor applications, use mastics that have a VOC content of 50 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).

### 2.5 LAGGING ADHESIVES

- A. Description: Comply with MIL-A-3316C, Class I, Grade A and shall be compatible with insulation materials, jackets, and substrates.
  1. For indoor applications, use lagging adhesives that have a VOC content of 50 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  2. Fire-resistant, water-based lagging adhesive and coating for use indoors to adhere fire-resistant lagging cloths over duct insulation.
  3. Service Temperature Range: 0 to plus 180 deg F.
  4. Color: White.

### 2.6 SEALANTS

- A. FSK and Metal Jacket Flashing Sealants:
  1. Materials shall be compatible with insulation materials, jackets, and substrates.
  2. Fire- and water-resistant, flexible, elastomeric sealant.
  3. Service Temperature Range: Minus 40 to plus 250 deg F.
  4. Color: Aluminum.
  5. For indoor applications, sealants shall have a VOC content of 420 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  6. Sealants shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."

### 2.7 FACTORY-APPLIED JACKETS

- A. Insulation system schedules indicate factory-applied jackets on various applications. When factory-applied jackets are indicated, comply with the following:
  1. FSK Jacket: Aluminum-foil, fiberglass-reinforced scrim with kraft-paper backing; complying with ASTM C 1136, Type II.
- B. For exterior insulation: Alumaguard by Polyguard Products.

### 2.8 FIELD-APPLIED JACKETS

- A. Field-applied jackets shall comply with ASTM C 921, Type I, unless otherwise indicated.
- B. FSK Jacket: Aluminum-foil-face, fiberglass-reinforced scrim with kraft-paper backing.

### 2.9 TAPES

- A. FSK Tape: Foil-face, vapor-retarder tape matching factory-applied jacket with acrylic adhesive; complying with ASTM C 1136.

## SECTION 230713 - DUCT INSULATION

1. Width:4 inches.
2. Thickness: 6.5 mils.
3. Adhesion: 90 ounces force/inch in width.
4. Elongation: 2 percent.
5. Tensile Strength: 40 lbf/inch in width.
6. FSK Tape Disks and Squares: Precut disks or squares of FSK tape.

### 2.10 SECUREMENTS

#### A. Bands:

1. Stainless Steel: ASTM A 167 or ASTM A 240/A 240M, Type 304 or Type 316; 0.015 inch thick, 3/4 inch wide.

#### B. Insulation Pins and Hangers:

1. Capacitor-Discharge-Weld Pins: Copper- or zinc-coated steel pin, fully annealed for capacitor-discharge welding, 0.135-inch- diameter shank, length to suit depth of insulation indicated.
2. Insulation-Retaining Washers: Self-locking washers formed from 0.016-inch-thick, galvanized-steel sheet, with beveled edge sized as required to hold insulation securely in place but not less than 1-1/2 inches in diameter.
  - a. Protect ends with capped self-locking washers incorporating a spring steel insert to ensure permanent retention of cap in exposed locations.

#### C. Staples: Outward-clinching insulation staples, nominal 3/4-inch-wide, stainless steel or Monel.

#### D. Wire: 0.062-inch soft-annealed, stainless steel.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

#### A. Examine substrates and conditions for compliance with requirements for installation tolerances and other conditions affecting performance of insulation application.

1. Verify that systems to be insulated have been tested and are free of defects.
2. Verify that surfaces to be insulated are clean and dry.

#### B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

#### A. Surface Preparation: Clean and dry surfaces to receive insulation. Remove materials that will adversely affect insulation application.

## SECTION 230713 - DUCT INSULATION

### 3.3 GENERAL INSTALLATION REQUIREMENTS

- A. Install insulation materials, accessories, and finishes with smooth, straight, and even surfaces; free of voids throughout the length of ducts and fittings.
- B. Install insulation materials, vapor barriers or retarders, jackets, and thicknesses required for each item of duct system as specified in insulation system schedules.
- C. Install accessories compatible with insulation materials and suitable for the service. Install accessories that do not corrode, soften, or otherwise attack insulation or jacket in either wet or dry state.
- D. Install insulation with longitudinal seams at top and bottom of horizontal runs.
- E. Install multiple layers of insulation with longitudinal and end seams staggered.
- F. Keep insulation materials dry during application and finishing.
- G. Install insulation with tight longitudinal seams and end joints. Bond seams and joints with adhesive recommended by insulation material manufacturer.
- H. Install insulation with least number of joints practical.
- I. Where vapor barrier is indicated, seal joints, seams, and penetrations in insulation at hangers, supports, anchors, and other projections with vapor-barrier mastic.
  - 1. Install insulation continuously through hangers and around anchor attachments.
  - 2. For insulation application where vapor barriers are indicated, extend insulation on anchor legs from point of attachment to supported item to point of attachment to structure. Taper and seal ends at attachment to structure with vapor-barrier mastic.
  - 3. Install insert materials and install insulation to tightly join the insert. Seal insulation to insulation inserts with adhesive or sealing compound recommended by insulation material manufacturer.
- J. Apply adhesives, mastics, and sealants at manufacturer's recommended coverage rate and wet and dry film thicknesses.
- K. Install insulation with factory-applied jackets as follows:
  - 1. Draw jacket tight and smooth.
  - 2. Cover circumferential joints with 3-inch-wide strips, of same material as insulation jacket. Secure strips with adhesive and outward clinching staples along both edges of strip, spaced 4 inches o.c.
  - 3. Overlap jacket longitudinal seams at least 1-1/2 inches. Clean and dry surface to receive self-sealing lap. Staple laps with outward clinching staples along edge at 2 inches o.c.
    - a. For below ambient services, apply vapor-barrier mastic over staples.
  - 4. Cover joints and seams with tape, according to insulation material manufacturer's written instructions, to maintain vapor seal.

## SECTION 230713 - DUCT INSULATION

5. Where vapor barriers are indicated, apply vapor-barrier mastic on seams and joints and at ends adjacent to duct flanges and fittings.
- L. Cut insulation in a manner to avoid compressing insulation more than 75 percent of its nominal thickness.
- M. Finish installation with systems at operating conditions. Repair joint separations and cracking due to thermal movement.
- N. Repair damaged insulation facings by applying same facing material over damaged areas. Extend patches at least 4 inches beyond damaged areas. Adhere, staple, and seal patches similar to butt joints.

### 3.4 PENETRATIONS

- A. Insulation Installation at Roof Penetrations: Install insulation continuously through roof penetrations.
  1. Seal penetrations with flashing sealant.
  2. For applications requiring only indoor insulation, terminate insulation above roof surface and seal with joint sealant. For applications requiring indoor and outdoor insulation, install insulation for outdoor applications tightly joined to indoor insulation ends. Seal joint with joint sealant.
  3. Extend jacket of outdoor insulation outside roof flashing at least 2 inches below top of roof flashing.
  4. Seal jacket to roof flashing with flashing sealant.
- B. Insulation Installation at Aboveground Exterior Wall Penetrations: Install insulation continuously through wall penetrations.
  1. Seal penetrations with flashing sealant.
  2. For applications requiring only indoor insulation, terminate insulation inside wall surface and seal with joint sealant. For applications requiring indoor and outdoor insulation, install insulation for outdoor applications tightly joined to indoor insulation ends. Seal joint with joint sealant.
  3. Extend jacket of outdoor insulation outside wall flashing and overlap wall flashing at least 2 inches.
  4. Seal jacket to wall flashing with flashing sealant.
- C. Insulation Installation at Interior Wall and Partition Penetrations (That Are Not Fire Rated): Install insulation continuously through walls and partitions.
- D. Insulation Installation at Fire-Rated Wall and Partition Penetrations: Terminate insulation at fire damper sleeves for fire-rated wall and partition penetrations. Externally insulate damper sleeves to match adjacent insulation and overlap duct insulation at least 2 inches.
  1. Comply with requirements in Section 078413 "Penetration Firestopping" firestopping and fire-resistive joint sealers.
- E. Insulation Installation at Floor Penetrations:

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1. Duct: For penetrations through fire-rated assemblies, terminate insulation at fire damper sleeves and externally insulate damper sleeve beyond floor to match adjacent duct insulation. Overlap damper sleeve and duct insulation at least 2 inches.
2. Seal penetrations through fire-rated assemblies. Comply with requirements in Section 078413 "Penetration Firestopping."

### 3.5 INSTALLATION OF MINERAL-FIBER INSULATION

- A. Blanket Insulation Installation on Ducts and Plenums: Secure with adhesive and insulation pins.
1. Apply adhesives according to manufacturer's recommended coverage rates per unit area, for 100 percent coverage of duct and plenum surfaces.
  2. Apply adhesive to entire circumference of ducts and to all surfaces of fittings and transitions.
  3. Install either capacitor-discharge-weld pins and speed washers or cupped-head, capacitor-discharge-weld pins on sides and bottom of horizontal ducts and sides of vertical ducts as follows:
    - a. On duct sides with dimensions 18 inches and smaller, place pins along longitudinal centerline of duct. Space 3 inches maximum from insulation end joints, and 16 inches o.c.
    - b. On duct sides with dimensions larger than 18 inches, place pins 16 inches o.c. each way, and 3 inches maximum from insulation joints. Install additional pins to hold insulation tightly against surface at cross bracing.
    - c. Pins may be omitted from top surface of horizontal, rectangular ducts and plenums.
    - d. Do not overcompress insulation during installation.
    - e. Impale insulation over pins and attach speed washers.
    - f. Cut excess portion of pins extending beyond speed washers or bend parallel with insulation surface. Cover exposed pins and washers with tape matching insulation facing.
  4. For ducts and plenums with surface temperatures below ambient, install a continuous unbroken vapor barrier. Create a facing lap for longitudinal seams and end joints with insulation by removing 2 inches from one edge and one end of insulation segment. Secure laps to adjacent insulation section with 1/2-inch outward-clinching staples, 1 inch o.c. Install vapor barrier consisting of factory- or field-applied jacket, adhesive, vapor-barrier mastic, and sealant at joints, seams, and protrusions.
    - a. Repair punctures, tears, and penetrations with tape or mastic to maintain vapor-barrier seal.
    - b. Install vapor stops for ductwork and plenums operating below 50 deg F at 18-foot intervals. Vapor stops shall consist of vapor-barrier mastic applied in a Z-shaped pattern over insulation face, along butt end of insulation, and over the surface. Cover insulation face and surface to be insulated a width equal to two times the insulation thickness, but not less than 3 inches.
  5. Overlap unfaced blankets a minimum of 2 inches on longitudinal seams and end joints. At end joints, secure with steel bands spaced a maximum of 18 inches o.c.

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6. Install insulation on rectangular duct elbows and transitions with a full insulation section for each surface. Install insulation on round and flat-oval duct elbows with individually mitered gores cut to fit the elbow.
  7. Insulate duct stiffeners, hangers, and flanges that protrude beyond insulation surface with 6-inch-wide strips of same material used to insulate duct. Secure on alternating sides of stiffener, hanger, and flange with pins spaced 6 inches o.c.
- B. Board Applications for Ducts and Plenums: Secure board insulation with adhesive and anchor pins and speed washers.
1. Apply adhesives according to manufacturer's recommended coverage rates per square foot, for 100 percent coverage of duct and plenum surfaces.
  2. Apply adhesive to entire circumference of ducts and to all surfaces of fittings and transitions.
  3. Space anchor pins as follows:
    - a. On duct sides with dimensions 18 inches and smaller, along longitudinal centerline of duct. Space 3 inches maximum from insulation end joints, and 16 inches o.c.
    - b. On duct sides with dimensions larger than 18 inches. Space 16 inches o.c. each way, and 3 inches maximum from insulation joints. Apply additional pins and clips to hold insulation tightly against surface at cross bracing.
    - c. Anchor pins may be omitted from top surface of horizontal, rectangular ducts and plenums.
    - d. Do not overcompress insulation during installation.
  4. Cut excess portion of pins extending beyond speed washers or bend parallel with insulation surface. Cover exposed pins and washers with tape matching insulation facing.
  5. Create a facing lap for longitudinal seams and end joints with insulation by removing 2 inches from one edge and one end of insulation segment. Secure laps to adjacent insulation segment with 1/2-inch staples, 1 inch o.c., and cover with pressure-sensitive tape having same facing as insulation.
  6. Apply insulation on rectangular duct elbows and transitions with a full insulation segment for each surface. Groove and score insulation to fit as closely as possible to outside and inside radius of elbows. Apply insulation on round and flat-oval duct elbows with individually mitered gores cut to fit the elbow.
  7. Insulate duct stiffeners, hangers, and flanges that protrude beyond the insulation surface with 6-inch-wide strips of the same material used to insulate duct. Secure on alternating sides of stiffener, hanger, and flange with anchor pins spaced 6 inches o.c.
  8. Apply vapor-retarder mastic to open joints, breaks, and punctures for insulation indicated to receive vapor retarder.

### 3.6 FIELD-APPLIED JACKET INSTALLATION

- A. Where glass-cloth jackets are indicated, install directly over bare insulation or insulation with factory-applied jackets.
1. Draw jacket smooth and tight to surface with 2-inch overlap at seams and joints.
  2. Embed glass cloth between two 0.062-inch-thick coats of lagging adhesive.
  3. Completely encapsulate insulation with coating, leaving no exposed insulation.

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- B. Where FSK jackets are indicated, install as follows:
  - 1. Draw jacket material smooth and tight.
  - 2. Install lap or joint strips with same material as jacket.
  - 3. Secure jacket to insulation with manufacturer's recommended adhesive.
  - 4. Install jacket with 1-1/2-inch laps at longitudinal seams and 3-inch-wide joint strips at end joints.
  - 5. Seal openings, punctures, and breaks in vapor-retarder jackets and exposed insulation with vapor-barrier mastic.
- C. Where PVC jackets are indicated, install with 1-inch overlap at longitudinal seams and end joints; for horizontal applications, install with longitudinal seams along top and bottom of tanks and vessels. Seal with manufacturer's recommended adhesive.
  - 1. Apply two continuous beads of adhesive to seams and joints, one bead under lap and the finish bead along seam and joint edge.
- D. Where metal jackets are indicated, install with 2-inch overlap at longitudinal seams and end joints. Overlap longitudinal seams arranged to shed water. Seal end joints with weatherproof sealant recommended by insulation manufacturer. Secure jacket with stainless-steel bands 12 inches o.c. and at end joints.
- E. For exterior exposed ducts: Apply ALUMAGUARD (or equal) jacket and seal, as per manufacturer's written instructions.

### 3.7 FINISHES

- A. Insulation with ASJ, Glass-Cloth, or Other Paintable Jacket Material: Paint jacket with paint system identified below and as specified in Division 9.
  - 1. Flat Acrylic Finish: Two finish coats over a primer that is compatible with jacket material and finish coat paint. Add fungicidal agent to render fabric mildew proof.
    - a. Finish Coat Material: Interior, flat, latex-emulsion size.
- B. Color: Final color as selected by Architect. Vary first and second coats to allow visual inspection of the completed Work.
- C. Do not field paint aluminum or stainless-steel jackets.

### 3.8 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
- B. Tests and Inspections:
  - 1. Inspect ductwork, randomly selected by Architect, by removing field-applied jacket and insulation in layers in reverse order of their installation.

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- C. All insulation applications will be considered defective Work if sample inspection reveals noncompliance with requirements.

### 3.9 DUCT INSULATION SCHEDULE, GENERAL

#### A. Plenums and Ducts Requiring Insulation:

1. Indoor, concealed supply and outdoor air.
2. Indoor, exposed supply and outdoor air.
3. Indoor, concealed return located in unconditioned space.
4. Indoor, exposed return located in unconditioned space.
5. Indoor, concealed exhaust between isolation damper and penetration of building exterior.
6. Indoor, exposed exhaust between isolation damper and penetration of building exterior.
7. Outdoor, concealed supply and return.
8. Outdoor, exposed supply and return.

#### B. Items Not Insulated:

1. Fibrous-glass ducts.
2. Metal ducts with insulation of sufficient thickness to comply with energy code and ASHRAE/IESNA 90.1.
3. Factory-insulated flexible ducts.
4. Factory-insulated plenums and casings.
5. Flexible connectors.
6. Vibration-control devices.
7. Factory-insulated access panels and doors.

### 3.10 OUTDOOR DUCT AND PLENUM APPLICATION SCHEDULE

#### A. Service: New supply-air, return-air, exhaust-air ducts.

1. Seal all seams, tears, joints with mastic for an airtight installation.
2. Apply watershed insulation on exterior of duct as described below. Install per Alumaguard installation instructions.
3. Material: **Mineral-fiber board.**
4. Number of Layers and thickness: **Two layers, 2" thick each.**
5. Field-Applied Jacket: Alumaguard, Flexclad 400, or approved equal.
6. Vapor Retarder Required: **Yes.**

END OF SECTION 230713

## SECTION 230719 - HVAC PIPING INSULATION

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section includes insulating the following HVAC piping systems:

- 1. Hot water heating piping, and pool heating piping.

- B. Related Sections:

- 1. Section 230713 "Duct Insulation."

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated. Include thermal conductivity, water-vapor permeance thickness, and jackets (both factory and field applied if any).

- B. Shop Drawings: Include plans, elevations, sections, details, and attachments to other work.

- 1. Detail application of protective shields, saddles, and inserts at hangers for each type of insulation and hanger.
- 2. Detail attachment and covering of heat tracing inside insulation.
- 3. Detail insulation application at pipe expansion joints for each type of insulation.
- 4. Detail insulation application at elbows, fittings, flanges, valves, and specialties for each type of insulation.
- 5. Detail removable insulation at piping specialties.
- 6. Detail application of field-applied jackets.
- 7. Detail application at linkages of control devices.

#### 1.4 QUALITY ASSURANCE

- A. Surface-Burning Characteristics: For insulation and related materials, as determined by testing identical products according to ASTM E 84, by a testing and inspecting agency acceptable to authorities having jurisdiction. Factory label insulation and jacket materials and adhesive, mastic, tapes, and cement material containers, with appropriate markings of applicable testing agency.

- 1. Insulation Installed Indoors: Flame-spread index of 25 or less, and smoke-developed index of 50 or less.
- 2. Insulation Installed Outdoors: Flame-spread index of 75 or less, and smoke-developed index of 150 or less.

## SECTION 230719 - HVAC PIPING INSULATION

### 1.5 DELIVERY, STORAGE, AND HANDLING

- A. Packaging: Insulation material containers shall be marked by manufacturer with appropriate ASTM standard designation, type and grade, and maximum use temperature.

### 1.6 COORDINATION

- A. Coordinate sizes and locations of supports, hangers, and insulation shields specified in Section 230529 "Hangers and Supports for HVAC Piping and Equipment."
- B. Coordinate clearance requirements with piping Installer for piping insulation application. Before preparing piping Shop Drawings, establish and maintain clearance requirements for installation of insulation and field-applied jackets and finishes and for space required for maintenance.
- C. Coordinate installation and testing of heat tracing.

### 1.7 SCHEDULING

- A. Schedule insulation application after pressure testing systems and, where required, after installing and testing heat tracing. Insulation application may begin on segments that have satisfactory test results.
- B. Complete installation and concealment of plastic materials as rapidly as possible in each area of construction.

## PART 2 - PRODUCTS

### 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. Mineral-Fiber, Preformed Pipe Insulation:
  - 2. Products:
    - a. Fibrex Insulations Inc.; Coreplus 1200.
    - b. Johns Manville; Micro-Lok.
    - c. Knauf Insulation; 1000 Pipe Insulation.
    - d. Manson Insulation Inc.; Alley-K.
    - e. Owens Corning; Fiberglas Pipe Insulation.

### 2.2 INSULATION MATERIALS

- A. Mineral-fiber insulation will NOT be allowed for use on any cold piping systems.
- B. Mineral-fiber wrap is NOT approved for use on piping insulation.

## SECTION 230719 - HVAC PIPING INSULATION

- C. Comply with requirements in "Piping Insulation Schedule, General," "Indoor Piping Insulation Schedule," "Outdoor, Aboveground Piping Insulation Schedule," and "Outdoor, Underground Piping Insulation Schedule" articles for where insulating materials shall be applied.
- D. Products shall not contain asbestos, lead, mercury, or mercury compounds.
- E. Products that come in contact with stainless steel shall have a leachable chloride content of less than 50 ppm when tested according to ASTM C 871.
- F. Insulation materials for use on austenitic stainless steel shall be qualified as acceptable according to ASTM C 795.
- G. Foam insulation materials shall not use CFC or HCFC blowing agents in the manufacturing process.
- H. Cellular Glass: Inorganic, incombustible, foamed or cellulated glass with annealed, rigid, hermetically sealed cells. Factory-applied jacket requirements are specified in "Factory-Applied Jackets" Article.
  - 1. Block Insulation: ASTM C 552, Type I.
  - 2. Special-Shaped Insulation: ASTM C 552, Type III.
  - 3. Preformed Pipe Insulation with Factory-Applied: Comply with ASTM C 552, Type II, Class 2.
  - 4. Factory fabricate shapes according to ASTM C 450 and ASTM C 585.
- I. Flexible Elastomeric Insulation: Closed-cell, sponge- or expanded-rubber materials. Comply with ASTM C 534, Type I for tubular materials.
- J. Phenolic:
  - 1. Preformed pipe insulation of rigid, expanded, closed-cell structure. Comply with ASTM C 1126, Type III, Grade 1.
  - 2. Block insulation of rigid, expanded, closed-cell structure. Comply with ASTM C 1126, Type II, Grade 1.
  - 3. Factory fabricate shapes according to ASTM C 450 and ASTM C 585.
  - 4. Factory-Applied Jacket: Requirements are specified in "Factory-Applied Jackets" Article.
    - a. Preformed Pipe Insulation: ASJ.
- K. Mineral-Fiber, Preformed Pipe Insulation:
  - 1. Type I, 850 deg F Materials: Mineral or glass fibers bonded with a thermosetting resin. Comply with ASTM C 547, Type I, Grade A, **with factory-applied ASJ**. Factory-applied jacket requirements are specified in "Factory-Applied Jackets" Article.

### 2.3 ADHESIVES

- A. Materials shall be compatible with insulation materials, jackets, and substrates and for bonding insulation to itself and to surfaces to be insulated unless otherwise indicated.
- B. Cellular-Glass Adhesive: Two-component, thermosetting urethane adhesive containing no flammable solvents, with a service temperature range of minus 100 to plus 200 deg F.

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1. Products:
    - a. Childers Products, Division of ITW; CP-96.
    - b. Foster Products Corporation, H. B. Fuller Company; 81-33.
  2. For indoor applications, adhesive shall have a VOC content of 50 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  3. Adhesive shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."
- C. Phenolic Adhesive: Solvent-based resin adhesive, with a service temperature range of minus 75 to plus 300 deg F.
1. For indoor applications, adhesive shall have a VOC content of 50 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  2. Adhesive shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."
- D. Flexible Elastomeric and Polyolefin Adhesive: Comply with MIL-A-24179A, Type II, Class I.
1. Products:
    - a. Aeroflex USA Inc.; Aeroseal.
    - b. Armacell LCC; 520 Adhesive.
    - c. Foster Products Corporation, H. B. Fuller Company; 85-75.
    - d. RBX Corporation; Rubatex Contact Adhesive.
  2. For indoor applications, adhesive shall have a VOC content of 50 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  3. Adhesive shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."
- E. Mineral-Fiber Adhesive: Comply with MIL-A-3316C, Class 2, Grade A.
1. Products:
    - a. Childers Products, Division of ITW; CP-82.
    - b. Foster Products Corporation, H. B. Fuller Company; 85-20.
    - c. ITW TACC, Division of Illinois Tool Works; S-90/80.
    - d. Marathon Industries, Inc.; 225.
    - e. Mon-Eco Industries, Inc.; 22-25.
  2. For indoor applications, use adhesive that has a VOC content of 80 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
- F. ASJ Adhesive, and FSK and PVDC Jacket Adhesive: Comply with MIL-A-3316C, Class 2, Grade A for bonding insulation jacket lap seams and joints.

## SECTION 230719 - HVAC PIPING INSULATION

1. Products:
  - a. Childers Products, Division of ITW; CP-82.
  - b. Foster Products Corporation, H. B. Fuller Company; 85-20.
  - c. ITW TACC, Division of Illinois Tool Works; S-90/80.
  - d. Marathon Industries, Inc.; 225.
  - e. Mon-Eco Industries, Inc.; 22-25.
2. For indoor applications, adhesive shall have a VOC content of 50 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
3. Adhesive shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."

### 2.4 MASTICS

- A. Materials shall be compatible with insulation materials, jackets, and substrates; comply with MIL-PRF-19565C, Type II.
  1. For indoor applications, use mastics that have a VOC content of 50 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).

### 2.5 LAGGING ADHESIVES

- A. Description: Comply with MIL-A-3316C, Class I, Grade A and shall be compatible with insulation materials, jackets, and substrates.
  1. For indoor applications, use lagging adhesives that have a VOC content of 50 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  2. Fire-resistant, water-based lagging adhesive and coating for use indoors to adhere fire-resistant lagging cloths over pipe insulation.
  3. Service Temperature Range: 0 to plus 180 deg F.
  4. Color: White.

### 2.6 SEALANTS

- A. Joint Sealants:
  1. Materials shall be compatible with insulation materials, jackets, and substrates.
  2. Permanently flexible, elastomeric sealant.
  3. Service Temperature Range: Minus 100 to plus 300 deg F.
  4. Color: White or gray.
  5. For indoor applications, sealants shall have a VOC content of 420 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  6. Sealants shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."

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- B. FSK and Metal Jacket Flashing Sealants:
  - 1. Materials shall be compatible with insulation materials, jackets, and substrates.
  - 2. Fire- and water-resistant, flexible, elastomeric sealant.
  - 3. Service Temperature Range: Minus 40 to plus 250 deg F.
  - 4. Color: Aluminum.
  - 5. For indoor applications, sealants shall have a VOC content of 420 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  - 6. Sealants shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."
  
- C. ASJ Flashing Sealants, Jacket Flashing Sealants:
  - 1. Materials shall be compatible with insulation materials, jackets, and substrates.
  - 2. Fire- and water-resistant, flexible, elastomeric sealant.
  - 3. Service Temperature Range: Minus 40 to plus 250 deg F.
  - 4. Color: White.
  - 5. For indoor applications, sealants shall have a VOC content of 420 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  - 6. Sealants shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."

### 2.7 FACTORY-APPLIED JACKETS

- A. Insulation system schedules indicate factory-applied jackets on various applications. When factory-applied jackets are indicated, comply with the following:
  - 1. ASJ: White, kraft-paper, fiberglass-reinforced scrim with aluminum-foil backing; complying with ASTM C 1136, Type I.
  - 2. FSK Jacket: Aluminum-foil, fiberglass-reinforced scrim with kraft-paper backing; complying with ASTM C 1136, Type II.

### 2.8 FIELD-APPLIED FABRIC-REINFORCING MESH

- A. Woven Glass-Fiber Fabric: Approximately 2 oz./sq. yd. with a thread count of 10 strands by 10 strands/sq. in. for covering pipe and pipe fittings.

### 2.9 FIELD-APPLIED CLOTHS

- A. Woven Glass-Fiber Fabric: Comply with MIL-C-20079H, Type I, plain weave, and presized a minimum of 8 oz./sq. yd..

### 2.10 FIELD-APPLIED JACKETS

- A. Field-applied jackets shall comply with ASTM C 921, Type I, unless otherwise indicated.
- B. FSK Jacket: Aluminum-foil-face, fiberglass-reinforced scrim with kraft-paper backing.

## SECTION 230719 - HVAC PIPING INSULATION

- C. Metal Jacket:
1. Aluminum Jacket: Comply with ASTM B 209, Alloy 3003, 3005, 3105, or 5005, Temper H-14.
    - a. Factory cut and rolled to size.
    - b. Finish and thickness are indicated in field-applied jacket schedules.
    - c. Moisture Barrier: 3-mil-thick, heat-bonded polyethylene and kraft paper.
    - d. Factory-Fabricated Fitting Covers:
      - 1) Same material, finish, and thickness as jacket.
      - 2) Preformed 2-piece or gore, 45- and 90-degree, short- and long-radius elbows.
      - 3) Tee covers.
      - 4) Flange and union covers.
      - 5) End caps.
      - 6) Beveled collars.
      - 7) Valve covers.
      - 8) Field fabricate fitting covers only if factory-fabricated fitting covers are not available.
- D. Underground Direct-Buried Jacket: 125-mil-thick vapor barrier and waterproofing membrane consisting of a rubberized bituminous resin reinforced with a woven-glass fiber or polyester scrim and laminated aluminum foil.

### 2.11 TAPES

- A. ASJ Tape: White vapor-retarder tape matching factory-applied jacket with acrylic adhesive, complying with ASTM C 1136.
1. Width: 3 inches.
  2. Thickness: 11.5 mils.
  3. Adhesion: 90 ounces force/inch in width.
  4. Elongation: 2 percent.
  5. Tensile Strength: 40 lbf/inch in width.
  6. ASJ Tape Disks and Squares: Precut disks or squares of ASJ tape.
- B. FSK Tape: Foil-face, vapor-retarder tape matching factory-applied jacket with acrylic adhesive; complying with ASTM C 1136.
1. Width: 3 inches.
  2. Thickness: 6.5 mils.
  3. Adhesion: 90 ounces force/inch in width.
  4. Elongation: 2 percent.
  5. Tensile Strength: 40 lbf/inch in width.
  6. FSK Tape Disks and Squares: Precut disks or squares of FSK tape.
- C. Aluminum-Foil Tape: Vapor-retarder tape with acrylic adhesive.
1. Width: 2 inches.
  2. Thickness: 3.7 mils.
  3. Adhesion: 100 ounces force/inch in width.
  4. Elongation: 5 percent.
  5. Tensile Strength: 34 lbf/inch in width.

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### 2.12 SECUREMENTS

- A. Bands:
  - 1. Stainless Steel: ASTM A 167 or ASTM A 240/A 240M, Type 304 or Type 316; 0.015 inch thick, 3/4 inch wide.
- B. Staples: Outward-clinching insulation staples, nominal 3/4-inch-wide, stainless steel or Monel.
- C. Wire: 0.062-inch soft-annealed, stainless steel.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates and conditions for compliance with requirements for installation tolerances and other conditions affecting performance of insulation application.
  - 1. Verify that systems to be insulated have been tested and are free of defects.
  - 2. Verify that surfaces to be insulated are clean and dry.
  - 3. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Surface Preparation: Clean and dry surfaces to receive insulation. Remove materials that will adversely affect insulation application.
- B. Surface Preparation: Clean and prepare surfaces to be insulated. Before insulating, apply a corrosion coating to insulated surfaces as follows:
  - 1. Carbon Steel: Coat carbon steel operating at a service temperature between 32 and 300 deg F with an epoxy coating. Consult coating manufacturer for appropriate coating materials and application methods for operating temperature range. **NO EXCEPTION: PIPES SHALL BE PAINTED.**
- C. Coordinate insulation installation with the trade installing heat tracing. Comply with requirements for heat tracing that apply to insulation.
- D. Mix insulating cements with clean potable water; if insulating cements are to be in contact with stainless-steel surfaces, use demineralized water.

### 3.3 GENERAL INSTALLATION REQUIREMENTS

- A. Install insulation materials, accessories, and finishes with smooth, straight, and even surfaces; free of voids throughout the length of piping including fittings, valves, and specialties.
- B. Install insulation materials, forms, vapor barriers or retarders, jackets, and thicknesses required for each item of pipe system as specified in insulation system schedules.

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- C. Install accessories compatible with insulation materials and suitable for the service. Install accessories that do not corrode, soften, or otherwise attack insulation or jacket in either wet or dry state.
- D. Install insulation with longitudinal seams at top and bottom of horizontal runs.
- E. Install multiple layers of insulation with longitudinal and end seams staggered.
- F. Do not weld brackets, clips, or other attachment devices to piping, fittings, and specialties.
- G. Keep insulation materials dry during application and finishing.
- H. Install insulation with tight longitudinal seams and end joints. Bond seams and joints with adhesive recommended by insulation material manufacturer.
- I. Install insulation with least number of joints practical.
- J. Where vapor barrier is indicated, seal joints, seams, and penetrations in insulation at hangers, supports, anchors, and other projections with vapor-barrier mastic.
  - 1. Install insulation continuously through hangers and around anchor attachments.
  - 2. For insulation application where vapor barriers are indicated, extend insulation on anchor legs from point of attachment to supported item to point of attachment to structure. Taper and seal ends at attachment to structure with vapor-barrier mastic.
  - 3. Install insert materials and install insulation to tightly join the insert. Seal insulation to insulation inserts with adhesive or sealing compound recommended by insulation material manufacturer.
  - 4. Cover inserts with jacket material matching adjacent pipe insulation. Install shields over jacket, arranged to protect jacket from tear or puncture by hanger, support, and shield.
- K. Apply adhesives, mastics, and sealants at manufacturer's recommended coverage rate and wet and dry film thicknesses.
- L. Install insulation with factory-applied jackets as follows:
  - 1. Draw jacket tight and smooth.
  - 2. Cover circumferential joints with 3-inch-wide strips, of same material as insulation jacket. Secure strips with adhesive and outward clinching staples along both edges of strip, spaced 4 inches o.c.
  - 3. Overlap jacket longitudinal seams at least 1-1/2 inches. Install insulation with longitudinal seams at bottom of pipe. Clean and dry surface to receive self-sealing lap. Staple laps with outward clinching staples along edge at 2 inches o.c.
    - a. For below-ambient services, apply vapor-barrier mastic over staples.
  - 4. Cover joints and seams with tape, according to insulation material manufacturer's written instructions, to maintain vapor seal.
  - 5. Where vapor barriers are indicated, apply vapor-barrier mastic on seams and joints and at ends adjacent to pipe flanges and fittings.

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- M. Cut insulation in a manner to avoid compressing insulation more than 75 percent of its nominal thickness.
- N. Finish installation with systems at operating conditions. Repair joint separations and cracking due to thermal movement.
- O. Repair damaged insulation facings by applying same facing material over damaged areas. Extend patches at least 4 inches beyond damaged areas. Adhere, staple, and seal patches similar to butt joints.
- P. For above-ambient services, do not install insulation to the following:
  - 1. Vibration-control devices.
  - 2. Testing agency labels and stamps.
  - 3. Nameplates and data plates.
  - 4. Manholes.
  - 5. Handholes.
  - 6. Cleanouts.

### 3.4 PENETRATIONS

- A. Insulation Installation at Roof Penetrations: Install insulation continuously through roof penetrations.
  - 1. Seal penetrations with flashing sealant.
  - 2. For applications requiring only indoor insulation, terminate insulation above roof surface and seal with joint sealant. For applications requiring indoor and outdoor insulation, install insulation for outdoor applications tightly joined to indoor insulation ends. Seal joint with joint sealant.
  - 3. Extend jacket of outdoor insulation outside roof flashing at least 2 inches below top of roof flashing.
  - 4. Seal jacket to roof flashing with flashing sealant.
- B. Insulation Installation at Underground Exterior Wall Penetrations: Terminate insulation flush with sleeve seal. Seal terminations with flashing sealant.
- C. Insulation Installation at Aboveground Exterior Wall Penetrations: Install insulation continuously through wall penetrations.
  - 1. Seal penetrations with flashing sealant.
  - 2. For applications requiring only indoor insulation, terminate insulation inside wall surface and seal with joint sealant. For applications requiring indoor and outdoor insulation, install insulation for outdoor applications tightly joined to indoor insulation ends. Seal joint with joint sealant.
  - 3. Extend jacket of outdoor insulation outside wall flashing and overlap wall flashing at least 2 inches.
  - 4. Seal jacket to wall flashing with flashing sealant.
- D. Insulation Installation at Interior Wall and Partition Penetrations (That Are Not Fire Rated): Install insulation continuously through walls and partitions.

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- E. Insulation Installation at Fire-Rated Wall and Partition Penetrations: Install insulation continuously through penetrations of fire-rated walls and partitions.
  - 1. Comply with requirements in Section 078413 "Penetration Firestopping" for firestopping and fire-resistive joint sealers.
- F. Insulation Installation at Floor Penetrations:
  - 1. Pipe: Install insulation continuously through floor penetrations.
  - 2. Seal penetrations through fire-rated assemblies. Comply with requirements in Section 078413 "Penetration Firestopping."

### 3.5 GENERAL PIPE INSULATION INSTALLATION

- A. Requirements in this article generally apply to all insulation materials except where more specific requirements are specified in various pipe insulation material installation articles.
- B. Insulation Installation on Fittings, Valves, Strainers, Flanges, and Unions:
  - 1. Install insulation over fittings, valves, strainers, flanges, unions, and other specialties with continuous thermal and vapor-retarder integrity unless otherwise indicated.
  - 2. Insulate pipe elbows using preformed fitting insulation or mitered fittings made from same material and density as adjacent pipe insulation. Each piece shall be butted tightly against adjoining piece and bonded with adhesive. Fill joints, seams, voids, and irregular surfaces with insulating cement finished to a smooth, hard, and uniform contour that is uniform with adjoining pipe insulation.
  - 3. Insulate tee fittings with preformed fitting insulation or sectional pipe insulation of same material and thickness as used for adjacent pipe. Cut sectional pipe insulation to fit. Butt each section closely to the next and hold in place with tie wire. Bond pieces with adhesive.
  - 4. Insulate valves using preformed fitting insulation or sectional pipe insulation of same material, density, and thickness as used for adjacent pipe. Overlap adjoining pipe insulation by not less than two times the thickness of pipe insulation, or one pipe diameter, whichever is thicker. For valves, insulate up to and including the bonnets, valve stuffing-box studs, bolts, and nuts. Fill joints, seams, and irregular surfaces with insulating cement.
  - 5. Insulate strainers using preformed fitting insulation or sectional pipe insulation of same material, density, and thickness as used for adjacent pipe. Overlap adjoining pipe insulation by not less than two times the thickness of pipe insulation, or one pipe diameter, whichever is thicker. Fill joints, seams, and irregular surfaces with insulating cement. Insulate strainers so strainer basket flange or plug can be easily removed and replaced without damaging the insulation and jacket. Provide a removable reusable insulation cover. For below-ambient services, provide a design that maintains vapor barrier.
  - 6. Insulate flanges and unions using a section of oversized preformed pipe insulation. Overlap adjoining pipe insulation by not less than two times the thickness of pipe insulation, or one pipe diameter, whichever is thicker.
  - 7. Cover segmented insulated surfaces with a layer of finishing cement and coat with a mastic. Install vapor-barrier mastic for below-ambient services and a breather mastic for

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- above-ambient services. Reinforce the mastic with fabric-reinforcing mesh. Trowel the mastic to a smooth and well-shaped contour.
8. For services not specified to receive a field-applied jacket except for flexible elastomeric and polyolefin, install fitted PVC cover over elbows, tees, strainers, valves, flanges, and unions. Terminate ends with PVC end caps. Tape PVC covers to adjoining insulation facing using PVC tape.
  9. Stencil or label the outside insulation jacket of each union with the word "union." Match size and color of pipe labels.
- C. Insulate instrument connections for thermometers, pressure gages, pressure temperature taps, test connections, flow meters, sensors, switches, and transmitters on insulated pipes. Shape insulation at these connections by tapering it to and around the connection with insulating cement and finish with finishing cement, mastic, and flashing sealant.
- D. Install removable insulation covers at locations indicated. Installation shall conform to the following:
1. Make removable flange and union insulation from sectional pipe insulation of same thickness as that on adjoining pipe. Install same insulation jacket as adjoining pipe insulation.
  2. When flange and union covers are made from sectional pipe insulation, extend insulation from flanges or union long at least two times the insulation thickness over adjacent pipe insulation on each side of flange or union. Secure flange cover in place with stainless-steel or aluminum bands. Select band material compatible with insulation and jacket.
  3. Construct removable valve insulation covers in same manner as for flanges, except divide the two-part section on the vertical center line of valve body.
  4. When covers are made from block insulation, make two halves, each consisting of mitered blocks wired to stainless-steel fabric. Secure this wire frame, with its attached insulation, to flanges with tie wire. Extend insulation at least 2 inches over adjacent pipe insulation on each side of valve. Fill space between flange or union cover and pipe insulation with insulating cement. Finish cover assembly with insulating cement applied in two coats. After first coat is dry, apply and trowel second coat to a smooth finish.
  5. Unless a PVC jacket is indicated in field-applied jacket schedules, finish exposed surfaces with a metal jacket.

### 3.6 INSTALLATION OF CELLULAR-GLASS INSULATION

- A. Insulation Installation on Straight Pipes and Tubes:
1. Secure each layer of insulation to pipe with wire or bands and tighten bands without deforming insulation materials.
  2. Where vapor barriers are indicated, seal longitudinal seams, end joints, and protrusions with vapor-barrier mastic and joint sealant.
  3. For insulation with factory-applied jackets on above-ambient services, secure laps with outward-clinched staples at 6 inches o.c.
  4. For insulation with factory-applied jackets on below-ambient services, do not staple longitudinal tabs. Instead, secure tabs with additional adhesive as recommended by insulation material manufacturer and seal with vapor-barrier mastic and flashing sealant.
- B. Insulation Installation on Pipe Flanges:

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1. Install preformed pipe insulation to outer diameter of pipe flange.
2. Make width of insulation section same as overall width of flange and bolts, plus twice the thickness of pipe insulation.
3. Fill voids between inner circumference of flange insulation and outer circumference of adjacent straight pipe segments with cut sections of cellular-glass block insulation of same thickness as pipe insulation.
4. Install jacket material with manufacturer's recommended adhesive, overlap seams at least 1 inch, and seal joints with flashing sealant.

### C. Insulation Installation on Pipe Fittings and Elbows:

1. Install preformed sections of same material as straight segments of pipe insulation when available. Secure according to manufacturer's written instructions.
2. When preformed sections of insulation are not available, install mitered sections of cellular-glass insulation. Secure insulation materials with wire or bands.

### D. Insulation Installation on Valves and Pipe Specialties:

1. Install preformed sections of cellular-glass insulation to valve body.
2. Arrange insulation to permit access to packing and to allow valve operation without disturbing insulation.
3. Install insulation to flanges as specified for flange insulation application.

## 3.7 INSTALLATION OF FLEXIBLE ELASTOMERIC INSULATION

### A. Seal longitudinal seams and end joints with manufacturer's recommended adhesive to eliminate openings in insulation that allow passage of air to surface being insulated.

### B. Insulation Installation on Pipe Flanges:

1. Install pipe insulation to outer diameter of pipe flange.
2. Make width of insulation section same as overall width of flange and bolts, plus twice the thickness of pipe insulation.
3. Fill voids between inner circumference of flange insulation and outer circumference of adjacent straight pipe segments with cut sections of sheet insulation of same thickness as pipe insulation.
4. Secure insulation to flanges and seal seams with manufacturer's recommended adhesive to eliminate openings in insulation that allow passage of air to surface being insulated.

### C. Insulation Installation on Pipe Fittings and Elbows:

1. Install mitered sections of pipe insulation.
2. Secure insulation materials and seal seams with manufacturer's recommended adhesive to eliminate openings in insulation that allow passage of air to surface being insulated.

### D. Insulation Installation on Valves and Pipe Specialties:

1. Install preformed valve covers manufactured of same material as pipe insulation when available.

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2. When preformed valve covers are not available, install cut sections of pipe and sheet insulation to valve body. Arrange insulation to permit access to packing and to allow valve operation without disturbing insulation.
3. Install insulation to flanges as specified for flange insulation application.
4. Secure insulation to valves and specialties and seal seams with manufacturer's recommended adhesive to eliminate openings in insulation that allow passage of air to surface being insulated.

### 3.8 INSTALLATION OF PHENOLIC INSULATION

#### A. General Installation Requirements:

1. Secure single-layer insulation with stainless-steel bands at 12-inch intervals and tighten bands without deforming insulation materials.
2. Install 2-layer insulation with joints tightly butted and staggered at least 3 inches. Secure inner layer with 0.062-inch wire spaced at 12-inch intervals. Secure outer layer with stainless-steel bands at 12-inch intervals.

#### B. Insulation Installation on Straight Pipes and Tubes:

1. Secure each layer of insulation to pipe with wire or bands and tighten bands without deforming insulation materials.
2. Where vapor barriers are indicated, seal longitudinal seams, end joints, and protrusions with vapor-barrier mastic and joint sealant.
3. For insulation with factory-applied jackets on above-ambient services, secure laps with outward-clinched staples at 6 inches o.c.
4. For insulation with factory-applied jackets with vapor retarders on below-ambient services, do not staple longitudinal tabs. Instead, secure tabs with additional adhesive as recommended by insulation material manufacturer and seal with vapor-barrier mastic and flashing sealant.

#### C. Insulation Installation on Pipe Flanges:

1. Install preformed pipe insulation to outer diameter of pipe flange.
2. Make width of insulation section same as overall width of flange and bolts, plus twice the thickness of pipe insulation.
3. Fill voids between inner circumference of flange insulation and outer circumference of adjacent straight pipe segments with cut sections of block insulation of same material and thickness as pipe insulation.

#### D. Insulation Installation on Pipe Fittings and Elbows:

1. Install preformed insulation sections of same material as straight segments of pipe insulation. Secure according to manufacturer's written instructions.

#### E. Insulation Installation on Valves and Pipe Specialties:

1. Install preformed insulation sections of same material as straight segments of pipe insulation. Secure according to manufacturer's written instructions.

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2. Arrange insulation to permit access to packing and to allow valve operation without disturbing insulation.
3. Install insulation to flanges as specified for flange insulation application.

### 3.9 MINERAL-FIBER INSULATION INSTALLATION

#### A. Insulation Installation on Straight Pipes and Tubes:

1. Secure each layer of preformed pipe insulation to pipe with wire or bands and tighten bands without deforming insulation materials.
2. Where vapor barriers are indicated, seal longitudinal seams, end joints, and protrusions with vapor-barrier mastic and joint sealant.
3. For insulation with factory-applied jackets on above ambient surfaces, secure laps with outward clinched staples at 6 inches o.c.
4. For insulation with factory-applied jackets on below ambient surfaces, do not staple longitudinal tabs but secure tabs with additional adhesive as recommended by insulation material manufacturer and seal with vapor-barrier mastic and flashing sealant.

#### B. Insulation Installation on Pipe Flanges:

1. Install preformed pipe insulation to outer diameter of pipe flange.
2. Make width of insulation section same as overall width of flange and bolts, plus twice the thickness of pipe insulation.
3. Fill voids between inner circumference of flange insulation and outer circumference of adjacent straight pipe segments with mineral-fiber blanket insulation.
4. Install jacket material with manufacturer's recommended adhesive, overlap seams at least 1 inch, and seal joints with flashing sealant.

#### C. Insulation Installation on Pipe Fittings and Elbows:

1. Install preformed sections of same material as straight segments of pipe insulation when available.
2. When preformed insulation elbows and fittings are not available, install mitered sections of pipe insulation, to a thickness equal to adjoining pipe insulation. Secure insulation materials with wire or bands.

#### D. Insulation Installation on Valves and Pipe Specialties:

1. Install preformed sections of same material as straight segments of pipe insulation when available.
2. When preformed sections are not available, install mitered sections of pipe insulation to valve body.
3. Arrange insulation to permit access to packing and to allow valve operation without disturbing insulation.
4. Install insulation to flanges as specified for flange insulation application.

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### 3.10 FIRE-RATED INSULATION SYSTEM INSTALLATION

- A. Where fire-rated insulation system is indicated, secure system to ducts and duct hangers and supports to maintain a continuous fire rating.
- B. Insulate duct access panels and doors to achieve same fire rating as duct.
- C. Install firestopping at penetrations through fire-rated assemblies. Fire-stop systems are specified in Division 07 Section "Penetration Firestopping."

### 3.11 FIELD-APPLIED JACKET INSTALLATION

- A. Where glass-cloth jackets are indicated, install directly over bare insulation or insulation with factory-applied jackets.
  - 1. Draw jacket smooth and tight to surface with 2-inch overlap at seams and joints.
  - 2. Embed glass cloth between two 0.062-inch-thick coats of lagging adhesive.
  - 3. Completely encapsulate insulation with coating, leaving no exposed insulation.
- B. Where FSK jackets are indicated, install as follows:
  - 1. Draw jacket material smooth and tight.
  - 2. Install lap or joint strips with same material as jacket.
  - 3. Secure jacket to insulation with manufacturer's recommended adhesive.
  - 4. Install jacket with 1-1/2-inch laps at longitudinal seams and 3-inch-wide joint strips at end joints.
  - 5. Seal openings, punctures, and breaks in vapor-retarder jackets and exposed insulation with vapor-barrier mastic.
- C. Where metal jackets are indicated, install with 2-inch overlap at longitudinal seams and end joints. Overlap longitudinal seams arranged to shed water. Seal end joints with weatherproof sealant recommended by insulation manufacturer. Secure jacket with stainless-steel bands 12 inches o.c. and at end joints.

### 3.12 FINISHES

- A. Pipe Insulation with ASJ, Glass-Cloth, or Other Paintable Jacket Material: Paint jacket with paint system identified below and as specified in Division 9 Sections.
  - 1. Flat Acrylic Finish: Two finish coats over a primer that is compatible with jacket material and finish coat paint. Add fungicidal agent to render fabric mildew proof.
    - a. Finish Coat Material: Interior, flat, latex-emulsion size.
- B. Flexible Elastomeric Thermal Insulation: After adhesive has fully cured, apply two coats of insulation manufacturer's recommended protective coating.
- C. Color: Final color as selected by Architect. Vary first and second coats to allow visual inspection of the completed Work.

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- D. Do not field paint aluminum or stainless-steel jackets.

### 3.13 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
- B. Tests and Inspections:
  - 1. Inspect pipe, fittings, strainers, and valves, randomly selected by Architect, by removing field-applied jacket and insulation in layers in reverse order of their installation. Extent of inspection shall be limited to three locations of straight pipe, three locations of fittings, two locations of strainers, three locations of valves, for each pipe service defined in the "Piping Insulation Schedule, General" Article.
- C. All insulation applications will be considered defective Work if sample inspection reveals noncompliance with requirements.

### 3.14 PIPING INSULATION SCHEDULE, GENERAL

- A. Acceptable preformed pipe and tubular insulation materials and thicknesses are identified for each piping system and pipe size range. If more than one material is listed for a piping system, selection from materials listed is Contractor's option.
- B. Items Not Insulated: Unless otherwise indicated, do not install insulation on the following:
  - 1. Drainage piping located in crawl spaces.
  - 2. Underground piping.
  - 3. Chrome-plated pipes and fittings unless there is a potential for personnel injury.

### 3.15 INDOOR PIPING INSULATION SCHEDULE

- A. Condensate and Equipment Drain Water below 60 Deg F:
  - 1. All Pipe Sizes: Insulation shall be the following:
    - a. Flexible Elastomeric: 3/4 inch thick.
  - 2. Vapor Retarder Required: Yes.
- B. Heating-Hot-Water Supply and Return, 200 Deg F and below:
  - 1. **NPS 3** and Smaller: Insulation shall be **one of** the following:
    - a. Cellular Glass: 2.5 inches thick.
    - b. Phenolic: 2.5 inches thick.
    - c. Where insulated piping does not fit in existing space, coordinate thickness with engineer on a case by case basis.
- C. Where piping is exposed to view or not completely concealed above wall-to-wall suspended ceiling tiles, insulation shall be painted. Coordinate color and finish with Architect.

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### 3.16 OUTDOOR PIPING INSULATION SCHEDULE (INCLUDES PUMP ROOM)

#### A. Condensate and Equipment Drain Water below 60 Deg F:

1. All Pipe Sizes: Insulation shall be the following:
  - a. Flexible Elastomeric: 3/4 inch thick.
2. Vapor Retarder Required: Yes.
3. Field-Applied Jacket: Aluminum jacket.

#### B. Hot Water:

1. NPS 3 and Smaller: Insulation shall be one of the following:
  - a. Cellular Glass: 2.5 inches thick.
  - b. Phenolic: 2.5 inches thick.
2. Vapor Retarder Required: Yes.
3. Field-Applied Jacket: Aluminum jacket.

### 3.17 OUTDOOR, FIELD-APPLIED JACKET SCHEDULE

#### A. Install jacket over insulation material. For insulation with factory-applied jacket, install the field-applied jacket over the factory-applied jacket.

1. Aluminum, Smooth with Z-Shaped Locking Seam: 0.020 inch thick.

END OF SECTION 230719

## SECTION 230800 - COMMISSIONING OF HVAC

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section includes commissioning process requirements for the following MEP systems, assemblies, and equipment:
  - 1. HVAC systems, including pool dehumidifiers, split systems, exhaust fans.
  - 2. Pool water heating and space heating hydronic system as it pertains to the pool dehumidifiers.
  - 3. Controls and instrumentation, including BAS energy monitoring and control system for pool dehumidifiers.
- B. Related Requirements:
  - 1. Section 019113 "General Commissioning Requirements" for general commissioning process requirements and Commissioning Coordinator responsibilities.

#### 1.3 DEFINITIONS

- A. Refer to Section 019113 "General Commissioning Requirements" for additional definitions and assignment of responsibilities.
- B. BAS: Building automation system.
- C. DDC: Direct digital controls.
- D. "Systems," "Subsystems," "Equipment," and "Components": Where these terms are used together or separately, they shall mean "as-built" systems, subsystems, equipment, and components.
- E. TAB: Testing, adjusting, and balancing.
- F. Commissioning Authority (CxA): Independent agent hired by Owner and not associated with General Contractor or its subcontractors, Architect or its sub-consultants, or Construction Administrator or its staff or consultants. Under Owner's direction, and not General Contractor's direction, CA will direct and coordinate day-to-day commissioning activities without assuming oversight responsibilities.

#### 1.4 CONTRACTOR'S RESPONSIBILITIES

- A. Refer to Section 019113 "General Commissioning Requirements".

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- B. Perform commissioning tests at the direction of the CxA.**
- C. Attend construction phase controls coordination meeting.
- D. Attend testing, adjusting, and balancing review and coordination meeting.
- E. Participate in mechanical systems, assemblies, equipment, and component maintenance orientation and inspection.
- F. Provide information requested by the CxA for final commissioning documentation.
- G. Provide measuring instruments and logging devices to record test data, and provide data acquisition equipment to record data for the complete range of testing for the required test period.
- H. Provide Project-specific construction checklists and commissioning process test procedures for actual mechanical systems, assemblies, equipment, and components to be furnished and installed as part of the construction contract.
- I. Direct and coordinate commissioning testing among subcontractors, suppliers, and vendors.
- J. Verify testing, adjusting, and balancing of Work are complete.
- K. Provide test data, inspection reports, and certificates in Systems Manual.

### 1.5 COMMISSIONING DOCUMENTATION

- A. Provide the following information to the CxA for inclusion in the commissioning plan:
  - 1. Plan for delivery and review of systems manuals, and other documents and reports.
  - 2. Identification of installed systems, assemblies, equipment, and components including design changes that occurred during the construction phase.
  - 3. Process and schedule for completing construction checklists and manufacturer's pre-start and startup checklists for mechanical systems, assemblies, equipment, and components to be verified and tested.
  - 4. Certificate of completion certifying that installation, pre-start checks, and startup procedures have been completed.
  - 5. Certificate of readiness certifying that mechanical systems, subsystems, equipment, and associated controls are ready for testing.
  - 6. Test and inspection reports and certificates.
  - 7. Corrective action documents.
  - 8. Verification of testing, adjusting, and balancing reports.

### 1.6 INFORMATIONAL SUBMITTALS

- A. Construction Checklists: See related Sections for technical requirements, and generate construction checklists for the following:
  - 1. Instrumentation and control for MEP systems.
  - 2. Piping and accessories.
  - 3. Refrigerant piping.

## SECTION 230800 - COMMISSIONING OF HVAC

4. Metal ducts and accessories.
5. Fans.
6. Dehumidifier systems.
7. Split System air conditioners.

- B. Certificates of readiness.
- C. Certificates of completion of installation, pre-start, and startup activities.

## PART 2 - PRODUCTS (Not Used)

## PART 3 - EXECUTION

### 3.1 GENERAL REQUIREMENTS

- A. Refer to Section 019113 "General Commissioning Requirements".

### 3.2 SYSTEMS READINESS CHECKLISTS

- A. Construction Checklists: Assist CxA in the preparation of detailed Systems Readiness checklists for systems, subsystems, equipment, and components.
  1. Contributors to the development of checklists shall include, but are not limited to, the following:
    - a. Systems and equipment installers.
    - b. TAB technicians.
    - c. Instrumentation and controls installers.
- B. Contractor shall conduct Systems Readiness Testing to document compliance with installation and Systems Readiness checklists prepared by Commissioning Authority for Division-23 items.
- C. Refer to Section 019113 "General Commissioning Requirements" for issues relating to Systems Readiness checklists and testing, description of process, details on non-conformance issues relating to pre-functional checklists and test.

### 3.3 SYSTEM START-UP

- A. Contractor is solely responsible for system start-up. CxA may, at his discretion, witness start up procedures, but will not perform any Functional Testing of systems until Contractor has completed start-up and resolved all operating deficiencies.

### 3.4 TESTING PREPARATION

- A. Certify that systems, subsystems, and equipment have been installed, calibrated, and started and are operating according to the Contract Documents.

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- B. Certify that instrumentation and control systems have been completed and calibrated, that they are operating according to the Contract Documents and approved Shop Drawings and submittals, and that pretest set points have been recorded.
- C. Certify that TAB procedures have been completed and that TAB reports have been submitted, discrepancies corrected, and corrective work approved.
- D. Set systems, subsystems, and equipment into operating mode to be tested according to approved test procedures (e.g., normal shutdown, normal auto position, normal manual position, unoccupied cycle, emergency power, and alarm conditions).

### 3.5 TESTING AND BALANCING VERIFICATION

- A. Prior to performance of testing and balancing Work, provide copies of reports, sample forms, checklists, and certificates to the CxA.
- B. Provide technicians, instrumentation, and tools to verify testing and balancing of mechanical systems at the direction of the CxA.
  - 1. The CxA will notify Contractor 4 days in advance of the date of field verification. Notice will not include data points to be verified.
  - 2. The testing and balancing Subcontractor shall use the same instruments (by model and serial number) that were used when original data were collected.
  - 3. Failure of an item includes, other than sound, a deviation of more than 10 percent. Failure of more than 10 percent of selected items shall result in rejection of final testing, adjusting, and balancing report. For sound pressure readings, a deviation of 3 dB shall result in rejection of final testing. Variations in background noise must be considered.
  - 4. Remedy the deficiency and notify the CxA so verification of failed portions can be performed.

### 3.6 GENERAL TESTING REQUIREMENTS

- A. Provide technicians, instrumentation, and tools to perform commissioning test at the direction of the CxA.
- B. Scope of mechanical testing shall include entire HVAC installation, from central equipment for heat generation and refrigeration through distribution systems to each space served. Testing shall include measuring capacities and effectiveness of operational and control functions.
- C. Test all operating modes, interlocks, control responses, and responses to abnormal or emergency conditions, and verify proper response of building automation system controllers and sensors.
- D. Tests will be performed using design conditions whenever possible.
- E. Simulated conditions may need to be imposed using an artificial load when it is not practical to test under design conditions. Before simulating conditions, calibrate testing instruments. Provide equipment to simulate loads. Set simulated conditions as directed by the Contracting

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Officer and document simulated conditions and methods of simulation. After tests, return settings to normal operating conditions.

- F. The CxA may direct that set points be altered when simulating conditions is not practical.
- G. The CxA may direct that sensor values be altered with a signal generator when design or simulating conditions and altering set points are not practical.
- H. If tests cannot be completed because of a deficiency outside the scope of the mechanical system, document the deficiency and report it to the Owner. After deficiencies are resolved, reschedule tests.
- I. If the testing plan indicates specific seasonal testing, complete appropriate initial performance tests and documentation and schedule seasonal tests.

### 3.7 GENERAL TESTING PROCEDURES FOR HVAC SYSTEMS, SUBSYSTEMS, AND EQUIPMENT

- A. HVAC Instrumentation and Control System Testing: Contractor shall fully test operation of controls system prior to requesting Functional Testing with CxA. Point-to-point check out sheets and as-built control diagrams shall be provided to CxA so he may develop testing procedures.
- B. Mechanical Subcontractor shall prepare a pipe system cleaning, flushing, and hydrostatic testing plan for piping systems. Provide cleaning, flushing, testing, and treating plan and final reports to the CxA.
- C. HVAC Distribution System Testing: Provide technicians, instrumentation, tools, and equipment to test performance of air distribution systems; special exhaust; and other distribution systems, including HVAC terminal equipment and unitary equipment.

### 3.8 FUNCTIONAL TEST PROCEDURES FOR SYSTEMS TO BE COMMISSIONED

- A. General
  - 1. The following paragraphs outline the functional test procedures for the various Div. 23 items to be commissioned. Functional testing will take place only after System Readiness checklists have been completed, equipment has been started-up, TAB has been verified, and Contractor has certified that systems are ready for functional testing.
  - 2. All systems controlled via the Building Automation System shall have all control points and sequences tested by Controls Contractor prior to requesting testing by Commissioning Authority.
  - 3. Functional testing of HVAC systems shall include testing of the Building Automation System.
- B. All Equipment:
  - 1. Verify nameplate information (serial numbers, model numbers, etc.); verify that equipment capacity is in accordance with requirements of construction documents.

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2. Verify unit runs smoothly and quietly.
3. Verify operation of safeties.
4. Verify electrical wiring and grounding is correct.
5. Verify maintenance and NEC clearances are maintained.
6. Verify Systems Readiness Checklists have been completed.

### 3.9 COMMISSIONING TESTS

- A. Functional testing will be performed on all HVAC equipment, including but limited to the following:
  1. Dehumidifiers
  2. Split Systems
  3. Exhaust Fans
  4. Building automation system
- B. Sample requirements are as follows:
  1. Record temperatures, pressures.
  2. Record programmed setpoints (unocc/occ T, RH, runtime, safeties, alarms).
  3. Record programmed schedules and interlocks.
  4. Verify equipment installation
  5. Verify equipment operation.
  6. Verify electrical voltage and amperages are within tolerance.
  7. Verify unit data in TAB report.
  8. Verify alarms and safeties.
  9. Verify all sequences.
  10. Verify setpoint resets, adaptive controls for energy conservation.
- C. Customized system readiness checklists and function testing requirements will be released after the submittal review phase.

### 3.10 TRAINING AND O&M MANUALS

- A. Refer to Div. 23 specifications.

END OF SECTION 230800

## SECTION 230900 – INSTRUMENTATION AND CONTROLS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:

- 1. As Alternate Bids, provide DDC system for monitoring and controlling of HVAC systems, including pool dehumidifiers, boilers and pumps, exhaust fans. Three companies can bid for controls work. Provide three separate lines items for each Alternate as shown on the bid form.

- B. Related Requirements:

- 1. Section 230993 "Sequence of Operations for HVAC Controls" for control sequences in DDC systems.

#### 1.3 CODE REQUIREMENTS

- A. All equipment and material and its installation shall conform to the current requirements of the following authorities, and local amendments:

- 1. Occupational Safety and Health Act (OSHA)
- 2. International Electric Code (IEC)
- 3. International Fire Code
- 4. International Building Code
- 5. International Mechanical Code
- 6. International Plumbing Code
- 7. International Energy Conservation Code
- 8. UL 916

- B. Where two or more codes conflict, the most restrictive shall apply. Nothing in these specifications shall be construed to permit work not conforming to applicable codes.

#### 1.4 ACTION SUBMITTALS

- A. **All submittals must be in native PDF format, wherein all text is searchable. Submittals which contain scanned documents which are not 'searchable' will be rejected without being reviewed.**

- B. Product Data: For each type of product include the following:

- 1. Construction details, material descriptions, dimensions of individual components and profiles, and finishes.

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2. Operating characteristics, electrical characteristics, and furnished accessories indicating process operating range, accuracy over range, control signal over range, default control signal with loss of power, calibration data specific to each unique application, electrical power requirements, and limitations of ambient operating environment, including temperature and humidity.
3. Product description with complete technical data, performance curves, and product specification sheets.
4. Bill of materials of indicating quantity, manufacturer, and extended model number for each unique product.
5. When manufacturer's product datasheets apply to a product series rather than a specific product model, clearly indicate and highlight only applicable information.
6. Each submitted piece of product literature shall clearly cross reference specification and drawings that submittal is to cover.

### C. System Description:

1. Full description of DDC system architecture, network configuration, operator interfaces and peripherals, servers, controller types and applications, gateways, routers and other network devices, and power supplies.
2. General Requirements:
  - a. Include cover drawing with Project name, location, Owner, Architect, Contractor and issue date with each Shop Drawings submission.
  - b. Include a drawing index sheet listing each drawing number and title that matches information in each title block.
3. Schematic drawings for each controlled HVAC system indicating the following:
  - a. I/O points labeled with point names shown. Indicate instrument range, normal operating set points, and alarm set points. Indicate fail position of each damper and valve, if included in Project.
  - b. I/O listed in table format showing point name, type of device, manufacturer, model number, and cross-reference to product data sheet number.
  - c. A graphic showing location of control I/O in proper relationship to HVAC system.
  - d. Wiring diagram with each I/O point having a unique identification and indicating labels for all wiring terminals.
  - e. Unique identification of each I/O that shall be consistently used between different drawings showing same point.
  - f. Elementary wiring diagrams of controls for HVAC equipment motor circuits including interlocks, switches, relays and interface to DDC controllers.
  - g. Narrative sequence of operation.
    - 1) Contractor is expected to review all specified sequences and submit questions concerning any ambiguities, potential errors or omissions, prior to turning in submittals. Submittals which simply restate control sequences as written in specifications are not acceptable. Submittals must include a restatement of sequences as they will actually be programmed.
4. DDC system network riser diagram; indicate each device connected to network with unique identification for each, communication protocol, speed and physical means of interconnecting network devices, such as copper cable type, or fiber-optic cable, network port(s) for connection of an operator workstation or other type of operator interface, etc.

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5. Color graphics.
  - a. Submit samples and an itemized list of ALL the various graphics pages being proposed for control system. Show layout of pictures, graphics and data displayed, navigation icons, etc.
  - b. Graphics for equipment must be schematically correct versus equipment as actually installed (e.g., all sensors, coils, devices, shown in correct locations & sequential order).
  - c. Engineer's approval of submitted sample graphics pages represents preliminary approval and does not preclude the possibility that graphics' deficiencies may be found in subsequent testing and inspections.

### D. Software Submittal:

1. Cross-referenced listing of software to be loaded on each operator workstation, server, gateway, and DDC controller.
2. Description and technical data of all software provided, and cross-referenced to products in which software will be installed.
3. Operating system software, operator interface and programming software, color graphic software, DDC controller software, maintenance management software, and third-party software.
4. Include a flow diagram and an outline of each subroutine that indicates each program variable name and units of measure.
5. Listing and description of each engineering equation used with reference source.
6. Listing and description of each constant used in engineering equations and a reference source to prove origin of each constant.
7. Description of operator interface to alphanumeric and graphic programming.
8. Description of each network communication protocol.
9. Description of system database, including all data included in database, database capacity and limitations to expand database.
10. Description of each application program and device drivers to be generated, including specific information on data acquisition and control strategies showing their relationship to system timing, speed, processing burden and system throughput.
11. Controlled Systems: Instrumentation list with element name, type of device, manufacturer, model number, and product data. Include written description of sequence of operation including schematic diagram.

### 1.5 INFORMATIONAL SUBMITTALS

- A. All submittals must be in native PDF format, wherein all text is searchable. Submittals which contain scanned documents which are not 'searchable' will be rejected without being reviewed.
- B. Coordination Drawings: Plan drawings and corresponding product installation details, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved.
- C. Systems Provider Qualification Data: Resume of project manager, installation and programming technician, and service technicians assigned to Project, including name, phone number, and e-mail address.
- D. Product Certificates: Data Communications Protocol Certificates: Certifying that each proposed DDC system component complies with ASHRAE 135.

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### 1.6 CLOSEOUT SUBMITTALS

- A. As-built record documentation per section 017700 – Closeout Procedures.
- B. Operation and Maintenance Data: For DDC system to include in emergency, operation and maintenance manuals.
  - 1. In addition to items specified in Section 017823 "Operation and Maintenance Data," include the following:
    - a. Names, addresses, e-mail addresses and 24-hour telephone numbers of Installer and service representatives for DDC system and products.
    - b. Operator's manual with procedures for operating control systems including logging on and off, handling alarms, producing point reports, trending data, overriding computer control and changing set points and variables.
    - c. Programming manuals with description of programming language and syntax, of statements for algorithms and calculations used, of point database creation and modification, of program creation and modification, and of editor use.
    - d. Engineering, installation, and maintenance manuals.
    - e. Documentation of all programs created using custom programming language including set points, tuning parameters, and object database.
    - f. Backup copy of graphic files, programs, and database in electronic media form.
    - g. List of recommended spare parts with part numbers and suppliers.
    - h. Complete original-issue documentation, installation, and maintenance information for furnished third-party hardware including computer equipment and sensors.
    - i. Complete original-issue copies of furnished software, including operating systems, custom programming language, operator workstation software, and graphics software.
    - j. Licenses, guarantees, and warranty documents.
    - k. Recommended preventive maintenance procedures for system components, including schedule of tasks such as inspection, cleaning, and calibration; time between tasks; and task descriptions.
    - l. Owner training materials.

### 1.7 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials and parts that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
- B. Include product manufacturers' recommended parts lists for proper product operation over five-year period following warranty period. Parts list shall be indicated for each year.

### 1.8 COORDINATION

- A. Coordinate location of thermostats, humidistats, and other exposed control sensors with plans and room details before installation.
- B. Coordinate supply of conditioned electrical circuits for control units.
- C. Coordinate equipment with Division 16 Section "Panelboards".

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### 1.9 PAYMENTS

- A. 10% of controls cost will be withheld until documentation is provided that the Commissioning and Acceptance Test was carried out, and that it was verified by Engineer.
- B. 5% of controls cost will be withheld until documentation is provided that the Training was carried out, and that it was acceptable by the Owner.

### 1.10 CONTRACTOR RESPONSIBILITY

- A. All control items, services, and work shown in specifications and drawings shall be provided by Controls Contractor either directly or by subcontract. These shall include, but are not necessarily limited to, the following:
  - 1. Install control equipment incorporating DDC for energy management, equipment monitoring and control, software, programming, including color graphic workstations.
  - 2. Provide control relays and devices, air flow monitoring devices, pressure and temperature sensing devices, dampers and actuators, etc.
  - 3. Provide electrical work associated with control system and as called for on Drawings. Perform all wiring in accordance with all local and national codes. Provide all line voltage wiring, concealed or exposed, in accordance with Div. 26. All low voltage electrical control wiring throughout the building when exposed shall be run in conduit in accordance with Division 26. All low voltage wiring run in concealed accessible areas shall be run using plenum rated wire only.
  - 4. Provide 120V power for direct digital control systems PCU's, and LCU's, as defined later in these specifications, and make final panel hook-up and all final electrical connections to each controller. Provide power for all damper-actuators including VAV boxes.
    - a. Power circuit to PCU/LCU shall serve PCU/LCU and no other equipment.
    - b. Use spares or provide new circuit breaker.
  - 5. Use spare circuit breakers or provide new where no spares exist.
  - 6. Provide all wiring and conduit for all DDC temperature controls, monitoring devices including DDC signal wiring.
  - 7. Provide all control relays. Where motor starters are not called for or do not exist for 1-phase equipment, provide relays and contactors as required for start/stop control by BAS.
  - 8. Provide surge transient protection shall be incorporated in design of system to protect electrical components in all primary control units.
  - 9. Provide all warranty related work, products, materials, and labor.
  - 10. Provide all software programming.
  - 11. Provide consulting and programming services to Owner and Installing Contractor as required to resolve operating problems after system installation.
  - 12. Provide shop drawings indicating equipment locations, points allocation, and schematic wiring. Submittals shall indicate all information pertinent to PCU locations, PCU capacity and spare points, input/output module configuration within PCUs, communication trunks, sensors, valves, pneumatic interface, wiring, and other pertinent equipment information requiring approval prior to field installation. Provide a DDC system riser diagram showing buildings, controller or device within each building, and listing equipment controlled or monitored by each.
  - 13. Provide graphics programming, showing floor plans of all buildings, equipment locations, and operating parameters.
  - 14. Provide commissioning of system.
  - 15. Provide reference manuals.
  - 16. Provide Owner training.

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17. Warranty work.
18. Other services, materials, and products as called for in construction documents.

### B. The following equipment and services shall be coordinated with the Owner:

1. Network connections.

### C. Coordinate with Mechanical Contractor. Mechanical Contractor provides:

1. Installation of control dampers, actuators and all manual dampers.
2. Temporary 24V thermostat for new equipment, if required.
3. Fan coil units with factory-installed dampers (where indicated).
4. Rooftop / AH units with factory-installed outside air damper actuator and controls.

## 1.11 QUALITY ASSURANCE

### A. DDC System Manufacturer Qualifications:

1. Nationally recognized manufacturer of DDC systems and products.
2. DDC systems with similar requirements to those indicated for a continuous period of ten years within time of bid.
3. Having complete published catalog literature, installation, operation and maintenance manuals for all products intended for use.
4. Having full-time in-house employees for the following:
  - a. Product research and development.
  - b. Product and application engineering.
  - c. Product manufacturing, testing and quality control.
  - d. Technical support for DDC system installation training, commissioning and troubleshooting of installations.
  - e. Owner operator training.

### B. DDC System Provider / Installer Qualifications:

1. A direct factory owned office of the manufacturer, for the brand or make of control equipment to be supplied, with engineers capable of providing instructions, routine maintenance, design services, programming, and emergency system service on staff.
2. Project supervisor and programmers shall be DDC system manufacturer employees. Only construction services not directly related to DDC system operation (such as provision of electrical power, conduit installation and wire-pulling, etc.) may be subcontracted to non-manufacturer workers.
3. A manufacturer's employee working on this project shall be officed within 40 miles of Project and assigned to support Project during warranty period.
4. Each manufacturer employee assigned to Project shall be a competent and experienced full-time employee with demonstrated past experience on at least 5 projects of similar complexity, scope and value.

## 1.12 WARRANTY

- ### A. Manufacturer's Warranty: Manufacturer and Installer agree to repair or replace products that fail in materials or workmanship within specified warranty period.

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1. Failures shall be adjusted, repaired, or replaced at no additional cost or reduction in service to Owner.
2. Include updates or upgrades to software and firmware if necessary to resolve deficiencies.
  - a. Install updates only after receiving Owner's written authorization.
3. Warranty service shall occur during normal business hours and commence **no later than 8 hours following Owner's warranty service request.**
4. Warranty Period: **Two years from date of final system acceptance.**
  - a. Final Acceptance of system is not related to nor dependent upon Substantial Completion. Final system acceptance will be granted only after system is operating without any substantive problems for a minimum of 30 consecutive days, and all issues on Commissioning Issues Log and Engineer's punch lists have been resolved. Obtain formal written approval from Engineer and Owner contractual date of system Final Acceptance.

### B. Warranty Inspections

1. At approximately 12 months and 24 months after Final Acceptance of control system, provide a minimum 5 hour on-site inspection of system.
2. Inspection will include an evaluation of performance of the system, including an accuracy of all sensors (re-calibration or replacement is required for sensors obviously inaccurate), solicitation of operator's input of system problems and inadequacies, review of operating sequences and alarm logs to discover potential recurring problems or nuisances, discovery of any failed points, and general system reliability.
3. Provide a written report of each site visit summarizing activities and findings, and recommendations for improving system performance.
4. Failure to provide the on-site inspections at a time near that specified, or by the end of Warranty, does not relieve contractor of obligation to provide such inspections.

### 1.13 EXTRA MATERIALS

- A. Furnish quantity indicated of matching product(s) in Project inventory **for each unique size and type** of following:
  1. Room Relative Humidity Sensor and Transmitter: Five.
  2. Adjustable Range Room Temperature Sensors: Five.
  3. CO2 sensor: Five.
  4. Current-Sensing Relay: Five.

### 1.14 EQUIPMENT AND SOFTWARE UPDATES / UPGRADES / REVISIONS

- A. Equipment: All equipment, components, parts, materials, etc. provided shall be fully compatible with all other equipment provided at any other time throughout the warranty period. Should updated versions be provided that are not fully compatible with earlier equipment provided (e.g.: a requirement to add hardware or software "interfacing" between an earlier and later generation results in the system not being fully compatible), Controls contractor shall replace earlier equipment with the later version at no cost to Owner.

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- B. Software: [If acceptable to the Owner](#), all software upgrades applicable to the system and offered by the manufacturer / contractor for this system shall be provided at no cost to the Owner throughout the warranty period. This no cost upgrade shall include installation, programming, modification to field equipment, data base revisions, etc. all as appropriate.
- C. Revisions: Hardware / software revisions made related to refining sequences of control, adding/monitoring control points, or other similar operations shall be made with all "burn-in" performed at the contractor's expense, throughout the warranty period.

### PART 2 - PRODUCTS

#### 2.1 MANUFACTURERS

- A. Listed Manufacturer's may bid on this project as separate line items. See proposal form:
  - 1. [Automated Logic Controls](#)
  - 2. [Trane](#).
  - 3. [Johnson Controls](#)

#### 2.2 DDC SYSTEM DESCRIPTION

- A. Modular, microprocessor-based, high-speed, peer-to-peer network of distributed DDC controllers, operator interfaces, and software monitoring and control, including analog/digital conversion and program logic, utilizing stand-alone controllers operating over a local area network allowing peer-to-peer communication among all system controllers, and communications interface to Owner's Central Operator's Station.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

#### 2.3 WEB ACCESS

- A. DDC system shall be Web based.
  - 1. DDC system software shall be based on server thin-client architecture, designed around open standards of Web technology. DDC system server shall be accessed using a Web browser over DDC system network, using Owner's LAN, and remotely over Internet.
  - 2. Intent of thin-client architecture is to provide operators complete access to DDC system via a Web browser. No special software other than a Web browser shall be required to access graphics, point displays, and trends; to configure trends, points, and controllers; and to edit programming.
  - 3. Web access shall be password protected.

#### 2.4 PERFORMANCE REQUIREMENTS

- A. Network Bandwidth: Design each network of DDC system to include at least 30 percent available spare bandwidth with DDC system operating under normal and heavy load conditions indicated. Calculate bandwidth usage, and apply a safety factor to ensure that requirement is satisfied when subjected to testing under worst case conditions.

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### B. System Response Time:

1. Graphic display refresh shall update within eight seconds.
2. AI point value shall update within 5 seconds, BI point values within 10 seconds.
3. AO and BO points shall begin to respond to controller output commands within three second(s).
4. Alarms of analog and digital points connected to DDC system shall be displayed within 15 seconds of activation or change of state.
5. Global commands shall also comply with this requirement.

### C. Future Expandability:

1. DDC system size shall be expandable to an ultimate capacity of at least four times total I/O points indicated.
2. Additional DDC controllers, I/O and associated wiring shall be all that is needed to achieve ultimate capacity. Initial network infrastructure shall be designed and installed to support ultimate capacity.
3. Operator interfaces installed initially shall not require hardware and software additions and revisions for ultimate capacity.

### D. Environmental Conditions for Controllers, Gateways, and Routers:

1. Products, instruments and actuators shall operate without performance degradation under the ambient environmental temperature, pressure, humidity, and vibration conditions specified and encountered for installed location.
  - a. If product alone cannot comply with requirement, install product in a protective enclosure that is isolated and protected from conditions impacting performance. Enclosure shall be internally insulated, electrically heated, cooled and ventilated as required by product and application.
2. Products shall be protected with enclosures satisfying the following minimum requirements unless more stringent requirements are indicated. Products not available with integral enclosures complying with requirements indicated shall be housed in protective secondary enclosures. Installed location shall dictate the following NEMA 250 enclosure requirements:
  - a. Outdoors, Protected: Type 3.
  - b. Outdoors, Unprotected: Type 4X.
  - c. Indoors, Heated with Ventilation: Type 2.
  - d. Indoors, Heated and Air Conditioned: Type 1.
  - e. Unconditioned Chiller and Boiler Rooms: Type 4X.
  - f. Conditioned Mechanical Equipment Rooms: Type 1.
  - g. Air-Moving Equipment Rooms: Type 1.
  - h. Localized Areas Exposed to Washdown: Type 4X.

### E. Electric Power Quality:

1. Protect DDC system products connected to ac power circuits from power-line surges to comply with requirements of IEEE C62.41. Do not use fuses for surge protection.
2. Ground Fault: Protect products from ground fault by providing suitable grounding. Products shall not fail due to ground fault condition.

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- F. UPS: Provide UPS power protection for Servers, and DDC controllers (except application-specific controllers), and Gateways.
- G. Continuity of Operation after Electric Power Interruption: Equipment and associated factory-installed controls, field-installed controls, electrical equipment, and power supply connected to building normal and backup power systems shall automatically return equipment and associated controls to operating state occurring immediately before loss of normal power, without need for manual intervention by operator when power is restored either through backup power source or through normal power if restored before backup power is brought online.

### 2.5 PANEL-MOUNTED, MANUAL OVERRIDE SWITCHES

- A. Manual Override of Control Dampers:
  - 1. Include panel-mounted, two-position, selector switch for each automatic control damper being controlled by DDC controller. Label each switch with damper designation served by switch, and switch positions to indicate either "Manual" or "Auto" control signal to damper. With switch in "Auto" position signal to control damper actuator shall be control loop output signal from DDC controller.
    - a. For Binary Control Dampers: Manual two-position switch shall have "Close" and "Open" switch positions indicated. With switch in "Close" position, damper shall close. With switch in "Open" position, damper shall open.
    - b. For Analog Control Dampers: A gradual switch shall have "Close" and "Open" switch limits indicated. Operator shall be able to rotate switch knob to adjust damper to any position from close to open.
  - 2. DDC controller shall monitor and report position of each manual override selector switch. With switch placed in "manual" position, DDC controller shall signal an override condition to alert operator that damper is under manual, not automatic, control.

### 2.6 SYSTEM ARCHITECTURE

- A. System architecture shall consist of no more than two levels of LANs.
  - 1. Level one LAN shall connect network controllers and operator workstations.
  - 2. Level two LAN shall connect application-specific controllers to application-specific controllers.
- B. Minimum Data Transfer and Communication Speed:
  - 1. LAN Connecting Operator Workstations and Network Controllers: 100 Mbps.
  - 2. LAN Connecting Programmable Application Controllers: 1000 kbps.
  - 3. LAN Connecting Application-Specific Controllers: 19,200 bps.
- C. DDC system shall consist of dedicated LANs that are not shared with other building systems and tenant data and communication networks.
- D. System architecture shall be modular and have inherent ability to expand to not less than three times system size indicated with no impact to performance indicated.

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- E. Number of LANs and associated communication shall be transparent to operator. All I/O points residing on any LAN shall be capable of global sharing between all system LANs.
- F. System design shall eliminate dependence on any single device for system alarm reporting and control execution. Each controller shall operate independently by performing its' own control, alarm management and historical data collection.
- G. Special Network Architecture Requirements:
  - 1. Air-Handling Systems: For control applications of an air-handling system that consists of air-handling unit(s) and VAV terminal units, include a dedicated LAN of application-specific controllers serving VAV terminal units connected directly to controller that is controlling air-handling system air-handling unit(s).

### 2.7 DDC SYSTEM OPERATOR INTERFACES

- A. Operator Means of System Access: Operator shall be able to access entire DDC system through any of multiple means, including, but not limited to, the following:
  - 1. Desktop and portable operator workstation with hardwired connection through LAN port.
  - 2. Portable operator terminal with hardwired connection through LAN port.
  - 3. Portable operator workstation with wireless connection through LAN router.
  - 4. PDA with wireless connection through LAN router.
  - 5. Remote connection using outside-of-system computer or PDA through Web access.
- B. Access to system, regardless of operator means used, shall be transparent to operator.
- C. Network Ports: For hardwired connection of desktop or portable operator workstation. Network port shall be easily accessible, properly protected, and clearly labeled.
- D. Desktop and Portable Workstations:
  - 1. Connect to DDC system Level one LAN through a communications port directly on LAN or through a communications port on a DDC controller.
  - 2. Able to communicate with any device located on any DDC system LAN.
  - 3. Able to communicate remotely with any device connected to any DDC system LAN.
  - 4. Connect to DDC system sub-LANs through a communications port on an application-specific controller, or a room temperature sensor connected to an application-specific controller.
  - 5. Monitor, program, schedule, adjust set points, and report capabilities of I/O connected anywhere in system.
- E. Personal Digital Assistant:
  - 1. Connect to system through a wireless router connected to LAN.
  - 2. Able to communicate with any DDC controller connected to DDC system.
- F. Critical Alarm Reporting:

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1. Operator-selected critical alarms shall be sent by DDC system to notify operator of critical alarms that require immediate attention. System shall send alarm notification to multiple recipients that are assigned for each alarm.
2. Alarms must be set to observe proper time delays and other logic to avoid nuisance tripping.
3. **Coordinate with Owner's representatives to set up Owner's desired alarm notification procedures and methodologies by means including e-mail, text message and pre-recorded phone message to mobile and landline phone numbers.**

G. Simultaneous Operator Use: Capable of accommodating simultaneous operators that are accessing DDC system through any one of operator interfaces indicated.

### 2.8 NETWORK COMMUNICATION PROTOCOL

A. Network communication protocol(s) used throughout entire DDC system shall be open to public and available to other companies for use in making future modifications to DDC system.

B. ASHRAE 135 Protocol:

1. ASHRAE 135 communication protocol shall be a required protocol used throughout entire DDC system. The Web Server shall support the BACnet Interoperable Building Blocks (BIBBS) for Read (Initiate) and Write (Execute) Services.
2. System shall not require use of gateways except to integrate HVAC equipment and other systems and equipment not required to use ASHRAE 135 communication protocol.
  - a. Review manufacturer's communications protocols for all systems with which BAS will integrate, and provide gateways as required to allow full communication, such as, for examples, Modbus Application Protocol Specification V1.1b, LonWorks technology using CEA-709.1-C.

### 2.9 DESKTOP OPERATOR WORKSTATIONS

A. **Not Applicable. Use Owner's existing.**

### 2.10 PORTABLE OPERATOR WORKSTATIONS

A. **Not Applicable. Use Owner's existing.**

### 2.11 PRINTERS

A. **Not Applicable. Use Owner's existing.**

### 2.12 SERVERS

A. Furnish a Web Server to allow daily operations functions, using real-time system data, to be accomplished from any network connected web browser, from within the facility or in remote locations throughout the world.

B. Servers shall include software license(s), and CAT-5e or CAT-6 cable installation between server(s) and network.

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- C. Operators shall be able to utilize any commercially available browser such as Microsoft Internet Explorer or Netscape Navigator. No additional software shall have to be installed on the client PC for normal operation of the system.
- D. All communications between the web browser and web server shall be encrypted using 128 bit SSL encryption.
- E. Web server shall be able to be located on the Owner's Intranet or on the Internet.
- F. Web server shall have the ability to automatically obtain an IP (Internet Protocol) address using DHCP. Use of static IP addressing shall also be supported.
- G. Web server will have adequate capacity to store and serve 500 user defined graphics, and to archive not less than 12 consecutive months of historical data for all I/O points connected to system, including alarms, event histories, transaction logs, trends and other information indicated.
- H. Server(s) shall use IT industry-standard database platforms such as Microsoft SQL Server and Microsoft Data Engine (MSDE). The Web browser client shall support Sun Microsystems Java 2 (JRE 1.4.0 or higher) plug-in.
- I. Functionality:
  - 1. A minimum of 30 users shall be able to utilize the system device at the same time. Operators with proper security shall be able to:
    - a. View graphical information about a facility, change setpoints, perform overrides.
    - b. View and change schedules.
    - c. View and acknowledge alarms.
    - d. View historical information.
  - 2. Operators must enter in a valid unique user name and password to access the system.
  - 3. Operator security: The Web server shall include industry standard security protocols to prohibit access by unauthorized users over the World Wide Web. Provide firewalls between server Web and networks with password protection for access to server from Web server.
  - 4. The web server shall display the same graphics that have been created for the Operators Workstation.
  - 5. Operators with proper access shall be able to configure the web server using their web browser.
- J. Web Server Hardware.
  - 1. Provide a solid-state web server. This device may not contain any moving parts including but not limited to cooling fans, disk drives, CD Rom drives etc.
  - 2. All user entered information (web pages, security, etc.) shall be stored in non-volatile memory. System operational information and clock functions shall be backed up by battery or other device for a minimum of 72 hours.

### 2.13 SYSTEM SOFTWARE

- A. System Software Minimum Requirements:

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1. Provide all software required for efficient operation of all the automatic system functions required by this specification. Software shall be modular in design for flexibility in expansion or revision of the system. It is the intent of this specification to require provisions of a system which can be fully utilized by individuals with no, or limited, previous exposure to PC's and programming techniques and languages.
2. The software in the system shall consist of both "firmware" resident in the PCU's and "software" resident in the operator work stations. The architecture of the system, and the application software/firmware shall be distributed with no single system component responsible for a control function for the entire Controller LAN. Each PCU shall contain the necessary firmware and I/O capability to function independently in case of a network failure. No active energy management or environmental control sequences shall be resident in the PC work stations. All PC work stations shall be removable from the system without loss of control function - only alarm monitoring, long term history collection, and operator monitor/command/edit functions would be lost.
3. Software: **All software upgrades applicable to the system and offered by the manufacturer / contractor for this system shall be provided at no cost to the Owner throughout the warranty period.** This no-cost upgrade shall include installation, programming, modification to field equipment, data base revisions, etc. all as appropriate.
4. Real-time multitasking and multiuser 32- or 64-bit operating system that allows concurrent multiple operator workstations operating and concurrent execution of multiple real-time programs and custom program development.
5. Operating system shall be capable of operating DOS and Microsoft Windows applications.
6. Database management software shall manage all data on an integrated and non-redundant basis. Additions and deletions to database shall be without detriment to existing data. Include cross linkages so no data required by a program can be deleted by an operator until that data have been deleted from respective programs.
7. Network communications software shall manage and control multiple network communications to provide exchange of global information and execution of global programs.
8. Operator interface software shall include day-to-day operator transaction processing, alarm and report handling, operator privilege level and data segregation control, custom programming, and online data modification capability.
9. Scheduling software shall schedule centrally based time and event, temporary, and exception day programs.

### B. Basic Interface Description

1. Operator workstation interface software shall minimize operator training through the use of English language prompting, English language point identification and industry standard PC application software. The software shall provide, as a minimum, the following functionality:
  - a. Graphical viewing and control of environment
2. Scheduling and override of building operations
3. Collection and analysis of historical data
4. Definition and construction of dynamic color graphic displays
5. Editing, programming, storage and downloading of controller databases
6. Provide a graphical user interface which shall minimize the use of a typewriter style keyboard through the use of a mouse or similar pointing device and "point and click" approach to menu selection. Users shall be able to start and stop equipment or change setpoints from graphical displays through the use of a mouse or similar pointing device.

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- a. Provide functionality such that all operations can also be performed using the keyboard as a backup interface device.
  - b. Provide additional capability that allows at least 10 special function keys to perform often used operations.
7. The software shall provide a multi-tasking type environment that allows the user to run several applications simultaneously. The mouse shall be used to quickly select and switch between multiple applications. This shall be accomplished through the use of Microsoft Windows or similar industry standard software that supports concurrent viewing and controlling of systems operations.
  - a. Provide functionality such that any of the following may be performed simultaneously, and in any combination, via user-sized windows:
    - a. Dynamic color graphics and graphic control
    - b. Alarm management coordinated with section 2.04.E.
    - c. Time-of-day scheduling
    - d. Trend data definition and presentation
    - e. Graphic definition
    - f. Graphic construction
8. If the software is unable to display several different types of displays at the same time, the EMS contractor shall provide at least two operator workstations.
9. Multiple-level password access protection (minimum of five levels of access) shall be provided to allow the user/manager to limit workstation control, display and data base manipulation capabilities as he deems appropriate for each user, based upon an assigned password.
  - a. Level 1 = View all applications, but perform no database modifications
  - b. Level 2 = Custodial privileges plus the ability to acknowledge alarms
  - c. Level 3 = All privileges except system configuration
  - d. Level 4 = All configuration privileges except passwords
  - e. Level 5 = All privileges
10. A minimum of 50 unique passwords, including user initials, shall be supported.
11. Operators will be able to perform only those commands available for their respective passwords. Menu selections displayed shall be limited to only those items defined for the access level of the password used to log-on.
  - a. The system shall automatically generate a report of log-on/log-off time and system activity for each user.
  - b. User-definable, automatic log-off timers of from 5 to 60 minutes shall be provided to prevent operators from inadvertently leaving devices on-line.
12. Software shall allow the operator to perform commands including, but not limited to, the following:
  - a. Start-up or shutdown selected equipment
  - b. Adjust setpoints
  - c. Add/modify/delete time programming
  - d. Enable/disable process execution
  - e. Lock/unlock alarm reporting for points

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- f. Enable/disable totalization for points
- g. Enable/disable trending for points
- h. Override PID loop setpoints
- i. Enter temporary override schedules
- j. Define holiday schedules
- k. Change time/date
- l. Automatic daylight savings time adjustments
- m. Enter/modify analog alarm limits
- n. Enter/modify analog warning limits
- o. View limits
- p. Enable/disable demand limiting for each meter
- q. Enable/disable duty cycle for each load

### C. Reports and Logs:

1. Reports shall be generated and directed to either CRT displays, printers or disk. As a minimum, the system shall allow the user to easily obtain the following types of reports:
  - a. A general listing of all points in the network
  - b. List of all points currently in alarm
  - c. List of all points currently in override status
  - d. List of all disabled points
  - e. List of all points currently locked out
  - f. DDC Controller trend overflow warning
  - g. List all weekly schedules
  - h. List of holiday programming
  - i. List of limits and deadbands.
  - j. Summaries shall be provided for specific points, for a logical point group, for a user-selected group or groups or for the entire facility without restriction due to the hardware configuration of the building automation system. Under no conditions shall the operator need to specify the address of the hardware controller to obtain system information.
2. Include reporting software package that allows operator to select, modify, or create reports using DDC system I/O point data available.
3. Each report shall be definable as to data content, format, interval and date.
4. Report data shall be sampled and stored on DDC controller, within storage limits of DDC controller, and then uploaded to archive on server for historical reporting.
5. Operator shall be able to obtain real-time logs of all I/O points by type or status, such as alarm, point lockout, or normal.
6. Reports and logs shall be stored on [workstation] [and] [server] hard drives in a format that is readily accessible by other standard software applications, including spreadsheets and word processing.
7. Reports and logs shall be readily printed and set to be printed either on operator command or at a specific time each day.

### D. Standard Reports: Standard DDC system reports shall be provided and operator shall be able to customize reports later.

1. All I/O: With current status and values.
2. Alarm: All current alarms, except those in alarm lockout.
3. Disabled I/O: All I/O points that are disabled.

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4. Alarm Lockout I/O: All I/O points in alarm lockout, whether manual or automatic.
  5. Alarm Lockout I/O in Alarm: All I/O in alarm lockout that are currently in alarm.
  6. Alarm history.
- E. Custom Reports: Operator shall be able to easily define any system data into a daily, weekly, monthly, or annual report.
- F. Scheduling:
1. Monthly calendars for a 24-month period shall be provided which allow for simplified scheduling of holidays and special days in advance.
  2. Weekly schedules shall be provided for each building zone or piece of equipment with a specific occupancy schedule.
  3. Zone schedules shall be provided for each building zone. Each commandable point may have a unique schedule of operation relative to the zone's occupancy schedule, allowing for sequential starting and control of equipment within the zone.
  4. Holidays and special days shall be user-selected with the pointing device and shall automatically reschedule equipment operation.
  5. Collection and Analysis of Historical Data
    - a. Provide trending capabilities that allow the user to easily monitor and preserve records of system activity over an extended period of time. Any system point may be trended automatically at time-based intervals or changes of value, both of which shall be user definable. Trend data may be stored on hard disk for future diagnostics and reporting.
    - b. Trend data report graphics shall be provided to allow the user to view all trended point data. Reports may be customized to include individual points or pre-defined groups of at least 6 points. Provide additional functionality to allow any trended data to be transferred easily to an off-the-shelf spreadsheet package such as Lotus 1-2-3a. This shall allow the user to perform custom calculations such as energy usage, equipment efficiency and energy costs and shall allow for generation of these reports on high-quality plots, graphs and charts.

### 2.14 GRAPHICS

- A. Provide Central Operator's Station with software and hardware as needed to meet requirements specified herein. Graphics are to be online programmable and under password control.
- B. System shall be provided with complete color graphics software package, such that graphics can be created by user from time of software installation, without need for additional hardware or software. Each operator work station shall support not less than 1,000 separate graphic pages. Contractor shall include developed graphics as approved by the Owner's representative for this project.
- C. Graphics program shall be fully user interactive, full color, incorporating the following capabilities:
1. Up to 50 dynamic points of data per graphic page
  2. Animated objects for discrete points to illustrate point status
  3. On-line 'draw' utility
  4. Ability to import .PCX or .DXF file format graphics developed in third party programs

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5. "Page Linking" such that it is possible to "zoom" into a specific AHU or any other page through a sequence of graphics without using anything but the system mouse.
  6. Generate, store, and retrieve library symbols for use in generating graphic pages.
  7. Fifty (50) dynamic points of data per graphic page.
  8. Pixel level resolution. Graphics will be displayed on EGA monitors with a 640 X 350 resolution, and on VGA monitors with a 640 X 480 resolution, minimum. Color selections will be made from a color bar consisting of 16 colors, with adjacent text description.
  9. Animated objects for discrete points (i.e., when a pump starts, the pipe fills with water or when a damper shuts it goes closed on the screen).
  10. Analog bar graphs for analog points. The operator shall be able to locate up to 60 bar graphs per graphic page, with options as to bar graph color, dimensions, horizontal/vertical orientation, and limit values.
- D. Provide for import of .PCX file format graphics developed in third party programs such as Paintbrush. Such imported graphics shall be used as a "backdrop", so that all other dynamic and animated system features may be superimposed on this graphic. Similarly, it shall be possible to import CAD type drawings, by first converting the CAD drawing from .DXF format to .PCX format.
- E. The EMS contractor shall provide libraries of pre-engineered screens and symbols depicting standard air handling unit components (e.g., fans, cooling coils, filters, dampers, etc.), complete mechanical systems (e.g., constant volume-terminal reheat, VAV, etc.) and electrical symbols, so that Owner may develop graphics.
- F. The graphic development package shall use a mouse or similar pointing device in conjunction with a drawing program to allow the user to perform the following:
1. Define symbols
  2. Position and size symbols
  3. Define background screens
  4. Define connecting lines and curves
  5. Locate, orient and size descriptive text
  6. Define and display colors for all elements
  7. Establish correlation between symbols or text and associated system points or other displays
- G. System shall allow graphical displays to be created to represent any logical grouping of system points or calculated data based upon building function, mechanical system, building layout or any other logical grouping of points which aids the operator in the analysis of the facility. To accomplish this, the user shall be able to build graphic displays that include point data from multiple DCU Controllers including Terminal Equipment Controllers used or DDC equipment.
- H. System Configuration and Definition
1. All temperature and equipment control strategies and energy management routines shall be definable by the operator. System definition and modification procedures shall not interfere with normal system operation and control.
  2. The system shall be provided complete with all equipment and documentation necessary to allow an operator to independently perform the following functions:
    - a. Add/delete/modify stand-alone DDC Controller panels

- b. Add/delete/modify operator workstations
  - c. Add/delete/modify application specific controllers
  - d. Add/delete/modify points of any type and all associated point parameters and tuning constants
  - e. Add/delete/modify alarm reporting definition for points
  - f. Add/delete/modify control loops
  - g. Add/delete/modify energy management applications
  - h. Add/delete/modify time and calendar-based programming
  - i. Add/delete/modify totalization for points
  - j. Add/delete/modify historical data trending for points
  - k. Add/delete/modify custom control processes
  - l. Add/delete/modify any and all graphic displays, symbols and cross-reference to point data
  - m. Add/delete/modify dial-up telecommunication definition
  - n. Add/delete/modify all operator passwords. Add/delete/modify alarm messages
3. Definition of operator device characteristics, DCU Controllers individual points, applications and control sequences shall be performed using instructive prompting software.
    - a. Libraries of standard application modules such as temperature, humidity and static pressure control may be used as "building blocks" in defining or creating new control sequences. In addition, the user shall have the capability to easily create and archive new modules and control sequences as desired via a word processing type format. Provide a library of standard forms to facilitate definition of point characteristics. Forms shall be self prompting and incorporate a fill-in-the-blank approach for definition of all parameters. The system shall immediately detect an improper entry and automatically display an error message explaining the nature of the mistake.
  4. Inputs and outputs for any process shall not be restricted to a single DCU Controller, but shall be able to include data from any and all other network panels to allow the development of network-wide control strategies. Processes shall also allow the operator to use the results of one process as the input to any number of other processes (cascading).
  5. Provide the capability to backup and store all system databases on the workstation hard disk. In addition, all database changes shall be performed while the workstation is on-line without disrupting other system operations. Changes shall be automatically recorded and downloaded to the appropriate DCU Controller. Similarly, changes made at the DCU Controllers shall be automatically uploaded to the workstation, ensuring system continuity. The user shall also have the option to selectively download changes as desired.
  6. Provide context-sensitive help menus to provide instructions appropriate with operations and applications currently being performed.
- I. Alarm Handling Software:
1. Include alarm handling software to report all alarm conditions monitored and transmitted through DDC controllers, gateways, and other network devices.
  2. Alarm handling shall be active at all times to ensure that alarms are processed even if an operator is not currently signed on to DDC system.
  3. Alarms display shall include the following:
    - a. Indication of alarm condition such as "Abnormal Off," "Hi Alarm," and "Low Alarm."

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- b. "Analog Value" or "Status" group and point identification with native language point descriptor such as "Space Temperature, Building 110, 2nd Floor, Room 212."
  - c. Discrete per point alarm action message, such as "Call Maintenance Dept. Ext-5561."
  - d. Include extended message capability to allow assignment and printing of extended action messages. Capability shall be operator programmable and assignable on a per point basis.
4. Alarms shall be directed to appropriate operator workstations, printers, and individual operators by privilege level and segregation assignments.
  5. Send e-mail, page, text and voice messages to designated operators for critical alarms.
  6. Alarms shall be categorized and processed by class.
    - a. Class 1:
      - 1) Associated with fire, security and other extremely critical equipment monitoring functions; have alarm, trouble, return to normal, and acknowledge conditions printed and displayed.
      - 2) Unacknowledged alarms to be placed in unacknowledged alarm buffer.
      - 3) All conditions shall cause an audible sound and shall require individual acknowledgment to silence audible sound.
    - b. Class 2:
      - 1) Critical, but not life-safety related, and processed same as Class 1 alarms, except do not require individual acknowledgment.
      - 2) Acknowledgement may be through a multiple alarm acknowledgment.
    - c. Class 3:
      - 1) General alarms; printed, displayed and placed in unacknowledged alarm buffer queues.
      - 2) Each new alarm received shall cause an audible sound. Audible sound shall be silenced by "acknowledging" alarm or by pressing a "silence" key.
      - 3) Acknowledgement of queued alarms shall be either on an individual basis or through a multiple alarm acknowledgement.
      - 4) Alarms returning to normal condition shall be printed and not cause an audible sound or require acknowledgment.
    - d. Class 4:
      - 1) Routine maintenance or other types of warning alarms.
      - 2) Alarms to be printed only, with no display, no audible sound and no acknowledgment required.
  7. Include an unacknowledged alarm indicator on display to alert operator that there are unacknowledged alarms in system. Operator shall be able to acknowledge alarms on an individual basis or through a multiple alarm acknowledge key, depending on alarm class.
  8. To ensure that no alarm records are lost, it shall be possible to assign a backup printer to accept alarms in case of failure of primary printer.

### J. Trends:

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1. Trend all I/O point present values, set points, and other parameters indicated for trending.
2. Trends shall be associated into groups, and a trend report shall be set up for each group.
3. Trends shall be stored within DDC controller and uploaded to hard drives automatically on reaching 75% of DDC controller buffer limit, or by operator request, or by archiving time schedule.
4. Trend intervals shall be operator selectable from 10 seconds up to 60 minutes. Set trend intervals for each I/O point after review with Owner and CxA.
5. When drive storage memory is full, most recent data shall overwrite oldest data.
6. Archived and real-time trend data shall be available for viewing numerically and graphically by operators.

### 2.15 GRAPHICS PAGES LAYOUT AND QUALITY

- A. All proposed graphics pages are to be submitted to engineer for approval prior to uploading to system.
  1. After uploading graphics pages to system, cooperate with Engineer and Owner to fine tune graphics pages with respect to layout, formatting, points displayed, etc.
- B. Schematic representation of all equipment and system graphics must be accurate and representative of the actual installed field conditions, such as respective locations of indicated devices, air flow patterns, etc. Changes in the field installation, variances in equipment installed versus equipment specified, etc., will require controls subcontractor to revise graphics.
- C. Control and monitored points for any system may be presented solely in tabular form, without graphic representation, only with prior approval of Engineer. Otherwise, a graphical page showing system schematic must be provided for each system.
- D. Where Modbus or Bacnet interface with equipment controllers is provided, all readable / writable points available must be accessible via BAS. Of these total number of points, a limited, select number of critical points must be displayed on a dedicated graphics page, accessible by clicking on the schematic of the equipment/system with which associated.
  1. Provide Engineer with latest updated list of Bacnet or Modbus points, names and addresses from equipment manufacturer.
    - a. Where selected Bacnet points to display are not designated in drawings or these specifications, budget for displaying up to 24 such points to be selected by Engineer and/or Owner from the latest updated list of Bacnet or Modbus points for equipment being monitored.
  2. Equipment manufacturer's point names are often unclear or ambiguous as to what they actually reference. Contractor will modify any point text descriptor per Engineer and/or Owner direction so than the meaning is absolutely clear.
- E. Building floor plans
  1. Building floor plans are to indicate clearly separate HVAC zones. Distinction between HVAC zones may be illustrated by a variety of means acceptable to Engineer, including darker lines surrounding the zone, color variations, superimposed duct layouts, etc. A

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- clear indication of the extent of floor plan served by each unit must be given. Depending upon temperature-dependent color-coding
2. Engineer endeavors to provide current floor layouts in contract drawings. However, Owner may modify buildings by adding doors or walls, etc. If necessary due to changes in building floor plans, revise graphics to show accurate wall and door locations.
  3. All building floor plans must indicate final room numbers (exceptions to include only very small rooms which have no thermostat, such as janitor's closets, etc.). Prior to producing floor plan graphics, confirm in writing from Owner and/or Architect what final room numbers are. Graphics display for special purpose rooms, such as Gyms, Cafeterias, Band Halls, etc., must show this generic name of room in addition to room number (if it is indicated on drawings).
  4. Locations of all major equipment (VAV boxes, air handlers, exhaust fans, chillers, boilers, pumps, etc.) are to be indicated on floor plans, along with a clear indication of the area each serves.
  5. Floor plan graphic of each zone is to be color-coded, displaying different colors to show space conditions are within or out of specified temperature range.
  6. All temperature, relative humidity, and CO<sub>2</sub> sensors locations are to be indicated on floor plans in actual position where installed. A clear indication of which unit(s) is controlled by that sensor must be given (such as by a dashed or curved line connecting the two).
  7. [Actual readings of temperature, relative humidity, and CO<sub>2</sub> sensors are to be indicated on floor plans.](#)

### F. Graphics text

1. All text displayed on graphic must be large enough to be clearly and easily readable. Font colors must be chosen for good contrast against background so that they are clearly and easily readable. Super-position of separate text lines overlapping one another or overlapping other iconography is not acceptable.
2. Text wording for labeled points must be clear and easily understood to any person with moderate experience with HVAC systems.
3. Not all text must have identical font and style on each individual graphic page. Use of varying size and style is required and helpful in identifying and distinguishing important values. However, use consistent font size and style for displaying parameters of similar nature or importance.
4. Text for all parameters must be so positioned on graphics screens that it is unquestionably obvious to what symbols, equipment, or values it applies. This is typically done by placing the text very close to the item to which it applies. Where graphics prevent this (e.g. where it would be too crowded and therefore unclear), use arrows or lines connecting value to the item.
5. Equipment indicated in graphics must be identified with the precise name as indicated in drawings in order to facilitate cross-referencing between graphics and drawings. Where equipment name has changed or Owner desires it be changed, make such modification on final graphics pages.
6. Symbols for devices such as smoke detectors, cut-out safety switches, filter dP sensors, heating or cooling coils, etc., must be labeled so that it is unambiguously clear what the symbol represents.
7. Spelling on graphics pages must be correct.

### G. Specified Points

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1. *At a minimum*, every input and output listed as a point in bid documents must be displayed graphically.

### H. Units

1. Units for all parameters are to be listed on graphics screens or other screens. Use the following nomenclature, or other only as approved by Engineer.
  - a. Commanded state: On/Off or Off/Enable, as appropriate
  - b. Variable speed motor (e.g. fan or pump) commanded speed: % of full speed
    - 1) It is unacceptable to display BAS output signal to VFD when such signal does not correspond to the actual VFD/fan speed. Coordinate closely with VFD programming such that speed indication on BAS screen exactly matches actual VFD speed.
  - c. Variable speed motor (e.g. fan or pump) speed feedback: % of full speed feedback
  - d. Duct static pressure and duct static pressure setpoint: in. WC, or "wc, following by 'setpoint' when value displayed is the setpoint
  - e. Temperature: °F, or deg F, or simply 'F'
    - 1) Outside air temperature: OAT
    - 2) Discharge or supply air temperature: use either DAT or SAT
    - 3) Return air temperature: RAT
  - f. Relative humidity: % RH
  - g. Valve or damper analog output commanded position: % open
    - 1) For cooling tower bypass valves, add descriptive text such as "% open to bypass")
  - h. Valve or damper analog output feedback position: % open / position feedback
  - i. CO2 reading and CO2 setpoint: ppm
  - j. Hydronic system pressure: psi
  - k. Hydronic system differential pressure: psi dP, or psi ΔP
  - l. Chiller cooling load: Tons
  - m. Refrigerant system pressure: psi
  - n. Alarm state of any point: Alarm / Normal
  - o. Air flow: CFM
  - p. Water flow: GPM
  - q. Light level: fc (footcandles)
  - r. Filter status: Dirty / Clean for digital, in. WC, or "wc for analog
  - s. Power and energy: kWh, kW, KVA.
2. For any additional parameters not specifically listed above, use similarly descriptive, standardly accepted units designations, approved by Engineer.
3. Consistent nomenclature for points must be used throughout all graphics pages.
4. Graphics text **MUST** distinguish between On/Off and Off/Enable digital output points. Typical Off/Enable items include chillers, boilers, DX units, etc. Typical On/Off items include fan motors, pumps, etc. Do not indicate a status or command value of "ON" for equipment that is actually controlled as Off/Enable.
5. **Numerical values for all units are to be displayed to decimal point values truncated to a level commensurate with the accuracy of the sensor.** Unless otherwise noted, display values to the following decimal accuracy:

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- a. List to 0 decimal points accuracy: Variable speed drive speed, relative humidity, % valve and damper position, CO2 concentration, water flow, air flow, gallons, kWh, KVA, kW, amps.
  - b. List to 1 decimal point accuracy: Temperature sensor inputs, temperature setpoints, duct static pressure, and voltage.
  - c. List to 2 decimal point accuracy: Building static pressure.
- I. Reset schedules
1. ALL reset schedules specified in operating sequences must be clearly indicated on the screen of the equipment to which it applies. (For example, a chilled water supply temperature reset schedule versus outside air temperature must be listed on the chiller graphic page.) All values in this reset schedule are to be operator adjustable by clicking on the value within the reset schedule.
- J. Forced parameters
1. Provide a clear indication on graphic screen when automatic control or readout of *any* point (command or status, input or output, analog or digital) has been overridden (usually referred to as being placed in Operator or Forced or Manual mode). This may be done in a variety of ways acceptable to engineer (such as placing a large, bold M next to the point, having the point value flash red, placing a dashed rectangle around it, etc.)
  2. The indication of a point being in Forced mode must be placed on the main graphic screen of the equipment such that Operator is not required to access other backup screens to see which points are Forced.
- K. Provide navigation icons or “linkages” for major systems pages or major equipment items to allow the operator to switch quickly from one major system or building area screen to another.
- L. Indication of equipment Status
1. Graphics must make clear with no ambiguity the meaning of the term “Status” (often as applied to equipment such as boilers and chillers, the meaning is not intuitively clear).
    - a. When Status indicates presence or absence of an alarm, it must be labeled “Alarm Status”.
    - b. When Status indicates an actual on/off or operating state of equipment, specifically indicate what status is being provided. For example, if the Status point reads whether the burner is firing or not, it must be labeled “Burner Status”; if the Status point reads whether a chiller compressor is On or not, it must be labeled “Compressor Status”; if the Status point simply indicates whether a piece of equipment has received an Enable command or not, it must be labeled “Status: Equipment Enabled”.
- 2.16 DDC CONTROLLERS
- A. DDC system shall consist of a combination of network controllers, programmable application controllers and application-specific controllers to satisfy performance requirements indicated.
  - B. DDC controllers shall perform monitoring, control, energy optimization and other requirements indicated.

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- C. DDC controllers shall use a multitasking, multiuser, real-time digital control microprocessor with a distributed network database and intelligence.
- D. Each DDC controller shall be capable of full and complete operation as a completely independent unit and as a part of a DDC system wide distributed network. The digital system controllers shall perform full control automation functions regardless of the condition of communications with the Central Operator's Station.
- E. Environment Requirements:
  - 1. Controller hardware shall be suitable for the anticipated ambient conditions.
  - 2. Controllers located in conditioned space shall be rated for operation at 32 to 120 deg F.
  - 3. Controllers located outdoors shall be rated for operation at 32 to 150 deg F.
- F. Power and Noise Immunity:
  - 1. Controller shall operate at 90 to 110 percent of nominal voltage rating and shall perform an orderly shutdown below 80 percent of nominal voltage.
  - 2. Operation shall be protected against electrical noise of 5 to 120 Hz and from keyed radios with up to 5 W of power located within 36 inches (900 mm) of enclosure.
- G. DDC Controller Spare Processing Capacity:
  - 1. Include spare processing memory for each controller. RAM, PROM, or EEPROM will implement requirements indicated with the following spare memory:
    - a. Network Controllers: 50 percent.
    - b. Programmable Application Controllers: Not less than 50 percent.
    - c. Application-Specific Controllers: Not less than 50 percent.
  - 2. Memory shall support DDC controller's operating system and database and shall include the following:
    - a. Monitoring and control.
    - b. Energy management, operation and optimization applications.
    - c. Alarm management.
    - d. Historical trend data of all connected I/O points.
    - e. Maintenance applications.
    - f. Operator interfaces.
    - g. Monitoring of manual overrides.
- H. DDC Controller Spare I/O Point Capacity: Include spare I/O point capacity for each controller as follows:
  - 1. Network Controllers:
    - a. 20 percent of each AI, AO, BI, and BO points connected to controller.
    - b. Minimum Spare I/O Points per Controller:
      - 1) AIs: Two.
      - 2) AOs: Two.
      - 3) BIs: Two.

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4) BOs: Two.

### 2. Programmable Application Controllers:

#### a. Minimum Spare I/O Points per Controller:

- 1) AIs: Two.
- 2) AOs: Two.
- 3) BIs: Two.
- 4) BOs: Two.

### 3. Application-Specific Controllers:

#### a. Minimum Spare I/O Points per Controller:

- 1) AIs: Two.
- 2) AOs: Two.
- 3) BIs: Two.
- 4) BOs: Two.

## 2.17 NETWORK CONTROLLERS

### A. General Network Controller Requirements:

1. Include adequate number of controllers to achieve performance indicated.
2. System shall consist of one or more independent, standalone, microprocessor-based network controllers to manage global strategies indicated.
3. Controller shall have enough memory to support its operating system, database, and programming requirements.
4. Data shall be shared between networked controllers and other network devices.
5. Operating system of controller shall manage input and output communication signals to allow distributed controllers to share real and virtual object information and allow for central monitoring and alarms.
6. Controllers shall have a real-time clock.
7. Controller shall continually check status of its processor and memory circuits. If an abnormal operation is detected, controller shall assume a predetermined failure mode and generate an alarm notification.
8. Controllers shall be fully programmable.

### B. Serviceability:

1. Controller shall be equipped with diagnostic LEDs or other form of local visual indication of power, communication, and processor.
2. Wiring and cable connections shall be made to field-removable, modular terminal strips or to a termination card connected by a ribbon cable.
3. Controller shall maintain BIOS and programming information in event of a power loss for at least 72 hours.

## 2.18 PROGRAMMABLE APPLICATION CONTROLLERS

### A. General Programmable Application Controller Requirements:

1. Include adequate number of controllers to achieve performance indicated.

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2. Software applications shall reside and operate in controllers. Editing of applications shall occur at operator workstations. Controllers shall be configured to use stored default values to ensure fail-safe operation.
3. Controller shall have enough memory to support its operating system, database, and programming requirements.
4. Data shall be shared between networked controllers and other network devices.
5. Operating system of controller shall manage input and output communication signals to allow distributed controllers to share real and virtual object information and allow for central monitoring and alarms.
6. Controllers that perform scheduling shall have a real-time clock.
7. Controller shall continually check status of its processor and memory circuits. If an abnormal operation is detected, controller shall assume a predetermined failure mode and generate an alarm notification.
8. Controllers shall be fully programmable.
9. Controller shall be equipped with diagnostic LEDs or other form of local visual indication of power, communication, and processor.
10. Controller shall maintain BIOS and programming information in event of a power loss for at least 72 hours.

### 2.19 APPLICATION-SPECIFIC CONTROLLERS

- A. Description: Microprocessor-based controllers, which through hardware or firmware design are dedicated to control a specific piece of equipment. Controllers are not fully user-programmable but are configurable and customizable for operation of equipment they are designed to control.
  1. Capable of standalone operation and shall continue to include control functions without being connected to network.
  2. Data shall be shared between networked controllers and other network devices.
- B. Communication: Application-specific controllers shall communicate with other application-specific controller and devices on network, and to programmable application and network controllers.

### 2.20 SENSORS & FIELD DEVICES

- A. All sensors and field devices shall be of commercial grade quality and shall be installed according to the manufacturer's recommendations. Outdoor damper actuators shall be rated for exterior service and provided in weatherproof UV-inhibited housing.
- B. **Wired controllers and sensors only. Wireless controllers and sensors are not permitted for this project.**
- C. Temperature Sensors (General)
  1. All temperature inputs for the automation system shall be derived directly from analog inputs from electronic temperature sensors. Transducing of pneumatic sensor signals shall not be acceptable.
  2. Temperature sensing elements shall be RTD type, thermistor type, or solid state sensors, as specified in drawings or points list. All sensors of a particular type shall be from the same manufacturer.
  3. Characteristics for temperature sensors:
    - a. Interchangeability of +/- 0.2% at the reference temperature.

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- b. Time constant response to temperature change shall be less than three seconds per degree F.
- c. Sensors shall be linear, drift free, and require only a one time calibration. Sensing elements shall be factory calibrated.
- d. The sensing elements shall be hermetically sealed.
- e. Additional linearizing, ranging, and lead length compensation may be accomplished in software if required to meet the accuracies specified within.
- 4. Expected temperature sensor operating range and end to end accuracy, including errors associated with sensor, transmitter (if applicable), leadwire and A/D conversion shall be as follows:

Sensed Element	Expected Oper. Range	Sensor Accuracy
a. Return air	40 to 100°F	0.5°F
b. Indoor space temperature	40 to 100°F	0.5°F
c. Outside air	0 to 125°F	0.5°F

- D. Adjustable Limited Range Wall Temperature Sensors (Thermostats)
  - 1. **General: All wall sensors installed as part of this project shall have adjustable limited range setpoint adjustment function.**
    - a. 10K-2-R-SOD (10K, DA, Cool / Warm, OVR). Override option shall be provided.
    - b. Setpoint limits shall be adjustable via the COS and password protected.
    - c. Unit shall have a built in processor and shall communicate with local controller.
    - d. Unit shall have an LCD display for space temperature and on / off state
    - e. Unit shall have a password protection function to restrict access to service mode.
    - f. Provide extra thermostats: 5 of each type.
  - 2. **Following areas shall have sensors with override option in addition to adjustable setpoint range function: all sensors in Administration, Gym.**
- E. Humidity Sensors: Bulk polymer sensor element.
  - 1. Bulk polymer sensor element. Install humidity sensors in the space and not in ductwork unless specifically noted. Coordinate locations of duct mounted sensors with Engineer.
    - a. Accuracy: 5 percent full range with linear output.
    - b. Room Sensors: With locking cover matching room thermostats, span of 25 to 90 percent relative humidity.
    - c. Duct and Outside-Air Sensors: With element guard and mounting plate.
- F. Carbon Dioxide (CO2) sensors:
  - 1. CO2 sensor shall monitor indoor carbon dioxide (CO2) levels in accordance with ASHRAE standard 62-2004. Complete kit shall include optional aspiration box for mounting sensor inside return air duct.
  - 2. Sensor shall have a 4 - 20 mA linear output over a range of 0 - 5000 ppm of CO2. A SPDT shall be provided for local control or alarm output.
  - 3. **Provide sensor with LCD readout.**
  - 4. Power: 24VAC or DC at 400mA max.
  - 5. Measuring range: 0-2000 ppm
  - 6. Accuracy: 40 ppm + 2% of reading
  - 7. Analog output: 4-20 mA
  - 8. Control relay: N.O. SPST, 0.75 amp at 24VAC/VDC
  - 9. Operating temperature: 32° - 122°F
  - 10. Operating humidity: 5-95% non-condensing
  - 11. Calibration adjustment: zero to span

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12. Min. req. calibration: One year
  13. Unit enclosure: UL fire rated
  14. Aspiration box: High impact styrene
- G. Equipment operation sensors as follows:
1. Status Inputs for Electric Motors: Current-sensing relay with current transformers, adjustable and set to 175 percent of rated motor current.
  2. Electronic Valve/Damper Position Indication: Visual scale indicating percent of travel and 2- to 10-V dc, feedback signal.
- H. Equipment on/off control shall use either momentary pulsed relays or magnetically latched relays, as appropriate for the equipment's control starter. Interfacing controls shall be configured such that in its last commanded state. All equipment safeties and interlocks shall remain active, and will not be bypassed by new EMS controls. For motors with VFDs provide On/Off Control as appropriate VFD terminals.
- I. Watthour Transducers: Shall have an accuracy of +/- 2.5% at 0.5 power factor to 2.0% at 1 power factor for KW and KWH outputs. Output signals for KW and KWH shall be internally selectable without requiring the changing of current or potential transformers. Current and potential transformers shall be in accordance with ANSI C57.13.
- J. Voltage Outputs: Variable voltage outputs shall provide a voltage signal from 0 to 20 volts. All voltage outputs shall be fuse protected against shorts to 120 volts AC and capable of withstanding a short ground indefinitely. All voltage outputs shall be protected against + or - 1500 volts, 50 microseconds transients. Voltage outputs shall have a resolution of 0.1 volts.
- K. Current Outputs: Variable current outputs shall be a sinking type and shall provide 0 to 20 milliamps with a resolution of 0.1 mA and a compliance of 20 volts minimum. All current outputs shall be fuse protected to 120 volts AC and protected against + or - 1500 volts, 50 microsecond transients.
- L. Pressure Sensors: Pressure sensors and differential pressure sensors shall be piezo-resistive strain-gauge with temperature compensation. Sensors shall be selected to provide linear indication with an adequate span for the application. Sensor shall be 0 - 10 V or 4 - 20 mA. Insure sensors are rated to operate at temperature of sensed media. Sensors shall have an accuracy of 1% of full scale. Sensors shall accept overpressures of at least 120 psig, at any port, without damaging the sensor.
- M. Motor On/Off Status: Unless otherwise specified, status shall be proven using current sensing relays connected at VFDs and calibrated for minimal operating speed.
- N. Hardware Overrides: A three position manual override switch shall allow selection of the ON, OFF, or AUTO outputs state for each output point. In addition, all analog output points shall be equipped with an override potentiometer to allow manual adjustment of the analog output signal over its full range, when the 3 position manual override switch is placed in the ON position.
- O. Damper Actuators
1. Electronic direct-coupled actuation shall be provided.
  2. The actuator shall be direct-coupled over the shaft, enabling it to be mounted directly to the damper shaft without the need for connecting linkage. The fastening clamp assemble shall be of a 'V' bolt design with associated 'V' shaped toothed cradle attaching to the

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shaft for maximum strength and eliminating slippage. Spring return actuators shall have a 'V' clamp assembly of sufficient size to be directly mounted to an integral jackshaft of up to 1.05 inches when the damper is constructed in this manner. Single bolt or screw type fasteners are not acceptable.

3. The actuator shall have electronic overload or digital rotation sensing circuitry to prevent damage to the actuator throughout the entire rotation of the actuator. Mechanical end switches or magnetic clutch to deactivate the actuator at the end of rotation are not acceptable.
  4. For power failure/safety applications, an internal mechanical spring return mechanism shall be built into the actuator housing. Non-mechanical forms of fail-safe operation are not acceptable.
  5. All spring return actuators shall be capable of both clockwise or counterclockwise spring return operation by simply changing the mounting orientation.
  6. Proportional actuators shall accept a 0 to 10VDC or 0 to 20mA control input and provide a 2 to 10VDC or 4 to 20mA operating range. An actuator capable of accepting a pulse width modulating control signal and providing full proportional operation of the damper is acceptable. All actuators shall provide a 2 to 10VDC position feedback signal.
  7. All 24VAC/DC actuators shall operate on Class 2 wiring and shall not require more than 10VA for AC or more than 8 watts for DC applications. Actuators operating on 120VAC power shall not require more than 10VA. Actuators operating on 230VAC shall not require more than 11VA.
  8. All non-spring return actuators shall have an external manual gear release to allow manual positioning of the damper when the actuator is not powered. Spring return actuators with more than 60 in-lb torque shall have a manual crank for this purpose.
  9. All modulating actuators shall have an external, built-in switch to allow reversing direction of rotation.
  10. Actuators shall be provided with a conduit fitting and a minimum 3ft electrical cable and shall be pre wired to eliminate the necessity of opening the actuator housing to make electrical connections.
  11. Actuators shall be Underwriters Laboratories Standard 873 listed and Canadian Standards Association Class 4813 02 certified as meeting correct safety requirements and recognized industry standards.
  12. Actuators shall be designed for a minimum of 60,000 full stroke cycles at the actuator's rated torque and shall have a 2-year manufacturer's warranty, starting from the date of installation. Manufacturer shall be ISO9001 certified.
- P. Field Testing and Programming Equipment: A portable laptop or notebook computer shall interface via standard push-in connection at an asynchronous serial port located at the Control modules and at selected enhanced zone temperature sensors as indicated on project plans. This portable unit shall be capable of full global communications with all Control modules connected within the respective network and shall provide functionally identical user interface to the Workstation, in non-graphic format. Units shall be able to interrogate all points and alter all programming.

### 2.21 ENCLOSURES

#### A. General Enclosure Requirements:

1. House each controller and associated control accessories in an enclosure. Enclosure shall serve as central tie-in point for control devices such as switches, transmitters, transducers, power supplies and transformers. Do not house more than one controller in a single enclosure.

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2. Include enclosure door with key locking mechanism. Key locks alike for all enclosures and include one pair of keys per enclosure.
3. Enclosures shall be NRTL listed according to UL 508A.
4. Enclosures constructed of steel, finished inside and out with polyester powder coating electrostatically applied and then baked to bond to substrate.
5. Hinged door full size of front face of enclosure and supported using:
  - a. Enclosures sizes less than 36 in. (900 mm) tall: Multiple butt hinges.
  - b. Enclosures sizes 36 in. (900 mm) tall and larger: Continuous piano hinges.

### B. Internal Arrangement:

1. Internal layout of enclosure shall group and protect components associated with a controller, but not an integral part of controller.
2. Arrange layout to group similar products together.
3. Include a barrier between line-voltage and low-voltage electrical and electronic products.
4. Factory or shop install products, tubing, cabling and wiring complying with requirements and standards indicated.
5. Terminate field cable and wire using heavy-duty terminal blocks.
6. Include spade lugs for stranded cable and wire.
7. Install a maximum of two wires on each side of a terminal.
8. Include enclosure field power supply with a toggle-type switch located at entrance inside enclosure to disconnect power.
9. Include enclosure with a line-voltage nominal 20-A GFCI duplex receptacle for service and testing tools. Wire receptacle on hot side of enclosure disconnect switch and include with a 5-A circuit breaker.
10. Mount products within enclosure on removable internal panel(s).
11. Include products mounted in enclosures with engraved, laminated phenolic nameplates (black letters on a white background). The nameplates shall have at least 1/4-inch- (6-mm-) high lettering.
12. Route tubing cable and wire located inside enclosure within a raceway with a continuous removable cover.

### C. Environmental Requirements:

1. Evaluate temperature and humidity requirements of each product to be installed within each enclosure.
2. Calculate enclosure internal operating temperature considering heat dissipation of all products installed within enclosure and ambient effects (solar, conduction and wind) on enclosure.
3. Where required by application, include temperature-controlled electrical heat to maintain inside of enclosure above minimum operating temperature of product with most stringent requirement.
4. Where required by application, include temperature-controlled ventilation fans with filtered louver(s) to maintain inside of enclosure below maximum operating temperature of product with most stringent requirement.
5. Include temperature-controlled cooling within the enclosure for applications where ventilation fans cannot maintain inside temperature of enclosure below maximum operating temperature of product with most stringent requirement.
6. Where required by application, include humidity-controlled electric dehumidifier or cooling to maintain inside of enclosure below maximum relative humidity of product with most stringent requirement and to prevent surface condensation within enclosure.

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### 2.22 RELAYS

#### A. All:

1. Heavy duty, rated for at least 10 A at 250-V ac and 60 Hz.
2. Construct the contacts of either silver cadmium oxide or gold.
3. Relay enclosed in a dust-tight cover.
4. Coil transient suppression to limit transients to non-damaging levels.
5. Plug each relay into an industry-standard, 35-mm DIN rail socket. Plug all relays located in control panels into sockets that are mounted on a DIN rail.
6. Mechanical Life: At least 10 million cycles.
7. Electrical Life: At least 100,000 cycles at rated load.
8. Timing Ranges: Multiple ranges from 0.1 seconds to 100 minutes.
9. Timing Ranges, where applicable: Multiple ranges from 0.1 seconds to 100 minutes.
10. Repeatability: Within 2 percent.
11. Recycle Time: 45 ms.
12. Minimum Pulse Width Control: 50 ms.
13. Power Consumption: 5 VA or less at 120-V ac.
14. Ambient Operating Temperatures: Minus 40 to 115 deg F (Minus 40 to 46 deg C).
15. General-Purpose Relays: With LED indication and a manual reset and push-to-test button.
16. Multifunction Time-Delay Relays: With knob and dial scale for setting delay time.

#### B. Current Sensing Relay:

1. Monitors ac current.
2. Independent adjustable controls for pickup and dropout current. Choose relay size to be able to read smallest current from motor at lowest speed.
3. Energized when supply voltage is present and current is above pickup setting.
4. De-energizes when monitored current is below dropout current.
5. Dropout current adjustable from 50 to 95 percent of pickup current.
6. Include a current transformer, if required for application.
7. House current sensing relay and current transformer in its own enclosure. Use NEMA 250, Type 12 enclosure for indoors and NEMA 250, Type 4 for outdoors.

### 2.23 UNINTERRUPTABLE POWER SUPPLY (UPS) UNITS

#### A. 250 through 1000 VA:

1. UPS units shall provide continuous, regulated output power without using their batteries during brown-out, surge, and spike conditions.
2. Load served shall not exceed 75 percent of UPS rated capacity, including power factor of connected loads.
3. UPS shall provide up to 15 minutes of battery power.
4. Performance:
  - a. Input Voltage: Single phase, 120- or 230-V ac, compatible with field power source.
  - b. Load Power Factor Range (Crest Factor): 0.65 to 1.0.
  - c. Output Voltage: 101- to 132-V ac, while input voltage varies between 89 and 152-V ac.
  - d. On Battery Output Voltage: Sine wave.

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- e. Inverter overload capacity shall be minimum 150 percent for 30 seconds.
  - f. Recharge time shall be a maximum of six hours to 90 percent capacity after full discharge to cutoff.
  - g. Transfer Time: 6 ms.
  - h. Surge Voltage Withstand Capacity: IEEE C62.41, Categories A and B; 6 kV/200 and 500 A; 100-kHz ringwave.
5. UPS shall be automatic during fault or overload conditions.
6. Include front panel with power switch and visual indication of power, battery, fault and temperature.

### 2.24 SURGE PROTECTION

- A. Zener diodes, silicone avalanche diode, optical isolation, varistors, or combination thereof.
- B. Transient protection
- 1. Communications LAN:
    - a. Provide surge protection equipment sized specifically for expected operating current of LAN.
    - b. Exceeds severity level 4 of IEC 801-4.
    - c. Operating voltage: 12 volts.
    - d. Maximum operating current: 200 mA
    - e. Clamping action turn-on: 14.3 volts
    - f. Maximum clamping at 2 kW (8 x 20 microsecond wave): 22 volts
    - g. Maximum surge voltage: 20 kV
    - h. Maximum surge current (8 x 20 microsecond wave): 2.5 kA
    - i. Current leakage at perating voltage: 5 microamps
    - j. As manufactured by Surge Control Limited, SPR series, or approved equal.
  - 2. Power supply:
    - a. Provide surge protection equipment sized specifically for expected operating current of DDC controller.
    - b. Exceed recommendations for ANSI / IEEE C62.41-1991 Categories A3 and B3 and UL1449.
    - c. Design such that suppressor does not "wear out" with repeated surges.
    - d. CSA certified and UL recognized.
    - e. EMI / RFI filtering.
    - f. Differential and common mode suppression and filtering.
    - g. Less than 5 nanosecond response time.
    - h. Maximum transient voltage 6 kV.
    - i. Maximum transient current 3 kA.
    - j. Minimum clamping turn-on, 210 volts.
    - k. Maximum clamping voltage, (I-test):
      - 1) line to neutral — 245 volts.
      - 2) line to ground — 245 volts.
      - 3) neutral to ground — 245 volts.
    - l. Maximum clamping voltage @ 3 kA:
      - 1) line to neutral — 325 volts.
      - 2) line to ground — 430 volts.
      - 3) neutral to ground — 430 volts.
    - m. As manufactured by Surge Control Limited, SPP-1200 series, or approved equal.

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- C. Protective devices shall be continuous duty, automatic and self restoring.

### 2.25 CONTROL WIRE AND CABLE

- A. 7/24 soft annealed copper strand with a 2- to 2.5-inch (50- to 65-mm) lay.
- B. Plenum rated LAN and Communication Cable complying with NFPA 70 and DDC system manufacturer requirements for network being installed.

### 2.26 CONTROL POWER WIRING AND RACEWAYS

- A. Comply with requirements in Section 260533 "Raceways and Boxes for Electrical Systems" for electrical power raceways and boxes.
- B. Comply with requirements in Section 260519 "Low-Voltage Electrical Power Conductors and Cables" electrical power conductors and cables.

### 2.27 IDENTIFICATION

- A. Provide engraved phenolic tag, fastened with drive pins with min. 0.5" high white lettering on black background, bearing unique identification nomenclature for control equipment and devices.

## PART 3 - EXECUTION

### 3.1 PRE-INSTALLATION MEETINGS

- A. [Pre-installation Conference: Schedule and attend conference at location of owner's choosing. Mandatory attendees include representatives from BAS contractor, Owner, Engineer, and Commissioning Authority.](#)

### 3.2 EXAMINATION

- A. Examine substrates and conditions for compliance with requirements for installation tolerances and other conditions affecting performance of the Work. Verify compatibility with and suitability of substrates. Examine roughing-in for products to verify actual locations of connections before installation. Examine walls, floors, roofs, and ceilings for suitable conditions where product will be installed.
- B. Prepare written report listing conditions detrimental to performance of the Work.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.3 DDC SYSTEM INTERFACE WITH OTHER SYSTEMS AND EQUIPMENT

- A. Coordinate with Owner for provision of required communication infrastructure that is Owner's responsibility, including data drops, IP addresses, etc.
- B. Communication Interface to Equipment with Integral Controls: DDC system shall have communication interface with equipment having integral controls and having a communication interface for remote monitoring or control. [Reference drawings for equipment to be connected.](#)

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### 3.4 GENERAL INSTALLATION REQUIREMENTS

- A. The I/O Summary on the drawings is provided as a list of the minimum points required. Provide all controls points, temperature sensors, relays, actuators, and devices necessary to achieve operational sequences at no additional cost to the Owner, whether explicitly called for or not in this specification. Coordinate with all sub-contractors to ensure all items are provided and installed.
- B. Install in accordance with manufacturer's instructions.
- C. Controls Contractor is responsible for complete operational installation of system, including, but not limited to the following:
  - 1. Electrical power supply to all control system components, including but not limited to; controllers, actuators, sensors, from dedicated circuits in electrical panels.
  - 2. Complete installation of duct-mounted components, including but not limited to: temperature, relative humidity, pressure, and CO2 sensors, and dampers/actuators.
  - 3. Complete installation of pipe-mounted components, including but not limited to: control valves and actuators, temperature sensors, pressure sensors.
- D. All electrical material and installation shall be in accordance with local applicable codes and requirements of Division 26. All automation system equipment supplied shall be provided with adequate grounding in accordance with the manufacturer's specifications and suggested engineering applications procedures. These requirements shall include, but not be limited to:
  - 1. A "clean earth ground" for all FCUs and central operator's station.
  - 2. No "ground mixing" between equipment components.
  - 3. Insulation of all panels from metal conduits.
  - 4. Equal-potential grounding for equipment where required.
- E. Identification:
  - 1. Provide a permanent, stick-on tape marker **on the inside cover** of the space sensor (e.g. temperature, RH) to identify the name of the HVAC unit associated with the sensor.
  - 2. Provide within each enclosure with a complete set of as-built schematics, tubing, and wiring diagrams and product literature for that particular controller.
  - 3. Label each end of cable, wire and tubing in enclosures following an approved identification system that extends from field I/O connection and all intermediate connections throughout length to controller connection. **Use printed labels, not hand-written.**
  - 4. Identify all controller enclosures with **1/8 inch** thick plastic labels not less than **3 x 1.25 inches**. Fasten with stainless-steel rivets or self-tapping screws or contact-type permanent adhesive, compatible with label and with substrate
- F. Install products to satisfy more stringent of all requirements indicated.
- G. Install products level, plumb, parallel, and perpendicular with building construction. Support products, tubing, piping wiring and raceways. Brace products to prevent lateral movement and sway or a break in attachment.
- H. If codes and referenced standards are more stringent than requirements indicated, comply with requirements in codes and referenced standards.

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- I. Fabricate openings and install sleeves in ceilings, floors, roof, and walls required by installation of products. Before proceeding with drilling, punching, and cutting, check for concealed work to avoid damage. Patch, flash, grout, seal, and refinish openings to match adjacent condition.
- J. Seal penetrations made in fire-rated assemblies and in acoustically rated assemblies in accordance with applicable fire codes.
- K. Fastening Hardware:
  - 1. Stillson wrenches, pliers, and other tools that damage surfaces of rods, nuts, and other parts are prohibited for work of assembling and tightening fasteners.
  - 2. Tighten bolts and nuts firmly and uniformly. Do not overstress threads by excessive force or by oversized wrenches.
  - 3. Lubricate threads of bolts, nuts and screws with graphite and oil before assembly.
- L. Install products in locations that are accessible and that will permit service and maintenance from floor, equipment platforms, or catwalks without removal of permanently installed furniture and equipment.
- M. Corrosive Environments:
  - 1. Avoid or limit use of materials in corrosive airstreams and environments, including, but not limited to, the following:
    - a. Laboratory exhaust-air streams.
    - b. Process exhaust-air streams.
  - 2. When conduit is in contact with a corrosive airstream and environment, use Type 316 stainless-steel conduit and fittings or conduit and fittings that are coated with a corrosive-resistant coating that is suitable for environment. Comply with requirements for installation of raceways and boxes specified in Section 260533 "Raceways and Boxes for Electrical Systems."
  - 3. Where instruments are located in a corrosive airstream and are not corrosive resistant from manufacturer, field install products in NEMA 250, Type 4X enclosure constructed of Type 316L stainless steel.

### 3.5 CONTROLLER INSTALLATION

- A. Quantity and location of network and programmable application controllers shall be determined by DDC system manufacturer to satisfy requirements indicated.
- B. Install controllers in enclosures to comply with indicated requirements in a protected location that is easily accessible by operators.
- C. Test and adjust controllers to verify operation of connected I/O to achieve performance indicated requirements while executing sequences of operation.

### 3.6 FIELD QUALITY CONTROL

- A. Manufacturer's Field Service: Engage a factory-authorized service representative to test and inspect components, assemblies, and installations, including connections.

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1. Perform each visual and mechanical inspection and electrical test stated in NETA Acceptance Testing Specification. Certify compliance with test parameters.
2. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.

### 3.7 DDC SYSTEM I/O CHECKOUT, ADJUSTMENT, CALIBRATION AND TESTING

- A. Prepare and submit a report to Engineer and Commissioning Authority documenting results for checking, adjustment, calibration, testing. Include a description of corrective measures and adjustments made to achieve desired results for each I/O point, control sequence, and system.

B. **Sensor Check and Calibration:**

1. Calibrate every sensing device, including temperature, relative humidity, pressure, etc. by comparing field-installed sensors to a high accuracy instrument that has been calibrated within the previous 12 months. Calibrate each instrument according to instrument instruction manual supplied by manufacturer. Field instruments and equipment used to test and calibrate installed instruments shall have accuracy at least twice the instrument accuracy being calibrated. (E.g., an installed instrument with an accuracy of 1 percent shall be checked by an instrument with an accuracy of 0.5 percent.
2. Provide a written description of proposed field procedures and equipment for calibrating each type of instrument. Submit procedures before calibration and adjustment.
3. All field sensors must read to within accuracy range listed in these specifications. For each analog instrument, make a three-point test of calibration for both linearity and accuracy.
4. Programmed offsets may be used to a certain degree to adjust sensor readings. Replace all sensors requiring an offset of more than 2F, or 10% RH, or 0.2" air static pressure or 1.5 psig water static, or 50 ppm CO<sub>2</sub>.
5. Submit a report certifying that every sensor has been calibrated and is reading accurately as a prerequisite for testing by the commissioning authority. List results of each sensor (field-reading versus calibrated instrument reading).

C. **Control Damper Checkout:**

1. Verify that control dampers are installed correctly for flow direction.
2. Verify that proper blade alignment, either parallel or opposed, has been provided.
3. Verify that damper frame attachment is properly secured and sealed.
4. Verify that actuator wiring is complete, enclosed and connected to correct power source.
5. Stroke and adjust control dampers following manufacturer's recommendation, from 100 percent open to 100 percent closed and back to 100 percent open. Verify that damper blade travel is unobstructed and that damper actuator and linkage attachment is secure.
6. Check and document open and close cycle times for applications with a cycle time less than 30 seconds.
7. For control dampers equipped with positive position indication, check feedback signal at multiple positions to confirm proper position indication.

D. **Control Valve Checkout:**

1. Verify that control valves are installed correctly for flow direction.
2. Verify that valve body attachment is properly secured and sealed.
3. Verify that valve actuator and linkage attachment is secure.

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4. Verify that actuator wiring is complete, enclosed and connected to correct power source.
5. After piping systems have been tested and put into service, but before insulating and balancing, inspect each valve for leaks. Adjust or replace packing to stop leaks. Replace the valve if leaks persist.
6. Stroke and adjust control valves following manufacturer's recommended procedure, from 100 percent open to 100 percent closed and back to 100 percent open. Verify that valve ball, disc or plug travel is unobstructed.
7. For control valves equipped with positive position indication, check feedback signal at multiple positions to confirm proper position indication.

E. Meters: Check sensors at zero, 50, and 100 percent of Project design values.

F. Switches: Calibrate switches to make or break contact at set points indicated.

G. Controllers:

1. Verify voltage, phase and hertz.
2. Verify that protection from power surges is installed and functioning.
3. Verify that ground fault protection is installed.
4. If applicable, verify if connected to UPS unit.
5. If applicable, verify if connected to a backup power source.
6. If applicable, verify that power conditioning units, transient voltage suppression and high-frequency noise filter units are installed.
7. Verify that wire and cabling is properly secured to terminals and labeled with unique identification.
8. Verify that spare I/O capacity is provided.
9. Test every I/O point connected to DDC controller to verify that safety and operating control set points are as indicated and as required to operate controlled system safely and at optimum performance. Test every I/O point throughout its full operating range.
10. Test every control loop to verify operation is stable and accurate.
11. Adjust control loop proportional, integral and derivative settings to achieve optimum performance while complying with performance requirements indicated. Document testing of each control loop's precision and stability via trend logs.
12. Test and adjust every control loop for proper operation according to sequence of operation.
13. Test software and hardware interlocks for proper operation. Correct deficiencies.
14. Operate each analog point at upper, mid, and lower portions of range
15. Exercise each binary point.
16. For every I/O point in DDC system, read and record each value at operator workstation, at DDC controller and at field instrument simultaneously. Value displayed at operator workstation, at DDC controller and at field instrument shall match.

### 3.8 DDC SYSTEM COMMISSIONING TESTS

A. Provide written request to Commissioning Authority (CxA) for initiation of on-site Functional Testing. Functional Testing will not take place until:

1. System has been thoroughly tested as described in this section under “DDC System I/O Checkout, Adjustment, Calibration, And Testing”, and report has been submitted.
2. Certificate of Readiness has been submitted to CxA.

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- a. At his discretion, if entire control system has not been completed, CxA may test portions of system which have been completed.
3. Prefunctional Checklists, if required by contract documents, have been submitted to CxA.
- B. Contractor shall set up trend logs as requested by the CxA, Engineer, or Owner, without any limits on the number of trends, to assist in testing and verification of system operation.
  1. Prior to start of functional testing, set up the following initial trend logs:
    - a. DOAS:
      - 1) OA T and RH: 15 minute readings.
      - 2) Discharge air temperature (dry bulb, wet bulb, dew point): 15 minute readings.
      - 3) Commanded fan speed: 15 minute readings.
      - 4) Commanded cooling/heating stages: 15 minute readings.
    - b. VAV air handler (or RTU):
      - 1) Room temperature: 15 minute readings.
      - 2) Discharge air temperature: 15 minute readings.
      - 3) Commanded fan speed: 15 minute readings.
      - 4) Commanded cooling/heating stages: 15 minute readings.
      - 5) OA damper position: Each 5% change of value.
      - 6) Return air CO2 (where point is specified): 30 minute readings.
- C. Contractor shall set up trends and logs as requested by the Engineer or Owner, without any limits on the number of trends.
- D. CxA will perform on-site and remote Functional testing as specified in Construction Documents and in accordance with generally accepted commissioning procedures. DDC system shall demonstrate correct operation and accuracy of monitored and controlled points as well as operation capabilities of sequences, logs, trends, reports, specialized control algorithms, diagnostics, and other software indicated. Reference section 019113 “General Commissioning Requirements” for more information.
  1. Contractor is to provide a qualified representative, intimately familiar with the project installation and issues, to carry out Functional Testing procedures as directed by CxA, for the duration of Functional Testing.
- E. CxA will issue reports to Contractor, in such forms as Commissioning Issues Logs, emails, written reports, detailing items which appear not to be in conformance with construction documents requirements. Take prompt action to remedy deficiencies indicated in field report and submit a second written request when all deficiencies have been corrected. Repeat process until no deficiencies are reported.
- F. Due to the complexity of control systems and potential for latent defects to go undiscovered during Functional Testing, DDC system or tested portions thereof must operate essentially trouble-free for a period of 30 consecutive days following Functional Testing before Final Acceptance of system will be granted.

### 3.9 WARRANTY SERVICE

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- A. System deficiencies discovered subsequent to Final Acceptance of system will be treated as Warranty items. Under Warranty requirements, address all operating problems, repair or replace worn or defective components, adjust control parameters as required for proper operation. Parts and supplies shall be manufacturer's authorized replacement parts and supplies.
- B. Provide system inspections and reports annually during warranty period; reference paragraph “Warranty Inspections” in this specifications section.

### 3.10 SOFTWARE SERVICE AGREEMENT

- A. Technical Support: Beginning **at Final Acceptance of system**, service agreement shall include software support for two year(s).
- B. Upgrade Service: At Substantial Completion, update software to latest version. Install and program software upgrades that become available within two years from date of **Final Acceptance of system**. Upgrading software shall include operating system and new or revised licenses for using software.

### 3.11 DEMONSTRATION AND TRAINING

- A. Engage a factory-authorized service representative with complete knowledge of Project-specific system installed to train Owner's maintenance personnel to adjust, operate, and maintain DDC system. Owner may send up to **10** persons to attend training. Training shall occur within normal business hours at a mutually agreed on time.
- B. Provide not less than **seven** hours of training total, **broken up into two 3.5 hour sessions**. Provide staggered training schedule as requested by Owner to accommodate Owner personnel schedules.
- C. Schedule training with Owner at least four business days before expected Substantial Completion. All training shall occur before Final Acceptance of control system.
- D. Organize training sessions into logical groupings of technical content and to reflect different levels of operators having access to system. Plan training sessions to accommodate daily operators, advanced operators, and system managers and administrators.
- E. Maintain a training attendee list and sign-in sheet. Sign-in sheet shall include training session number, date and time, instructor name, phone number and e-mail address, and brief description of content to be covered during session. List attendees with columns for name, phone number, e-mail address and a column for attendee signature or initials.
  - 1. For each session, submit a scanned copy (PDF) of circulated sign-in sheet to Owner, Engineer, and Commissioning Authority with 48 hours of end of training session.
- F. Provide each attendee with a color hard copy of all training materials and visual presentations. Hard-copy materials shall be organized in a three-ring binder with table of contents and individual divider tabs marked for each logical grouping of subject matter.
- G. Instructor Requirements:
  - 1. One or multiple qualified instructors, as required, to provide training.

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2. Instructors shall have not less than three years of providing instructional training on not less than five past projects with similar DDC system scope and complexity to DDC system installed.

### H. On-Site Training:

1. Provide as much of training located on-site as deemed feasible and practical by Owner. Owner will provide conditioned classroom or workspace with ample desks or tables, chairs, power and data connectivity for instructor and each attendee.
2. Instructor shall provide training materials, projector and other audiovisual equipment used in training.
3. On-site training shall include regular walk-through tours to observe each unique product type installed with hands-on review of operation, calibration and service requirements.
4. Operator workstation shall be used in training, whether Owner's or contractor-provided & via remote web access.

### I. Training Content:

1. Basic operation of system.
2. Understanding DDC system architecture and configuration.
3. Understanding each unique product type installed including performance and service requirements for each.
4. Understanding operation of each system and equipment controlled by DDC system including sequences of operation, each unique control algorithm and each unique optimization routine.
5. Operating operator workstations, printers and other peripherals.
6. Logging on and off system.
7. Accessing graphics, reports and alarms.
8. Adjusting and changing set points and time schedules.
9. Recognizing DDC system malfunctions.
10. Understanding content of operation and maintenance manuals, and control drawings.
11. Accessing data from DDC controllers.
12. Operating portable operator workstations.
13. Review of DDC testing results to establish basic understanding of DDC system operating performance and HVAC system limitations as of Substantial Completion.
14. Running each specified report and log, setting up Trend Logs.
15. Displaying and demonstrating each data entry to show Project-specific customizing capability. Demonstrating parameter changes.
16. Stepping through graphics penetration tree, displaying all graphics, demonstrating dynamic updating, and direct access to graphics.
17. Executing digital and analog commands in graphic mode.
18. Demonstrating control loop precision and stability via trend logs of I/O for not less than 10 percent of I/O installed.
19. Demonstrating DDC system performance through trend logs and command tracing.
20. Demonstrating scan, update, and alarm responsiveness.
21. Demonstrating spreadsheet and curve plot software, and its integration with database.
22. Demonstrating on-line user guide, and help function and mail facility.
23. Demonstrating multitasking by showing dynamic curve plot, and graphic construction operating simultaneously via split screen.
24. Demonstrating operation of HVAC equipment in normal-off, -on and failed conditions while observing individual equipment, dampers and valves for correct position under each condition.

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25. Demonstrating integration into DDC system is able to communicate with DDC controllers or gateways, as applicable.

END OF SECTION 230900

## SECTION 230993 - SEQUENCE OF OPERATIONS FOR HVAC CONTROLS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
- B. Related Sections include the following:
  - 1. Division 23 Section "Instrumentation and Control for HVAC" for control equipment and devices and for submittal requirements.
  - 2. Division 23 Sections for [Dehumidifiers](#), [Split Systems](#), [Exhaust Fans](#).

#### 1.2 ABBREVIATIONS

- A. Abbreviations used in this specifications section:
  - 1. BAS: Building Automation System
  - 2. CO2: Carbon Dioxide
  - 3. DAT: Discharge Air Temperature
  - 4. DCV: Demand Control Ventilation
  - 5. DDC: Direct Digital Control
  - 6. DOAS: Dedicated Outside Air System
  - 7. dP: differential Pressure
  - 8. dT: differential Temperature
  - 9. DX: Direct Expansion
  - 10. EF: Exhaust fan
  - 11. OA: Outside Air
  - 12. RH: Relative Humidity
  - 13. RTU: Rooftop Unit
  - 14. TAB: Testing, Adjusting and Balancing

#### 1.3 SUMMARY

- A. This Section includes control sequences for HVAC systems, subsystems, and equipment. Provide controls and operating sequences for the following HVAC systems at [Brownsville, ISD Aquatic Center](#):
  - 1. Alternate 2: BACnet interface with BAS for Dehumidifier systems : Dehumidifiers and associated exhaust fans controlled by integral on-board controllers. Coordinate BACnet interface card and points with equipment manufacturer. Coordinate details with Section-238416 for Mechanical Dehumidification Units.
  - 2. Alternate 2: Exhaust Fan Sequences for pool exhaust fans that are interlocked with the pool dehumidifier systems for speed control and operation.
  - 3. Alternate 3: Exhaust Fan Sequences for all exhaust fans in buildings.
  - 4. Alternate 3: mini-split system units.
  - 5. Alternate 3: DX packaged single zone RTUs.

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### 6. Alternate 3: Controls for pool and space water heating boilers, related pumps, and temperature sensors at the heaters.

- B. **Prior to bidding**, Controls contractor shall notify general, mechanical and electrical contractor of any work required for operational installation of controls devices that will not be conducted by Controls Contractor. This includes, but is not limited to: installation of controls devices and sensors, as well as any needs for power wiring and/or conduit.
- C. A list of the minimum number and type of control points required is given. Provide any additional points, sensors, gateways, interface cards, etc. as required to achieve sequences, whether specifically called for in Points List or not.
- D. Provide all hardware, software, and labor required to achieve specified sequences.
- E. Units or systems that are grouped together for purposes of sequence description are not meant to be controlled together. Each system shall have its own set of adjustable parameters and will respond only to values (space temperatures for example) associated with it. This means that one unit may be in heating mode while another with similar sequence may be in cooling mode.

## 1.4 EQUIPMENT TIME SCHEDULES

- A. Contractor is responsible for programming schedules for all equipment and systems prior to turning system over to Owner at final system acceptance.
- B. **Request Owner's input for on/off and optimum start/stop (OSS) programming for all equipment and systems, including both Normal and upcoming Holiday schedules, and Program holiday time schedules as per Owner's direction.**
- C. Equipment items having the same Off/Enable or Start/Stop times are not to be software interlinked such that one cannot be changed without changing the other. Each individual equipment item is to have its own individual programmable Off/Enable or Start/Stop scheduling capability.
- D. Unoccupied hours, Off / Enable:
  - 1. Coordinate setpoints for heating, cooling and dehumidification with the Owner.
  - 2. Unit shall run for a minimum of 30 min. (adj.) or until specified conditions are satisfied.
  - 3. Issue Alarm when setpoint are not for a period of 30 minutes (adj.). This time delay should allow false alarms immediately after units are disabled.
  - 4. Manual Override: Control may be manually overridden at the User Interface, controller and the COS.
  - 5. Hardware Interlocks: Controls shall not bypass any safeties or interlocks associated with fire protection shutdown.

## 1.5 SPACE TEMPERATURE SETPOINTS

- A. Temperature and humidity setpoints and operating schedules listed in sequences of operations are initial values, based upon input from Owner's representatives and common industry practice, and are not to be considered as final. Final setpoint and schedules must be programmed as per Owner's direction, and in consultation with and with approval of Owner

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and/or Engineer and Testing and Balancing firm, regardless of schedules listed in this specification.

- B. In consultation with Owner and/or Engineer, and Testing and Balancing firm, make minor revisions to operating sequences which will result in improved operation of systems.
  - 1. T and RH setpoints may be listed in control sequences. These are initial estimated values. They must be modified based upon input from the Testing and Balancing firm to final values which are optimal settings for energy efficient operation of the system.
- C. All adjustable setpoint temperature sensors / thermostats are to be software Locked from occupant adjustment, or limited as to the highest heating setpoint and lowest cooling setpoint which building occupants may choose. Initial values are listed in control sequences. Determine final limits in consultation with Owner and Engineer.
- D. Room Temperature Setpoints
  - 1. Allowable range for space temperature setpoints will be limited via control system.
    - a. Program temperature setpoints to be set only at the central operator station, and not be adjustable at the zone thermostat.
  - 2. System is expected to maintain room temperature no more than 0.75F above cooling setpoint / below heating setpoint during 'normal' steady-state operating conditions.
  - 3. Unless otherwise noted in drawings or operating sequences described below, program the following room temperature setpoints for all heating and cooling equipment.
    - a. Swim Meet Mode
      - 1) Space T setpoint = 80F
      - 2) Space RH setpoint = 60% occ, 50% unocc
      - 3) Pool T setpoint = 78F.
    - b. Regular Operation Mode
      - 1) Space T setpoint = 84F
      - 2) Space RH setpoint = 60% occ, 50% unocc
      - 3) Pool T setpoint = 83F.
    - c. Adaptive Pool Mode
      - 1) Space T setpoint = 84F
      - 2) Space RH setpoint = 60% occ, 50% unocc
      - 3) Pool T setpoint = 90F.

### 1.6 BACNET CONNECTIONS

- A. For equipment to which Bacnet connection is specified:
  - 1. All points readable within equipment Bacnet register are to be communicated to and readable by BAS.

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2. Provide a dedicated graphic page to display selected Bacnet points. Navigate to this dedicated graphic by clicking on the equipment icon.
3. The point descriptor text for all Bacnet points displayed on the dedicated Bacnet page are to have clear, unambiguous meaning; simply copying manufacturer's text descriptor may not be acceptable. Supply manufacturer's points text descriptors to Engineer for pre-approval. Revise descriptors if directed by Engineer.
4. Display all values with proper units (deg F, psig, etc.), truncated to decimal point accuracy commensurate with accuracy of sensor.

### 1.7 OUTDOOR AIR CONDITIONS

- A. Outdoor air temperature and relative humidity are to be read from an Engineer-approved web-based weather service such as Accuweather.com. Update conditions at no longer than 30-minute intervals.
- B. Display OA temperature and RH conditions on all graphics screens. Display values rounded to nearest whole number.

### 1.8 GENERAL

- A. Individual sequence descriptions may list units to which sequence applies. Contractor must verify equipment quantities and unit types through drawings review and on-site inspections.
- B. All setpoints used in controls sequences shall be user adjustable with a minimum of keystrokes.
- C. Points lists have been provided as a general guideline, and are not all inclusive. Provide all points required for achievement of operating sequences.
- D. All delays shall be operator adjustable. Program for a minimum delay between on/off commands for HVAC equipment to prevent short cycling.
- E. Unless noted otherwise, program for minimum VFD speed of 30% of full 60hz speed.
- F. Unless noted otherwise, close HVAC unit outside air dampers during all Unoccupied periods.
- G. Economizer Operation:
  1. Economizer operation is to be based upon a combination of space enthalpy and outside air enthalpy.
  2. During Occupied periods when system is in cooling mode, place unit in Economizer mode when OA enthalpy is less than 23 Btu/lbm and outside air temperature is less than return air temperature, and modulate outside air dampers to extent required to maintain discharge air temperature or space temperature, as applicable to unit operation.
- H. Optimum Start/Stop (OSS):
  1. When this feature is Enabled, control system shall automatically calculate the optimal start time for each HVAC system or unit so that comfort conditions will be achieved by

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the scheduled Occupied time. Control system shall determine the optimal time for equipment shutdown so that comfort conditions may be maintained until scheduled off time with minimal energy use.

2. Coordinate with Owner for information on desired times for comfort conditions and whether OSS is to be Enabled.

### I. Interlocks:

1. Hardware: Controls shall not bypass any safeties or interlocks associated with fire protection shutdown.
2. Provide all hardware necessary to achieve software interlocks required for proper system operation, including but not limited to control of dampers and exhaust fans. Coordinate with mechanical and electrical contractors.

### J. Operator Station Display: Indicate the following on operator workstation display terminal, as applicable per points list:

1. Building floor plan, indicating individual rooms, thermostat locations, and areas served by each air handler, fan coil unit and rooftop unit.
2. Conditioned space air temperature, all zones.
3. Conditioned space air Base temperature setpoint, all zones.
4. Conditioned space air Actual temperature setpoint, all zones.
5. Distinguish different area(s) served by individual HVAC equipment items by use of color variation or heavy lines on floor plans graphics page.
6. When a control point is in "Test" mode, graphic shall indicate the status such as "test" or "manual".

## 1.9 ALARMS

- A. Provide minimum / maximum expected input values for all sensors. When any sensor gives a reading outside of these values, initiate an alarm at central operator station, and indicate alarm clearly on graphics screen where the parameter is displayed.

### 1. Expected maximum / minimum values:

- a. Space temperature sensor: 100F / 40F.
- b. Outdoor air temperature sensor: 115F / 15F.
- c. RH sensor: 105% / 10%.

2. When sensor readings are outside expected range, annunciate system alarm.

- B. For all HVAC units, register alarms under the following conditions:

1. Discrepancy between actual and commanded state of operation.
2. Unit has tripped on any safety (e.g., high static pressure, freezestat).
3. Space T, Pool T, Space RH have deviated from setpoint over a pre-established time interval.

- C. For all mechanical equipment, register alarms under the following conditions:

1. Discrepancy between actual and commanded state of operation.

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- D. Register alarms for other equipment when monitored alarm contacts close.
- E. Provide additional alarm annunciation as described in sub-paragraphs below labeled “Alarms”.
- F. **Smoke Control:** Smoke detector stops fan when products of combustion are detected in air stream.
  - 1. Fan will be stopped directly via fire alarm system.
  - 2. BAS is not required to monitor smoke detector status.
  - 3. Stopping of fan by fire alarm system automatically signals alarm due to Command-Status mismatch at BAS.

### 1.10 DEHUMIDIFIER SCHEDULED CONTROL SEQUENCES (ALTERNATE 2)

- A. **Dehumidifier units have on-board controllers that are interlocked with exhaust fans.** See sequences for Dehumidifiers.
- B. Monitoring points via BACnet shall be coordinated with Section 238416, 2.9 and 2.10.
- C. BAS shall register Mode of operation such as Dehumidification, Air-conditioning, Space heating, Pool water heating mode, Freeze protection mode etc.
- D. BAS shall monitor the following points and allow setpoints to be reset via BACnet interface.
  - 1. Space T
  - 2. Space RH
  - 3. Pool T
  - 4. Time Schedules.
  - 5. Exhaust fan speed (off, low, high)
  - 6. Outside air damper position (off, minimum, maximum)
- E. **Alarms:** Use dry contacts from Dehumidifier Units to get the following alarms.
  - 1. Alarm
  - 2. Blower interlock
  - 3. Stage 1 & 2 heating
  - 4. Outdoor air damper control
  - 5. Remote exhaust fan, speed control
  - 6. Outdoor-air cooled equipment
  - 7. System on
  - 8. Auxiliary pool heater 1
  - 9. Heat recovery

### 1.11 BUILDING EXHAUST FAN SCHEDULED CONTROL SEQUENCES (ALTERNATE 2)

- A. **Dehumidifier units are interlocked with exhaust fans, either integral to the unit, or exterior to the unit, that will provide exhaust requirements for the pool areas of the building.** See sequences for Dehumidifiers.
- B. **Pool Exhaust Fans are capable of operating in 3 modes (speeds):**
  - 1. Swim meet mode (High Speed). Signal from dehumidifier units.

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2. Normal mode (Low Speed). Signal from dehumidifier units.
3. Purge mode (High Speed), same as swim-meet mode, but restricted to a specific duration. The pool operator may operate the unit in the swim-meet mode one hour (adj.), after pool chemicals have been added to the water.

C. Interlocks:

1. Hardware Interlocks: Controls shall not bypass any safeties or interlocks associated with fire protection shutdown, or with dehumidifier operation..
2. Software Interlocks: Provide all hardware necessary to achieve software interlocks required for proper system operation. Coordinate with mechanical and electrical contractors.

D. Operator Workstation: Display System graphic, for each.

Point Name	AI	AO	BI	BO	Trend	Alarm	Show On Graphic
Fan Status			X		X	X	X
Fan Start/Stop				X		X	X
Fan Speed	X	X					X

1.12 BUILDING EXHAUST FAN SCHEDULED CONTROL SEQUENCES (ALTERNATE 3)

A. For all other building EFs: Quantity shall be verified in the building. Assume 6.

1. Enable/disable EFs according to occupancy schedule. Occupancy times will be initially set-up according to the Automatic Time Schedule for each fan and shall be completely operator adjustable for fans individually.
2. Exhaust fans shall have a dedicated time schedule (that is independent of AHU time schedule). Coordinate time schedules such that exhaust fans are operational only when associated outside air dampers are open. Under no circumstances should exhaust fans operate when outside air dampers are closed.

B. Manual Override: Control may be manually overridden at a wall switch, controller or at the COS. Control will remain in "Override" position for a pre-programmed time period (1 hour, adj).

C. Interlocks:

1. Hardware Interlocks: Controls shall not bypass any safeties or interlocks associated with fire protection shutdown.
2. Software Interlocks: Provide all hardware necessary to achieve software interlocks required for proper system operation. Coordinate with mechanical and electrical contractors.

D. Operator Workstation: Display System graphic, for each.

Point Name	AI	AO	BI	BO	Trend	Alarm	Show On Graphic
Fan Status			X		X		X
Fan Start/Stop				X			X

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### 1.13 SCHEDULED CONTROL SEQUENCES FOR DX FCU and ACCU (ALTERNATE 3)

- A. Applicable to mini-split systems serving tunnels, Quantity: 2.
- B. Unit Default Mode: Acts as independent DX system.
- C. Sequences
  1. Unit Start/Stop: Enable unit according to Scheduled Control Sequences.
  2. Monitor unit status and space temperature and relative humidity.
- D. Operator Workstation: Display the following data:
  1. System graphic.
  2. Unit commanded state.
  3. Unit status (evaporator fan on/off)
  4. Space temperature
  5. Space RH.

### 1.14 SCHEDULED CONTROL SEQUENCES FOR DX RTUs (ALTERNATE 3)

- A. Applicable to RTUs serving occupied spaces, Quantity: 4
- B. Unit Default Mode: Acts as independent DX system.
- C. Sequences
  1. Unit Start/Stop: Enable unit according to Scheduled Control Sequences.
  2. Monitor unit status and space temperature and relative humidity.
- D. Operator Workstation: Display the following data:
  1. System graphic.
  2. Unit commanded state.
  3. Unit status (evaporator fan on/off)
  4. Space temperature
  5. Space RH.

### 1.15 SCHEDULED CONTROL SEQUENCES FOR POOL AND SPACE WATER HEATERS AND PUMPS (ALTERNATE 3)

- A. Applicable to water heaters and related pumps for pool heating and space heating application, quantity: 4. In addition, there is an additional pump associated with Dehumidifier-2 for pool heating application.
- B. Unit Default Mode: Acts as independent systems with hard-wired interlocked pumps.
- C. Sequences
  1. System Start/Stop: Enable systems according to Scheduled Control Sequences.
  2. Monitor unit status of each piece of equipment (4 boilers, 5 pumps)
  3. Monitor water temperature at inlet and outlet of every boiler.
  4. Monitor water temperature at supply and return water to the pool.

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- D. Operator Workstation: Display the following data:
  - 1. System graphic.
  - 2. Commanded state.
  - 3. Status (burner on/off for boilers)
  - 4. Water temperatures as indicated above.

### 1.16 OTHER SEQUENCES

- A. Operator Overrides: System shall allow operator to enable / disable unit and / or control / adjust all setpoints from COS.
- B. Alarms: System shall issue alarm at COS upon failure of fan or failure to achieve setpoint within specified time (15 min. adj.)
- C. Graphics pages must have units listed beside parameter values (e.g. °F, ppm, % Open, psi, etc.)
- D. When parameters are in manual or test modes, graphics shall indicate that they are in test mode.
- E. Provide up to 8 hours of programming to account for additional control sequences and fine-tuning above sequences, during the course of the project.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 230993

## SECTION 232113 - HYDRONIC PIPING

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section includes pipe and fitting materials and joining methods for the following:
  1. Hot-water piping rated for 300 psi.
  2. Air-vent piping.
  3. [This does not apply to pool water application.](#)

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of the following:
  1. Fittings.
  2. Piping.
- B. Sustainability Submittals:
  1. Product Data: For solvent cements and adhesive primers, documentation including printed statement of VOC content.
- C. Delegated-Design Submittal:
  1. Design calculations and detailed fabrication and assembly of pipe anchors and alignment guides, hangers and supports for multiple pipes, expansion joints and loops, and attachments of the same to the building structure.
  2. Locations of pipe anchors and alignment guides and expansion joints and loops.
  3. Locations of and details for penetrations, including sleeves and sleeve seals for exterior walls, floors, basement, and foundation walls.
  4. Locations of and details for penetration and firestopping for fire- and smoke-rated wall and floor and ceiling assemblies.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Piping layout, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:
  1. Suspended ceiling components.
  2. Other building services.
  3. Structural members.
- B. Qualification Data: For Installer.
- C. Welding certificates.

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- D. Field quality-control reports.

### 1.5 QUALITY ASSURANCE

- A. Installer Qualifications:
- B. Steel Support Welding: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code - Steel."
- C. Pipe Welding: Qualify procedures and operators according to ASME Boiler and Pressure Vessel Code: Section IX.
  - 1. Comply with ASME B31.9, "Building Services Piping," for materials, products, and installation.
  - 2. Certify that each welder has passed AWS qualification tests for welding processes involved and that certification is current.

## PART 2 - PRODUCTS

### 2.1 PERFORMANCE REQUIREMENTS

- A. Hydronic piping components and installation shall be capable of withstanding the following minimum working pressure and temperature unless otherwise indicated:
  - 1. Chilled-Water Piping: 300 psig at 200 deg F.
  - 2. Makeup-Water Piping: 300 psig at 150 deg F.
  - 3. Condensate-Drain Piping: 150 deg F.
  - 4. Air-Vent Piping: 200 deg F.

### 2.2 COPPER TUBE AND FITTINGS

- A. Drawn-Temper Copper Tubing: ASTM B 88, Type L.
- B. Annealed-Temper Copper Tubing: ASTM B 88, Type K.
- C. DWV Copper Tubing: ASTM B 306, Type DWV.
- D. Wrought-Copper Unions: ASME B16.22.

### 2.3 STEEL PIPE AND FITTINGS

- A. Steel Pipe: ASTM A 53/A 53M, black steel with plain ends; welded and seamless, Grade B, and wall thickness as indicated in "Piping Applications" Article.
- B. Malleable-Iron Threaded Fittings: ASME B16.3, Classes 150 and 300 as indicated in "Piping Applications" Article.
- C. Malleable-Iron Unions: ASME B16.39; Classes 150, 250, and 300 as indicated in "Piping Applications" Article.

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- D. Cast-Iron Pipe Flanges and Flanged Fittings: ASME B16.1, Classes 25, 125, and 250; raised ground face, and bolt holes spot faced as indicated in "Piping Applications" Article.
- E. Wrought-Steel Fittings: ASTM A 234/A 234M, wall thickness to match adjoining pipe.
- F. Wrought Cast- and Forged-Steel Flanges and Flanged Fittings: ASME B16.5, including bolts, nuts, and gaskets of the following material group, end connections, and facings:
  - 1. Material Group: 1.1.
  - 2. End Connections: Butt welding.
  - 3. Facings: Raised face.
- G. Grooved Mechanical-Joint Fittings and Couplings:
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Anvil International.
    - b. Central Sprinkler Company.
    - c. Victaulic Company.
  - 2. Joint Fittings: ASTM A 536, Grade 65-45-12 ductile iron; ASTM A 47/A 47M, Grade 32510 malleable iron; ASTM A 53/A 53M, Type F, E, or S, Grade B fabricated steel; or ASTM A 106/A 106M, Grade B steel fittings with grooves or shoulders constructed to accept grooved-end couplings; with nuts, bolts, locking pin, locking toggle, or lugs to secure grooved pipe and fittings.
  - 3. Couplings: Ductile- or malleable-iron housing and EPDM gasket of central cavity pressure-responsive design; with nuts, bolts, locking pin, locking toggle, or lugs to secure grooved pipe and fittings.
- H. Steel Pipe Nipples: ASTM A 733, made of same materials and wall thicknesses as pipe in which they are installed.

### 2.4 JOINING MATERIALS

- A. Pipe-Flange Gasket Materials: Suitable for chemical and thermal conditions of piping system contents.
  - 1. ASME B16.21, nonmetallic, flat, asbestos free, 1/8-inch maximum thickness unless otherwise indicated.
    - a. Full-Face Type: For flat-face, Class 125, cast-iron and cast-bronze flanges.
    - b. Narrow-Face Type: For raised-face, Class 250, cast-iron and steel flanges.
- B. Flange Bolts and Nuts: ASME B18.2.1, carbon steel, unless otherwise indicated.
- C. Brazing Filler Metals: AWS A5.8/A5.8M, BCuP Series, copper-phosphorus alloys for joining copper with copper; or BAg-1, silver alloy for joining copper with bronze or steel.
- D. Welding Filler Metals: Comply with AWS D10.12M/D10.12 for welding materials appropriate for wall thickness and chemical analysis of steel pipe being welded.

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- E. Gasket Material: Thickness, material, and type suitable for fluid to be handled and working temperatures and pressures.

### 2.5 DIELECTRIC FITTINGS

- A. General Requirements: Assembly of copper alloy and ferrous materials with separating nonconductive insulating material. Include end connections compatible with pipes to be joined.

- B. Dielectric Unions:

- 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. Watts; a Watts Water Technologies company.
  - b. Wilkins.
  - c. Zurn Industries, LLC.
- 2. Description:
  - a. Standard: ASSE 1079.
  - b. Pressure Rating: 300 psig.
  - c. End Connections: Solder-joint copper alloy and threaded ferrous.

- C. Dielectric Flanges:

- 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. Watts; a Watts Water Technologies company.
  - b. Wilkins.
  - c. Zurn Industries, LLC.
- 2. Description:
  - a. Standard: ASSE 1079.
  - b. Factory-fabricated, bolted, companion-flange assembly.
  - c. Pressure Rating: 300 psig.
  - d. End Connections: Solder-joint copper alloy and threaded ferrous; threaded solder-joint copper alloy and threaded ferrous.

- D. Dielectric-Flange Insulating Kits:

- 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. Advance Products & Systems, Inc.
  - b. Central Plastics Company.
  - c. Pipeline Seal and Insulator, Inc.
- 2. Description:
  - a. Nonconducting materials for field assembly of companion flanges.
  - b. Pressure Rating: 300 psig.

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- c. Gasket: Neoprene or phenolic.
  - d. Bolt Sleeves: Phenolic or polyethylene.
  - e. Washers: Phenolic with steel backing washers.
- E. Dielectric Nipples:
- 1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
    - a. Grinnell Mechanical Products.
    - b. Victaulic Company.
  - 2. Description:
    - a. Standard: IAPMO PS 66.
    - b. Electroplated steel nipple, complying with ASTM F 1545.
    - c. Pressure Rating: 300 psig at 225 deg F.
    - d. End Connections: Male threaded or grooved.
    - e. Lining: Inert and noncorrosive, propylene.

## PART 3 - EXECUTION

### 3.1 PIPING APPLICATIONS

- A. Hot-water piping, aboveground, NPS 2 and smaller, shall be any of the following:
  - 1. Type L, drawn-temper copper tubing, wrought-copper fittings, and soldered joints.
  - 2. Schedule 40 steel pipe; Class 150, malleable-iron fittings; cast-iron flanges and flange fittings; and threaded joints.
- B. Chilled-water piping, aboveground, NPS 2-1/2 and larger, shall be the following:
  - 1. Schedule 40 steel pipe, wrought-steel fittings and wrought-cast or forged-steel flanges and flange fittings, and welded and flanged joints.
- C. Air-Vent Piping:
  - 1. Inlet: Same as service where installed with metal-to-plastic transition fittings for plastic piping systems according to piping manufacturer's written instructions.
  - 2. Outlet: Type K, annealed-temper copper tubing with soldered or flared joints.

### 3.2 PIPING INSTALLATIONS

- A. Drawing plans, schematics, and diagrams indicate general location and arrangement of piping systems. Install piping as indicated unless deviations to layout are approved on Coordination Drawings.
- B. Install piping in concealed locations unless otherwise indicated and except in equipment rooms and service areas.
- C. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.

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- D. Install piping above accessible ceilings to allow sufficient space for ceiling panel removal.
- E. Install piping to permit valve servicing.
- F. Install piping at indicated slopes.
- G. Install piping free of sags and bends.
- H. Install fittings for changes in direction and branch connections.
- I. Install piping to allow application of insulation.
- J. Select system components with pressure rating equal to or greater than system operating pressure.
- K. Install groups of pipes parallel to each other, spaced to permit applying insulation and servicing of valves.
- L. Install drains, consisting of a tee fitting, NPS 3/4 ball valve, and short NPS 3/4 threaded nipple with cap, at low points in piping system mains and elsewhere as required for system drainage.
- M. Install piping at a uniform grade of 0.2 percent upward in direction of flow.
- N. Reduce pipe sizes using eccentric reducer fitting installed with level side up.
- O. Install branch connections to mains using tee fittings in main pipe, with the branch connected to the bottom of the main pipe. For up-feed risers, connect the branch to the top of the main pipe.
- P. Install valves according to Div. 23 sections.
- Q. Install unions in piping, NPS 2 and smaller, adjacent to valves, at final connections of equipment, and elsewhere as indicated.
- R. Install flanges in piping, NPS 2-1/2 and larger, at final connections of equipment and elsewhere as indicated.
- S. Install shutoff valve immediately upstream of each dielectric fitting.
- T. Comply with requirements in Section 230516 "Expansion Fittings and Loops for HVAC Piping" for installation of expansion loops, expansion joints, anchors, and pipe alignment guides.
- U. Comply with requirements in Section 230553 "Identification for HVAC Piping and Equipment" for identifying piping.
- V. Install sleeves for piping penetrations of walls, ceilings, and floors. Comply with requirements for sleeves specified in Section 230517 "Sleeves and Sleeve Seals for HVAC Piping."
- W. Install sleeve seals for piping penetrations of concrete walls and slabs. Comply with requirements for sleeve seals specified in Section 230517 "Sleeves and Sleeve Seals for HVAC Piping."

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- X. Install escutcheons for piping penetrations of walls, ceilings, and floors. Comply with requirements for escutcheons specified in Section 230518 "Escutcheons for HVAC Piping."

### 3.3 DIELECTRIC FITTING INSTALLATION

- A. Install dielectric fittings in piping at connections of dissimilar metal piping and tubing.
- B. Dielectric Fittings for NPS 2 and Smaller: Use dielectric unions.
- C. Dielectric Fittings for NPS 2-1/2 to NPS 4: Use dielectric flanges.
- D. Dielectric Fittings for NPS 5 and Larger: Use dielectric flange kits.

### 3.4 HANGERS AND SUPPORTS

- A. Comply with requirements in Section 230529 "Hangers and Supports for HVAC Piping and Equipment" for hanger, support, and anchor devices. Comply with the following requirements for maximum spacing of supports.
- B. Install the following pipe attachments:
  - 1. Adjustable steel clevis hangers for individual horizontal piping less than 20 feet long.
  - 2. Adjustable roller hangers and spring hangers for individual horizontal piping 20 feet or longer.
  - 3. Pipe Roller: MSS SP-58, Type 44 for multiple horizontal piping 20 feet or longer, supported on a trapeze.
  - 4. Spring hangers to support vertical runs.
  - 5. Provide copper-clad hangers and supports for hangers and supports in direct contact with copper pipe.
- C. Install hangers for steel piping with the following maximum spacing and minimum rod sizes:
  - 1. NPS 3/4: Maximum span, 7 feet.
  - 2. NPS 1: Maximum span, 7 feet.
  - 3. NPS 1-1/2: Maximum span, 9 feet.
  - 4. NPS 2: Maximum span, 10 feet.
  - 5. NPS 2-1/2: Maximum span, 11 feet.
  - 6. NPS 3 and Larger: Maximum span, 12 feet.
- D. Install hangers for drawn-temper copper piping with the following maximum spacing and minimum rod sizes:
  - 1. NPS 3/4: Maximum span, 5 feet; minimum rod size, 1/4 inch.
  - 2. NPS 1: Maximum span, 6 feet; minimum rod size, 1/4 inch.
  - 3. NPS 1-1/4: Maximum span, 7 feet; minimum rod size, 3/8 inch.
  - 4. NPS 1-1/2: Maximum span, 8 feet; minimum rod size, 3/8 inch.
  - 5. NPS 2: Maximum span, 8 feet; minimum rod size, 3/8 inch.
  - 6. NPS 2-1/2: Maximum span, 9 feet; minimum rod size, 3/8 inch.
  - 7. NPS 3 and Larger: Maximum span, 10 feet; minimum rod size, 3/8 inch.
- E. Support vertical runs at roof, at each floor, and at 10-foot intervals between floors.

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### 3.5 PIPE JOINT CONSTRUCTION

- A. Ream ends of pipes and tubes and remove burrs. Bevel plain ends of steel pipe.
- B. Remove scale, slag, dirt, and debris from inside and outside of pipe and fittings before assembly.
- C. Brazed Joints: Construct joints according to AWS's "Brazing Handbook," "Pipe and Tube" Chapter, using copper-phosphorus brazing filler metal complying with AWS A5.8/A5.8M.
- D. Threaded Joints: Thread pipe with tapered pipe threads according to ASME B1.20.1. Cut threads full and clean using sharp dies. Ream threaded pipe ends to remove burrs and restore full ID. Join pipe fittings and valves as follows:
  - 1. Apply appropriate tape or thread compound to external pipe threads unless dry seal threading is specified.
  - 2. Damaged Threads: Do not use pipe or pipe fittings with threads that are corroded or damaged. Do not use pipe sections that have cracked or open welds.
- E. Welded Joints: Construct joints according to AWS D10.12M/D10.12, using qualified processes and welding operators according to "Quality Assurance" Article.
- F. Flanged Joints: Select appropriate gasket material, size, type, and thickness for service application. Install gasket concentrically positioned. Use suitable lubricants on bolt threads.
- G. Grooved Joints: Assemble joints with coupling and gasket, lubricant, and bolts. Cut or roll grooves in ends of pipe based on pipe and coupling manufacturer's written instructions for pipe wall thickness. Use grooved-end fittings and rigid, grooved-end-pipe couplings.

### 3.6 TERMINAL EQUIPMENT CONNECTIONS

- A. Sizes for supply and return piping connections shall be the same as or larger than equipment connections.
- B. Install control valves in accessible locations close to connected equipment.
- C. Install bypass piping with globe valve around control valve. If parallel control valves are installed, only one bypass is required.
- D. Install ports for pressure gages and thermometers at coil inlet and outlet connections. Comply with requirements in Section 230519 "Meters and Gages for HVAC Piping."

### 3.7 FIELD QUALITY CONTROL

- A. Prepare hydronic piping according to ASME B31.9 and as follows:
  - 1. Leave joints, including welds, uninsulated and exposed for examination during test.
  - 2. Provide temporary restraints for expansion joints that cannot sustain reactions due to test pressure. If temporary restraints are impractical, isolate expansion joints from testing.
  - 3. Flush hydronic piping systems with clean water; then remove and clean or replace strainer screens.

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4. Isolate equipment from piping. If a valve is used to isolate equipment, its closure shall be capable of sealing against test pressure without damage to valve. Install blinds in flanged joints to isolate equipment.
  5. Install safety valve, set at a pressure no more than one-third higher than test pressure, to protect against damage by expanding liquid or other source of overpressure during test.
- B. Perform the following tests on hydronic piping:
1. Use ambient temperature water as a testing medium unless there is risk of damage due to freezing. Another liquid that is safe for workers and compatible with piping may be used.
  2. While filling system, use vents installed at high points of system to release air. Use drains installed at low points for complete draining of test liquid.
  3. Isolate expansion tanks and determine that hydronic system is full of water.
  4. Subject piping system to hydrostatic test pressure that is not less than 1.5 times the system's working pressure. Test pressure shall not exceed maximum pressure for any vessel, pump, valve, or other component in system under test. Verify that stress due to pressure at bottom of vertical runs does not exceed 90 percent of specified minimum yield strength or 1.7 times the "SE" value in Appendix A in ASME B31.9, "Building Services Piping."
  5. After hydrostatic test pressure has been applied for at least 10 minutes, examine piping, joints, and connections for leakage. Eliminate leaks by tightening, repairing, or replacing components, and repeat hydrostatic test until there are no leaks.
  6. Prepare written report of testing.
- C. Perform the following before operating the system:
1. Open manual valves fully.
  2. Inspect pumps for proper rotation.
  3. Set makeup pressure-reducing valves for required system pressure.
  4. Inspect air vents at high points of system and determine if all are installed and operating freely (automatic type), or bleed air completely (manual type).
  5. Set temperature controls so all coils are calling for full flow.
  6. Inspect and set operating temperatures of hydronic equipment, such as boilers, chillers, cooling towers, to specified values.
  7. Verify lubrication of motors and bearings.

END OF SECTION 232113

## SECTION 232116 - HYDRONIC PIPING SPECIALTIES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section includes special-duty valves and specialties for the following:
  1. Hot-water piping rated for 300 psi
  2. [This does not apply to pool water system.](#)

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of the following:
  1. Valves: Include flow and pressure drop curves based on manufacturer's testing for calibrated-orifice balancing valves and automatic flow-control valves.
  2. Air-control devices.
  3. Hydronic specialties.

#### 1.4 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For air-control devices, hydronic specialties, and special-duty valves to include in emergency, operation, and maintenance manuals.

#### 1.5 MAINTENANCE MATERIAL SUBMITTALS

- A. Differential Pressure Meter: For each type of balancing valve and automatic flow control valve, include flowmeter, probes, hoses, flow charts, and carrying case.

#### 1.6 QUALITY ASSURANCE

- A. Pipe Welding: Qualify procedures and operators according to ASME Boiler and Pressure Vessel Code: Section IX.
  1. Safety valves and pressure vessels shall bear the appropriate ASME label. Fabricate and stamp air separators and expansion tanks to comply with ASME Boiler and Pressure Vessel Code: Section VIII, Division 1.

## SECTION 232116 - HYDRONIC PIPING SPECIALTIES

### PART 2 - PRODUCTS

#### 2.1 PERFORMANCE REQUIREMENTS

- A. Hydronic piping components and installation shall be capable of withstanding the following minimum working pressure and temperature unless otherwise indicated:
1. Chilled- and Hot-Water Piping: 300 psig at 200 deg F.
  2. Air-Vent Piping: 200 deg F.
  3. Safety-Valve-Inlet and -Outlet Piping: Equal to the pressure of the piping system to which it is attached.

#### 2.2 VALVES

- A. Gate, Globe, Check, Ball, and Butterfly Valves: Comply with requirements specified in Division 23 Sections.
- B. Automatic Temperature-Control Valves, Actuators, and Sensors: Comply with requirements specified in Section 230900.
- C. Cast-Iron or Steel, Calibrated-Orifice, Balancing Valves:
1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Armstrong Pumps, Inc.
    - b. Bell & Gossett; a Xylem brand.
    - c. Flow Design, Inc.
    - d. Griswold Controls.
    - e. Nexus Valve, Inc.
    - f. Taco.
  2. Body: Cast-iron or steel body, ball, plug, or globe pattern with calibrated orifice or venturi.
  3. Ball: Stainless steel.
  4. Stem Seals: EPDM O-rings.
  5. Disc: Glass and carbon-filled PTFE.
  6. Seat: PTFE.
  7. End Connections: Flanged or grooved.
  8. Pressure Gage Connections: Integral seals for portable differential pressure meter.
  9. Handle Style: Lever, with memory stop to retain set position.
  10. CWP Rating: Minimum 300 psig.
  11. Maximum Operating Temperature: 250 deg F.
- D. Diaphragm-Operated, Pressure-Reducing Valves: ASME labeled.
1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. AMTROL, Inc.

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- b. [Armstrong Pumps, Inc.](#)
  - c. [Bell & Gossett; a Xylem brand.](#)
  - d. [Watts; a Watts Water Technologies company.](#)
2. Body: Bronze or brass.
  3. Disc: Glass and carbon-filled PTFE.
  4. Seat: Brass.
  5. Stem Seals: EPDM O-rings.
  6. Diaphragm: EPT.
  7. Low inlet-pressure check valve.
  8. Inlet Strainer: Stainless steel, removable without system shutdown.
  9. Valve Seat and Stem: Noncorrosive.
  10. Valve Size, Capacity, and Operating Pressure: Selected to suit system in which installed, with operating pressure and capacity factory set and field adjustable.
- E. Diaphragm-Operated Safety Valves: ASME labeled.
1. [Manufacturers:](#) Subject to compliance with requirements, provide products by one of the following:
    - a. [AMTROL, Inc.](#)
    - b. [Armstrong Pumps, Inc.](#)
    - c. [Bell & Gossett; a Xylem brand.](#)
    - d. [Watts; a Watts Water Technologies company.](#)
  2. Body: Bronze or brass.
  3. Disc: Glass and carbon-filled PTFE.
  4. Seat: Brass.
  5. Stem Seals: EPDM O-rings.
  6. Diaphragm: EPT.
  7. Wetted, Internal Work Parts: Brass and rubber.
  8. Inlet Strainer: Stainless steel, removable without system shutdown.
  9. Valve Seat and Stem: Noncorrosive.
  10. Valve Size, Capacity, and Operating Pressure: Comply with ASME Boiler and Pressure Vessel Code: Section IV, and selected to suit system in which installed, with operating pressure and capacity factory set and field adjustable.
- F. Automatic Flow-Control Valves:
1. [Manufacturers:](#) Subject to compliance with requirements, provide products by one of the following:
    - a. [Flow Design, Inc.](#)
    - b. [Griswold Controls.](#)
    - c. [Nexus Valve, Inc.](#)
  2. Body: Brass or ferrous metal.
  3. Piston and Spring Assembly: Stainless steel, tamper proof, self-cleaning, and removable.
  4. Combination Assemblies: Include bronze or brass-alloy ball valve.
  5. Identification Tag: Marked with zone identification, valve number, and flow rate.
  6. Size: Same as pipe in which installed.

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7. Performance: Maintain constant flow, plus or minus 5 percent over system pressure fluctuations.
8. Minimum CWP Rating: 300 psig.
9. Maximum Operating Temperature: 200 deg F.

### 2.3 AIR-CONTROL DEVICES

#### A. Manual Air Vents:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. AMTROL, Inc.
  - b. Armstrong Pumps, Inc.
  - c. Bell & Gossett; a Xylem brand.
  - d. Nexus Valve, Inc.
  - e. Taco, Inc.
2. Body: Bronze.
3. Internal Parts: Nonferrous.
4. Operator: Screwdriver or thumbscrew.
5. Inlet Connection: NPS 1/2.
6. Discharge Connection: NPS 1/8.
7. CWP Rating: 300 psig.
8. Maximum Operating Temperature: 225 deg F.

#### B. Automatic Air Vents:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. AMTROL, Inc.
  - b. Armstrong Pumps, Inc.
  - c. Bell & Gossett; a Xylem brand.
  - d. Nexus Valve, Inc.
  - e. Taco, Inc.
2. Body: Bronze.
3. Internal Parts: Nonferrous.
4. Operator: Noncorrosive metal float.
5. Inlet Connection: NPS 1/2.
6. Discharge Connection: NPS 1/4.
7. CWP Rating: 300 psig.
8. Maximum Operating Temperature: 240 deg F.

### 2.4 HYDRONIC PIPING SPECIALTIES

#### A. Y-Pattern Strainers:

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1. Body: ASTM A 126, Class B, cast iron with bolted cover and bottom drain connection.
2. End Connections: Threaded ends for NPS 2 and smaller; flanged ends for NPS 2-1/2 and larger.
3. Strainer Screen: Stainless-steel, 40-mesh strainer, or perforated stainless-steel basket.
4. CWP Rating: 300 psig.

### B. Basket Strainers:

1. Body: ASTM A 126, Class B, high-tensile cast iron with bolted cover and bottom drain connection.
2. End Connections: Threaded ends for NPS 2 and smaller; flanged ends for NPS 2-1/2 and larger.
3. Strainer Screen: 40-mesh startup strainer, and perforated stainless-steel basket with 50 percent free area.
4. CWP Rating: 300 psig.

### C. Stainless-Steel Bellow, Flexible Connectors:

1. Body: Stainless-steel bellows with woven, flexible, bronze, wire-reinforcing protective jacket.
2. End Connections: Threaded or flanged to match equipment connected.
3. Performance: Capable of 3/4-inch misalignment.
4. CWP Rating: 300 psig.
5. Maximum Operating Temperature: 250 deg F.

### D. Spherical, Rubber, Flexible Connectors:

1. Body: Fiber-reinforced rubber body.
2. End Connections: Steel flanges drilled to align with Classes 150 and 300 steel flanges.
3. Performance: Capable of misalignment.
4. CWP Rating: 300 psig.
5. Maximum Operating Temperature: 250 deg F.

### E. Expansion Fittings: Comply with requirements in Section 230516 "Expansion Fittings and Loops for HVAC Piping." Section 15124 "Expansion Fittings and Loops for HVAC Piping."

## PART 3 - EXECUTION

### 3.1 VALVE APPLICATIONS

- A. Install shutoff-duty valves at each branch connection to supply mains and at supply connection to each piece of equipment.
- B. Install calibrated-orifice, balancing valves at each branch connection to return main.
- C. Install calibrated-orifice, balancing valves in the return pipe of each heating or cooling terminal.
- D. Install check valves at each pump discharge and elsewhere as required to control flow direction.

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- E. Install safety valves at hot-water generators and elsewhere as required by ASME Boiler and Pressure Vessel Code. Install drip-pan elbow on safety-valve outlet and pipe without valves to the outdoors; pipe drain to nearest floor drain or as indicated on Drawings. Comply with ASME Boiler and Pressure Vessel Code: Section VIII, Division 1, for installation requirements.
- F. Install pressure-reducing valves at makeup-water connection to regulate system fill pressure.

### 3.2 HYDRONIC SPECIALTIES INSTALLATION

- A. Install manual air vents at high points in piping, at heat-transfer coils, and elsewhere as required for system air venting.
- B. Install automatic air vents at high points of system piping in mechanical equipment rooms only. Install manual vents at heat-transfer coils and elsewhere as required for air venting.

END OF SECTION 232116

## SECTION 232300 - REFRIGERANT PIPING

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Refrigerant pipes and fittings.
  - 2. Refrigerant piping valves and specialties.
  - 3. Refrigerants.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of valve and refrigerant piping specialty.
  - 1. Include pressure drop, based on manufacturer's test data, for the following:
    - a. Thermostatic expansion valves.
    - b. Solenoid valves.
    - c. Hot-gas bypass valves.
    - d. Filter dryers.
    - e. Strainers.
    - f. Pressure-regulating valves.
- B. Shop Drawings:
  - 1. Show layout of refrigerant piping and specialties, including pipe, tube, and fitting sizes; flow capacities; valve arrangements and locations; slopes of horizontal runs; oil traps; double risers; wall and floor penetrations; and equipment connection details.
  - 2. Show piping size and piping layout, including oil traps, double risers, specialties, and pipe and tube sizes to accommodate, as a minimum, equipment provided, elevation difference between compressor and evaporator, and length of piping to ensure proper operation and compliance with warranties of connected equipment.
  - 3. Show interface and spatial relationships between piping and equipment.
  - 4. Shop Drawing Scale: 1/4 inch equals 1 foot.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. Welding certificates.
- B. Field quality-control reports.

## SECTION 232300 - REFRIGERANT PIPING

### 1.5 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For refrigerant valves and piping specialties to include in maintenance manuals.

### 1.6 QUALITY ASSURANCE

- A. Welding Qualifications: Qualify procedures and personnel according to 2010 ASME Boiler and Pressure Vessel Code: Section IX, "Welding and Brazing Qualifications."
- B. Comply with ASHRAE 15, "Safety Code for Refrigeration Systems."
- C. Comply with ASME B31.5, "Refrigeration Piping and Heat Transfer Components."

### 1.7 PRODUCT STORAGE AND HANDLING

- A. Store piping with end caps in place to ensure that piping interior and exterior are clean when installed.

## PART 2 - PRODUCTS

### 2.1 PERFORMANCE REQUIREMENTS

- A. Line Test Pressure for Refrigerant R-134a:
  - 1. Suction Lines for Air-Conditioning Applications: 115 psig.
  - 2. Suction Lines for Heat-Pump Applications: 225 psig.
  - 3. Hot-Gas and Liquid Lines: 225 psig.
- B. Line Test Pressure for Refrigerant R-407C:
  - 1. Suction Lines for Air-Conditioning Applications: 230 psig.
  - 2. Suction Lines for Heat-Pump Applications: 380 psig.
  - 3. Hot-Gas and Liquid Lines: 380 psig.
- C. Line Test Pressure for Refrigerant R-410A:
  - 1. Suction Lines for Air-Conditioning Applications: 300 psig.
  - 2. Suction Lines for Heat-Pump Applications: 535 psig.
  - 3. Hot-Gas and Liquid Lines: 535 psig.

### 2.2 COPPER TUBE AND FITTINGS

- A. Copper Tube: ASTM B 88, Type L, Type ACR.
- B. Wrought-Copper Fittings: ASME B16.22.

## SECTION 232300 - REFRIGERANT PIPING

- C. Wrought-Copper Unions: ASME B16.22.
- D. Brazing Filler Metals: AWS A5.8/A5.8M.
- E. Flexible Connectors:
  - 1. Body: Tin-bronze bellows with woven, flexible, tinned-bronze-wire-reinforced protective jacket.
  - 2. End Connections: Socket ends.
  - 3. Offset Performance: Capable of minimum 3/4-inch misalignment in minimum 7-inch-long assembly.
  - 4. Working Pressure Rating: Factory test at minimum 500 psig.
  - 5. Maximum Operating Temperature: 250 deg F.

### 2.3 VALVES AND SPECIALTIES

- A. Diaphragm Packless Valves:
  - 1. Body and Bonnet: Forged brass or cast bronze; globe design with straight-through or angle pattern.
  - 2. Diaphragm: Phosphor bronze and stainless steel with stainless-steel spring.
  - 3. Operator: Rising stem and hand wheel.
  - 4. Seat: Nylon.
  - 5. End Connections: Socket, union, or flanged.
  - 6. Working Pressure Rating: 500 psig.
  - 7. Maximum Operating Temperature: 275 deg F.
- B. Packed-Angle Valves:
  - 1. Body and Bonnet: Forged brass or cast bronze.
  - 2. Packing: Molded stem, back seating, and replaceable under pressure.
  - 3. Operator: Rising stem.
  - 4. Seat: Nonrotating, self-aligning polytetrafluoroethylene.
  - 5. Seal Cap: Forged-brass or valox hex cap.
  - 6. End Connections: Socket, union, threaded, or flanged.
  - 7. Working Pressure Rating: 500 psig.
  - 8. Maximum Operating Temperature: 275 deg F.
- C. Check Valves:
  - 1. Body: Ductile iron, forged brass, or cast bronze; globe pattern.
  - 2. Bonnet: Bolted ductile iron, forged brass, or cast bronze; or brass hex plug.
  - 3. Piston: Removable polytetrafluoroethylene seat.
  - 4. Closing Spring: Stainless steel.
  - 5. Manual Opening Stem: Seal cap, plated-steel stem, and graphite seal.
  - 6. End Connections: Socket, union, threaded, or flanged.
  - 7. Maximum Opening Pressure: 0.50 psig.
  - 8. Working Pressure Rating: 500 psig.
  - 9. Maximum Operating Temperature: 275 deg F.
- D. Service Valves:
  - 1. Body: Forged brass with brass cap including key end to remove core.
  - 2. Core: Removable ball-type check valve with stainless-steel spring.

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3. Seat: Polytetrafluoroethylene.
  4. End Connections: Copper spring.
  5. Working Pressure Rating: 500 psig.
- E. Solenoid Valves: Comply with AHRI 760 and UL 429; listed and labeled by a National Recognized Testing Laboratory (NRTL).
1. Body and Bonnet: Plated steel.
  2. Solenoid Tube, Plunger, Closing Spring, and Seat Orifice: Stainless steel.
  3. Seat: Polytetrafluoroethylene.
  4. End Connections: Threaded.
  5. Electrical: Molded, watertight coil in NEMA 250 enclosure of type required by location with 1/2-inch conduit adapter, and 24-V ac coil.
  6. Working Pressure Rating: 400 psig.
  7. Maximum Operating Temperature: 240 deg F.
- F. Safety Relief Valves: Comply with 2010 ASME Boiler and Pressure Vessel Code; listed and labeled by an NRTL.
1. Body and Bonnet: Ductile iron and steel, with neoprene O-ring seal.
  2. Piston, Closing Spring, and Seat Insert: Stainless steel.
  3. Seat: Polytetrafluoroethylene.
  4. End Connections: Threaded.
  5. Working Pressure Rating: 400 psig.
  6. Maximum Operating Temperature: 240 deg F.
- G. Thermostatic Expansion Valves: Comply with AHRI 750.
1. Body, Bonnet, and Seal Cap: Forged brass or steel.
  2. Diaphragm, Piston, Closing Spring, and Seat Insert: Stainless steel.
  3. Packing and Gaskets: Non-asbestos.
  4. Capillary and Bulb: Copper tubing filled with refrigerant charge.
  5. Suction Temperature: 40 deg F.
  6. Reverse-flow option (for heat-pump applications).
  7. End Connections: Socket, flare, or threaded union.
- H. Hot-Gas Bypass Valves: Comply with UL 429; listed and labeled by an NRTL.
1. Body, Bonnet, and Seal Cap: Ductile iron or steel.
  2. Diaphragm, Piston, Closing Spring, and Seat Insert: Stainless steel.
  3. Packing and Gaskets: Non-asbestos.
  4. Solenoid Tube, Plunger, Closing Spring, and Seat Orifice: Stainless steel.
  5. Seat: Polytetrafluoroethylene.
  6. Electrical: Molded, watertight coil in NEMA 250 enclosure of type required by location with 1/2-inch conduit adapter and 24-V ac coil.
  7. End Connections: Socket.
  8. Throttling Range: Maximum 5 psig.
  9. Working Pressure Rating: 500 psig.
  10. Maximum Operating Temperature: 240 deg F.
- I. Straight-Type Strainers:
1. Body: Welded steel with corrosion-resistant coating.
  2. Screen: 100-mesh stainless steel.
  3. End Connections: Socket or flare.
  4. Working Pressure Rating: 500 psig.

## SECTION 232300 - REFRIGERANT PIPING

5. Maximum Operating Temperature: 275 deg F.

J. Moisture/Liquid Indicators:

1. Body: Forged brass.
2. Window: Replaceable, clear, fused glass window with indicating element protected by filter screen.
3. Indicator: Color coded to show moisture content in parts per million (ppm).
4. Minimum Moisture Indicator Sensitivity: Indicate moisture above 60 ppm.
5. End Connections: Socket or flare.
6. Working Pressure Rating: 500 psig.
7. Maximum Operating Temperature: 240 deg F.

K. Permanent Filter Dryers: Comply with AHRI 730.

1. Body and Cover: Painted-steel shell.
2. Filter Media: 10 micron, pleated with integral end rings; stainless-steel support.
3. Desiccant Media: Activated alumina, charcoal.
4. Designed for reverse flow (for heat-pump applications).
5. End Connections: Socket.
6. Access Ports: NPS 1/4 connections at entering and leaving sides for pressure differential measurement.
7. Working Pressure Rating: 500 psig.
8. Maximum Operating Temperature: 240 deg F.

L. Receivers: Comply with AHRI 495.

1. Comply with 2010 ASME Boiler and Pressure Vessel Code; listed and labeled by an NRTL.
2. Comply with UL 207; listed and labeled by an NRTL.
3. Body: Welded steel with corrosion-resistant coating.
4. Tappings: Inlet, outlet, liquid level indicator, and safety relief valve.
5. End Connections: Socket or threaded.
6. Working Pressure Rating: 500 psig.
7. Maximum Operating Temperature: 275 deg F.

M. Liquid Accumulators: Comply with AHRI 495.

1. Body: Welded steel with corrosion-resistant coating.
2. End Connections: Socket or threaded.
3. Working Pressure Rating: 500 psig.
4. Maximum Operating Temperature: 275 deg F.

### 2.4 REFRIGERANTS

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. Arkema Inc.
2. DuPont Fluorochemicals Div.
3. Genetron Refrigerants; Honeywell International Inc.
4. Mexichem Fluor Inc.

B. ASHRAE 34, R-134a: Tetrafluoroethane.

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- C. ASHRAE 34, R-407C: Difluoromethane/Pentafluoroethane/1,1,1,2-Tetrafluoroethane.
- D. ASHRAE 34, R-410A: Pentafluoroethane/Difluoromethane.

### 2.5 REFRIGERANT PIPING SUPPORT EXTERIOR TO BUILDING

- A. Exterior: Pre-manufactured strut supports; 3/8" stainless steel threaded rods holding 7" long aluminum cross-strut, stainless steel bolts, 3" to 6" adjustable height, molded 33% fiberglass reinforced nylon support base; UV stabilized. MAPA Products, type MS-2 or MS-3.

## PART 3 - EXECUTION

### 3.1 PIPING APPLICATIONS

- A. Suction Lines NPS 1-1/2 and Smaller for Conventional Air-Conditioning Applications: Copper, Type ACR, annealed-temper tubing and wrought-copper fittings with brazed joints.
- B. Suction Lines NPS 2 to NPS 4 for Conventional Air-Conditioning Applications: Copper, Type ACR, drawn-temper tubing and wrought-copper fittings with brazed joints.
- C. Hot-Gas and Liquid Lines, and Suction Lines for Heat-Pump Applications: Copper, Type ACR, annealed-temper tubing and wrought-copper fittings with brazed joints.
- D. Safety-Relief-Valve Discharge Piping: Schedule 40, black-steel and wrought-steel fittings with welded joints.
- E. Safety-Relief-Valve Discharge Piping: Copper, Type ACR, drawn-temper tubing and wrought-copper fittings with soldered joints.
- F. Safety-Relief-Valve Discharge Piping: Copper, Type ACR, annealed-temper tubing and wrought-copper fittings with brazed joints.

### 3.2 VALVE AND SPECIALTY APPLICATIONS

- A. Install diaphragm packless valves in suction and discharge lines of compressor.
- B. Install service valves for gage taps at inlet and outlet of hot-gas bypass valves and strainers if they are not an integral part of valves and strainers.
- C. Install a check valve at the compressor discharge and a liquid accumulator at the compressor suction connection.
- D. Except as otherwise indicated, install diaphragm packless valves on inlet and outlet side of filter dryers.
- E. Install a full-size, three-valve bypass around filter dryers.

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- F. Install solenoid valves upstream from each expansion valve and hot-gas bypass valve. Install solenoid valves in horizontal lines with coil at top.
- G. Install thermostatic expansion valves as close as possible to distributors on evaporators.
  - 1. Install valve so diaphragm case is warmer than bulb.
  - 2. Secure bulb to clean, straight, horizontal section of suction line using two bulb straps. Do not mount bulb in a trap or at bottom of the line.
  - 3. If external equalizer lines are required, make connection where it will reflect suction-line pressure at bulb location.
- H. Install safety relief valves where required by 2010 ASME Boiler and Pressure Vessel Code. Pipe safety-relief-valve discharge line to outside according to ASHRAE 15.
- I. Install moisture/liquid indicators in liquid line at the inlet of the thermostatic expansion valve or at the inlet of the evaporator coil capillary tube.
- J. Install strainers upstream from and adjacent to the following unless they are furnished as an integral assembly for the device being protected:
  - 1. Solenoid valves.
  - 2. Thermostatic expansion valves.
  - 3. Hot-gas bypass valves.
  - 4. Compressor.
- K. Install filter dryers in liquid line between compressor and thermostatic expansion valve, and in the suction line at the compressor.
- L. Install receivers sized to accommodate pump-down charge.
- M. Install flexible connectors at compressors.

### 3.3 PIPING INSTALLATION

- A. Drawing plans, schematics, and diagrams indicate general location and arrangement of piping systems; indicated locations and arrangements were used to size pipe and calculate friction loss, expansion, pump sizing, and other design considerations. Install piping as indicated unless deviations to layout are approved on Shop Drawings.
- B. Install refrigerant piping according to ASHRAE 15.
- C. Install piping in concealed locations unless otherwise indicated and except in equipment rooms and service areas.
- D. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.
- E. Install piping above accessible ceilings to allow sufficient space for ceiling panel removal.
- F. Install piping adjacent to machines to allow service and maintenance.

## SECTION 232300 - REFRIGERANT PIPING

- G. Install piping free of sags and bends.
- H. Install fittings for changes in direction and branch connections.
- I. Select system components with pressure rating equal to or greater than system operating pressure.
- J. Refer to Section 230923 "Direct Digital Control (DDC) System for HVAC" and Section 230993.11 "Sequence of Operations for HVAC DDC" for solenoid valve controllers, control wiring, and sequence of operation.
- K. Install piping as short and direct as possible, with a minimum number of joints, elbows, and fittings.
- L. Arrange piping to allow inspection and service of refrigeration equipment. Install valves and specialties in accessible locations to allow for service and inspection. Install access doors or panels as specified in Section 083113 "Access Doors and Frames" if valves or equipment requiring maintenance is concealed behind finished surfaces.
- M. Install refrigerant piping in protective conduit where installed belowground.
- N. Install refrigerant piping in rigid or flexible conduit in locations where exposed to mechanical injury.
- O. Slope refrigerant piping as follows:
  - 1. Install horizontal hot-gas discharge piping with a uniform slope downward away from compressor.
  - 2. Install horizontal suction lines with a uniform slope downward to compressor.
  - 3. Install traps and double risers to entrain oil in vertical runs.
  - 4. Liquid lines may be installed level.
- P. When brazing or soldering, remove solenoid-valve coils and sight glasses; also remove valve stems, seats, and packing, and accessible internal parts of refrigerant specialties. Do not apply heat near expansion-valve bulb.
- Q. Before installation of steel refrigerant piping, clean pipe and fittings using the following procedures:
  - 1. Shot blast the interior of piping.
  - 2. Remove coarse particles of dirt and dust by drawing a clean, lintless cloth through tubing by means of a wire or electrician's tape.
  - 3. Draw a clean, lintless cloth saturated with trichloroethylene through the tube or pipe. Continue this procedure until cloth is not discolored by dirt.
  - 4. Draw a clean, lintless cloth, saturated with compressor oil, squeezed dry, through the tube or pipe to remove remaining lint. Inspect tube or pipe visually for remaining dirt and lint.
  - 5. Finally, draw a clean, dry, lintless cloth through the tube or pipe.
  - 6. Safety-relief-valve discharge piping is not required to be cleaned but is required to be open to allow unrestricted flow.

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- R. Install piping with adequate clearance between pipe and adjacent walls and hangers or between pipes for insulation installation.
- S. Identify refrigerant piping and valves according to Section 230553 "Identification for HVAC Piping and Equipment."
- T. Install sleeves for piping penetrations of walls, ceilings, and floors. Comply with requirements for sleeves specified in Section 230517 "Sleeves and Sleeve Seals for HVAC Piping."
- U. Install sleeve seals for piping penetrations of concrete walls and slabs. Comply with requirements for sleeve seals specified in Section 230517 "Sleeves and Sleeve Seals for HVAC Piping."
- V. Install escutcheons for piping penetrations of walls, ceilings, and floors. Comply with requirements for escutcheons specified in Section 230518 "Escutcheons for HVAC Piping."

### 3.4 PIPE JOINT CONSTRUCTION

- A. Ream ends of pipes and tubes and remove burrs. Bevel plain ends of steel pipe.
- B. Remove scale, slag, dirt, and debris from inside and outside of pipe and fittings before assembly.
- C. Fill pipe and fittings with an inert gas (nitrogen or carbon dioxide), during brazing or welding, to prevent scale formation.
- D. Soldered Joints: Construct joints according to ASTM B 828 or CDA's "Copper Tube Handbook."
- E. Brazed Joints: Construct joints according to AWS's "Brazing Handbook," Chapter "Pipe and Tube."
  - 1. Use Type BCuP (copper-phosphorus) alloy for joining copper socket fittings with copper pipe.
  - 2. Use Type BAg (cadmium-free silver) alloy for joining copper with bronze or steel.
- F. Threaded Joints: Thread steel pipe with tapered pipe threads according to ASME B1.20.1. Cut threads full and clean using sharp dies. Ream threaded pipe ends to remove burrs and to restore full ID. Join pipe fittings and valves as follows:
  - 1. Apply appropriate tape or thread compound to external pipe threads unless dry-seal threading is specified.
  - 2. Damaged Threads: Do not use pipe or pipe fittings with threads that are corroded or damaged. Do not use pipe sections that have cracked or open welds.
- G. Welded Joints: Construct joints according to AWS D10.12M/D10.12.
- H. Flanged Joints: Select appropriate gasket material, size, type, and thickness for service application. Install gasket concentrically positioned. Use suitable lubricants on bolt threads.

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### 3.5 HANGERS AND SUPPORTS

- A. Comply with requirements for pipe hangers and supports specified in Section 230529 "Hangers and Supports for HVAC Piping and Equipment."
- B. Install the following pipe attachments:
  - 1. Adjustable steel clevis hangers for individual horizontal runs less than 20 feet long.
  - 2. Roller hangers and spring hangers for individual horizontal runs 20 feet or longer.
  - 3. Pipe Roller: MSS SP-58, Type 44 for multiple horizontal piping 20 feet or longer, supported on a trapeze.
  - 4. Spring hangers to support vertical runs.
  - 5. Copper-clad hangers and supports for hangers and supports in direct contact with copper pipe.
- C. Install hangers for copper tubing with the following maximum spacing and minimum rod diameters:
  - 1. NPS 1/2: Maximum span, 60 inches; minimum rod, 1/4 inch.
  - 2. NPS 5/8: Maximum span, 60 inches; minimum rod, 1/4 inch.
  - 3. NPS 1: Maximum span, 72 inches; minimum rod, 1/4 inch.
  - 4. NPS 1-1/4: Maximum span, 96 inches; minimum rod, 3/8 inch.
  - 5. NPS 1-1/2: Maximum span, 96 inches; minimum rod, 3/8 inch.
  - 6. NPS 2: Maximum span, 96 inches; minimum rod, 3/8 inch.
  - 7. NPS 2-1/2: Maximum span, 108 inches; minimum rod, 3/8 inch.
  - 8. NPS 3: Maximum span, 10 feet; minimum rod, 3/8 inch.
  - 9. NPS 4: Maximum span, 12 feet; minimum rod, 1/2 inch.
- D. Support multifloor vertical runs at least at each floor.

### 3.6 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections:
  - 1. Comply with ASME B31.5, Chapter VI.
  - 2. Test refrigerant piping, specialties, and receivers. Isolate compressor, condenser, evaporator, and safety devices from test pressure if they are not rated above the test pressure.
  - 3. Test high- and low-pressure side piping of each system separately at not less than the pressures indicated in "Performance Requirements" Article.
    - a. Fill system with nitrogen to the required test pressure.
    - b. System shall maintain test pressure at the manifold gage throughout duration of test.
    - c. Test joints and fittings with electronic leak detector or by brushing a small amount of soap and glycerin solution over joints.
    - d. Remake leaking joints using new materials, and retest until satisfactory results are achieved.
- B. Prepare test and inspection reports.

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### 3.7 SYSTEM CHARGING

- A. Charge system using the following procedures:
  1. Install core in filter dryers after leak test but before evacuation.
  2. Evacuate entire refrigerant system with a vacuum pump to 500 micrometers. If vacuum holds for 12 hours, system is ready for charging.
  3. Break vacuum with refrigerant gas, allowing pressure to build up to 2 psig.
  4. Charge system with a new filter-dryer core in charging line.

### 3.8 ADJUSTING

- A. Adjust thermostatic expansion valve to obtain proper evaporator superheat.
- B. Adjust high- and low-pressure switch settings to avoid short cycling in response to fluctuating suction pressure.
- C. Adjust set-point temperature of air-conditioning or chilled-water controllers to the system design temperature.
- D. Perform the following adjustments before operating the refrigeration system, according to manufacturer's written instructions:
  1. Open shutoff valves in condenser water circuit.
  2. Verify that compressor oil level is correct.
  3. Open compressor suction and discharge valves.
  4. Open refrigerant valves except bypass valves that are used for other purposes.
  5. Check open compressor-motor alignment and verify lubrication for motors and bearings.
- E. Replace core of replaceable filter dryer after system has been adjusted and after design flow rates and pressures are established.

END OF SECTION 232300

## SECTION 232923 - VARIABLE FREQUENCY MOTOR CONTROLLERS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. This Section includes solid-state, PWM, VFDs for speed control of three-phase, squirrel-cage induction motors.
- B. Related Sections include the following:
  - 1. Division 26 Section "Electrical Power Monitoring and Control" for monitoring and control of motor circuits.
  - 2. Division 26 Section "Transient Voltage Suppression" for low-voltage power, control, and communication surge suppressors.

#### 1.3 DEFINITIONS

- A. BAS: Building Automation System.
- B. IGBT: Integrated gate bipolar transistor.
- C. LAN: Local area network.
- D. PID: Control action, proportional plus integral plus derivative.
- E. PWM: Pulse-width modulated.
- F. VFD: Variable frequency drive.

#### 1.4 REFERENCES

- A. ANSI/NEMA ICS 6 – Enclosures for industrial control systems.
- B. ANSI/UL 198C – High intensity capacity fuses; current limiting types.
- C. NEMA ICS 2 – Industrial control devices, controllers, and assemblies.
- D. NEMA KS 1 – Enclosed switches.
- E. NEMA PB 1.1 – Instructions for safe installation, operation, and maintenance of panelboards rated 600 volts or less.

## SECTION 232923 - VARIABLE FREQUENCY MOTOR CONTROLLERS

### 1.5 SUBMITTALS

- A. Product Data: For each type of VFD, provide dimensions; mounting arrangements; location for conduit entries; shipping and operating weights; and manufacturer's technical data on features, performance, electrical ratings, characteristics, and finishes.
- B. Shop Drawings: For each VFD.
  - 1. Include dimensioned plans, elevations, sections, and details, including required clearances and service space around equipment. Show tabulations of installed devices, equipment features, and ratings. Include the following:
    - a. Each installed unit's type and details.
    - b. Nameplate legends.
    - c. Short-circuit current ratings of integrated unit.
  - 2. Wiring Diagrams: Power, signal, and control wiring for VFD. Provide schematic wiring diagram for each type of VFD.
- C. Manufacturer's field service report.
- D. Operation and Maintenance Data: For VFDs, all installed devices, and components to include in emergency, operation, and maintenance manuals. Include routine maintenance requirements for VFDs and all installed components

### 1.6 QUALITY ASSURANCE

- A. Source Limitations: Obtain VFDs of a single type through one source from a single manufacturer.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
- C. Product Selection for Restricted Space: Drawings indicate maximum dimensions for VFDs, minimum clearances between VFDs, and adjacent surfaces and other items. Comply with indicated dimensions and clearances.
- D. Comply with NFPA 70.
- E. UL listed drive and UL-508 listed bypass/inverter assembly.

### 1.7 WARRANTY

- A. Written warranty, signed by manufacturer. Manufacturer's standard form in which manufacturer agrees to replace components of units that fail in materials or workmanship within specified warranty period. Manufacturer will provide all labor required for replacement of materials, equipment, controls, and any other portion of complete assembly, as required. A factory-trained employee of manufacturer shall perform warranty work.
  - 1. Warranty Period for VFD's: Manufacturer's standard, but not less than three years from date of Substantial Completion.

## SECTION 232923 - VARIABLE FREQUENCY MOTOR CONTROLLERS

2. Warranty period will commence from the date of manufacturer's startup.
- B. For the duration of the warranty, local manufacturer's representative must provide a response time of no more than 24 hours. Any failed VFD must be put back into automatic operation within this time period. Mere acknowledgement of a problem does not constitute an acceptable response.

### 1.8 SPARE PARTS

- A. Spare Fuses: Furnish three spare fuses for each type and rating installed.

## PART 2 - PRODUCTS

### 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  1. Danfoss.
  2. Yaskawa.
  3. Other manufacturers may be accepted only with prior approval of Engineer, and only if they meet all requirements of this specification. Provide request for substitution a minimum of 7 days prior to submission of bid.
- B. Specific models proposed must have a continuous and proven track record of no less than 3 years.

### 2.2 VARIABLE FREQUENCY DRIVES

- A. Description: NEMA ICS 2, IGBT, PWM, VFD; listed and labeled as a complete unit and arranged to provide variable speed of a NEMA MG 1, Design B, 3-phase, premium-efficiency induction motor by adjusting output voltage and frequency.
- B. Design and Rating: Match load type such as fans, blowers, and pumps; and type of connection used between motor and load such as direct or through a power-transmission connection.
- C. Output Rating: 3-phase; 6 to 60 Hz, with voltage proportional to frequency throughout voltage range.
- D. Unit Operating Requirements:
  1. Input ac voltage tolerance of 380 to 480 V, plus or minus 10 percent.
  2. Input frequency tolerance of 60 Hz, plus or minus 6 percent.
  3. Capable of driving full load, under the following conditions, without derating:
    - a. Ambient Temperature: 0 to 40 deg C.
    - b. Humidity: Less than 90 percent (non-condensing).
    - c. Altitude: 3300 feet (1000 m).

## SECTION 232923 - VARIABLE FREQUENCY MOTOR CONTROLLERS

4. Minimum Efficiency: 96 percent at 60 Hz, full load.
5. Minimum Displacement Primary-Side Power Factor: 97 percent.
6. Overload Capability: 1.10 times the base load current for 60 seconds at 40°C; 2.0 times the base load current for 3 seconds at 40°C.
7. Starting Torque: 100 percent of rated torque or as indicated.
8. Speed Regulation: Plus or minus 1 percent.
9. Isolated control interface to allow controller to follow control signal over an 11:1 speed range.
10. Continuous output current rating shall be no less than 100% of NEC motor amperage ratings.
11. Overload current capability of power cube at 40°C shall equal or exceed 200% of NEC motor amperage values for instantaneous trip, and 110% of NEC amperage for a minimum of sixty seconds, without damage to power cube.
12. Minimum 5% input impedance line reactor; comply with EN61000-3-2, or minimum 3% DC link reactor (also called inductive choke) connected to the DC bus between the rectifier and the PWM inverter.

### E. Power Quality Issues

1. VFD must be capable of operating satisfactorily when connected to a bus supplying other solid state power conversion equipment which may be causing up to 10% total harmonic distortion and commutation notches up to 36,500 volt-microseconds, and when other VFDs are operating from the same bus.
2. VFD shall generate less than 3% total harmonic distortion back to the incoming power line at the point of common connection with sensitive equipment. A harmonic analysis shall be submitted with the approval drawings to verify compliance with the latest version of IEEE-519 voltage and current distortion limits as shown in table 10.2 and 10.3 at the point of common coupling (the consumer-utility interface or primary side of the main distribution transformer).
3. The system shall not produce spikes on the incoming line.
4. Any inverter that generates sufficient electrical line noise to interfere with the operation of sensitive building equipment shall be field modified or replaced by the inverter supplier at no additional cost to the Owner.
5. Provide input line noise suppression with MOV's (metal oxide varistors) and snubber circuits to allow for operation on typical industrial or commercial power distribution systems. MOV's shall be provided across incoming line terminals and transistors to protect inverter from voltage surges and spikes.
6. The VFD shall not induce excessive power losses in motors. The worst case RMS motor line current measured at rated speed, torque, and voltage shall not exceed 1.05 times the rated RMS current for pure sine wave operation.
7. VFD must be capable of operating a motor satisfactorily with up to 300 feet of wiring between VFD and motor.

### F. Diodes: Bridge rated for 1600 volts.

### G. Internal Adjustability Capabilities:

1. Minimum Speed: 5 to 25 percent of maximum rpm.
2. Maximum Speed: 80 to 100 percent of maximum rpm.
3. Acceleration: 2 to a minimum of 22 seconds.
4. Deceleration: 2 to a minimum of 22 seconds.

## SECTION 232923 - VARIABLE FREQUENCY MOTOR CONTROLLERS

5. Current Limit: 50 to a minimum of 110 percent of maximum rating.
- H. Self-Protection and Reliability Features:
1. Input transient protection by means of surge suppressors.
  2. Snubber networks to protect against malfunction due to system voltage transients.
  3. Under- and overvoltage trips; inverter overtemperature, overload, and overcurrent trips.
  4. Motor Overload Relay: Adjustable and capable of NEMA 250, Class 20 performance.
  5. Notch filter to prevent operation of the controller-motor-load combination at a natural frequency of the combination.
  6. Instantaneous line-to-line and line-to-ground overcurrent trips.
  7. Loss-of-phase protection.
  8. Reverse-phase protection.
  9. Short-circuit protection.
  10. Motor overload.
  11. Motor over-temperature fault.
  12. Heat sink over temperature (Max. operating ambient: 104 degrees F)
  13. Protect solid state inverter devices by limiting output current to 110% of inverter rating, automatically prevent over-current trip due to momentary overload conditions.
- I. Automatic Reset and Restart: To attempt three restarts after controller fault or on return of power after an interruption and before shutting down for manual reset or fault correction. Bidirectional auto-speed search shall be capable of starting into rotating loads spinning in either direction and returning motor to set speed in proper direction, without damage to controller, motor, or load.
- J. Power-Interruption Protection: To prevent motor from re-energizing after a power interruption until motor has stopped.
- K. Torque Boost: Automatically vary starting and continuous torque to at least 1.5 times the minimum torque to insure high-starting torque and increased torque at slow speeds.
- L. Motor Temperature Compensation at Slow Speeds: Adjustable current fall-back based on output frequency for temperature protection of self-cooled fan-ventilated motors at slow speeds.
- M. Status Lights: Door-mounted LED indicators shall indicate the following conditions:
1. Power on.
  2. Run.
  3. Overvoltage.
  4. Line fault.
  5. Overcurrent.
  6. External fault.
- N. Panel-Mounted Operator Station: Start-stop and auto-manual selector switches with manual speed control potentiometer and elapsed time meter.
- O. Indicating Devices: Meters or digital readout devices and selector switch, mounted flush in controller door and connected to indicate the following controller parameters:
1. Output frequency (Hz).

## SECTION 232923 - VARIABLE FREQUENCY MOTOR CONTROLLERS

2. Motor speed (rpm).
3. Motor status (running, stop, fault).
4. Motor current (amperes).
5. Motor torque (percent).
6. Fault or alarming status (code).
7. PID feedback signal (percent).
8. DC-link voltage (VDC).
9. Set-point frequency (Hz).
10. Motor output voltage (V).

P. Control Signal Interface: Provide VFD with the following:

1. Provide communications devices as necessary to allow for connectivity to major automation systems.
2. VFD shall be provided with protocol information specific to Owner's BAS control manufacturer and shall be pre-configured at the factory to provide automatic communications, without the need for field programming, via a single twisted pair wire.
  - a. The VFD shall allow the DDC system to control the drive's digital and analog outputs and monitor all drive digital and analog inputs via the serial interface.
  - b. VFD is to support BACNet, LonWorks, and Modbus RTU.
  - c. Serial communications capabilities include, but are not limited to: run/stop control, speed set adjustment, proportional/integral or PID control adjustments, current limit and acceleration/deceleration time adjustments. The drive shall also have the capability of allowing the DDC system to monitor the following feedback signals: process variable, output speed/frequency, current, torque, power (KW), operating hours, kilowatt hours; relay outputs, and diagnostic warning and fault information.
3. Remote Signal Inputs: Capability to accept any of the following speed-setting input signals from the BMS or other control systems:
  - a. 0 to 10-V dc.
  - b. 0-20 or 4-20 mA.
  - c. Potentiometer using up/down digital inputs.
  - d. Fixed frequencies using digital inputs.
  - e. RS485.
  - f. Keypad display for local hand operation.
4. Remote Indication Interface: A minimum of 2 dry circuit relay outputs (120-V ac, 1 A) for remote indication of the following:
  - a. Motor running.
  - b. Set-point speed reached.
  - c. Fault and warning indication (over-temperature or over-current).
  - d. PID high or low speed limits reached.

Q. Manual Bypass: Arrange magnetic contactor to safely transfer motor between controller output and bypass controller circuit when motor is at zero speed. Controller-off-bypass selector switch sets mode, and indicator lights give indication of mode selected. Unit shall be capable of stable operation (starting, stopping, and running), with motor completely disconnected from controller (no load).

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- R. Isolating Switch: Non-load-break switch arranged to isolate VFD and permit safe troubleshooting and testing, both energized and de-energized, while motor is operating in bypass mode.
- S. Bypass Controller:
  - 1. NEMA ICS 2, full-voltage, non-reversing enclosed controller with across-the-line starting capability in manual-bypass mode. Provide motor overload protection under both modes of operation with control logic that allows common start-stop capability in either mode.
  - 2. Bypass section is to be located in a metal enclosure separate from the drive section, and shall be constructed in such a manner that the inverter can be removed for repair while still operating the motor in the "bypass" mode without exposing personnel to any electrical voltage. VFD shall have inverter input power disconnect with door interlocked handle (lock out type) arranged to isolate VFD and permit safe troubleshooting & testing of inverter in when energized and/or de-energized, while motor is operating in bypass mode.
  - 3. Manual bypass shall contain:
    - a. A molded case circuit breaker or non-fused disconnect switch with door interlocked handle (lock out type) that interrupts input power to both the bypass circuitry and the drive.
    - b. A thermal overload to provide protection of motor in the bypass mode.
    - c. A safety interlock circuit that disconnects power to the motor (regardless of the mode of operation—"inverter" or "bypass") in response to a signal from the thermal overload and/or external safety circuits.
    - d. Line voltage to 120/1 volt transformers, fused per NEC, to provide power to bypass control circuits. Transformer shall be sized to include additional 20VA capacity for use by EMS contractor. DC and/or solid-state bypass sources are not acceptable.
- T. Non-fused input disconnect switch.
- U. Where indicated, provide accessories for "Contactor Motor Selection with Bypass" that will allow the DDC system to remotely switch lead-lag motors.

### 2.3 ENCLOSURES

- A. NEMA 4XSS for any VFD located outdoors.
- B. NEMA 12, for all other VFDs located in unconditioned space (indoors).
- C. NEMA 1, for all other VFDs located in conditioned space (indoors).
- D. Metal inverter enclosure. Plastic and/or fiberglass enclosures are not acceptable.

### 2.4 FACTORY FINISHES

- A. Finish: Manufacturer's standard paint applied to factory-assembled and -tested VFDs before shipping.

## SECTION 232923 - VARIABLE FREQUENCY MOTOR CONTROLLERS

### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Examine areas, surfaces, and substrates to receive VFDs for compliance with requirements, installation tolerances, and other conditions affecting performance.
- B. Examine roughing-in for conduit systems to verify actual locations of conduit connections before VFD installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 APPLICATIONS

- A. Select features of each VFD to coordinate with ratings and characteristics of supply circuit and motor; required control sequence; and duty cycle of motor, drive, and load.
- B. Select rating of controllers to suit motor controlled.

#### 3.3 INSTALLATION

- A. Anchor each VFD assembly to steel-channel sills arranged and sized according to manufacturer's written instructions. Attach by bolting. Level and grout sills flush with VFD mounting surface.
- B. Controller Fuses: Install fuses in each fusible switch. Comply with requirements in Division 16 Section "Fuses."
- C. Do not install incoming AC lines in same conduit as feeder lines to motor.
- D. Do not install wiring runs between VFD and motor longer than recommended by manufacturer.

#### 3.4 IDENTIFICATION

- A. Identify VFDs, components, and control wiring according to Division 16 Section "Basic Electrical Materials and Methods"

#### 3.5 CONNECTIONS

- A. Ground equipment.
- B. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.

#### 3.6 FIELD QUALITY CONTROL

## SECTION 232923 - VARIABLE FREQUENCY MOTOR CONTROLLERS

- A. Prepare for acceptance tests as follows:
  - 1. Test insulation resistance for each VFD element, bus, component, connecting supply, feeder, and control circuit.
  - 2. Test continuity of each circuit.
- B. Testing: Perform the following field quality-control testing:
  - 1. Perform each electrical test and visual and mechanical inspection stated in NETA ATS, Sections 7.5, 7.6, and 7.16. Certify compliance with test parameters.
  - 2. Correct malfunctioning units on-site, where possible, and retest to demonstrate compliance; otherwise, replace with new units and retest.
- C. Test Reports: Prepare a written report to record the following:
  - 1. Test procedures used.
  - 2. Test results that comply with requirements.
  - 3. Test results that do not comply with requirements and corrective action taken to achieve compliance with requirements.

### 3.7 STARTUP SERVICE

- A. Engage a factory-authorized service representative to inspect field-assembled components and equipment installation, including pretesting and adjusting VFDs, and perform startup service.
- B. Verify that electrical wiring installation complies with manufacturer's submittal and installation requirements in Division 16 Sections.
- C. Complete installation and startup checks according to manufacturer's written instructions.
- D. Upon successful completion of testing, submit written certification that drives are operating in accordance with Contract Documents, and within design operating limits of equipment. Notify Owner; include set points of adjustable devices, amperages recorded, and any other pertinent data. This information is to be included in the operation and maintenance manual.

### 3.8 ADJUSTING

- A. Set field-adjustable switches and circuit-breaker trip ranges.

### 3.9 CLEANING

- A. Clean VFDs internally, on completion of installation, according to manufacturer's written instructions. Vacuum dirt and debris; do not use compressed air to assist in cleaning.

### 3.10 DEMONSTRATION

- A. Provide services of manufacturer trained employee(s) for minimum of three hours training. Demonstrate operation of controllers in the automatic, manual, and bypass modes.

END OF SECTION 23 29 23

## SECTION 233113 - METAL DUCTS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  1. Single-wall rectangular ducts and fittings.
  2. Single-wall, spiral-seam, round ducts and fittings.
  3. Sheet metal materials.
  4. Sealants and gaskets.
  5. Hangers and supports.
  6. Delegated design for installation of exterior ducts.
- B. Related Sections:
  1. Section 230593 "Testing, Adjusting, and Balancing for HVAC" for testing, adjusting, and balancing requirements for metal ducts.
  2. Section 233300 "Air Duct Accessories" for dampers, sound-control devices, duct-mounting access doors and panels, turning vanes, and flexible ducts.

#### 1.3 PERFORMANCE REQUIREMENTS

- A. Delegated Duct Design: Duct construction, including sheet metal thicknesses, seam and joint construction, reinforcements, and hangers and supports, shall comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" and performance requirements and design criteria indicated in "Duct Schedule" Article.
- B. Structural Performance: Duct hangers and supports shall withstand the effects of gravity loads and stresses within limits and under conditions described in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible"
- C. Airstream Surfaces: Surfaces in contact with the airstream shall comply with requirements in ASHRAE 62.1.
- D. Duct system design, as indicated, has been used to select size and type of air-moving and -distribution equipment and other air system components. Changes to layout or configuration of duct system must be specifically approved in writing by Architect. Accompany requests for layout modifications with calculations showing that proposed layout will provide original design results without increasing system total pressure.

#### 1.4 ACTION SUBMITTALS

- A. Product Data: For each type of the following products:

## SECTION 233113 - METAL DUCTS

1. Adhesives.
  2. Sealants and gaskets.
  3. Fire-Stopping Materials.
- B. Shop Drawings:
1. Fabrication, assembly, and installation, including plans, elevations, sections, components, and attachments to other work.
  2. Factory- and shop-fabricated ducts and fittings.
  3. Duct layout indicating sizes, configuration, material, and static-pressure classes.
  4. Elevation of top of ducts.
  5. Dimensions of main duct runs from building grid lines.
  6. Fittings.
  7. Reinforcement and spacing.
  8. Seam and joint construction.
  9. Penetrations through fire-rated and other partitions.
  10. Equipment installation based on equipment being used on Project.
  11. Locations for duct accessories, including dampers, turning vanes, and access doors and panels.
  12. Hangers and supports, including methods for duct and building attachment and vibration isolation.
- C. Delegated-Design Submittal:
1. Sheet metal thicknesses.
  2. Joint and seam construction and sealing.
  3. Reinforcement details and spacing.
  4. Materials, fabrication, assembly, and spacing of hangers and supports.

### 1.5 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Plans, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:
1. Duct installation in congested spaces, indicating coordination with general construction, building components, and other building services. Indicate proposed changes to duct layout.
  2. Suspended ceiling components.
  3. Structural members to which duct will be attached.
  4. Size and location of initial access modules for acoustical tile.
  5. Penetrations of smoke barriers and fire-rated construction.
  6. Items penetrating finished ceiling including the following:
    - a. Lighting fixtures.
    - b. Air outlets and inlets.
    - c. Speakers.
    - d. Sprinklers.
    - e. Access panels.
    - f. Perimeter moldings.
- B. Welding certificates.
- C. Field quality-control reports.

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### 1.6 QUALITY ASSURANCE

- A. Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code - Steel," for hangers and supports. AWS D9.1M/D9.1, "Sheet Metal Welding Code," for duct joint and seam welding.
- B. Welding Qualifications: Qualify procedures and personnel according to the following:
  - 1. AWS D1.1/D1.1M, "Structural Welding Code - Steel," for hangers and supports.
  - 2. AWS D9.1M/D9.1, "Sheet Metal Welding Code," for duct joint and seam welding.
- C. ASHRAE Compliance: Applicable requirements in ASHRAE 62.1, Section 5 - "Systems and Equipment" and Section 7 - "Construction and System Start-up."
- D. ASHRAE/IESNA Compliance: Applicable requirements in ASHRAE/IESNA 90.1, Section 6.4.4 - "HVAC System Construction and Insulation."

## PART 2 - PRODUCTS

### 2.1 SINGLE-WALL RECTANGULAR DUCTS AND FITTINGS

- A. General Fabrication Requirements: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" based on indicated static-pressure class unless otherwise indicated.
- B. Transverse Joints: Select joint types and fabricate according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 2-1, "Rectangular Duct/Transverse Joints," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."
- C. Longitudinal Seams: Select seam types and fabricate according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 2-2, "Rectangular Duct/Longitudinal Seams," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."
- D. Elbows, Transitions, Offsets, Branch Connections, and Other Duct Construction: Select types and fabricate according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Chapter 4, "Fittings and Other Construction," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."

### 2.2 SINGLE-WALL ROUND DUCTS AND FITTINGS

- A. Round, Spiral Lock-Seam Ducts.
- B. General Fabrication Requirements: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Chapter 3, "Round, Oval, and Flexible Duct," based on indicated static-pressure class unless otherwise indicated.

## SECTION 233113 - METAL DUCTS

1. Manufacturers:
  - a. Lindab Inc.
  - b. McGill AirFlow LLC.
  - c. SEMCO Incorporated.
  - d. Sheet Metal Connectors, Inc.
  - e. Spiral Manufacturing Co., Inc.
- C. Flat-Oval Ducts: Indicated dimensions are the duct width (major dimension) and diameter of the round sides connecting the flat portions of the duct (minor dimension).
- D. Duct Joints:
  1. Ducts up to 20 Inches in Diameter: Interior, center-beaded slip coupling, sealed before and after fastening, attached with sheet metal screws.
  2. Ducts 21 to 72 Inches in Diameter: Three-piece, gasketed, flanged joint consisting of two internal flanges with sealant and one external closure band with gasket.
  3. Round Ducts: Prefabricated connection system consisting of double-lipped, EPDM rubber gasket. Manufacture ducts according to connection system manufacturer's tolerances.
    - a. Manufacturers:
      - 1) Ductmate Industries, Inc.
      - 2) Lindab Inc.
- E. 90-Degree Tees and Laterals and Conical Tees: Fabricate to comply with SMACNA's "HVAC Duct Construction Standards--Metal and Flexible," with metal thicknesses specified for longitudinal-seam straight ducts.
- F. Diverging-Flow Fittings: Fabricate with reduced entrance to branch taps and with no excess material projecting from fitting onto branch tap entrance.
- G. Fabricate elbows using die-formed, gored, pleated, or mitered construction. Unless elbow construction type is indicated, fabricate elbows as follows:
  1. Mitered-Elbow Radius and Number of Pieces: Welded construction complying with SMACNA's "HVAC Duct Construction Standards--Metal and Flexible," unless otherwise indicated.
  2. Round Mitered Elbows with Aerofoil Vanes: Welded construction with the following metal thickness for pressure classes from minus 2- to plus 2-inch wg:
    - a. Ducts 3 to 36 Inches in Diameter: 0.034 inch.
    - b. Ducts 37 to 50 Inches in Diameter: 0.040 inch.
  3. 90-Degree, 2-Piece, Mitered Elbows: Use only for supply systems or for material-handling Class A or B exhaust systems and only where space restrictions do not permit using radius elbows. Fabricate with single-thickness turning vanes.
  4. Round Elbows 8 Inches and Less in Diameter: Fabricate die-formed elbows for 45- and 90-degree elbows and pleated elbows for 30, 45, 60, and 90 degrees only. Fabricate nonstandard bend-angle configurations or nonstandard diameter elbows with gored construction.
  5. Round Elbows 9 through 14 Inches in Diameter: Fabricate gored or pleated elbows for 30, 45, 60, and 90 degrees unless space restrictions require mitered elbows. Fabricate nonstandard bend-angle configurations or nonstandard diameter elbows with gored construction.

## SECTION 233113 - METAL DUCTS

6. Round Elbows Larger than 14 Inches in Diameter and All Flat-Oval Elbows: Fabricate gored elbows unless space restrictions require mitered elbows.
7. Die-Formed Elbows for Sizes through 8 Inches in Diameter and All Pressures 0.040 inch thick with 2-piece welded construction.
8. Round Gored-Elbow Metal Thickness: Same as non-elbow fittings specified above.
9. Pleated Elbows for Sizes through 14 Inches in Diameter and Pressures through 10-Inch wg: 0.022 inch.

### 2.3 SHEET METAL MATERIALS

- A. General Material Requirements: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for acceptable materials, material thicknesses, and duct construction methods unless otherwise indicated. Sheet metal materials shall be free of pitting, seam marks, roller marks, stains, discolorations, and other imperfections.
- B. Galvanized Sheet Steel: Comply with ASTM A 653/A 653M.
  1. Galvanized Coating Designation: G90.
  2. Finishes for Surfaces Exposed to View: Mill phosphatized.
- C. Carbon-Steel Sheets: Comply with ASTM A 1008/A 1008M, with oiled, matte finish for exposed ducts.
- D. Stainless-Steel Sheets: Comply with ASTM A 480/A 480M, Type 304 or 316, as indicated in the "Duct Schedule" Article; cold rolled, annealed, sheet. Exposed surface finish shall be No. 2B, No. 2D, No. 3, or No. 4 as indicated in the "Duct Schedule" Article.
- E. Reinforcement Shapes and Plates: ASTM A 36/A 36M, steel plates, shapes, and bars; black and galvanized.
  1. Where black- and galvanized-steel shapes and plates are used to reinforce aluminum ducts, isolate the different metals with butyl rubber, neoprene, or EPDM gasket materials.
- F. Tie Rods: Galvanized steel, 1/4-inch minimum diameter for lengths 36 inches or less; 3/8-inch minimum diameter for lengths longer than 36 inches.

### 2.4 SEALANT AND GASKETS

- A. General Sealant and Gasket Requirements: Surface-burning characteristics for sealants and gaskets shall be a maximum flame-spread index of 25 and a maximum smoke-developed index of 50 when tested according to UL 723; certified by an NRTL.
- B. Two-Part Tape Sealing System:
  1. Tape: Woven cotton fiber impregnated with mineral gypsum and modified acrylic/silicone activator to react exothermically with tape to form hard, durable, airtight seal.
  2. Tape Width: 4 inches.
  3. Sealant: Modified styrene acrylic.
  4. Water resistant.
  5. Mold and mildew resistant.
  6. Maximum Static-Pressure Class: 10-inch wg, positive and negative.

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7. Service: Indoor and outdoor.
8. Service Temperature: Minus 40 to plus 200 deg F.
9. Substrate: Compatible with galvanized sheet steel (both PVC coated and bare), stainless steel, or aluminum.
10. For indoor applications, sealant shall have a VOC content of 250 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
11. Sealant shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."

### C. Water-Based Joint and Seam Sealant:

1. Application Method: Brush on.
2. Solids Content: Minimum 65 percent.
3. Shore A Hardness: Minimum 20.
4. Water resistant.
5. Mold and mildew resistant.
6. VOC: Maximum 75 g/L (less water).
7. Maximum Static-Pressure Class: 10-inch wg, positive and negative.
8. Service: Indoor or outdoor.
9. Substrate: Compatible with galvanized sheet steel (both PVC coated and bare), stainless steel, or aluminum sheets.

### D. Flanged Joint Sealant: Comply with ASTM C 920.

1. General: Single-component, acid-curing, silicone, elastomeric.
2. Type: S.
3. Grade: NS.
4. Class: 25.
5. Use: O.
6. For indoor applications, sealant shall have a VOC content of 250 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
7. Sealant shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."

### E. Flange Gaskets: Butyl rubber, neoprene, or EPDM polymer with polyisobutylene plasticizer.

### F. Round Duct Joint O-Ring Seals:

1. Seal shall provide maximum 3 cfm/100 sq. ft. at 1-inch wg and shall be rated for 10-inch wg static-pressure class, positive or negative.
2. EPDM O-ring to seal in concave bead in coupling or fitting spigot.
3. Double-lipped, EPDM O-ring seal, mechanically fastened to factory-fabricated couplings and fitting spigots.

## 2.5 HANGERS AND SUPPORTS

### A. Hanger Rods for Noncorrosive Environments: Cadmium-plated steel rods and nuts.

### B. Hanger Rods for Corrosive Environments: Electrogalvanized, all-thread rods or galvanized rods with threads painted with zinc-chromate primer after installation.

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- C. Strap and Rod Sizes: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Table 5-1, "Rectangular Duct Hangers Minimum Size," and Table 5-2, "Minimum Hanger Sizes for Round Duct."
- D. Steel Cables for Galvanized-Steel Ducts: Galvanized steel complying with ASTM A 603.
- E. Steel Cables for Stainless-Steel Ducts: Stainless steel complying with ASTM A 492.
- F. Steel Cable End Connections: Cadmium-plated steel assemblies with brackets, swivel, and bolts designed for duct hanger service; with an automatic-locking and clamping device.
- G. Duct Attachments: Sheet metal screws, blind rivets, or self-tapping metal screws; compatible with duct materials.
- H. Trapeze and Riser Supports:
  - 1. Supports for Galvanized-Steel Ducts: Galvanized-steel shapes and plates.
  - 2. Supports for Stainless-Steel Ducts: Stainless-steel shapes and plates.
  - 3. Supports for Aluminum Ducts: Aluminum or galvanized steel coated with zinc chromate.

### 2.6 FIRE-STOPPING

- A. Fire-Resistant Sealant: Provide two-part, foamed-in-place, fire-stopping silicone sealant, one-part elastomeric sealant, formulated for use in a through-penetration fire-stop system for filling openings around duct penetrations through walls and floors, having fire-resistance ratings indicated as established by testing identical assemblies per ASTM E 814 by Underwriters Laboratory, Inc. or other testing and inspecting agency acceptable to authorities having jurisdiction.
- B. Products: Subject to compliance with requirements, products that may be incorporated in the Work are limited to, the following:
  - 1. "Dow Corning Fire Stop Foam"; Dow Corning Corp.
  - 2. "Dow Corning Fire Stop Sealant"; Dow Corning Corp.
  - 3. "3M Fire Barrier Caulk CP-25"; Electrical Products Div./3M.
- C. Seams and laps arranged on top of duct.

## PART 3 - EXECUTION

### 3.1 DUCT INSTALLATION

- A. Drawing plans, schematics, and diagrams indicate general location and arrangement of duct system. Indicated duct locations, configurations, and arrangements were used to size ducts and calculate friction loss for air-handling equipment sizing and for other design considerations. Install duct systems as indicated unless deviations to layout are approved on Shop Drawings and Coordination Drawings.
- B. Install ducts according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" unless otherwise indicated.

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- C. Install round and flat-oval ducts in maximum practical lengths.
- D. Install ducts with fewest possible joints.
- E. Install factory- or shop-fabricated fittings for changes in direction, size, and shape and for branch connections.
- F. Unless otherwise indicated, install ducts vertically and horizontally, and parallel and perpendicular to building lines.
- G. Install ducts close to walls, overhead construction, columns, and other structural and permanent enclosure elements of building.
- H. Install ducts with a clearance of 1 inch, plus allowance for insulation thickness.
- I. Route ducts to avoid passing through transformer vaults and electrical equipment rooms and enclosures.
- J. Where ducts pass through non-fire-rated interior partitions and exterior walls and are exposed to view, cover the opening between the partition and duct or duct insulation with sheet metal flanges of same metal thickness as the duct. Overlap openings on four sides by at least 1-1/2 inches.
- K. Where ducts pass through fire-rated interior partitions and exterior walls, install fire dampers. Comply with requirements in Section 233300 "Air Duct Accessories" for fire and smoke dampers.
- L. Protect duct interiors from moisture, construction debris and dust, and other foreign materials. Comply with SMACNA's "IAQ Guidelines for Occupied Buildings Under Construction," Appendix G, "Duct Cleanliness for New Construction Guidelines."

### 3.2 INSTALLATION OF EXPOSED DUCTWORK

- A. Protect ducts exposed in finished spaces from being dented, scratched, or damaged.
- B. Trim duct sealants flush with metal. Create a smooth and uniform exposed bead. Do not use two-part tape sealing system.
- C. Grind welds to provide smooth surface free of burrs, sharp edges, and weld splatter. When welding stainless steel with a No. 3 or 4 finish, grind the welds flush, polish the exposed welds, and treat the welds to remove discoloration caused by welding.
- D. Maintain consistency, symmetry, and uniformity in the arrangement and fabrication of fittings, hangers and supports, duct accessories, and air outlets.
- E. Repair or replace damaged sections and finished work that does not comply with these requirements.

## SECTION 233113 - METAL DUCTS

### 3.3 DUCT SEALING

- A. Seal ducts for duct static-pressure, seal classes, and leakage classes specified in "Duct Schedule" Article according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."
- B. Seal ducts to the following seal classes according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible", and as defined below.
  - 1. Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."
  - 2. All Ducts U.N.O: Seal Class A.
  - 3. Unconditioned Space, Return-Air Ducts: Seal Class B.
  - 4. Conditioned Space, Return-Air Ducts: Seal Class C.

### 3.4 HANGER AND SUPPORT INSTALLATION

- A. Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Chapter 5, "Hangers and Supports."
- B. Building Attachments: Concrete inserts, powder-actuated fasteners, or structural-steel fasteners appropriate for construction materials to which hangers are being attached.
  - 1. Where practical, install concrete inserts before placing concrete.
  - 2. Do not use powder-actuated concrete fasteners for lightweight-aggregate concretes or for slabs less than 4 inches thick.
- C. Hanger Spacing: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Table 5-1, "Rectangular Duct Hangers Minimum Size," and Table 5-2, "Minimum Hanger Sizes for Round Duct," for maximum hanger spacing; install hangers and supports within 24 inches of each elbow and within 48 inches of each branch intersection.
- D. Hangers Exposed to View: Threaded rod and angle or channel supports.
- E. Support vertical ducts with steel angles or channel secured to the sides of the duct with welds, bolts, sheet metal screws, or blind rivets; support at each floor and at a maximum intervals of 16 feet.
- F. Install upper attachments to structures. Select and size upper attachments with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.

### 3.5 CONNECTIONS

- A. Make connections to equipment with flexible connectors complying with Section 233300 "Air Duct Accessories."
- B. Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for branch, outlet and inlet, and terminal unit connections.

## SECTION 233113 - METAL DUCTS

### 3.6 PAINTING

- A. Paint interior of metal ducts that are visible through registers and grilles and that do not have duct liner. Apply one coat of flat, black, latex paint over a compatible galvanized-steel primer. Paint materials and application requirements are specified in Division 9 Sections.
- B. Paint metal ducts and duct plenums that are exposed to view. Apply one coat of flat, black, latex paint over a compatible galvanized-steel primer. Paint materials and application requirements are specified in Division 9 Sections.

### 3.7 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
- B. Leakage Tests:
  - 1. **Comply with requirements for Leakage Class A for sealing all ducts.** Refer to SMACNA's "HVAC Air Duct Leakage Test Manual." Submit a test report for each test.
  - 2. Test the following systems:
    - a. Supply, Return, Exhaust, Outdoor Ducts with a Pressure Class of 2-Inch wg or Higher: Test representative duct sections, selected by Architect from sections installed, totaling no less than 50 percent of total installed duct area for each designated pressure class.
    - b. Engineer will randomly designate two supply duct systems for testing in accordance with Section 4 of SMACNA HVAC Air Duct Leakage Test Manual, current edition. If leakage test results exceed SMACNA allowable leakage rates, then additional two systems shall be tested. Supply duct test section shall include main trunk line from the mechanical room to the farthest VAV box. For systems without VAV boxes, main trunk shall be determined on site
  - 3. Disassemble, reassemble, and seal segments of systems to accommodate leakage testing and for compliance with test requirements.
  - 4. Test for leaks before applying external insulation.
  - 5. Conduct tests at static pressures equal to maximum design pressure of system or section being tested. If static-pressure classes are not indicated, test system at maximum system design pressure. Do not pressurize systems above maximum design operating pressure.
  - 6. Give seven days' advance notice for testing.
- C. Duct System Cleanliness Tests:
  - 1. Visually inspect duct system to ensure that no visible contaminants are present.
  - 2. Test sections of metal duct system, chosen randomly by Owner, for cleanliness according to "Vacuum Test" in NADCA ACR, "Assessment, Cleaning and Restoration of HVAC Systems."
    - a. Acceptable Cleanliness Level: Net weight of debris collected on the filter media shall not exceed 0.75 mg/100 sq. cm.
- D. Duct system will be considered defective if it does not pass tests and inspections.
- E. Prepare test and inspection reports.

## SECTION 233113 - METAL DUCTS

### 3.8 DUCT CLEANING

- A. Clean new and existing duct system(s) before testing, adjusting, and balancing.
- B. Use service openings for entry and inspection.
  - 1. Create new openings and install access panels appropriate for duct static-pressure class if required for cleaning access. Provide insulated panels for insulated or lined duct. Patch insulation as recommended by manufacturer. Comply with Section 233300 "Air Duct Accessories" for access panels and doors.
  - 2. Disconnect and reconnect flexible ducts as needed for cleaning and inspection.
  - 3. Remove and reinstall ceiling to gain access during the cleaning process.
- C. Particulate Collection and Odor Control:
  - 1. When venting vacuuming system inside the building, use HEPA filtration with 99.97 percent collection efficiency for 0.3-micron-size (or larger) particles.
  - 2. When venting vacuuming system to outdoors, use filter to collect debris removed from HVAC system, and locate exhaust downwind and away from air intakes and other points of entry into building.
- D. Clean the following components by removing surface contaminants and deposits:
  - 1. Air outlets and inlets (registers, grilles, and diffusers).
  - 2. Supply, return, and exhaust fans including fan housings, plenums (except ceiling supply and return plenums), scrolls, blades or vanes, shafts, baffles, dampers, and drive assemblies.
  - 3. Air-handling unit internal surfaces and components including mixing box, coil section, air wash systems, spray eliminators, condensate drain pans, humidifiers and dehumidifiers, filters and filter sections, and condensate collectors and drains.
  - 4. Coils and related components.
  - 5. Return-air ducts, dampers, actuators, and turning vanes except in ceiling plenums and mechanical equipment rooms.
  - 6. Supply-air ducts, dampers, actuators, and turning vanes.
  - 7. Dedicated exhaust and ventilation components and makeup air systems.
- E. Mechanical Cleaning Methodology:
  - 1. Clean metal duct systems using mechanical cleaning methods that extract contaminants from within duct systems and remove contaminants from building.
  - 2. Use vacuum-collection devices that are operated continuously during cleaning. Connect vacuum device to downstream end of duct sections so areas being cleaned are under negative pressure.
  - 3. Use mechanical agitation to dislodge debris adhered to interior duct surfaces without damaging integrity of metal ducts, or duct accessories.
  - 4. Clean coils and coil drain pans according to NADCA 1992. Keep drain pan operational. Rinse coils with clean water to remove latent residues and cleaning materials; comb and straighten fins.
  - 5. Provide drainage and cleanup for wash-down procedures.
  - 6. Antimicrobial Agents and Coatings: Apply EPA-registered antimicrobial agents if fungus is present. Apply antimicrobial agents according to manufacturer's written instructions after removal of surface deposits and debris.

## SECTION 233113 - METAL DUCTS

### 3.9 START UP

- A. Air Balance: Comply with requirements in Section 230593 "Testing, Adjusting, and Balancing for HVAC."

### 3.10 DUCT SCHEDULE

- A. Fabricate ducts with galvanized sheet steel except as otherwise indicated and as follows:

- A. Supply Ducts:

- 1. Ducts Connected to Fan Coil Units, and Terminal Units:
  - a. Pressure Class: Positive 2-inch wg.
  - b. Minimum SMACNA Seal Class: A
- 2. Ducts Connected to Constant-Volume Air-Handling Units
  - a. Pressure Class: Positive 3-inch wg
  - b. Minimum SMACNA Seal Class: A
- 3. Ducts Connected to Variable-Air-Volume Air-Handling Units:
  - a. Pressure Class: Positive 4-inch wg.
  - b. Minimum SMACNA Seal Class: A

- B. Return Ducts:

- 1. Ducts Connected to Fan Coil Units, and Terminal Units
  - a. Pressure Class: Positive or negative 2-inch wg
  - b. Minimum SMACNA Seal Class: B.
- 2. Ducts Connected to Air-Handling Units
  - a. Pressure Class: Positive or negative 3-inch wg
  - b. Minimum SMACNA Seal Class: B

- C. Outdoor-Air (Not Filtered, Heated, or Cooled) Ducts:

- 1. Ducts Connected to AHUs, Fan Coil Units, Furnaces, Heat Pumps, and Terminal Units
  - a. Pressure Class: Positive or negative 2-inch wg
  - b. Minimum SMACNA Seal Class: A

- D. Exhaust Ducts:

- 1. Ducts Connected to Fans Exhausting (ASHRAE 62.1, Class 1 and 2) Air:
  - a. Pressure Class: Negative 2-inch wg
  - b. Minimum SMACNA Seal Class: A

- E. Outdoor-Air (Not Filtered, Heated, or Cooled) Ducts:

- 1. Ducts Connected to AHUs, Fan Coil Units, Furnaces, Heat Pumps, and Terminal Units
  - a. Pressure Class: Positive or negative 2-inch wg
  - b. Minimum SMACNA Seal Class: A

- F. Elbow Configuration:

- 1. Rectangular Duct: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 2-2, "Rectangular Elbows."
  - a. Double Skin vaned elbows. See drawings.
- 2. Round Duct: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 3-3, "Round Duct Elbows."

## SECTION 233113 - METAL DUCTS

- a. Minimum Radius-to-Diameter Ratio and Elbow Segments: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Table 3-1, "Mitered Elbows." Elbows with less than 90-degree change of direction have proportionately fewer segments.
    - 1) Radius-to Diameter Ratio: 1.5.
  - b. Round Elbows, 12 Inches and Smaller in Diameter: Stamped or pleated.
  - c. Round Elbows, 14 Inches and Larger in Diameter: Standing seam.
- G. Branch Configuration:
1. Rectangular Duct: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 4-6, "Branch Connection."
    - a. Rectangular Main to Rectangular Branch: 45-degree entry.
    - b. Rectangular Main to Round Branch: Spin in.
  2. Round and Flat Oval: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 3-5, "90 Degree Tees and Laterals," and Figure 3-6, "Conical Tees." Saddle taps are permitted in existing duct.
    - a. Velocity 1000 fpm or Lower: 90-degree tap.
    - b. Velocity 1000 to 1500 fpm: Conical tap.
    - c. Velocity 1500 fpm or Higher: 45-degree lateral.
- H. **Exterior duct gauge and duct supports:**
1. **Design and build duct gauge and duct structural supports for exterior duct to meet the requirements of windstorm certification and all applicable building codes.**
  2. **Retain a licensed structural engineer and provide a sealed structural design.**
  3. **Evaluate existing metal building structure to determine cost effective method of supporting exterior ducts.**

END OF SECTION 233113

## SECTION 233300 - AIR DUCT ACCESSORIES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Backdraft and pressure relief dampers.
  - 2. Barometric relief dampers.
  - 3. Manual volume dampers.
  - 4. Control dampers.
  - 5. Fire dampers.
  - 6. Flange connectors.
  - 7. Turning vanes.
  - 8. Remote damper operators.
  - 9. Duct-mounted access doors.
  - 10. Flexible connectors.
  - 11. Flexible ducts.
  - 12. Duct accessory hardware.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: For duct accessories. Include plans, elevations, sections, details and attachments to other work.
  - 1. Detail duct accessories fabrication and installation in ducts and other construction. Include dimensions, weights, loads, and required clearances; and method of field assembly into duct systems and other construction. Include the following:
    - a. Special fittings.
    - b. Manual volume damper installations.
    - c. Control-damper installations.
    - d. Fire-damper, ceiling, and corridor damper installations, including sleeves; and duct-mounted access doors and remote damper operators.
    - e. Wiring Diagrams: For power, signal, and control wiring.

## SECTION 233300 - AIR DUCT ACCESSORIES

### 1.4 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Reflected ceiling plans, drawn to scale, on which ceiling-mounted access panels and access doors required for access to duct accessories are shown and coordinated with each other, using input from Installers of the items involved.
- B. Source quality-control reports.

### 1.5 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For air duct accessories to include in operation and maintenance manuals.

### 1.6 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Fusible Links: Furnish quantity equal to 10 percent of amount installed.

### 1.7 QUALITY ASSURANCE

- A. Comply with NFPA 90A, "Installation of Air Conditioning and Ventilating Systems," and with NFPA 90B, "Installation of Warm Air Heating and Air Conditioning Systems."
- B. Comply with AMCA 500-D testing for damper rating.

## PART 2 - PRODUCTS

### 2.1 ASSEMBLY DESCRIPTION

- A. Comply with NFPA 90A, "Installation of Air Conditioning and Ventilating Systems," and with NFPA 90B, "Installation of Warm Air Heating and Air Conditioning Systems."
- B. Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for acceptable materials, material thicknesses, and duct construction methods unless otherwise indicated. Sheet metal materials shall be free of pitting, seam marks, roller marks, stains, discolorations, and other imperfections.

### 2.2 MATERIALS

- A. Galvanized Sheet Steel: Comply with ASTM A 653/A 653M.
  - 1. Galvanized Coating Designation: G90.
  - 2. Exposed-Surface Finish: Mill phosphatized.

## SECTION 233300 - AIR DUCT ACCESSORIES

- B. Stainless-Steel Sheets: Comply with ASTM A 480/A 480M, Type 304.
- C. Aluminum Sheets: Comply with ASTM B 209, Alloy 3003, Temper H14; with mill finish for concealed ducts and standard, 1-side bright finish for exposed ducts.
- D. Extruded Aluminum: Comply with ASTM B 221, Alloy 6063, Temper T6.
- E. Reinforcement Shapes and Plates: Galvanized-steel reinforcement where installed on galvanized sheet metal ducts; compatible materials for aluminum and stainless-steel ducts.
- F. Tie Rods: Galvanized steel, 1/4-inch minimum diameter for lengths 36 inches or less; 3/8-inch minimum diameter for lengths longer than 36 inches.

### 2.3 BACKDRAFT AND PRESSURE RELIEF DAMPERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Greenheck Fan Corporation.
  - 2. Nailor Industries Inc.
  - 3. Pottorff.
  - 4. Ruskin Company.
- B. Description: Gravity balanced. Blades of maximum 6-inch width, with sealed edges, assembled in rattle-free manner, steel ball bearings, and axles.
- C. Frame: Hat-shaped, 0.05-inch-thick, galvanized sheet steel, with welded corners and mounting flange.
- D. Blades: Multiple single-piece blades, 0.050-inch-thick aluminum sheet with sealed edges.
- E. Blade Action: Parallel.
- F. Blade Seals: Neoprene, mechanically locked.
- G. Blade Axles:
  - 1. Material: Galvanized steel.
- H. Tie Bars and Brackets: Galvanized steel.
- I. Return Spring: Adjustable tension.
- J. Accessories:
  - 1. Adjustment device to permit setting for varying differential static pressure.
  - 2. Counterweights and spring-assist kits for vertical airflow installations.
  - 3. Electric actuators, where noted.
  - 4. Chain pulls.
  - 5. Screen Mounting: Front mounted in sleeve.

## SECTION 233300 - AIR DUCT ACCESSORIES

- a. Sleeve Thickness: 20 gage minimum.
- b. Sleeve Length: 6 inches minimum.
6. Screen Mounting: Rear mounted.
7. Screen Material: Stainless steel.
8. Screen Type: Bird.
9. 90-degree stops.

### 2.4 MANUAL VOLUME DAMPERS

#### A. Standard, Steel, Manual Volume Dampers:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. Flexmaster U.S.A., Inc.
  - b. McGill AirFlow LLC.
  - c. Nailor Industries Inc.
  - d. Pottorff.
  - e. Ruskin Company.
2. Standard leakage rating, with linkage outside airstream.
3. Suitable for horizontal or vertical applications.
4. Frames:
  - a. Frame: Hat-shaped, 0.094-inch-thick, galvanized sheet steel.
  - b. Mitered and welded corners.
  - c. Flanges for attaching to walls and flangeless frames for installing in ducts.
5. Blades:
  - a. Multiple or single blade.
  - b. Parallel- or opposed-blade design.
  - c. Stiffen damper blades for stability.
  - d. Galvanized-steel, 0.064 inch thick.
6. Blade Axles: Galvanized steel.
7. Tie Bars and Brackets: Galvanized steel.

#### B. Standard, Aluminum, Manual Volume Dampers:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. McGill AirFlow LLC.
  - b. Nailor Industries Inc.
  - c. Pottorff.
  - d. Ruskin Company.

## SECTION 233300 - AIR DUCT ACCESSORIES

2. Standard leakage rating, with linkage outside airstream.
  3. Suitable for horizontal or vertical applications.
  4. Frames: Hat-shaped, 0.10-inch-thick, aluminum sheet channels; frames with flanges for attaching to walls and flangeless frames for installing in ducts.
  5. Blades:
    - a. Multiple or single blade.
    - b. Parallel- or opposed-blade design.
    - c. Stiffen damper blades for stability.
    - d. Roll-Formed Aluminum Blades: 0.10-inch-thick aluminum sheet.
    - e. Extruded-Aluminum Blades: 0.050-inch-thick extruded aluminum.
  6. Blade Axles: Galvanized steel.
  7. Tie Bars and Brackets: Aluminum.
- C. Low-Leakage, Steel, Manual Volume Dampers:
1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Pottorff.
    - b. Ruskin Company.
  2. Comply with AMCA 500-D testing for damper rating.
  3. Low-leakage rating, with linkage outside airstream, and bearing AMCA's Certified Ratings Seal for both air performance and air leakage.
  4. Suitable for horizontal or vertical applications.
  5. Frames:
    - a. Hat shaped.
    - b. 0.094-inch-thick, galvanized sheet steel.
    - c. Mitered and welded corners.
    - d. Flanges for attaching to walls and flangeless frames for installing in ducts.
  6. Blades:
    - a. Multiple or single blade.
    - b. Parallel- or opposed-blade design.
    - c. Stiffen damper blades for stability.
    - d. Galvanized, roll-formed steel, 0.064 inch thick.
  7. Blade Axles: Galvanized steel.
  8. Blade Seals: Neoprene.
  9. Tie Bars and Brackets: Galvanized steel.
  10. Accessories:
    - a. Include locking device to hold single-blade dampers in a fixed position without vibration.

## SECTION 233300 - AIR DUCT ACCESSORIES

### D. Low-Leakage, Aluminum, Manual Volume Dampers:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. Pottorff.
  - b. Ruskin Company.
2. Comply with AMCA 500-D testing for damper rating.
3. Low-leakage rating, with linkage outside airstream, and bearing AMCA's Certified Ratings Seal for both air performance and air leakage.
4. Suitable for horizontal or vertical applications.
5. Frames: Hat-shaped, 0.10-inch-thick, aluminum sheet channels; frames with flanges for attaching to walls and flangeless frames for installing in ducts.
6. Blades:
  - a. Multiple or single blade.
  - b. Parallel- or opposed-blade design.
  - c. Roll-Formed Aluminum Blades: 0.10-inch-thick aluminum sheet.
  - d. Extruded-Aluminum Blades: 0.050-inch-thick extruded aluminum.
7. Blade Axles: Galvanized steel.
8. Blade Seals: Neoprene.
9. Tie Bars and Brackets: Aluminum.
10. Accessories:
  - a. Include locking device to hold single-blade dampers in a fixed position without vibration.

### E. Jackshaft:

1. Size: 1-inch diameter.
2. Material: Galvanized-steel pipe rotating within pipe-bearing assembly mounted on supports at each mullion and at each end of multiple-damper assemblies.
3. Length and Number of Mountings: As required to connect linkage of each damper in multiple-damper assembly.

### F. Damper Hardware:

1. Zinc-plated, die-cast core with dial and handle made of 3/32-inch-thick zinc-plated steel, and a 3/4-inch hexagon locking nut.
2. Include center hole to suit damper operating-rod size.
3. Include elevated platform for insulated duct mounting.

## 2.5 CONTROL DAMPERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

## SECTION 233300 - AIR DUCT ACCESSORIES

1. [Greenheck Fan Corporation.](#)
  2. [Pottorff.](#)
  3. [Ruskin Company.](#)
  4. [Young Regulator Company.](#)
- B. Low-leakage rating, with linkage outside airstream, and bearing AMCA's Certified Ratings Seal for both air performance and air leakage.
- C. Frames:
1. Hat shaped.
  2. 0.094-inch-thick, galvanized sheet steel.
  3. Mitered and welded corners.
- D. Blades:
1. Multiple blade with maximum blade width of 6 inches.
  2. Opposed-blade design.
  3. Galvanized-steel.
  4. 0.064 inch thick single skin.
  5. Blade Edging: Closed-cell neoprene.
  6. Blade Edging: Inflatable seal blade edging, or replaceable rubber seals.
- E. Blade Axles: 1/2-inch-diameter; galvanized steel; blade-linkage hardware of zinc-plated steel and brass; ends sealed against blade bearings.
1. Operating Temperature Range: From minus 40 to plus 200 deg F.
- F. Bearings:
1. Molded synthetic.
  2. Dampers in ducts with pressure classes of 3-inch wg or less shall have axles full length of damper blades and bearings at both ends of operating shaft.
  3. Thrust bearings at each end of every blade.

### 2.6 FIRE DAMPERS

- A. [Manufacturers:](#) Subject to compliance with requirements, provide products by one of the following:
1. [Greenheck Fan Corporation.](#)
  2. [Pottorff.](#)
  3. [Ruskin Company.](#)
- B. Type: Dynamic; rated and labeled according to UL 555 by an NRTL.
- C. Closing rating in ducts up to 4-inch wg static pressure class and minimum 2000-fpm velocity.
- D. Fire Rating: 1-1/2 hours.

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- E. Frame: Curtain type with blades outside airstream; fabricated with roll-formed, 0.034-inch-thick galvanized steel; with mitered and interlocking corners.
- F. Mounting Sleeve: Factory- or field-installed, galvanized sheet steel.
  - 1. Minimum Thickness: 0.138 inch thick, as indicated, and of length to suit application.
  - 2. Exception: Omit sleeve where damper-frame width permits direct attachment of perimeter mounting angles on each side of wall or floor; thickness of damper frame must comply with sleeve requirements.
- G. Mounting Orientation: Vertical or horizontal as indicated.
- H. Blades: Roll-formed, interlocking, 0.034-inch-thick, galvanized sheet steel. In place of interlocking blades, use full-length, 0.034-inch-thick, galvanized-steel blade connectors.
- I. Horizontal Dampers: Include blade lock and stainless-steel closure spring.
- J. Heat-Responsive Device: Replaceable, 165 deg F rated, fusible links.

### 2.7 FLANGE CONNECTORS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Ductmate Industries, Inc.
  - 2. Nexus PDQ.
  - 3. Ward Industries, Inc.
- B. Description: Factory-fabricated, slide-on transverse flange connectors, gaskets, and components.
- C. Material: Galvanized steel.
- D. Gage and Shape: Match connecting ductwork.

### 2.8 TURNING VANES

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Ductmate Industries, Inc.
  - 2. METALAIRE, Inc.
  - 3. SEMCO Incorporated.
  - 4. Ward Industries, Inc.
- B. Manufactured Turning Vanes for Metal Ducts: Curved blades of galvanized sheet steel; support with bars perpendicular to blades set; set into vane runners suitable for duct mounting.
  - 1. Acoustic Turning Vanes: Fabricate airfoil-shaped aluminum extrusions with perforated faces and fibrous-glass fill.

## SECTION 233300 - AIR DUCT ACCESSORIES

- C. General Requirements: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible"; Figures 4-3, "Vaness and Vane Runners," and 4-4, "Vane Support in Elbows."
- D. Vane Construction: Double wall.

### 2.9 REMOTE DAMPER OPERATORS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Pottorff.
  - 2. Young Regulator Company.
- B. Description: Cable system designed for remote manual damper adjustment.
- C. Tubing: Brass.
- D. Cable: Stainless steel.
- E. Wall-Box Cover-Plate Material: Stainless steel.

### 2.10 DUCT-MOUNTED ACCESS DOORS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Flexmaster U.S.A., Inc.
  - 2. Greenheck Fan Corporation.
  - 3. Pottorff.
- B. Duct-Mounted Access Doors: Fabricate access panels according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible"; Figures 7-2, "Duct Access Doors and Panels," and 7-3, "Access Doors - Round Duct."
  - 1. Door:
    - a. Double wall, rectangular.
    - b. Galvanized sheet metal with insulation fill and thickness as indicated for duct pressure class.
    - c. Vision panel.
    - d. Hinges and Latches: 1-by-1-inch butt or piano hinge and cam latches.
    - e. Fabricate doors airtight and suitable for duct pressure class.
  - 2. Frame: Galvanized sheet steel, with bend-over tabs and foam gaskets.

### 2.11 FLEXIBLE CONNECTORS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

## SECTION 233300 - AIR DUCT ACCESSORIES

1. [Ductmate Industries, Inc.](#)
  2. [Duro Dyne Inc.](#)
  3. [Ward Industries, Inc.](#)
- B. Materials: Flame-retardant or noncombustible fabrics.
- C. Coatings and Adhesives: Comply with UL 181, Class 1.
- D. Metal-Edged Connectors: Factory fabricated with a fabric strip 5-3/4 inches wide attached to two strips of 2-3/4-inch-wide, 0.028-inch-thick, galvanized sheet steel or 0.032-inch-thick aluminum sheets. Provide metal compatible with connected ducts.
- E. Indoor System, Flexible Connector Fabric: Glass fabric double coated with neoprene.
1. Minimum Weight: 26 oz./sq. yd..
  2. Tensile Strength: 480 lbf/inch in the warp and 360 lbf/inch in the filling.
  3. Service Temperature: Minus 40 to plus 200 deg F.
- F. Outdoor System, Flexible Connector Fabric: Glass fabric double coated with weatherproof, synthetic rubber resistant to UV rays and ozone.
1. Minimum Weight: 24 oz./sq. yd..
  2. Tensile Strength: 530 lbf/inch in the warp and 440 lbf/inch in the filling.
  3. Service Temperature: Minus 50 to plus 250 deg F.
- G. High-Temperature System, Flexible Connectors: Glass fabric coated with silicone rubber.
1. Minimum Weight: 16 oz./sq. yd..
  2. Tensile Strength: 285 lbf/inch in the warp and 185 lbf/inch in the filling.
  3. Service Temperature: Minus 67 to plus 500 deg F.
- H. High-Corrosive-Environment System, Flexible Connectors: Glass fabric with chemical-resistant coating.
1. Minimum Weight: 14 oz./sq. yd..
  2. Tensile Strength: 450 lbf/inch in the warp and 340 lbf/inch in the filling.
  3. Service Temperature: Minus 67 to plus 500 deg F.

### 2.12 FLEXIBLE DUCTS

- A. [Manufacturers](#): Subject to compliance with requirements, provide products by the following:
1. [Flexmaster U.S.A., Inc.](#)
  2. Thermaflex
- A. Where acoustical flexible duct is shown on drawings, provide Flexmaster Type 8M (or Thermaflex M-KE) UL 181 Class I Air Duct or equal.
- B. The duct shall be constructed of a CPE fabric supported by helical wound galvanized steel. The fabric shall be mechanically locked to the steel helix without the use of adhesives or chemicals.

SECTION 233300 - AIR DUCT ACCESSORIES

- C. The internal working pressure rating shall be at least 6" w.g. positive and 4" w.g. negative through 16" diameter, and 1" w.g. negative for 18" and 20" diameters, with a bursting pressure of at least 2 ½ time the working pressure.
- D. The duct shall be rated for a velocity of at least 4000 feet per minute.
- E. The duct must be suitable for continuous operation at a temperature range of -20° F to +250° F.
- F. Factory insulate the flexible duct with fiberglass insulation. The R-value shall be at least 8 at a mean temperature of 75° F.
- G. Cover the insulation with a fire retardant metalized vapor barrier jacket reinforced with crosshatched scrim having a permeance of not greater than 0.05 perms when tested in accordance with ASTM E96, Procedure.
- H. Sound attenuation Properties: Acoustical performance, when tested by an independent laboratory in accordance with the Air Diffusion Council's Flexible Air Duct Test Code FD 72-R1, Section 3.0, Sound Properties, shall be as follows:

<b>Octave Band</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>	<b>7</b>
<b>Hz.</b>	125	250	500	1000	2000	4000
<b>6" diameter</b>	7	31	40	38	40	27
<b>8" diameter</b>	13	29	36	35	38	22
<b>12" diameter</b>	21	28	29	33	26	12

- I. Flexible Duct Connectors:
  - 1. Clamps: Stainless-steel band with cadmium-plated hex screw to tighten band with a worm-gear action in sizes 3 through 18 inches, to suit duct size.

2.13 DUCT ACCESSORY HARDWARE

- A. Instrument Test Holes: Cast iron or cast aluminum to suit duct material, including screw cap and gasket. Size to allow insertion of pitot tube and other testing instruments and of length to suit duct-insulation thickness.
- B. Adhesives: High strength, quick setting, neoprene based, waterproof, and resistant to gasoline and grease.

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Install duct accessories according to applicable details in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for metal ducts and in NAIMA AH116, "Fibrous Glass Duct Construction Standards," for fibrous-glass ducts.

## SECTION 233300 - AIR DUCT ACCESSORIES

- B. Install duct accessories of materials suited to duct materials; use galvanized-steel accessories in galvanized-steel and fibrous-glass ducts, stainless-steel accessories in stainless-steel ducts, and aluminum accessories in aluminum ducts.
- C. Install backdraft dampers (control dampers for fans 2,000CFM and larger) at inlet of exhaust fans or exhaust ducts as close as possible to exhaust fan unless otherwise indicated.
- D. Install volume dampers at points on supply, return, and exhaust systems where branches extend from larger ducts. Where dampers are installed in ducts having duct liner, install dampers with hat channels of same depth as liner, and terminate liner with nosing at hat channel.
  - 1. Install steel volume dampers in steel ducts.
  - 2. Install aluminum volume dampers in aluminum ducts.
- E. Set dampers to fully open position before testing, adjusting, and balancing.
- F. Install test holes at fan inlets and outlets and elsewhere as indicated.
- G. Install fire and smoke dampers according to UL listing.
- H. Install duct access doors on sides of ducts to allow for inspecting, adjusting, and maintaining accessories and equipment at the following locations:
  - 1. On both sides of duct coils.
  - 2. Upstream from duct filters.
  - 3. At outdoor-air intakes and mixed-air plenums.
  - 4. At drain pans and seals.
  - 5. Downstream from manual volume dampers, control dampers, backdraft dampers, and equipment.
  - 6. Adjacent to and close enough to fire or smoke dampers, to reset or reinstall fusible links. Access doors for access to fire or smoke dampers having fusible links shall be pressure relief access doors and shall be outward operation for access doors installed upstream from dampers and inward operation for access doors installed downstream from dampers.
  - 7. At each change in direction and at maximum 50-foot spacing.
  - 8. Upstream from turning vanes.
  - 9. Upstream or downstream from duct silencers.
  - 10. Control devices requiring inspection.
  - 11. Elsewhere as indicated.
- I. Install access doors with swing against duct static pressure.
- J. Access Door Sizes:
  - 1. One-Hand or Inspection Access: 8 by 5 inches.
  - 2. Two-Hand Access: 12 by 6 inches.
  - 3. Head and Hand Access: 18 by 10 inches.
  - 4. Head and Shoulders Access: 21 by 14 inches.
  - 5. Body Access: 25 by 14 inches.
  - 6. Body plus Ladder Access: 25 by 17 inches.

## SECTION 233300 - AIR DUCT ACCESSORIES

- K. Label access doors according to Section 230553 "Identification for HVAC Piping and Equipment" to indicate the purpose of access door.
- L. Install flexible connectors to connect ducts to equipment.
- M. For fans developing static pressures of 5-inch wg and more, cover flexible connectors with loaded vinyl sheet held in place with metal straps.
- N. Connect terminal units to supply ducts directly, and for fan powered boxes with maximum 12-inch lengths of flexible duct. Do not use flexible ducts to change directions.
- O. Connect flexible ducts to metal ducts with stainless steel draw bands.
- P. Install duct test holes where required for testing and balancing purposes.

### 3.2 FIELD QUALITY CONTROL

- A. Tests and Inspections:
  - 1. Operate dampers to verify full range of movement.
  - 2. Inspect locations of access doors and verify that purpose of access door can be performed.
  - 3. Operate fire, smoke, and combination fire and smoke dampers to verify full range of movement and verify that proper heat-response device is installed.
  - 4. Inspect turning vanes for proper and secure installation.
  - 5. Operate remote damper operators to verify full range of movement of operator and damper.

END OF SECTION 233300

## SECTION 233423 - HVAC POWER VENTILATORS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Centrifugal roof mounted vent set.

#### 1.3 PERFORMANCE REQUIREMENTS

- A. Project Altitude: Base fan-performance ratings on sea level.
- B. Operating Limits: Classify according to AMCA 99.
- C. Delegated Design: Design roof support structure to comply with wind performance requirements, including comprehensive engineering analysis by a qualified professional engineer, using performance requirements and design criteria indicated.
- D. Wind-Restraint Performance rated for basic Wind Speed: Rated for project location.

#### 1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated. Include rated capacities, operating characteristics, and furnished specialties and accessories. Also include the following:
  - 1. Certified fan performance curves with system operating conditions indicated.
  - 2. Certified fan sound-power ratings.
  - 3. Motor ratings and electrical characteristics, plus motor and electrical accessories.
  - 4. Material thickness and finishes, including color charts.
  - 5. Dampers, including housings, linkages, and operators.
  - 6. Fan speed controllers.
  - 7. Roof curbs.
- B. Shop Drawings: Include plans, elevations, sections, details, and attachments to other work.
  - 1. Detail equipment assemblies and indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
  - 2. Wiring Diagrams: For power, signal, and control wiring.
- C. Delegated-Design Submittal: For unit hangars and supports indicated to comply with performance requirements and design criteria, including analysis data signed and sealed by the qualified professional engineer responsible for their preparation.

## SECTION 233423 - HVAC POWER VENTILATORS

1. Vibration Isolation Base Details: Detail fabrication including anchorages and attachments to structure and to supported equipment. Include adjustable motor bases, rails, and frames for equipment mounting.
2. Design Calculations: Calculate requirements for selecting vibration isolators and for designing vibration isolation bases.

D. **Manufacturer Wind Loading Qualification Certification:** Submit certification that specified equipment will withstand wind forces identified in "Performance Requirements" Article, Division 7 and in Division 23 Section "Vibration and Seismic Controls for HVAC Piping and Equipment."

1. **Basis for Certification:** Indicate whether withstand certification is based on actual test of assembled components or on calculations.
2. **Dimensioned Outline Drawings of Equipment Unit:** Identify center of wind force and locate and describe mounting and anchorage provisions.
3. **Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.**

### 1.5 INFORMATIONAL SUBMITTALS

- A. **Coordination Drawings:** Reflected ceiling plans and other details, drawn to scale, on which the following items are shown and coordinated with each other, using input from Installers of the items involved:
1. Framing and support members relative to duct penetrations.
  2. Ceiling suspension assembly members.
  3. Size and location of initial access modules for acoustical tile.
  4. Ceiling-mounted items including light fixtures, diffusers, grilles, speakers, sprinklers, access panels, and special moldings.
  5. Roof framing and support members relative to duct penetrations.
- B. **Certified Compliance Statement and shop drawings from a licensed PE for IBC and TDI.**
1. Sealed shop drawings showing installation instructions and attachment of equipment to structure. Include quantity and type of restraining brackets/clips, screws, spacing, etc.
  2. As a separate attachment provide sealed IBC and TDI compliant calculations for curbs and attachment.
- C. **Field quality-control reports.**

### 1.6 CLOSEOUT SUBMITTALS

- A. **Operation and Maintenance Data:** For power ventilators to include in emergency, operation, and maintenance manuals.

### 1.7 MAINTENANCE MATERIAL SUBMITTALS

- A. **Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.**
1. Belts: One set for each belt-driven unit.

## SECTION 233423 - HVAC POWER VENTILATORS

### 1.8 QUALITY ASSURANCE

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. AMCA Compliance: Fans shall have AMCA-Certified performance ratings and shall bear the AMCA-Certified Ratings Seal.
- C. UL Standards: Power ventilators shall comply with UL 705. Power ventilators for use for restaurant kitchen exhaust shall also comply with UL 762.
- D. International Building Code and TDI Compliance: Licensed Professional Engineer shall certify that the listed items are designed for and will withstand wind speed for the location of the project, per the relevant edition of International Building Code, ASCE Std 7, Texas Department of Insurance requirements.
  - 1. Equipment curb/attachment for exterior and roof mounted equipment such as fans.
  - 2. Attachment of equipment to curb/pad.
  - 3. Attachment of curb/pad to building structure.

### 1.9 COORDINATION

- A. Coordinate size and location of structural-steel support members.
- B. Coordinate installation of roof curbs, equipment supports, and roof penetrations. These items are specified in Division 7 Section "Roof Accessories."

### 1.10 WARRANTY

- A. General Warranty: The special warranty specified in this Article shall not deprive the Owner of other rights the Owner may have under other provisions of the Contract Documents and shall be in addition to, and run concurrent with, other warranties made by the Contractor under requirements of the Contract Documents.
- B. Special Warranty: A written warranty, executed by Contractor and signed by manufacturer, agreeing to replace components that fail in materials and workmanship within the specified warranty period, provided manufacturer's written instructions for installation, operation, and maintenance have been followed.
  - 1. Warranty Period: One (1) year parts and labor for fan and motor, including all components, from date of Substantial Completion.

### 1.11 EXTRA MATERIALS

- A. Furnish extra materials described below that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Belts: One set for each belt-driven unit.

## SECTION 233423 - HVAC POWER VENTILATORS

### PART 2 - PRODUCTS

#### 2.1 MANUFACTURERS

- A. HVAC Power Ventilators: subject to compliance with requirements, provide products by one of the following:
  - 1. Loren Cook Company.
  - 2. Greenheck Fan Corp.
  - 3. New York Blower Company (The).
  - 4. Penn Ventilation.

#### 2.2 CENTRIFUGAL ROOF VENTILATORS

- A. Description: Single width, single inlet, backward inclined flat blade, belt driven centrifugal vent set.
- B. Certification: Manufactured at an ISO 9001 certified facility. Listed by Underwriters Laboratories (UL/cUL 705) for US. Fan shall bear the AMCA certified ratings seal for sound and air performance.
- C. Construction: Bolted and welded construction utilizing corrosion resistant fasteners. The scroll wrapper shall be minimum 14 gauge steel and the scroll side panels shall be minimum 12 gauge steel. The entire fan housing shall have continuously welded seams for leakproof operation. A performance cut-off shall be furnished to prevent the recirculation of air in the fan housing. The fan housing shall be field rotatable to any one of eight discharge positions and shall have a minimum 1-1/2 inch outlet discharge flange. Bearing support shall be minimum 10 gauge welded steel. Side access inspection ports shall be provided with quick release latches for access to the motor compartment without removing the weather cover. Lifting lugs shall be provided for ease of installation. Unit shall bear an engraved aluminum nameplate. Nameplate shall indicate design CFM, static pressure, and maximum fan RPM. Unit shall be shipped in ISTA certified transit tested packaging.
- D. Coating: Steel fan components shall be Lorenized™ with an electrostatically applied, baked polyester powder coating. Each component shall be subject to a five stage environmentally friendly wash system, followed by a minimum 2 mil thick baked powder finish. Paint must exceed 1,000 hour salt spray under ASTM B117 test method.
  - 1. Coatings for swimming pool application per schedule notes.
- E. Fan Wheels: Centrifugal backward inclined, constructed of 100% aluminum, including a precision machined cast aluminum hub. Wheel hub shall be keyed and securely attached to the fan shaft. Wheel inlet shall overlap an aerodynamic aluminum inlet cone to provide maximum performance and efficiency. Wheel shall be balanced in accordance with AMCA Standard 204-05, *Balance Quality and Vibration Levels for Fans*.
- F. Motor: TEFC Motor rated for continuous duty and furnished at the specified voltage, phase and enclosure, rated for outdoor service in severely corrosive air.
- G. Blower shaft: AISI C-1045 hot rolled and accurately turned, ground and polished. Shafting sized for a critical speed of at least 125% of maximum RPM.

## SECTION 233423 - HVAC POWER VENTILATORS

- H. Bearings: Designed and tested specifically for use in air handling applications. Construction shall be heavy duty regreasable ball or roller type in a cast iron pillow block housing selected for a minimum L50 life in excess of 200,000 hours at maximum cataloged operating speed.
- I. Belt-Driven Drive Assembly:
  - 1. Belts shall be oil and heat resistant, static conducting. Drives shall be precision machined cast iron type, keyed and securely attached to the wheel and motor shafts. Drives shall be sized for 150% of the installed motor horsepower. The variable pitch motor drive must be factory set to the specified fan RPM.
  - 2. Fan and motor isolated from exhaust airstream.
- J. Accessories:
  - 1. Fan construction and coatings shall be rated for pool application
  - 2. Premium efficiency TEFC motor
  - 3. Disconnect
  - 4. Phenolic Epoxy with UV coating for inside and outside of units.
  - 5. Steel drain
  - 6. OSHA weather cover
  - 7. Stainless steel shaft
  - 8. Belt-tensioner.
  - 9. See schedules.
- K. Roof Support: Coordinate with existing supports and structural design. Provide wind-storm restraints for wind-storm certification.

### 2.3 ROOF MOUNTED EQUIPMENT

- A. [Wind Restraints: Metal brackets compatible with the supports, painted to match equipment, used to anchor unit to the structure, and designed for loads at Project site.](#)
- B. Coating as specified, on all fans.

### 2.4 MOTORS

- A. Comply with NEMA designation, temperature rating, service factor, enclosure type, and efficiency requirements for motors specified in Section 230513 "Common Motor Requirements for HVAC Equipment."
  - 1. Motor Sizes: Minimum size as indicated. If not indicated, large enough so driven load will not require motor to operate in service factor range above 1.0.
- B. Enclosure Type: Totally enclosed, fan cooled.

### 2.5 SOURCE QUALITY CONTROL

- A. Certify sound-power level ratings according to AMCA 301, "Methods for Calculating Fan Sound Ratings from Laboratory Test Data." Factory test fans according to AMCA 300, "Reverberant Room Method for Sound Testing of Fans." Label fans with the AMCA-Certified Ratings Seal.

## SECTION 233423 - HVAC POWER VENTILATORS

- B. Certify fan performance ratings, including flow rate, pressure, power, air density, speed of rotation, and efficiency by factory tests according to AMCA 210, "Laboratory Methods of Testing Fans for Aerodynamic Performance Rating." Label fans with the AMCA-Certified Ratings Seal.

### PART 3 - EXECUTION

#### 3.1 INSTALLATION

- A. Install power ventilators level and plumb.
- B. Secure roof-mounting fans to roof curbs with cadmium-plated hardware. Refer to Division 7 Section "Roof Accessories" for installation of roof curbs.
- C. Install units with clearances for service and maintenance.
- D. Label units according to requirements specified in Section 230553 "Identification for HVAC Piping and Equipment."

#### 3.2 CONNECTIONS

- A. Drawings indicate general arrangement of ducts and duct accessories. Make final duct connections with flexible connectors. Flexible connectors are specified in Section 233300 "Air Duct Accessories."
- B. Install ducts adjacent to power ventilators to allow service and maintenance.
- C. Ground equipment according to Section 260526 "Grounding and Bonding for Electrical Systems."
- D. Connect wiring according to Section 260519 "Low-Voltage Electrical Power Conductors and Cables."

#### 3.3 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
  - 1. Verify that shipping, blocking, and bracing are removed.
  - 2. Verify that unit is secure on mountings and supporting devices and that connections to ducts and electrical components are complete. Verify that proper thermal-overload protection is installed in motors, starters, and disconnect switches.
  - 3. Verify that cleaning and adjusting are complete.
  - 4. Disconnect fan drive from motor, verify proper motor rotation direction, and verify fan wheel free rotation and smooth bearing operation. Reconnect fan drive system, align and adjust belts, and install belt guards.
  - 5. Adjust belt tension.
  - 6. Adjust damper linkages for proper damper operation.
  - 7. Verify lubrication for bearings and other moving parts.

## SECTION 233423 - HVAC POWER VENTILATORS

8. Verify that manual and automatic volume control and fire and smoke dampers in connected ductwork systems are in fully open position.
9. Disable automatic temperature-control operators, energize motor and adjust fan to indicated rpm, and measure and record motor voltage and amperage.
10. Shut unit down and reconnect automatic temperature-control operators.
11. Remove and replace malfunctioning units and retest as specified above.

### B. Starting Procedures:

1. Energize motor and adjust fan to indicated rpm.
2. Measure and record motor voltage and amperage.

### C. Operational Test: After electrical circuitry has been energized, start units to confirm proper motor rotation and unit operation. Remove malfunctioning units, replace with new units, and retest.

### D. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.

## 3.4 ADJUSTING

- A. Adjust damper linkages for proper damper operation.
- B. Adjust belt tension.
- C. Comply with requirements in Section 230593 "Testing, Adjusting, and Balancing for HVAC" for testing, adjusting, and balancing procedures.
- D. Replace fan and motor pulleys as required to achieve design airflow.
- E. Lubricate bearings.

## 3.5 DEMONSTRATION

- A. Train Owner's maintenance personnel to adjust, operate, and maintain power ventilators.
  1. Train Owner's maintenance personnel on procedures and schedules for starting and stopping, troubleshooting, servicing, and maintaining equipment and schedules.
  2. Review data in maintenance manuals. Refer to Division 1 Section "Operation and Maintenance Data."
  3. Schedule training with Owner, through Architect, with at least seven days' advance notice.

END OF SECTION 233423

## SECTION 238126 - SPLIT-SYSTEM AIR-CONDITIONERS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section includes split-system air-conditioning **units** consisting of separate evaporator-fan and compressor-condenser components.

#### 1.3 PERFORMANCE REQUIREMENTS

- A. **Delegated Design:** Design ACCU supports to comply with **wind** performance requirements, including comprehensive engineering analysis by a qualified professional engineer, using performance requirements and design criteria indicated.
- B. **Wind-Restraint Performance** rated for basic Wind Speed: Rated for project location.

#### 1.4 SUBMITTALS

- A. **Product Data:** Include rated capacities, operating characteristics, and furnished specialties and accessories. Include performance data in terms of capacities, outlet velocities, static pressures, sound power characteristics, motor requirements, and electrical characteristics.
- B. **Shop Drawings:** Include plans, elevations, sections, details, and attachments to other work.
  - 1. Detail equipment assemblies and indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
  - 2. **Wiring Diagrams:** For power, signal, and control wiring.
- C. **Manufacturer Wind Loading Qualification Certification:** Submit certification that specified equipment installation will withstand wind forces identified in "Performance Requirements" Article, Division 7 and in Division 23 Section "Vibration and Seismic Controls for HVAC Piping and Equipment."
  - 1. **Basis for Certification:** Indicate whether withstand certification is based on actual test of assembled components or on calculations.
  - 2. **Dimensioned Outline Drawings of Equipment Unit:** Identify center of wind force and locate and describe mounting and anchorage provisions.
  - 3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.
- D. **Field quality-control reports.**

## SECTION 238126 - SPLIT-SYSTEM AIR-CONDITIONERS

- E. Operation and Maintenance Data: For split-system air-conditioning units to include in emergency, operation, and maintenance manuals.
- F. Warranty: Sample of special warranty.
- G. Certified Compliance Statement and shop drawings from a licensed PE for IBC and TDI.
  - 1. Sealed shop drawings showing installation instructions and attachment of equipment to curb, and curb to structure. Include quantity and type of restraining brackets/clips, screws, spacing, etc.
  - 2. As a separate attachment provide sealed IBC and TDI compliant calculations for curbs and attachment.

### 1.5 QUALITY ASSURANCE

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. ASHRAE Compliance:
  - 1. Fabricate and label refrigeration system to comply with ASHRAE 15, "Safety Standard for Refrigeration Systems."
  - 2. ASHRAE Compliance: Applicable requirements in ASHRAE 62.1-2004, Section 4 - "Outdoor Air Quality," Section 5 - "Systems and Equipment," Section 6 - "Procedures," and Section 7 - "Construction and System Start-Up."
- C. ASHRAE/IESNA Compliance: Applicable requirements in ASHRAE/IESNA 90.1-2004.
- D. International Building Code and TDI Compliance: Licensed Professional Engineer shall certify that the listed items are designed for and will withstand wind speed for the location of the project, per the relevant edition of International Building Code, ASCE Std 7, Texas Department of Insurance requirements.
  - 1. Equipment curb/attachment for exterior and roof mounted equipment such as RTUs, ACCU, fans.
  - 2. Attachment of equipment to curb/pad.
  - 3. Attachment of curb/pad to building structure.

### 1.6 COORDINATION

- A. Coordinate sizes and locations of concrete bases with actual equipment provided. Cast anchor-bolt inserts into bases. Concrete, reinforcement, and formwork are specified in Division 03 Section "Cast-in-Place Concrete."

### 1.7 WARRANTY

- A. Special Warranty: Manufacturer's standard form in which manufacturer agrees to repair or replace components of split-system air-conditioning units that fail in materials or workmanship within specified warranty period. Warranty period to commence from the date of equipment start-up.

## SECTION 238126 - SPLIT-SYSTEM AIR-CONDITIONERS

1. Warranty Period:
  - a. For Compressor: **Five** year(s) from date of Substantial Completion.
  - b. For Parts and Labor: **One** year(s) from date of Substantial Completion.

### 1.8 EXTRA MATERIALS

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  1. Filters: **One** set for each air-handling unit.
  2. Fan Belts: **One** set for each air-handling unit fan.

## PART 2 - PRODUCTS

### 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  1. Lennox International Inc.
  2. Trane.

### 2.2 INDOOR UNITS

- A. Horizontal, Evaporator-Fan Components: An assembly including cabinet, filter, chassis, coil, drain pan, fan, and motor in blow-through configuration with direct-expansion cooling coil, and electric heating coil where noted.
- B. Cabinet: Covers and access panels shall be manufactured of 20 gauge pre-painted, galvanized sheet metal. Cabinet walls shall have insulated panels, fabricated to allow removal for access to internal parts and components. Units shall be designed and equipped for installation indoors.
- C. Chassis: Unit structural members shall be manufactured of 16 gauge pre-painted, galvanized sheet metal. Removable panels for servicing, and insulation on back of panel.
  1. Insulation: Standard insulation or minimum 1/2", whichever is greater, with antimicrobial agent.
- D. Refrigerant Coil: Copper tube, with mechanically bonded aluminum fins, complying with ARI 210/240, and with thermal-expansion valve.
- E. Electric Coil: **If scheduled**, helical, nickel-chrome, resistance-wire heating elements with refractory ceramic support bushings; automatic-reset thermal cutout; built-in magnetic contactors; manual-reset thermal cutout; airflow proving device; and one-time fuses in terminal box for over-current protection.
- F. Fan Motors: Comply with requirements in Division 23 Section "Common Motor Requirements for HVAC Equipment."

## SECTION 238126 - SPLIT-SYSTEM AIR-CONDITIONERS

1. Special Motor Features: Multi-tapped, multi-speed with internal thermal protection and permanent lubrication.
  2. Comply with NEMA designation, temperature rating, service factor, enclosure type, and efficiency requirements specified in Division 23 Section "Common Motor Requirements for HVAC Equipment."
  3. Enclosure Type: Totally enclosed, fan cooled.
  4. NEMA Premium (TM) efficient motors as defined in NEMA MG 1.
  5. Controllers, Electrical Devices, and Wiring: Comply with requirements for electrical devices and connections specified in Division 26 Sections.
  6. Mount unit-mounted disconnect switches on unit.
- G. Airstream Surfaces: Surfaces in contact with the airstream shall comply with requirements in ASHRAE 62.1-2004.
- H. Condensate Drain Pans:
1. Fabricated with slope in at least two planes to collect condensate from cooling coils (including coil piping connections, coil headers, and return bends) and humidifiers, and to direct water toward drain connection.
    - 1) Length: Extend drain pan downstream from leaving face to comply with ASHRAE 62.1-2004.
    - 2) Depth: A minimum of **1 inch** deep.
  - b. Stainless-steel sheet or non-corrosive plastic, insulated.
  - c. Drain Connection: Located at lowest point of pan and sized to prevent overflow. Terminate with threaded nipple on one end of pan.
2. Air Filtration Section:
- a. General Requirements for Air Filtration Section:
    - 1) Comply with NFPA 90A.
    - 2) Minimum Arrestance: According to ASHRAE 52.1 and MERV according to ASHRAE 52.2.
    - 3) Filter-Holding Frames: Arranged for flat or angular orientation, with access doors on both sides of unit. Filters shall be removable from one side or lifted out from access plenum.
  - b. Filters:
    - 1) Factory-fabricated, viscous-coated, flat-panel type.
    - 2) Thickness: **2 inches**.
    - 3) Merv according to ASHRAE 52.2: 8.
    - 4) Media: Interlaced glass fibers sprayed with nonflammable adhesive and antimicrobial agent.
    - 5) Frame: Galvanized steel, with metal grid on outlet side, steel rod grid on inlet side, and hinged; with pull and retaining handles.

## SECTION 238126 - SPLIT-SYSTEM AIR-CONDITIONERS

### 2.3 OUTDOOR UNITS

#### A. Air-Cooled, Compressor-Condenser Components:

1. Casing: Corrosion free pre-painted steel cabinet, finished with baked enamel, with removable panels for access to controls, weep holes for water drainage, and mounting holes in base. Provide brass service valves, fittings, and gage ports on exterior of casing.
2. Compressor: Hermetically sealed with crankcase heater and mounted on vibration isolation device. Compressor motor shall have thermal- and current-sensitive overload devices, start capacitor, relay, and contactor.
  - a. Compressor Type: Scroll, mounted on rubber mounts for vibration isolation.
  - b. Two-stage ([where scheduled](#)) compressor motor with manual-reset high-pressure switch and automatic-reset low-pressure switch.
  - c. Refrigerant Charge: R-407C or R-410A.
  - d. Refrigerant Coil: Copper tube, with mechanically bonded aluminum fins and liquid subcooler. Comply with ARI 210/240.
  - e. Internal excessive current and temperature protection.
3. Fan: Aluminum-propeller type, directly connected to motor.
4. Motor: Permanently lubricated, with integral thermal-overload protection.

### 2.4 CONTROLS

- A. Controls shall be achieved through DDC programmable control modules as specified in Section 230900, and shall be capable of achieving control sequences in stand-alone mode.
- B. Equipment manufacturer shall coordinate with work of Section 230900 and 230993 to ensure DDC system can fully interact with the equipment without compromising any of the system safeties or warranties.

### 2.5 ACCESSORIES

#### A. [Coordinate controls with Section 230900 and 230993.](#)

#### B. Other:

1. [Two-speed belt driven fan motor controlled by an ECM motor](#), for indoor units.
2. Low Ambient Controller: Cycles condenser fan to permit operation down to **35 deg F** with time-delay relay to bypass low-pressure switch.
3. Package with refrigerant circuit suction and discharge gauges, and service valves.
4. Automatic-reset timer to prevent rapid cycling of compressor.
5. Site glass, filter-dryer.
6. High-Pressure Switch: Automatic-reset switch cycles compressor off on high refrigerant pressure.
7. Low-Pressure Switch: Automatic-reset switch cycles compressor off on low refrigerant pressure.
8. Thermostatic expansion valve to match with existing Evaporator Coil, if existing is incompatible.
9. Time-Delay Relay: Continues operation of evaporator fan after compressor shuts off.
10. Evaporator defrost controller.

## SECTION 238126 - SPLIT-SYSTEM AIR-CONDITIONERS

11. Liquid line solenoid valves, electric unloaders, factory/field installed accumulators to accomplish stages of unloading.
  12. See drawing schedules.
- C. Unit Casing: Galvanized steel, finished with paint finish capable of withstanding at least 500 hours when tested in salt spray atmosphere (ASTM B 117- 95 test procedure); with removable panels for access to controls, weep holes for water drainage, and mounting holes in base. Mount service valves, fittings, and gage ports on exterior of casing.
1. Condenser coil hail guard to protect coil from physical damage.
  2. Condenser coil coating: epoxy coat or Energy Guard
  3. **Wind Restraints: Metal brackets compatible with the curb and casing, painted to match RTU, used to anchor unit to the curb, and designed for loads at Project site.**

## PART 3 - EXECUTION

### 3.1 INSTALLATION

- A. Install units level and plumb.
- B. Install evaporator-fan components using manufacturer's standard mounting devices securely fastened to building structure.
- C. Install ground-mounted, compressor-condenser components on **4-inch-** thick, reinforced concrete base that is **4 inches** larger, on each side, than unit. Concrete, reinforcement, and formwork are specified in Division 03 Section "Cast-in-Place Concrete." Coordinate anchor installation with concrete base.
- D. Install and connect refrigerant tubing to component's quick-connect fittings. Install tubing to allow access to unit.
- E. Provide auxiliary drain pans with float switches to disable fans. Provide drain piping with stop valves from pans to floor drains.

### 3.2 CONNECTIONS

- A. Piping installation requirements are specified in other Division 23 Sections. Drawings indicate general arrangement of piping, fittings, and specialties.
- B. Where piping is installed adjacent to unit, allow space for service and maintenance of unit.
- C. Duct Connections: Duct installation requirements are specified in Division 15 Section "Metal Ducts." Drawings indicate the general arrangement of ducts. Connect supply and return ducts to split-system air-conditioning units with flexible duct connectors. Flexible duct connectors are specified in Division 15 Section "Duct Accessories."
- D. Ground equipment according to Division 16 Section "Grounding and Bonding."

## SECTION 238126 - SPLIT-SYSTEM AIR-CONDITIONERS

- E. Electrical Connections: Comply with requirements in Division 16 Sections for power wiring, switches, and motor controls.

### 3.3 FIELD QUALITY CONTROL

- A. Manufacturer's Field Service: Engage a factory-authorized service representative to inspect, test, and adjust components, assemblies, and equipment installations, including connections.
- B. Tests and Inspections:
  - 1. Leak Test: After installation, charge system and test for leaks. Repair leaks and retest until no leaks exist.
  - 2. Operational Test: After electrical circuitry has been energized, start units to confirm proper motor rotation and unit operation.
  - 3. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- C. Remove and replace malfunctioning units and retest as specified above.
- D. Prepare test and inspection reports.

### 3.4 STARTUP SERVICE

- A. Engage a factory-authorized service representative to perform startup service.
- B. Verify that air-conditioning units are installed and connected according to manufacturer's written instructions and the Contract Documents.
  - 1. Verify that shipping, blocking, and bracing are removed.
  - 2. Verify that unit is secure on mountings and supporting devices and that connections to piping, ducts, and electrical systems are complete. Verify that proper thermal-overload protection is installed in motors, controllers, and switches.
  - 3. Verify proper motor rotation direction, free fan wheel rotation, and smooth bearing operations. Reconnect fan drive system, align belts, and install belt guards.
  - 4. Verify that bearings, pulleys, belts, and other moving parts are lubricated with factory-recommended lubricants.
  - 5. Verify that outdoor- and return-air mixing dampers open and close, and maintain minimum outdoor-air setting.
  - 6. Comb coil fins for parallel orientation.
  - 7. Verify that proper thermal-overload protection is installed for electric coils.
  - 8. Install new, clean filters.
  - 9. Verify that manual and automatic volume control and fire and smoke dampers in connected
  - 10. Energize motor; verify proper operation of motor, drive system, and fan wheel. Adjust fan to indicated rpm. Replace fan and motor pulleys as required to achieve design conditions.
  - 11. Measure and record motor electrical values for voltage and amperage.
- C. Verify that electrical wiring installation complies with manufacturer's submittal and installation requirements in Division 26 Sections.

## SECTION 238126 - SPLIT-SYSTEM AIR-CONDITIONERS

- D. Complete installation and startup checks according to manufacturer's written instructions.
- E. After startup service and performance test, change filters.
- F. Manually operate dampers from fully closed to fully open position and record fan performance.

### 3.5 DEMONSTRATION

- A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain units.

END OF SECTION 238126

## SECTION 238416 - MECHANICAL DEHUMIDIFICATION UNITS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section includes packaged, factory-assembled and -tested, refrigerant-type, mechanical dehumidification units designed for outdoor installation, including stand-alone on-board controls for dehumidifiers and related exhaust fans, wall-mounted graphical user interface for mode selection, and Web-Sentry or equal.

#### 1.3 PERFORMANCE REQUIREMENTS

- A. Delegated Design: Design RTU supports to comply with **wind** performance requirements, including comprehensive engineering analysis by a qualified professional engineer, using performance requirements and design criteria indicated.
- B. Wind-Restraint Performance rated for basic Wind Speed: Rated for project location.

#### 1.4 ACTION SUBMITTALS

- A. Product Data: For each dehumidification unit indicated. Include rated capacities, operating characteristics, electrical characteristics, and furnished specialties and accessories.
- B. Shop Drawings: For each dehumidification unit indicated. Include plans, elevations, sections, details, and attachments to other work.
  - 1. Detail equipment assemblies and indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
  - 2. Wiring Diagrams: For power, signal, and control wiring.
- C. Delegated-Design Submittal: For dehumidification units indicated to comply with performance requirements and design criteria, including analysis data signed and sealed by the qualified professional engineer responsible for their preparation.
  - 1. Vibration Isolation Base Details: Detail fabrication including anchorages and attachments to structure and to supported equipment. Include adjustable motor bases, rails, and frames for equipment mounting.
  - 2. Design Calculations: Calculate requirements for selecting vibration isolators and for designing vibration isolation bases.

## SECTION 238416 - MECHANICAL DEHUMIDIFICATION UNITS

### 1.5 INFORMATIONAL SUBMITTALS

- A. Seismic Qualification Certificates: For accessories, and components, from manufacturer.
  - 1. Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
  - 2. Dimensioned Outline Drawings of Equipment Unit: Identify center of gravity and locate and describe mounting and anchorage provisions.
  - 3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.
- B. Source quality-control reports.
- C. Field quality-control reports.
- D. Warranty: Sample of special warranty.

### 1.6 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For dehumidification units to include in emergency, operation, and maintenance manuals.

### 1.7 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Filters: One set(s) of each type of filter specified.
  - 2. Fan Belts: One set(s) for each belt-drive fan.

### 1.8 QUALITY ASSURANCE

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. ASHRAE Compliance:
  - 1. Applicable requirements in ASHRAE 62.1, Section 5, "Systems and Equipment" and Section 7, "Construction and Startup."
  - 2. Applicable requirements in ASHRAE 15, "Safety Standard for Refrigeration Systems."
- C. ASHRAE/IESNA 90.1 Compliance: Applicable requirements in ASHRAE/IESNA 90.1, Section 6, "Heating, Ventilating, and Air-Conditioning."

### 1.9 COORDINATION

- A. Coordinate sizes and locations of concrete bases. Cast anchor-bolt inserts into bases.

## SECTION 238416 - MECHANICAL DEHUMIDIFICATION UNITS

- B. Coordinate installation of roof curbs, equipment supports, and roof penetrations.

### 1.10 WARRANTY

- A. Special Warranty: Manufacturer's standard form in which manufacturer agrees to repair or replace components of dehumidification units that fail in materials or workmanship within specified warranty period.
  1. Warranty Period for Entire System: Manufacturer's standard, but not less than two years from date of [Substantial Completion](#).
  2. Warranty Period for Driveline: Manufacturer's standard, but not less than five years from date of [Substantial Completion](#).
  3. Warranty Period for Compressors: Manufacturer's standard, but not less than five years from date of [Substantial Completion](#).
  4. Warranty Period for Refrigerant Coils: Manufacturer's standard, but not less than ten years from date of [shipment](#).
  5. 1-year labor warranty shall be provided by the manufacturer when the system is connected to the factory via an Internet monitoring system from the date of [final commissioning](#).

## PART 2 - PRODUCTS

### 2.1 MANUFACTURERS

- A. [Manufacturers](#): Subject to compliance with requirements, provide products by one of the following:
  1. [Seresco Technologies Inc.](#), (Design Basis: NP Series)
  2. [Dectron Internationale](#).
- B. For equipment proposed under Alternate Bid:
  1. Provide revised layout including details on supply/return air connections, piping connections, ventilation/exhaust connections, power/control wiring connections.
  2. 7 day prior: Alternate Bid shall include Full Compliance Disclosure (by paragraph and schedule) to be submitted to the engineer 7 days prior to the bid and approved by the engineer in writing prior to the bid. Full Disclosure shall clearly list and define any exceptions or deviations to the specified equipment and specified performance, and any items, which exceed the specifications. Provide full disclosure of the unit's energy recovery features including unit's reheat capabilities, pool water heating capabilities and recovery of pool water evaporation energy.
  3. Where the intent of the specification is met but with different construction materials or methods, the difference shall be completely defined by paragraph in the Full Disclosure. The Full Disclosure shall include complete documented data.
  4. Manufacturer shall submit the following bidder's checklist with his proposal to the mechanical contractor. It shall be the mechanical contractor's responsibility to provide any modifications to the equipment to make the unit conform to the specification.
  5. Manufacturer's Representative shall employ a certified, listed Representative within 60 miles of Brownsville, TX.

## SECTION 238416 - MECHANICAL DEHUMIDIFICATION UNITS

6. Manufacturer Representative shall employ multiple qualified certified technicians with natatorium pool equipment experience.
7. Manufacturer Representative shall have trained technicians within 60 miles of jobsite.

### 2.2 CASINGS

#### A. Casing: Double-wall construction with corrosion-protective coating and exterior.

1. Access: Hinged access doors with neoprene gaskets.
  - a. Access doors shall be supported on multiple hinges, held shut by compression latches for quick access. Doors shall be provided for entrance to all sections housing components requiring routine maintenance. Full height access doors shall have "hold back" latches to prevent door closure during the performance of service procedures.
2. Insulation: Minimum 2-inch-thick, glass-fiber-insulation fill with no metal structure through the insulation.
  - a. Fire resistant rating to conform to NFPA Standard 90A and 90B Sound attenuation coefficient shall not be less than 1.02 at a frequency of 1,000 Hz as per. ASTM Standard C423. Thermal conductivity shall not exceed 0.26 Btu/in-h-sqft-F at 75°F.
3. Airstream Surfaces: Surfaces in contact with the airstream shall comply with requirements in ASHRAE 62.1.
4. Infill panels and doors: 18-gauge G90 galvanized steel exterior and 18-gauge mil aluminum finish interior suitable for chlorine and pool chemical resistance.
5. The structural base frame: 3/16" steel channel base with 12-gauge steel cross bracing.
6. Cabinet Construction: All cabinet sheet metal shall be galvanized G90 steel or Galvalume alloy with mill-applied zinc phosphate primer followed by an exterior grade white silicone modified polyester top coat. The sheet metal is engineered to form a cabinet with maximum strength and rigidity. All seams shall be caulked with silicone to prevent air and water leakage or infiltration.
  - a. Base Rails: Base frame comprised of 2 layers of 10-gauge mill galvanized G90 steel. Lifting lugs shall be provided on the base frame for rigging the system.
  - b. The cabinet walls: Double-wall construction using 20-gauge pre-painted steel with a fully painted inner metal liner and 2 inches of fiberglass insulation.
  - c. The cabinet floor: 16-gauge galvanized steel panel with a 20-gauge pre-painted steel inner liner, 2-inch double wall engineered with structural bending for maximum rigidity and be mechanically fastened to the base frame of the unit.
  - d. The cabinet roof: 20-gauge pre-painted steel, 2-inch double wall engineered with structural bending for maximum rigidity and be mechanically fastened to the base walls of the unit.
  - e. The cabinets shall be mechanically assembled with stainless steel 5/32" sealed blind rivets. Where bolts are required bright zinc plated bolts shall be used.
7. The system shall have non-corroding protective mesh screens covering internal fan blades, protective grates covering all floor access ports.

#### B. Drain Pan and Connection: Stainless steel; insulated and complying with ASHRAE 62.1.

### 2.3 FANS

#### A. Supply Fans:

## SECTION 238416 - MECHANICAL DEHUMIDIFICATION UNITS

1. The multiple direct driven supply air blower wheels shall be a parallel mounted array. Blowers shall be a single width/single inlet airfoil plenum type, secured a machined, ground and polished solid steel shaft. The wheel shall be G90 galvanized steel with baked powder paint coating. The shaft shall be coated with a rust inhibitor.
2. The complete blower assembly shall be statically and dynamically balanced on precision electronic balancers.
3. The blower assembly shall be mounted on a 1" deflection spring isolated rack.
4. The fan inlets shall be equipped with accidental contact protection screen.

B. Exhaust Fans: See schedules.

C. Fan Motor: Comply with requirements in Section 230513 "Common Motor Requirements for HVAC Equipment."

1. Motor(s) shall be Premium efficiency painted cast iron construction TEFC, NEMA MG1-PART 31 Inverter Duty 15:1 Constant Torque Severe Duty with a service factor 1.25. Motors shall be 6 Pole 1200 RPM synchronous speed with HOA switch with motor safeties against overloading at 60 Hz operation directly on mains. Motors shall have double lip seals on both ends with re-greasable bearings 254T frame and larger with Polyurea grease.
2. At least one motor shall be provided with a low motor noise and high starting torque VFD for air balancing purposes. The VFD shall have a drive efficiency of 96 to 98% with displacement power factor of 0.98, output maximum frequency of 400 Hz with torque boost. The VFD shall have protective features including: torque limit, heat sink over-temperature, current-limiting DC bus fuse, electronic motor overload with phase-to-phase and ground fault short circuit protection; current limit, over/under torque protection, over/under voltage protection, short circuit current rating of 30kA rms symmetrical and 100kA rms symmetrical

### 2.4 FILTERS

A. Standard sized, replaceable, off-the-shelf filters including:

1. Return Air: 2-Inch MERV 8, 30% pleated filters with rust-free non-metallic structure on a face loading rack.
2. Outside Air: 2-Inch MERV 8, 30% pleated filters with rust-free non-metallic structure.

### 2.5 REFRIGERATION SYSTEM

A. Energy Efficiency: Equal to or greater than prescribed by ASHRAE/IESNA 90.1.

B. Refrigerant Circuit:

1. The system shall consist of two factory sealed refrigeration circuits for dehumidification and sensible cooling. No site refrigeration work shall be required.
2. Each refrigeration circuit shall have pressure transducers monitoring the refrigerant discharge (high) and suction (low) pressures. The refrigeration circuit shall be accessible for diagnostics, adjustment and servicing without the need for service manifold gauges.
3. All refrigeration circuits shall have solenoid control valves, check valves, a liquid line filter-drier, liquid and moisture indicator, thermostatic expansion valve and a pump down solenoid valve.

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4. The system shall have an externally adjustable balanced port design mechanical thermostatic expansion valve. The valve shall have a removable power head.
  5. Tamper proof, hermetically sealed non-adjustable high and low pressure switches and refrigeration service valves shall be installed using Schrader type valves. Refrigeration service valves shall be located outside of the airstream.
  6. The receiver shall have two refrigerant level (maximum and minimum) indicating sight glasses.
  7. The suction line shall be fully insulated with 0.5-inch closed cell insulation.
- C. Refrigerant Coils: Copper tubes with mechanically bonded aluminum fins; factory fabricated and tested to comply with ASHRAE 33 and ARI 410. Coils shall have a minimum 300-psig working-pressure rating and be factory tested to 450 psig and to 300 psig while underwater.
1. Evaporator/dehumidifier coils shall be designed for maximum moisture removal capacity
  2. Coils shall be fully dipped and coated with a polyester/enamel coating for maximum corrosion protection. Coating shall comply with ASTM B117/D1654 and ASTM D2126 for corrosion resistance against common acids, salt and gases.
  3. Coil shall have galvanized casing and end plates.
  4. Aluminum fin and copper tubes mechanically bonded to assure high heat transfer.
  5. Air reheat coils shall be sized for variable heat transfer into the air with a capacity of 100% of the compressors total required heat of rejection.
  6. Coils shall be fully dipped and coated with a polyester/enamel coating for maximum corrosion protection. Coating shall comply with ASTM B117/D1654 and ASTM D2126 for corrosion resistance against common acids, salt and gases.
  7. Coil shall have [galvanized casing and end plates](#).
  8. Aluminum fin and copper tube joints mechanically bonded to assure high heat transfer.
  9. Coils shall have 10 years warranty coverage.
- D. Compressors: Hermetic, scroll compressors with integral vibration isolators and crankcase heaters that de-energize during compressor operation; with thermal-expansion valves, filter-dryers, sight glasses, compressor service valves, and liquid- and suction-line service valves.
1. Number of Refrigerant Circuits: Two.
  2. Refrigerant: R-410A.
  3. Capacity Control:
    - a. Cycle compressor.
  4. Compressor Motor Overload Protection: Manual reset.
  5. Antirecycling Timing Device: Prevent compressor restart for five minutes after shutdown.
    1. The compressors shall be located outside the conditioned air stream in the systems service vestibule.
    2. Compressors shall have 5 years warranty coverage.
- A. Energy Recovery Heat Exchanger (Pool Heater): Coaxial heat exchanger with corrosion-resistant cupro-nickel water circuit tubing.
1. Self-purging and self-draining counter flow design.
  2. Water circuit piping shall consist of transparent braided [PVC hose](#).
  3. [Terminating connections are PVC schedule 40 NPT fittings located at the cabinet wall for easy connection](#).
- B. The maximum loop operating pressure is 60 psig. Cupronickel, coaxial, vented, double-wall construction for potable-water service.

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### 2.6 REMOTE-MOUNTED, AIR-COOLED CONDENSER UNIT

- A. The system shall be equipped with an air conditioning mode where excess compressor heat is rejected to a remote outdoor air-cooled heat exchanger (aka Dry Cooler) via a single glycol fluid loop. No site refrigeration work shall be required. The system shall include a circulating pump and expansion tank. The packaged fluid cooled condenser and remote outdoor air-cooled heat exchanger shall both be capable of rejecting 100% of the compressor heat rejection with an air on temperature at summer design conditions.
- B. The system shall be provided with a dry contact rated for 24VAC/5A to operate the remote outdoor fluid cooler control.
- C. Each refrigeration circuit shall include refrigerant valves, a receiver with pressure relief valve set at 650 psig, a pressure control valve and a pressure differential valve, and two manual shutoff valves to isolate the outdoor fluid cooler.
- D. Coils shall be tested at 425 PSIG and mounted vertically for complete surface utilization. Coils shall be counter flow and have adequate capacity to dissipate the total heat rejection of the system at design conditions.
- E. The fan(s) shall be direct driven axial fan(s) with dual speed external rotor motor(s) and innovative bionic blades in die-cast aluminum molds.
- F. The fan assembly shall be balanced in Class G 6.3 acc DIN ISO 1940, dynamic on two levels.
- G. The fan assembly shall be suitable for ambient temperatures of -40°C to max. +70°C.
- H. Thermal contacts installed in the windings compliant with THCL 155.
- I. Drive motor in external rotor principle, sealed in protection class IP54 with moisture protection impregnation of the windings, topical protection.
- J. Coils shall be fully dipped and coated with a polyester/enamel coating for maximum corrosion protection. Coating shall comply with ASTM B117/D1654 and ASTM D2126 for corrosion resistance against common acids, salt and gases.
- K. High corrosion resistance design.

### 2.7 HEATING COILS

- A. Hot-Water Coil: Continuous circuit coil fabricated according to ARI 410.
  - 1. Tubes: Copper.
  - 2. Fins: Aluminum.
  - 3. Fin and Tube Joints: Mechanical bond.
  - 4. Headers: Cast iron with drain and air vent tappings.
  - 5. Frames: Galvanized-steel channel, 0.052 inch.
  - 6. Ratings: Design tested and rated according to ASHRAE 33 and ARI 410.
    - a. Working-Pressure Ratings: 250 psig, 325 deg F.

## SECTION 238416 - MECHANICAL DEHUMIDIFICATION UNITS

7. Modulating (0-10V) auxiliary air heat control by means of a factory mounted and wired three-way control valve.
8. Auxiliary air heating coil tubes, fins, headers, casing and end-plates shall be fully protected by a polyester/enamel coating for maximum corrosion protection. The protective coating shall comply with ASTM B117/D1654 and ASTM D2126 for corrosion resistance against common acids, salts and gases.
9. Coil casing and end-plates shall be made of galvanized steel.
10. Source Quality Control: Test to 300 psig.

### 2.8 DAMPERS

#### A. Outdoor-Air Dampers:

1. Normally closed outside air equipped with spring-return actuators.
2. Adjustable between 0% to 100% open position.
3. Opposed blade configuration, mounted on steel rods which rotate on nylon bushings.
4. 0.750-inch insulated blades made from extruded anodized aluminum with neoprene double-seal tips to minimize leakage.
5. Leakage less than 1% of maximum flow at 4-inch water column differential.
6. All damper hardware shall be corrosion resistant.

#### B. Return Air and Face-and-Bypass Dampers:

1. Extruded anodized aluminum dampers with a parallel blade configuration and neoprene double-seal tips to minimize leakage.
2. Damper blades mounted on steel rods which rotate on nylon bushings.
3. All damper hardware shall be corrosion resistant.

#### C. Outdoor-, Return-, and Exhaust-Air Dampers: Low-leakage, double-skin, airfoil-blade, extruded-aluminum dampers with compressible jamb seals and extruded-vinyl blade edge seals in opposed-blade arrangement with cadmium-plated steel operating rods rotating in stainless-steel sleeve bearings mounted in a single extruded-aluminum frame, and with operating rods connected with a common linkage. Leakage rate shall not exceed 5 cfm/sq. ft. at 1-inch wg and 9 cfm/sq. ft. at 4-inch wg.

#### D. Damper Operator: 24-V ac, close coupled, with gear train sealed in oil and with spring return.

### 2.9 CONTROLS

#### A. Comply with requirements in Section 230900 "Direct Digital Control (DDC) System for HVAC" for control equipment and in Section 230993 "Sequence of Operations for HVAC DDC."

#### B. Control Panel: Integral service compartment containing fan-motor thermal and overload cutouts, compressor thermal and overload cutouts, 115-V control transformer if required, magnetic contactors for fan and compressor motors, and a nonfused factory-mounted and -wired disconnect switch for single external electrical power connection.

1. Power block terminals shall be provided.
2. Non-fused disconnect by Div. 26. Coordinate with Div. 26 for external power wiring and disconnect switch fusing.

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3. Mounted inside the service vestibule outside of the process air stream.
  4. Blower motors protected with thermal trip overloads.
  5. Voltage monitor with phase protection.
  6. Available dry contacts shall include:
    - a. Alarm
    - b. Blower interlock
    - c. Stage 1 & 2 heating
    - d. Outdoor air damper control
    - e. Remote exhaust fan, speed control
    - f. Outdoor-air cooled equipment
    - g. System on
    - h. Auxiliary pool heater 1
    - i. Heat recovery
  7. Terminals provided to send 24-volt power to the outdoor air cooled condenser or fluid cooler fan contactor.
  8. All wiring installed in accordance with UL safety electrical code regulations and shall be in accordance with the NFPA All components used in the system shall be UL listed.
  9. Wiring diagrams located near the electrical panel(s) on the system. These diagrams shall provide color-coding and wire numbering for easy troubleshooting. All wires shall be contained in a conduit.
  10. The compressor(s) shall have a time delay on start to prevent short cycling.
  11. Pressure transducers for measuring refrigerant discharge (high) pressure and suction (low) pressure shall be provided.
  12. An airflow switch and a dry contact for alarm(s) shall be provided.
- C. Interface with DDC System for HVAC: Factory-installed hardware and software to enable the DDC system for HVAC to monitor, control, and display status and alarms.
- D. Operating Controls: Factory-installed microprocessor controller:
1. Characteristics:
    - a. All set points and parameter adjustments are pre-programmed at the factory during quality control testing
    - b. The microprocessor program shall be stored on updatable FLASH memory
    - c. A minimum of 11 analogue inputs, 4 analogue outputs, 24 digital inputs and 16 digital outputs
    - d. Four serial interface ports including both RS232 and RS485 types
    - e. An Ethernet port with RJ-45 connector and LED activity indicator
    - f. A real time clock to time-stamp the system operation log and to enable a programmable 7-day occupation schedule
    - g. Two manual demand forced modes to allow the user to manually bypass the microprocessor in the event of controller failure
    - h. The local and remote operator panel(s) shall have a backlit graphic liquid crystal display with touch controls
  2. Pressure transducers monitoring the refrigerant discharge (high) and suction (low) pressures. The refrigeration circuit accessible for diagnostics, adjustment and servicing without the need of service manifold gauges.
  3. The following status LEDs shall be on the controller:
    - a. Alarm - indicates there has been a failure requiring service.
    - b. Dehumidification - indicates that the system is dehumidifying the space.
    - c. Cooling - indicates that the air-conditioning mode.

## SECTION 238416 - MECHANICAL DEHUMIDIFICATION UNITS

- d. Pool Heating - indicates that the system is heating the pool water with recycled energy.
- e. Space Heat - indicates that the space heating is operating.
- f. Maintenance - indicates whether or not maintenance is required.
- g. Manual - indicates that the system has been set to manual operation.
4. The following set points shall be accessible and adjustable from the operator panel:
  - a. Space temperature
  - b. Space relative humidity
  - c. Pool water temperature
5. The following sensors shall be unit-mounted and monitored at the operator panel. All information from these items shall be actively used in the system control and operation strategies:
  - a. Refrigerant high pressure
  - b. Refrigerant low pressure
  - c. Return air temperature
  - d. Supply air temperature
  - e. Return air relative humidity
  - f. Entering pool water temperature
  - g. Leaving pool water temperature
  - h. Evaporator leaving air temperature
  - i. Suction temperature
  - j. Discharge temperature
6. System Fault: Shall indicate via text message to the display what systems require attention or servicing. Built-in monitoring and diagnostics shall allow the user to view the following:
  - a. Power failure
  - b. Dirty air filter
  - c. Refrigerant high and low pressure
  - d. System off
  - e. Anti-short cycle delay
7. Provide the following controls via [remote](#) operator panel/User Interface:
  - a. Set-Point Adjustments: Relative humidity, temperatures, deadbands, and differentials.
  - b. Scheduling.

### 2.10 SEQUENCE OF OPERATION

- A. System Startup
  1. Power is turned on or the system is restarted.
  2. After a short initial delay to allow the sensors to stabilize, the blower starts and operates continuously.
  3. Based on sensor feedback, the system shall begin or resume operation based on the sequence below.
- B. Airside Configuration [for each mode of operation](#)
  1. The system continuously delivers the specified supply air volume to the natatorium.
  2. The minimum exhaust air volume is set to meet the engineer's schedule.
  3. The minimum outdoor air volume is set to meet the engineer's schedule.

## SECTION 238416 - MECHANICAL DEHUMIDIFICATION UNITS

### C. Dehumidification Mode

1. The return air relative humidity is above the humidity setpoint.
2. The compressor enters the Compressor Start sequence.
3. If the system cannot maintain the relative humidity below setpoint, the second compressor circuit will start.
4. Compressor waste heat is rejected into a glycol fluid loop which feeds the reheat coils and the air conditioning air-cooled heat exchanger in parallel.
5. The reheat coils are fully modulating (0-100%). The reheat output will modulate to maintain the space temperature at set point year-round.

### D. Air Conditioning Mode

1. The return air temperature is above the room temperature setpoint.
2. The compressor starts, if not already operating in Dehumidification Mode.
3. Excess compressor hot gas is diverted to a fluid-cooled heat exchanger. Up to 100% of compressor heat is rejected into the glycol fluid loop which is pumped outdoors to an outdoor air-cooled heat exchanger for 100% heat rejection at summer design ambient conditions.
4. 100% of compressor heat is rejected at the outdoor air-cooled heat exchanger on a summer design day. On off-peak days, the air reheat output will modulate to maintain the space temperature at the set point.
5. If the system cannot maintain the return air temperature setpoint, the second compressor will start.

### E. Space Heating Mode

1. The return air temperature is below the room temperature setpoint.
2. The microprocessor space heating output signal (0-10 volts) is sent to the heating coil controller. The signal output will regulate based on the return air temperature.

### F. Pool Water Heating Mode.

1. The return pool water temperature is below the pool water setpoint.
2. If the compressor is already operating due to a Dehumidification or Air Conditioning demand, the control valves will divert the compressor hot gas through [the coaxial heat exchanger to heat the pool water](#), with the remainder rejected at the air reheat coil or the AC heat exchanger.
3. If there is no pre-existing demand for the compressor to operate, the microprocessor sends a signal to the auxiliary pool water heater (remote by others) to operate. The compressor will not operate solely for a pool water heating demand unless specifically configured to do so at the controller.

### G. Freeze Protection

1. The supply air temperature falls below the freezestat setpoint or the optional freezestat sensor indicates a freezestat condition.
2. Exhaust fan(s) are stopped and outdoor air damper(s) are fully closed.
3. When the freezestat alarm is tripped, it must be manually cleared by the operator.

## 2.11 FACTORY PERFORMANCE TESTING

- A. The system shall be thoroughly tested under factory test conditions. A copy of the original test report shall be available to the engineer upon request.

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- B. Microprocessor controls shall be factory adjusted and pre-set to the design conditions during testing.

### 2.12 ACCESSORIES

- A. Water-Cooling Heat Exchanger: Coaxial, vented, double-wall construction; with three-way refrigerant control valve.
- B. Infiniguard Coating: Compressors, refrigerant piping, contactors, controllers and complete compressor\ controls cabinet shall be coated with this coating in addition to any factory standard coatings.
- C. Smoke Detectors: Photoelectric detector located in return-air plenum, to de-energize unit. Installing Contractor shall coordinate provision of detectors with Owner's Fire Alarm Contractor.
- D. Electrical Convenience Outlet: 115-V ac fused, duplex, straight-blade receptacles, separately fused and located inside casing of dehumidification unit or in roof-curb perimeter. Coordinate with Division 26.

### 2.13 ROOF CURBS

- A. Coordinate provision of adapter roof curbs with field conditions.
- B. Roof curbs with vibration isolators and wind restraints are specified in Div. 7 and Div. 23.
- C. Curb Height: 18 inches.
- D. Wind Restraints: Metal brackets compatible with the curb and casing, painted to match dehumidification unit; used to anchor unit to the curb and designed for loads at Project site. Comply with requirements in Div. 7, and Section 230548 "Vibration and Seismic Controls for HVAC" for wind-load requirements.
- E. Wind restraint design and calculations by a certified Texas PE shall be provided.

### 2.14 SOURCE QUALITY CONTROL

- A. Verification of Performance: Factory test and rate dehumidification units according to ARI 910.
- B. Sound-Power-Level Ratings: Factory test and rate dehumidification units according to ARI 575.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine areas and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.

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- B. Examine roughing-in for hot-water, refrigerant piping systems to verify actual locations of piping connections before equipment installation.
- C. Examine walls, floors, and roofs for suitable conditions where dehumidification units will be installed.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 INSTALLATION

- A. Equipment Mounting:
  - 1. Install dehumidification units on cast-in-place concrete equipment base(s). Comply with requirements for equipment bases and foundations specified in Section 033000 "Cast-in-Place Concrete."
  - 2. Comply with requirements for vibration isolation and seismic control devices specified in Section 230548 "Vibration and Seismic Controls for HVAC."

### 3.3 CONNECTIONS

- A. Where piping is installed adjacent to dehumidification units, allow space for service and maintenance of dehumidification units.
- B. Connect piping to dehumidification units mounted on vibration isolators with flexible connectors.
- C. Connect condensate drain pans using minimum NPS 1-1/4 copper tubing. Extend to nearest equipment or floor drain. Construct deep trap at connection to drain pan, and install cleanout at changes in direction.
- D. Refrigerant Piping: Comply with requirements in Section 232300 "Refrigerant Piping." Connect to supply and return coil tapings with shutoff valve and union or flange at each connection.
- E. Hot-Water Piping: Comply with requirements in Section 232113 "Hydronic Piping" and Section 232116 "Hydronic Piping Specialties." Connect to supply coil tapings with shutoff valve, return coil tapings with balancing valve, and union or flange at each connection.
- F. Duct installation requirements are specified in Section 233113 "Metal Ducts" and Section 233116 "Nonmetal Ducts." Drawings indicate the general arrangement of ducts. The following are specific connection requirements:
  - 1. Install ducts to termination in roof-mounted frames. Where indicated, terminate return-air duct through roof structure and insulate the space between roof and bottom of dehumidification unit.

### 3.4 FIELD QUALITY CONTROL

- A. Manufacturer's Field Service: Engage a factory-authorized service representative to inspect, test, and adjust components, assemblies, and equipment installations, including connections.

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1. Manufacturer's Field Service: Engage a factory-authorized service representative to inspect components, assemblies, and equipment installations, including connections, and to assist in testing.
- B. Tests and Inspections:
1. Leak Test: After installation, fill water coils with water, and test coils and connections for leaks. Repair leaks and retest until no leaks exist.
  2. Charge refrigerant coils with refrigerant and test for leaks. Repair leaks and retest until no leaks exist.
  3. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- C. Dehumidification unit will be considered defective if it does not pass tests and inspections.
- D. Prepare test and inspection reports.
- ### 3.5 STARTUP SERVICE
- A. Engage a factory-direct service representative to perform startup service.
1. Complete installation and startup checks according to manufacturer's written instructions.
- B. Engage a manufacturer's representative technician to perform BACnet startup with BAS contractor.
- C. Perform the following final checks before startup:
1. Verify that shipping, blocking, and bracing are removed.
  2. Verify that unit is secure on mountings and supporting devices and that connections to piping, ducts, and electrical systems are complete. Verify that proper thermal-overload protection is installed in motors, starters, and disconnect switches.
  3. Perform cleaning and adjusting specified in this Section.
  4. Disconnect fan drive from motor, verify proper motor rotation direction, and verify free fan wheel rotation and smooth bearing operations. Reconnect fan drive system, align belts, and install belt guards.
  5. Check lubrication of bearings, pulleys, belts, and other moving parts.
  6. Set outside- and return-air mixing dampers to minimum outside-air setting.
  7. Install clean filters.
  8. Verify that manual and automatic volume control and fire and smoke dampers in connected duct systems are in fully open position.
- D. Starting procedures for dehumidification units include the following:
1. Energize motor; verify proper operation of motor, drive system, and fan wheel. Adjust fan to indicated rpm. Replace malfunctioning motors, bearings, and fan wheels.
  2. Measure and record motor's electrical values for voltage and amperage.
  3. Manually operate dampers from fully closed to fully open position and record fan performance.

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- E. Comply with requirements in Section 230593 "Testing, Adjusting, and Balancing for HVAC" for testing, adjusting, and balancing of dehumidification unit.
- F. Startup Report: Report findings during startup. Identify startup steps, corrective measures taken, and final results.

### 3.6 ADJUSTING

- A. Adjust damper linkages for proper damper operation.
- B. Adjust initial temperature and humidity set points.

### 3.7 CLEANING

- A. Clean dehumidification units internally, on completion of installation, according to manufacturer's written instructions. Clean fan interiors to remove foreign material and construction dirt and dust. Vacuum clean fan wheels, cabinets, and coils' entering-air face.
- B. After completing system installation, testing, and startup service of dehumidification units, clean filter housings and install new filters.

### 3.8 DEMONSTRATION

- A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain dehumidification units.
- B. Two (2) separate Eight (8.0) Hr trainings shall be provided.
  - 1. 1st Training- During typical training cycle for new building systems.
  - 2. 2nd Training- At first filter change by owner.

### 3.9 SERVICE AGREEMENT (ALTERNATE)

- A. Engage a factory-authorized service representative to perform maintenance as specified.
- B. Provide five year bumper-to-bumper extended warranty.
- C. Service Agreement shall be for five (5) years with four (4) visits per year:
  - 1. Two (2) Quarterly Visits Consisting of
    - a. Report in with the Customer Representative.
    - b. Review customer logs with the customer for operational problems and trends.
    - c. Inspect and clean drain pans.
    - d. Tighten electrical connections if required.
    - e. Check and tighten, if requires, pool water hose clamps and sensor mounts
    - f. Inspect coils for dirt build-up.
    - g. Check that the P-trap is primed (filled with water). It is good practice to pour some water into the drain pan to ensure that the P-trap is primed and operational
    - h. Check and lubricate motor bearings. Refer to the motor manufacturer's instructions.

## SECTION 238416 - MECHANICAL DEHUMIDIFICATION UNITS

- i. Check outdoor air louvres for accumulation of dust and clean as required.
- j. Verify that all set-points are correctly programmed as specified by the facility operator.
- k. Record and report abnormal conditions, measurements taken, etc.
2. Two (2) Semi Annual Visit Consisting of
  - a. Report in with the Customer Representative.
  - b. Review customer logs with the customer for operational problems and trends.
  - c. Inspect the unit casing for corrosion. If damage is found, clean and repaint the affected surface with a rust-resistant primer.
  - d. Clean the fan wheel(s) and motor shaft(s).
  - e. Inspect and clean drain pans.
  - f. Check damper operation.
  - g. Inspect electrical components, wiring and insulation.
  - h. Rotate the fan wheel(s) and check for obstructions and rubbing.
  - i. Lubricate motors as directed by motor manufacturer.
  - j. Check gasket condition on all doors to ensure an airtight seal.
  - k. Check for loose external or internal parts, paying careful attention external components.
  - l. Check bolts on compressors, motor mounts, unit bases and coils and tighten if required.
  - m. Verify that the airflow around the remote condenser or dry cooler is unobstructed.
  - n. Wash and clean evaporator coils.
  - o. Wash and clean hot water coils.
  - p. Wash and clean fluid cooler coils.
  - q. Record and report abnormal conditions, measurements taken, etc.

END OF SECTION 238416

## SECTION 260010 - SUMMARY OF ELECTRICAL WORK

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions, Division 1 Specification Sections and other Division 26 Specification Sections, apply to this Section.

#### 1.2 WORK COVERED BY CONTRACT DOCUMENTS

- A. The following Summary of Work is intended as an aid to achieve an understanding of the various elements of work included in the project, as is not intended to be all-inclusive. Detailed descriptions of work and requirements are given in drawings and specifications.
- B. Scope of Work:
  - 1. General: Brownsville ISD Margret M. Clark Aquatic Center HVAC and Controls Replacement.
  - 2. Electrical: Provide all materials and labor associated with complete operational electrical distribution system. Major items of work include, but are not limited to:
    - (a) Electrical service: existing to remain as is.
    - (b) Electrical distribution: modify/upgrade as noted on plans and electrical riser diagram.
    - (c) Demolition: disconnect existing HVAC equipment for replacement by HVAC contractor.
    - (d) Power systems: Provide Connections for HVAC equipment (FCUs, HPs).
    - (e) Fire Alarm System: Deprogram, disconnect, reconnect and reprogram existing duct smoke detectors.

#### 1.3 ALLOWANCES

- A. Electrical: See Division 1 for electrical allowances.

#### 1.4 COORDINATION

- A. All electrical work shall be done under sub-contract to a General Contractor, who ultimately responsible for the entire project. Electrical Contractor shall coordinate all work through General Contractor, even in areas where only electrical work is to take place.
- B. All questions, requests for information, submittals, and correspondence from the Electrical Contractor shall be submitted via the General Contractor, who will forward to the Architect, who will then forward to the Engineer.
- C. Electrical Contractor shall not make any changes to design without written authorization from the Engineer. If changes are requested by the Owner, Architect, General Contractor, Suppliers,

## SECTION 260010 - SUMMARY OF ELECTRICAL WORK

Manufacturers, or any others, Contractor should issue a written RFI for response by the Engineer.

- D. Electrical Contractor shall issue seven (7) days written notice prior to any activities that require the presence of the Engineer at the job-site. This applies to all inspections required by specifications, and particularly to those where work will be covered (underground raceways, electrical raceways above ceiling).
- E. Cooperate fully with other contractors so that work under those contracts may be carried out smoothly, without interfering with or delaying work under this Contract.
- F. Fully coordinate with Mechanical Contractor for providing power to HVAC systems equipment.
- G. Issue written notification of the following tasks and allow five (5) days for Engineer to respond and schedule an inspection as required:
  - 1. Upon completion of installing all raceways, labeling all j-boxes and prior to suspended ceiling installation.
  - 2. Upon completion of pulling all wiring, making all terminations, labeling and color-coding wires at the panelboards and prior to installing their covers.
  - 3. When ready to request manufacturer's start-up of each piece of equipment.
  - 4. When ready for Substantial Completion Inspection.
  - 5. When ready for Final Inspection.

Failure to issue written notification may result in work having to be redone to allow for proper inspection. It is this contractor's responsibility to make sure Engineer receives notification.

### 1.5 UTILITIES

- 1. Coordinate with power, water, telephone, cable and gas utilities to locate all utilities prior to digging in any area.
- 2. Obtain any approvals required from utilities to relocate utilities.
- 3. Cost of relocating or bypassing utilities indicated on drawings shall be included in Base Bid.
- 4. Coordinate with utility for electrical service. Base bid shall include all costs associated with service connection, including permit fees.

### 1.6 CONTRACTOR USE OF PREMISES

- A. Use of the Site: Limit use of the premises to work in areas indicated. Confine operations to areas within contract limits indicated. Do not disturb portions of the site beyond the areas in which the Work is indicated.
  - 1. Owner Occupancy: Allow for Owner occupancy and use by the public.
  - 2. Driveways and Entrances: Keep driveways and entrances serving the premises, clear and available to the Owner, the Owner's employees, and emergency vehicles at all time. Do not use these areas for parking or storage of materials. Schedule deliveries to minimize space and time requirements for storage of materials and equipment on-site.
- B. Site Safety: Take every precaution to ensure the site does not present a threat to the safety of occupants and/or workers. Minimal safety requirements include, but are not limited to the following:

## SECTION 260010 - SUMMARY OF ELECTRICAL WORK

1. Temporary fencing around construction areas.
  2. Yellow caution tape and construction barricades along open trenches during the day. Trenches shall be covered at night and warning lights provided on construction barricades.
  3. Temporary fencing around equipment while site work is in progress.
- C. Work shall take place with minimal disruption to Owner's operations in areas surrounding the job site.

### 1.7 SUBMITTALS -Special Requirements

- A. Manufacturer's standard dimensioned drawings, performance and product data shall be edited to delete reference to equipment, features, or information, which is not applicable to the equipment being supplied for this project. Including Bill or List of Materials.
- B. Electrical Submittals shall be submitted electronically. Please organize the files in packages as follows (PDF format). Files would need to be properly identified (cover letter, stamped, etc.) from the general contractor.
1. Miscellaneous Electrical
    - a. 260519 Low-Voltage Electrical Power Conductors and Cables
    - b. 260526 Grounding and Bonding for Electrical Systems
    - c. 260529 Hangers and Supports for Electrical Systems
    - d. 260533 Raceways and Boxes for Electrical Systems
    - e. 260553 Identification for Electrical Systems
    - f. 260544 Sleeves and Sleeve Seals for Electrical Raceways and Cabling
  2. Electrical Gear
    - a. 262813 Fuses
    - b. 262816 Enclosed Switches and Circuit Breakers
- D. Allow two weeks for initial submittal review by Engineer, from the day it is received at the Engineer's office.
- E. Allow one week for review of resubmittals by Engineer.
- F. All submittal review comments shall be forwarded by Engineer to Architect, who will then distribute as per Division 1.

### 1.8 SCHEDULE OF VALUES -Special Requirements

- A. Electrical Contractor shall submit a Schedule of Values reflecting the total value of Electrical Work in the Contract, and broken down into the following items as a minimum, with a line item for Materials/Equipment and another for Labor.

#### ELECTRICAL

1. Raceways including wiring.
2. Electrical panel
3. Allowances.

## SECTION 260010 - SUMMARY OF ELECTRICAL WORK

4. Miscellaneous.
5. Administrative and project management.

### 1.9 CODE COMPLIANCE:

The design for this project is based on:

1. Occupational Safety and Health Act (OSHA)
2. National Electric Code (NEC)
3. National Fire Code
4. International Building Code
5. UL 916
6. Local ordinances

END OF SECTION 260010

## SECTION 260519 - LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Building wires and cables rated 600 V and less.
  - 2. Connectors, splices, and terminations rated 600 V and less.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For testing agency.
- B. Field quality-control reports.

#### 1.5 QUALITY ASSURANCE

- A. Testing Agency Qualifications: Member Company of NETA or an NRTL.

### PART 2 - PRODUCTS

#### 2.1 CONDUCTORS AND CABLES

- A. Manufacturer:
  - 1. Senator Wire & Cable Company.
  - 2. Southwire Company.
  - 3. Encore Wire
- B. Copper Conductors: Comply with NEMA WC 70/ICEA S-95-658.
- C. Conductor Insulation: Comply with NEMA WC 70/ICEA S-95-658 for Type THHN/THWN-2, Type XHHW-2 and Type SO.

## SECTION 260519 - LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES

- D. Multiconductor Cable: Comply with NEMA WC 70/ICEA S-95-658 for metal-clad cable, Type MC and Type SO with ground wire.

### 2.2 CONNECTORS AND SPLICES

- A. Manufacturers:
  1. AFC Cable Systems, Inc.
  2. AMP Incorporated/Tyco International.
  3. Hubbell/Anderson.
  4. O-Z/Gedney; EGS Electrical Group LLC.
  5. 3M Company; Electrical Products Division.
- B. Description: Factory-fabricated connectors and splices of size, ampacity rating, material, type, and class for application and service indicated.

### 2.3 SYSTEM DESCRIPTION

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Comply with NFPA 70.

## PART 3 - EXECUTION

### 3.1 CONDUCTOR MATERIAL APPLICATIONS

- A. Feeders: Copper. Solid for No. 10 AWG and smaller; stranded for No. 8 AWG and larger.
- B. Branch Circuits: Copper. Solid for No. 10 AWG and smaller; stranded for No. 8 AWG and larger, except VFC cable, which shall be extra flexible stranded.

### 3.2 CONDUCTOR INSULATION AND MULTICONDUCTOR CABLE APPLICATIONS AND WIRING METHODS

- A. Service Entrance: Type XHHW-2, single conductors in raceway.
- B. Exposed Feeders: Type THHN/THWN-2, single conductors in raceway.
- C. Feeders Concealed in Ceilings, Walls, Partitions, and Crawlspace: Type THHN/THWN-2, single conductors in raceway.
- D. Branch Circuits Concealed in Ceilings, Walls, and Partitions: Type THHN/THWN-2, single conductors in raceway.

## SECTION 260519 - LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES

### 3.3 INSTALLATION OF CONDUCTORS AND CABLES

- A. Conceal cables in finished walls, ceilings, and floors unless otherwise indicated.
- B. Complete raceway installation between conductor and cable termination points according to Section 260533 "Raceways and Boxes for Electrical Systems" prior to pulling conductors and cables.
- C. Use manufacturer-approved pulling compound or lubricant where necessary; compound used must not deteriorate conductor or insulation. Do not exceed manufacturer's recommended maximum pulling tensions and sidewall pressure values.
- D. Use pulling means, including fish tape, cable, rope, and basket-weave wire/cable grips, which will not damage cables or raceway.
- E. Install exposed cables parallel and perpendicular to surfaces of exposed structural members, and follow surface contours where possible.
- F. Support cables according to Section 260529 "Hangers and Supports for Electrical Systems."

### 3.4 CONNECTIONS

- A. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A-486B.
- B. Make splices, terminations, and taps that are compatible with conductor material and that possess equivalent or better mechanical strength and insulation ratings than unspliced conductors.
  - 1. Use oxide inhibitor in each splice, termination, and tap for aluminum conductors.
- C. Wiring at Outlets: Install conductor at each outlet, with at least 6 inches of slack.

### 3.5 IDENTIFICATION

- A. Identify and color-code conductors and cables according to Section 260553 "Identification for Electrical Systems."
- B. Identify each spare conductor at each end with identity number and location of other end of conductor, and identify as spare conductor.

### 3.6 SLEEVE AND SLEEVE-SEAL INSTALLATION FOR ELECTRICAL PENETRATIONS

- A. Install sleeves and sleeve seals at penetrations of exterior floor and wall assemblies. Comply with requirements in Section 260544 "Sleeves and Sleeve Seals for Electrical Raceways and Cabling."

## SECTION 260519 - LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES

### 3.7 FIRESTOPPING

- A. Apply firestopping to electrical penetrations of fire-rated floor and wall assemblies to restore original fire-resistance rating of assembly according to Section 078413 "Penetration Firestopping."

### 3.8 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections:
  - 1. After installing conductors and cables and before electrical circuitry has been energized, test service entrance and feeder conductors and conductors feeding the following critical equipment and services for compliance with requirements.
    - a. MDF and IDF equipment feeder/branch circuit.
  - 2. Perform each visual and mechanical inspection and electrical test stated in NETA Acceptance Testing Specification. Certify compliance with test parameters.
  - 3. Infrared Scanning: After Substantial Completion, but not more than 60 days after Final Acceptance, perform an infrared scan of each splice in conductors No. 3 AWG and larger. Remove box and equipment covers so splices are accessible to portable scanner. Correct deficiencies determined during the scan.
    - a. Follow-up Infrared Scanning: Perform an additional follow-up infrared scan of each splice 11 months after date of Substantial Completion.
    - b. Instrument: Use an infrared scanning device designed to measure temperature or to detect significant deviations from normal values. Provide calibration record for device.
    - c. Record of Infrared Scanning: Prepare a certified report that identifies splices checked and that describes scanning results. Include notation of deficiencies detected, remedial action taken, and observations after remedial action.
- B. Test and Inspection Reports: Prepare a written report to record the following:
  - 1. Procedures used.
  - 2. Results that comply with requirements.
  - 3. Results that do not comply with requirements and corrective action taken to achieve compliance with requirements.
- C. Cables will be considered defective if they do not pass tests and inspections.

END OF SECTION 260519

## SECTION 260526 - GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section includes grounding and bonding systems and equipment.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. As-Built Data: Plans showing dimensioned as-built locations of grounding features specified in "Field Quality Control" Article.
- B. Field quality-control reports.

#### 1.5 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For grounding to include in emergency, operation, and maintenance manuals.
  - 1. In addition to items specified in Section "Operation and Maintenance Data," include the following:
    - a. Instructions for periodic testing and inspection of grounding features at ground rings and grounding connections for separately derived systems based on and NFPA 70B.

#### 1.6 QUALITY ASSURANCE

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Comply with UL 467 for grounding and bonding materials and equipment.

## SECTION 260526 - GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS

### PART 2 - PRODUCTS

#### 2.1 CONDUCTORS

- A. Insulated Conductors: tinned-copper wire or cable insulated for 600 V unless otherwise required by applicable Code or authorities having jurisdiction.
- B. Bare Copper Conductors:
  - 1. Solid Conductors: ASTM B 3.
  - 2. Stranded Conductors: ASTM B 8.
  - 3. Tinned Conductors: ASTM B 33.
  - 4. Bonding Cable: 28 kcmil, 14 strands of No. 17 AWG conductor, 1/4 inch in diameter.
  - 5. Bonding Conductor: No. 4 or No. 6 AWG, stranded conductor.
  - 6. Bonding Jumper: Copper tape, braided conductors terminated with copper ferrules; 1-5/8 inches wide and 1/16 inch thick.
  - 7. Tinned Bonding Jumper: Tinned-copper tape, braided conductors terminated with copper ferrules; 1-5/8 inches wide and 1/16 inch thick.
- C. Grounding Bus: Predrilled rectangular bars of annealed copper, 1/4 by 4 inches in cross section, with 9/32-inch holes spaced 1-1/8 inches apart. Stand-off insulators for mounting shall comply with UL 891 for use in switchboards, 600 V and shall be Lexan or PVC, impulse tested at 5000 V.

#### 2.2 CONNECTORS

- A. Listed and labeled by an NRTL acceptable to authorities having jurisdiction for applications in which used and for specific types, sizes, and combinations of conductors and other items connected.
- B. Bolted Connectors for Conductors and Pipes: Copper or copper alloy.
- C. Bus-Bar Connectors: Mechanical type, cast silicon bronze, solderless **exothermic**-type wire terminals, and long-barrel, two-bolt connection to ground bus bar.

### PART 3 - EXECUTION

#### 3.1 APPLICATIONS

- A. Conductors: Install solid conductor for No. 10 AWG and smaller, and stranded conductors for No. 8 AWG and larger unless otherwise indicated.
- B. Conductor Terminations and Connections:
  - 1. Pipe and Equipment Grounding Conductor Terminations: Bolted connectors.
  - 2. Connections to Structural Steel: Welded connectors.

## SECTION 260526 - GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS

### 3.2 EQUIPMENT GROUNDING

- A. Install insulated equipment grounding conductors with all feeders and branch circuits.
- B. Install insulated equipment grounding conductors with the following items, in addition to those required by NFPA 70:
  - 1. Feeders and branch circuits.
  - 2. Receptacle circuits.
  - 3. Single-phase motor and appliance branch circuits.
  - 4. Three-phase motor and appliance branch circuits.
  - 5. Flexible raceway runs.
  - 6. Metal-clad cable runs.
  - 7. Busway Supply Circuits: Install insulated equipment grounding conductor from grounding bus in the switchgear, switchboard, or distribution panel to equipment grounding bar terminal on busway.

### 3.3 INSTALLATION

- A. Grounding Conductors: Route along shortest and straightest paths possible unless otherwise indicated or required by Code. Avoid obstructing access or placing conductors where they may be subjected to strain, impact, or damage.
- B. Ground Bonding Common with Lightning Protection System: Comply with NFPA 780 and UL 96 when interconnecting with lightning protection system. Bond electrical power system ground directly to lightning protection system grounding conductor at closest point to electrical service grounding electrode. Use bonding conductor sized same as system grounding electrode conductor, and install in conduit.
- C. Bonding Straps and Jumpers: Install in locations accessible for inspection and maintenance except where routed through short lengths of conduit.
  - 1. Bonding to Structure: Bond straps directly to basic structure, taking care not to penetrate any adjacent parts.
  - 2. Bonding to Equipment Mounted on Vibration Isolation Hangers and Supports: Install bonding so vibration is not transmitted to rigidly mounted equipment.
  - 3. Use exothermic-welded connectors for outdoor locations; if a disconnect-type connection is required, use a bolted clamp.

### 3.4 FIELD QUALITY CONTROL

- A. Tests and Inspections:
  - 1. After installing grounding system but before permanent electrical circuits have been energized, test for compliance with requirements.
  - 2. Inspect physical and mechanical condition. Verify tightness of accessible, bolted, electrical connections with a calibrated torque wrench according to manufacturer's written instructions.

## SECTION 260526 - GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS

3. Test completed grounding system at each location where a maximum ground-resistance level is specified, at service disconnect enclosure grounding terminal, at individual ground rods. Make tests at ground rods before any conductors are connected.
    - a. Measure ground resistance no fewer than two full days after last trace of precipitation and without soil being moistened by any means other than natural drainage or seepage and without chemical treatment or other artificial means of reducing natural ground resistance.
    - b. Perform tests by fall-of-potential method according to IEEE 81.
  4. Prepare dimensioned Drawings locating each, ground rod and ground-rod assembly, and other grounding electrodes. Identify each by letter in alphabetical order, and key to the record of tests and observations. Include the number of rods driven and their depth at each location, and include observations of weather and other phenomena that may affect test results. Describe measures taken to improve test results.
- B. Grounding system will be considered defective if it does not pass tests and inspections.
- C. Prepare test and inspection reports.
- D. Report measured ground resistances that exceed the following values:
1. Power and Lighting Equipment or System with Capacity of 500 kVA and less: 10 ohms.
  2. Power and Lighting Equipment or System with Capacity of 500 to 1000 kVA: 5 ohms.
  3. Power and Lighting Equipment or System with Capacity More Than 1000 kVA: 3 ohms.
  4. Power Distribution Units or Panelboards Serving Electronic Equipment: 3 ohm(s).
- E. Excessive Ground Resistance: If resistance to ground exceeds specified values, notify Architect promptly and include recommendations to reduce ground resistance.

END OF SECTION 260526

## SECTION 260529 - HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Hangers and supports for electrical equipment and systems.
  - 2. Construction requirements for concrete bases.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for the following:
    - a. Hangers.
    - b. Steel slotted support systems.
    - c. Nonmetallic support systems.
    - d. Trapeze hangers.
    - e. Clamps.
    - f. Turnbuckles.
    - g. Sockets.
    - h. Eye nuts.
    - i. Saddles.
    - j. Brackets.
  - 2. Include rated capacities and furnished specialties and accessories.
- B. Shop Drawings: For fabrication and installation details for electrical hangers and support systems.
  - 1. Trapeze hangers. Include product data for components.
  - 2. Steel slotted-channel systems.
  - 3. Nonmetallic slotted-channel systems.
  - 4. Equipment supports.

## SECTION 260529 - HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS

### 1.4 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Reflected ceiling plan(s) and other details, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:
  - 1. Suspended ceiling components.
  - 2. Structural members to which hangers and supports will be attached.
  - 3. Size and location of initial access modules for acoustical tile.
  - 4. Items penetrating finished ceiling.
- B. Welding certificates.

### 1.5 QUALITY ASSURANCE

- A. Welding Qualifications: Qualify procedures and personnel according to the following:
  - 1. AWS D1.1/D1.1M.
  - 2. AWS D1.2/D1.2M.

## PART 2 - PRODUCTS

### 2.1 PERFORMANCE REQUIREMENTS

- A. Surface-Burning Characteristics: Comply with ASTM E 84; testing by a qualified testing agency. Identify products with appropriate markings of applicable testing agency.
  - 1. Flame Rating: Class 1.
  - 2. Self-extinguishing according to ASTM D 635.

### 2.2 SUPPORT, ANCHORAGE, AND ATTACHMENT COMPONENTS

- A. Steel Slotted Support Systems: Comply with MFMA-4 factory-fabricated components for field assembly.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Allied Tube & Conduit.
    - b. Cooper B-Line, Inc.; a division of Cooper Industries.
    - c. ERICO International Corporation.
    - d. GS Metals Corp.
    - e. Thomas & Betts Corporation.
    - f. Unistrut; Tyco International, Ltd.
    - g. Wesanco, Inc.
  - 2. Material: Plain steel.
  - 3. Channel Width: 1-1/4 inches.

## SECTION 260529 - HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS

4. Metallic Coatings: Hot-dip galvanized after fabrication and applied according to MFMA-4.
  5. Nonmetallic Coatings: Manufacturer's standard PVC, polyurethane, or polyester coating applied according to MFMA-4.
  6. Painted Coatings: Manufacturer's standard painted coating applied according to MFMA-4.
  7. Protect finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.
  8. Channel Dimensions: Selected for applicable load criteria.
- B. Conduit and Cable Support Devices: Steel hangers, clamps, and associated fittings, designed for types and sizes of raceway or cable to be supported.
- C. Support for Conductors in Vertical Conduit: Factory-fabricated assembly consisting of threaded body and insulating wedging plug or plugs for nonarmored electrical conductors or cables in riser conduits. Plugs shall have number, size, and shape of conductor gripping pieces as required to suit individual conductors or cables supported. Body shall be made of malleable iron.
- D. Structural Steel for Fabricated Supports and Restraints: ASTM A 36/A 36M steel plates, shapes, and bars; black and galvanized.
- E. Mounting, Anchoring, and Attachment Components: Items for fastening electrical items or their supports to building surfaces include the following:
1. Powder-Actuated Fasteners: Threaded-steel stud, for use in hardened portland cement concrete, steel, or wood, with tension, shear, and pullout capacities appropriate for supported loads and building materials where used.
    - a. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
      - 1) Hilti Inc.
      - 2) ITW Ramset/Red Head; a division of Illinois Tool Works, Inc.
      - 3) MKT Fastening, LLC.
      - 4) Simpson Strong-Tie Co., Inc.; Masterset Fastening Systems Unit.
  2. Mechanical-Expansion Anchors: Insert-wedge-type, zinc-coated steel, for use in hardened portland cement concrete, with tension, shear, and pullout capacities appropriate for supported loads and building materials where used.
    - a. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
      - 1) Cooper B-Line, Inc.; a division of Cooper Industries.
      - 2) Empire Tool and Manufacturing Co., Inc.
      - 3) Hilti Inc.
      - 4) ITW Ramset/Red Head; a division of Illinois Tool Works, Inc.
      - 5) MKT Fastening, LLC
  3. Concrete Inserts: Steel or malleable-iron, slotted support system units are similar to MSS Type 18 units and comply with MFMA-4 or MSS SP-58.
    - a. Clamps for Attachment to Steel Structural Elements: MSS SP-58 units are suitable for attached structural element.
    - b. Through Bolts: Structural type, hex head, and high strength. Comply with ASTM A 325.

## SECTION 260529 - HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS

- c. Toggle Bolts: All-steel springhead type.
- d. Hanger Rods: Threaded steel.
- e. Concrete Inserts: Steel or malleable-iron, slotted support system units similar to MSS Type 18; complying with MFMA-4 or MSS SP-58.
- f. Clamps for Attachment to Steel Structural Elements: MSS SP-58, type suitable for attached structural element.
- g. Through Bolts: Structural type, hex head, and high strength. Comply with ASTM A 325.
- h. Toggle Bolts: All-steel springhead type.
- i. Hanger Rods: Threaded steel

### 2.3 FABRICATED METAL EQUIPMENT SUPPORT ASSEMBLIES

- A. Description: Welded or bolted structural-steel shapes, shop or field fabricated to fit dimensions of supported equipment.
- B. Materials: Comply with requirements in Section 055000 "Metal Fabrications" for steel shapes and plates.

## PART 3 - EXECUTION

### 3.1 APPLICATION

- A. Comply with NECA 1 and NECA 101 for application of hangers and supports for electrical equipment and systems unless requirements in this Section are stricter.
- B. Comply with requirements for raceways and boxes specified in Section 260533 "Raceways and Boxes for Electrical Systems."
- C. Maximum Support Spacing and Minimum Hanger Rod Size for Raceway: Space supports for EMTs and RMCs as scheduled in NECA 1, where its Table 1 lists maximum spacings that are less than those stated in] NFPA 70. Minimum rod size shall be 1/4 inch in diameter.
- D. Multiple Raceways or Cables: Install trapeze-type supports fabricated with steel slotted support system, sized so capacity can be increased by at least 25 percent in future without exceeding specified design load limits.
  - 1. Secure raceways and cables to these supports with two-bolt conduit clamps.
- E. Spring-steel clamps designed for supporting single conduits without bolts may be used for 1-1/2-inch and smaller raceways serving branch circuits and communication systems above suspended ceilings and for fastening raceways to trapeze supports.

### 3.2 SUPPORT INSTALLATION

- A. Comply with NECA 1 and NECA 101 for installation requirements except as specified in this article.

## SECTION 260529 - HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS

- B. Raceway Support Methods: In addition to methods described in NECA 1, EMTs, and RMCs may be supported by openings through structure members, according to NFPA 70.
- C. Strength of Support Assemblies: Where not indicated, select sizes of components so strength will be adequate to carry present and future static loads within specified loading limits. Minimum static design load used for strength determination shall be weight of supported components plus 200 lb.
- D. Mounting and Anchorage of Surface-Mounted Equipment and Components: Anchor and fasten electrical items and their supports to building structural elements by the following methods unless otherwise indicated by code:
  - 1. To Wood: Fasten with lag screws or through bolts.
  - 2. To New Concrete: Bolt to concrete inserts.
  - 3. To Masonry: Approved toggle-type bolts on hollow masonry units and expansion anchor fasteners on solid masonry units.
  - 4. To Existing Concrete: Expansion anchor fasteners.
  - 5. Instead of expansion anchors, powder-actuated driven threaded studs provided with lock washers and nuts may be used in existing standard-weight concrete 4 inches thick or greater. Do not use for anchorage to lightweight-aggregate concrete or for slabs less than 4 inches thick.
  - 6. To Steel: Spring-tension clamps.
  - 7. To Light Steel: Sheet metal screws.
  - 8. Items Mounted on Hollow Walls and Nonstructural Building Surfaces: Mount cabinets, panelboards, disconnect switches, control enclosures, pull and junction boxes, transformers, and other devices on slotted-channel racks attached to substrate.
- E. Drill holes for expansion anchors in concrete at locations and to depths that avoid the need for reinforcing bars.

### 3.3 INSTALLATION OF FABRICATED METAL SUPPORTS

- A. Comply with installation requirements in Architectural Section "Metal Fabrications" for site-fabricated metal supports.
- B. Cut, fit, and place miscellaneous metal supports accurately in location, alignment, and elevation to support and anchor electrical materials and equipment.
- C. Field Welding: Comply with AWS D1.1/D1.1M.

### 3.4 CONCRETE BASES

- A. Construct concrete bases of dimensions indicated but not less than 4 inches larger in both directions than supported unit, and so anchors will be a minimum of 10 bolt diameters from edge of the base.
- B. Use 3000-psi, 28-day compressive-strength concrete. Concrete materials, reinforcement, and placement requirements are specified in Sections "Cast-in-Place Concrete" or "Miscellaneous Cast-in-Place Concrete."

## SECTION 260529 - HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS

- C. Anchor equipment to concrete base as follows:
  - 1. Place and secure anchorage devices. Use supported equipment manufacturer's setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
  - 2. Install anchor bolts to elevations required for proper attachment to supported equipment.
  - 3. Install anchor bolts according to anchor-bolt manufacturer's written instructions.

### 3.5 PAINTING

- A. Touchup: Clean field welds and abraded areas of shop paint. Paint exposed areas immediately after erecting hangers and supports. Use same materials as used for shop painting. Comply with SSPC-PA 1 requirements for touching up field-painted surfaces.
  - 1. Apply paint by brush or spray to provide minimum dry film thickness of 2.0 mils.
- B. Touchup: Comply with requirements in Sections "Exterior Painting", "Interior Painting" and "High-Performance Coatings" for cleaning and touchup painting of field welds, bolted connections, and abraded areas of shop paint on miscellaneous metal.
- C. Galvanized Surfaces: Clean welds, bolted connections, and abraded areas and apply galvanizing-repair paint to comply with ASTM A 780.

END OF SECTION 260529

## SECTION 260533 - RACEWAYS AND BOXES FOR ELECTRICAL SYSTEMS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:

1. Metal conduits, tubing, and fittings.
2. Nonmetal conduits, tubing, and fittings.
3. Metal wireways and auxiliary gutters.
4. Nonmetal wireways and auxiliary gutters.
5. Surface raceways.
6. Boxes, enclosures, and cabinets.
7. Handholes and boxes for exterior underground cabling.

#### 1.3 DEFINITIONS

- A. GRC: Galvanized rigid steel conduit.
- B. IMC: Intermediate metal conduit.
- C. EMT: Electrical metallic tubing.
- D. EPDM: Ethylene-propylene-diene terpolymer rubber.
- E. FMC: Flexible metal conduit.
- F. LFMC: Liquidtight flexible metal conduit.
- G. LFNC: Liquidtight flexible nonmetallic conduit.
- H. NBR: Acrylonitrile-butadiene rubber.
- I. RNC: Rigid nonmetallic conduit.

#### 1.4 ACTION SUBMITTALS

- A. Product Data: For surface raceways, wireways and fittings, floor boxes, hinged-cover enclosures, and cabinets.
- B. Shop Drawings: For custom enclosures and cabinets. Include plans, elevations, sections, and attachment details.

## SECTION 260533 - RACEWAYS AND BOXES FOR ELECTRICAL SYSTEMS

### 1.5 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Conduit routing plans, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of items involved:
  - 1. Structural members in paths of conduit groups with common supports.
  - 2. HVAC and plumbing items and architectural features in paths of conduit groups with common supports.
- B. Source quality-control reports.

## PART 2 - PRODUCTS

### 2.1 METAL CONDUITS, TUBING, AND FITTINGS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. AFC Cable Systems, Inc.
  - 2. Alflex Inc.
  - 3. Allied Tube & Conduit; a Tyco International Ltd. Co.
  - 4. Anamet Electrical, Inc.; Anaconda Metal Hose.
  - 5. Electri-Flex Co.
  - 6. Manhattan/CDT/Cole-Flex.
  - 7. Maverick Tube Corporation.
  - 8. O-Z Gedney; a unit of General Signal.
  - 9. Wheatland Tube Company.
  - 10. Hylsa
- B. Listing and Labeling: Metal conduits, tubing, and fittings shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- C. GRC: Comply with ANSI C80.1 and UL 6.
- D. PVC-Coated Steel Conduit: PVC-coated rigid steel conduit.
  - 1. Comply with NEMA RN 1.
  - 2. Coating Thickness: 0.040 inch, minimum.
- E. EMT: Comply with ANSI C80.3 and UL 797.
- F. FMC: Comply with UL 1; zinc-coated steel.
- G. LFMC: Flexible steel conduit with PVC jacket and complying with UL 360.
- H. Fittings for Metal Conduit: Comply with NEMA FB 1 and UL 514B.
  - 1. Conduit Fittings for Hazardous (Classified) Locations: Comply with UL 886 and NFPA 70.
  - 2. Fittings for EMT:

## SECTION 260533 - RACEWAYS AND BOXES FOR ELECTRICAL SYSTEMS

- a. Material: die cast.
  - b. Type: compression.
3. Expansion Fittings: PVC or steel to match conduit type, complying with UL 651, rated for environmental conditions where installed, and including flexible external bonding jumper.
  4. Coating for Fittings for PVC-Coated Conduit: Minimum thickness of 0.040 inch, with overlapping sleeves protecting threaded joints.
- I. Joint Compound for GRC: Approved, as defined in NFPA 70, by authorities having jurisdiction for use in conduit assemblies, and compounded for use to lubricate and protect threaded conduit joints from corrosion and to enhance their conductivity.

### 2.2 NONMETALLIC CONDUITS, TUBING, AND FITTINGS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
1. AFC Cable Systems, Inc.
  2. Anamet Electrical, Inc.; Anaconda Metal Hose.
  3. Arco Corporation.
  4. CANTEX Inc.
  5. CertainTeed Corp.; Pipe & Plastics Group.
  6. Condux International, Inc.
  7. ElecSYS, Inc.
  8. Electri-Flex Co.
  9. Lamson & Sessions; Carlon Electrical Products.
  10. Manhattan/CDT/Cole-Flex.
  11. RACO; a Hubbell Company.
  12. Thomas & Betts Corporation.
- B. Listing and Labeling: Nonmetallic conduits, tubing, and fittings shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- C. RNC: Type EPC-40-PVC complying with NEMA TC 2 and UL 651 unless otherwise indicated.
- D. LFNC: Comply with UL 1660.
- E. RTRC: Comply with UL 1684A and NEMA TC 14.
- F. Fittings for and RNC: Comply with NEMA TC 3; match to conduit or tubing type and material.
- G. Fittings for LFNC: Comply with UL 514B.

### 2.3 METAL WIREWAYS AND AUXILIARY GUTTERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

## SECTION 260533 - RACEWAYS AND BOXES FOR ELECTRICAL SYSTEMS

1. Cooper B-Line, Inc.
  2. Hoffman.
  3. Square D; Schneider Electric.
- B. Description: Sheet metal, complying with UL 870 and NEMA 250, Type 1 or Type 3R unless otherwise indicated, and sized according to NFPA 70.
1. Metal wireways installed outdoors shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- C. Fittings and Accessories: Include covers, couplings, offsets, elbows, expansion joints, adapters, hold-down straps, end caps, and other fittings to match and mate with wireways as required for complete system.
- D. Wireway Covers: Hinged type unless otherwise indicated.
- E. Finish: Manufacturer's standard enamel finish.

### 2.4 BOXES, ENCLOSURES, AND CABINETS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
1. Cooper Crouse-Hinds; Div. of Cooper Industries, Inc.
  2. EGS/Appleton Electric.
  3. Erickson Electrical Equipment Company.
  4. Hoffman.
  5. Hubbell Incorporated; Killark Electric Manufacturing Co. Division.
  6. O-Z/Gedney; a unit of General Signal.
  7. RACO; a Hubbell Company.
  8. Robroy Industries, Inc.; Enclosure Division.
  9. Spring City Electrical Manufacturing Company.
  10. Thomas & Betts Corporation.
  11. Walker Systems, Inc.; Wiremold Company (The).
- B. General Requirements for Boxes, Enclosures, and Cabinets: Boxes, enclosures, and cabinets installed in wet locations shall be listed for use in wet locations.
- C. Sheet Metal Outlet and Device Boxes: Comply with NEMA OS 1 and UL 514A.
- D. Cast-Metal Outlet and Device Boxes: Comply with NEMA FB 1, aluminum, Type FD, with gasketed cover.
- E. Small Sheet Metal Pull and Junction Boxes: NEMA OS 1.
- F. Cast-Metal Access, Pull, and Junction Boxes: Comply with NEMA FB 1 and UL 1773, cast aluminum with gasketed cover.
- G. Box extensions used to accommodate new building finishes shall be of same material as recessed box.

## SECTION 260533 - RACEWAYS AND BOXES FOR ELECTRICAL SYSTEMS

- H. Device Box Dimensions: 4 inches by 2-1/8 inches by 2-1/8 inches deep.
- I. Gangable boxes are allowed as long is permitted by the NEC.
- J. Hinged-Cover Enclosures: Comply with UL 50 and NEMA 250, Type 1 for indoor applications and Type 3R (stainless steel) outdoor with continuous-hinge cover with flush latch unless otherwise indicated.
  - 1. Metal Enclosures: Steel, finished inside and out with manufacturer's standard enamel.
  - 2. Nonmetallic Enclosures: Fiberglass.
  - 3. Interior Panels: Steel; all sides finished with manufacturer's standard enamel.
- K. Cabinets:
  - 1. NEMA 250, Type 1, Type 3R galvanized-steel or 4XSS box with removable interior panel and removable front, finished inside and out with manufacturer's standard enamel.
  - 2. Hinged door in front cover with flush latch and concealed hinge.
  - 3. Key latch to match panelboards.
  - 4. Metal barriers to separate wiring of different systems and voltage.
  - 5. Accessory feet where required for freestanding equipment.
  - 6. Nonmetallic cabinets shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

## PART 3 - EXECUTION

### 3.1 RACEWAY APPLICATION

- A. Outdoors: Apply raceway products as specified below unless otherwise indicated:
  - 1. Exposed Conduit: GRC.
  - 2. Concealed Conduit, Aboveground: GRC.
  - 3. Connection to Vibrating Equipment (Including Transformers and Hydraulic, Pneumatic, Electric Solenoid, or Motor-Driven Equipment): LFMC.
  - 4. Boxes and Enclosures, Aboveground: NEMA 250, Type 3R or Type 4SS as noted on plans.
- B. Indoors: Apply raceway products as specified below unless otherwise indicated:
  - 1. Exposed, Not Subject to Physical Damage: EMT.
  - 2. Exposed and Subject to Severe Physical Damage: GRC. Raceway locations include the following:
    - a. Mechanical rooms.
    - b. Gymnasiums.
  - 3. Concealed in Ceilings and Interior Walls and Partitions: EMT
  - 4. Connection to Vibrating Equipment (Including Transformers and Hydraulic, Pneumatic, Electric Solenoid, or Motor-Driven Equipment): FMC, except use LFMC in damp or wet locations.
  - 5. Damp or Wet Locations: GRC.

## SECTION 260533 - RACEWAYS AND BOXES FOR ELECTRICAL SYSTEMS

6. Boxes and Enclosures: NEMA 250, Type 1, except use NEMA 250, Type 4 stainless steel in institutional and commercial kitchens and damp or wet locations.
- C. Minimum Raceway Size: 1/2-inch trade size.
- D. Raceway Fittings: Compatible with raceways and suitable for use and location.
  1. Rigid and Intermediate Steel Conduit: Use threaded rigid steel conduit fittings unless otherwise indicated. Comply with NEMA FB 2.10.
  2. PVC Externally Coated, Rigid Steel Conduits: Use only fittings listed for use with this type of conduit. Patch and seal all joints, nicks, and scrapes in PVC coating after installing conduits and fittings. Use sealant recommended by fitting manufacturer and apply in thickness and number of coats recommended by manufacturer.
  3. EMT: Use setscrew steel fittings. Comply with NEMA FB 2.10.
  4. Flexible Conduit: Use only fittings listed for use with flexible conduit. Comply with NEMA FB 2.20.
- E. Install nonferrous conduit or tubing for circuits operating above 60 Hz. Where aluminum raceways are installed for such circuits and pass through concrete, install in nonmetallic sleeve.
- F. Do not install aluminum conduits, boxes, or fittings in contact with concrete or earth.
- G. Install surface raceways only where indicated on Drawings.
- H. Do not install nonmetallic conduit where ambient temperature exceeds 120 deg F.

### 3.2 INSTALLATION

- A. Comply with NECA 1 and NECA 101 for installation requirements except where requirements on Drawings or in this article are stricter. Comply with NECA 102 for aluminum conduits. Comply with NFPA 70 limitations for types of raceways allowed in specific occupancies and number of floors.
- B. Keep raceways at least 6 inches away from parallel runs of flues and steam or hot-water pipes. Install horizontal raceway runs above water and steam piping.
- C. Complete raceway installation before starting conductor installation.
- D. Comply with requirements in Section 260529 "Hangers and Supports for Electrical Systems" for hangers and supports.
- E. Arrange stub-ups so curved portions of bends are not visible above finished slab.
- F. Install no more than the equivalent of three 90-degree bends in any conduit run except for control wiring conduits, for which fewer bends are allowed. Support within 12 inches of changes in direction.
- G. Conceal conduit and EMT within finished walls, ceilings, and floors unless otherwise indicated. Install conduits parallel or perpendicular to building lines.

## SECTION 260533 - RACEWAYS AND BOXES FOR ELECTRICAL SYSTEMS

- H. Support conduit within 12 inches of enclosures to which attached.
- I. Stub-ups to Above Recessed Ceilings:
  - 1. Use EMT for raceways.
  - 2. Use a conduit bushing or insulated fitting to terminate stub-ups not terminated in hubs or in an enclosure.
- J. Threaded Conduit Joints, Exposed to Wet, Damp, Corrosive, or Outdoor Conditions: Apply listed compound to threads of raceway and fittings before making up joints. Follow compound manufacturer's written instructions.
- K. Coat field-cut threads on PVC-coated raceway with a corrosion-preventing conductive compound prior to assembly.
- L. Raceway Terminations at Locations Subject to Moisture or Vibration: Use insulating bushings to protect conductors including conductors smaller than No. 4 AWG.
- M. Terminate threaded conduits into threaded hubs or with locknuts on inside and outside of boxes or cabinets. Install bushings on conduits up to 1-1/4-inch trade size and insulated throat metal bushings on 1-1/2-inch trade size and larger conduits terminated with locknuts. Install insulated throat metal grounding bushings on service conduits.
- N. Install raceways square to the enclosure and terminate at enclosures with locknuts. Install locknuts hand tight plus 1/4 turn more.
- O. Do not rely on locknuts to penetrate nonconductive coatings on enclosures. Remove coatings in the locknut area prior to assembling conduit to enclosure to assure a continuous ground path.
- P. Cut conduit perpendicular to the length. For conduits 2-inch trade size and larger, use roll cutter or a guide to make cut straight and perpendicular to the length.
- Q. Install pull wires in empty raceways. Use polypropylene or monofilament plastic line with not less than 200-lb tensile strength. Leave at least 12 inches of slack at each end of pull wire. Cap underground raceways designated as spare above grade alongside raceways in use.
- R. Install raceway sealing fittings at accessible locations according to NFPA 70 and fill them with listed sealing compound. For concealed raceways, install each fitting in a flush steel box with a blank cover plate having a finish similar to that of adjacent plates or surfaces. Install raceway sealing fittings according to NFPA 70.
- S. Install devices to seal raceway interiors at accessible locations. Locate seals so no fittings or boxes are between the seal and the following changes of environments. Seal the interior of all raceways at the following points:
  - 1. Where conduits pass from warm to cold locations, such as boundaries of refrigerated spaces.
  - 2. Where an underground service raceway enters a building or structure.
  - 3. Where otherwise required by NFPA 70.
- T. Comply with manufacturer's written instructions for solvent welding RNC and fittings.

## SECTION 260533 - RACEWAYS AND BOXES FOR ELECTRICAL SYSTEMS

- U. Expansion-Joint Fittings:
  - 1. Install in each run of aboveground RNC that is located where environmental temperature change may exceed 30 deg F and that has straight-run length that exceeds 25 feet. Install in each run of aboveground RMC conduit that is located where environmental temperature change may exceed 100 deg F and that has straight-run length that exceeds 100 feet.
  - 2. Install type and quantity of fittings that accommodate temperature change listed for each of the following locations:
    - a. Outdoor Locations Not Exposed to Direct Sunlight: 125 deg F temperature change.
    - b. Outdoor Locations Exposed to Direct Sunlight: 155 deg F temperature change.
    - c. Indoor Spaces Connected with Outdoors without Physical Separation: 125 deg F temperature change.
    - d. Attics: 135 deg F.
    - e. .
  - 3. Install fitting(s) that provide expansion and contraction for at least 0.00041 inch per foot of length of straight run per deg F of temperature change for PVC conduits. Install fitting(s) that provide expansion and contraction for at least 0.000078 inch per foot of length of straight run per deg F of temperature change for metal conduits.
  - 4. Install expansion fittings at all locations where conduits cross building or structure expansion joints.
  - 5. Install each expansion-joint fitting with position, mounting, and piston setting selected according to manufacturer's written instructions for conditions at specific location at time of installation. Install conduit supports to allow for expansion movement.
- V. Flexible Conduit Connections: Comply with NEMA RV 3. Use a maximum of 72 inches of flexible conduit for recessed and semirecessed luminaires, equipment subject to vibration, noise transmission, or movement; and for transformers and motors.
  - 1. Use LFMC in damp or wet locations subject to severe physical damage.
  - 2. Use LFMC or LFNC in damp or wet locations not subject to severe physical damage.
- W. Mount boxes at heights indicated on Drawings. If mounting heights of boxes are not individually indicated, give priority to ADA requirements. Install boxes with height measured to center of box unless otherwise indicated.
- X. Horizontally separate boxes mounted on opposite sides of walls so they are not in the same vertical channel.
- Y. Locate boxes so that cover or plate will not span different building finishes.
- Z. Support boxes of three gangs or more from more than one side by spanning two framing members or mounting on brackets specifically designed for the purpose.
- AA. Fasten junction and pull boxes to or support from building structure. Do not support boxes by conduits.

## SECTION 260533 - RACEWAYS AND BOXES FOR ELECTRICAL SYSTEMS

### 3.3 SLEEVE AND SLEEVE-SEAL INSTALLATION FOR ELECTRICAL PENETRATIONS

- A. Install sleeves and sleeve seals at penetrations of exterior floor and wall assemblies. Comply with requirements in Section 260544 "Sleeves and Sleeve Seals for Electrical Raceways and Cabling."

### 3.4 FIRESTOPPING

- A. Install firestopping at penetrations of fire-rated floor and wall assemblies. Comply with requirements in Section 078413 "Penetration Firestopping."

### 3.5 PROTECTION

- A. Protect coatings, finishes, and cabinets from damage and deterioration.
  - 1. Repair damage to galvanized finishes with zinc-rich paint recommended by manufacturer.
  - 2. Repair damage to PVC coatings or paint finishes with matching touchup coating recommended by manufacturer.

END OF SECTION 260533

## SECTION 260544 - SLEEVES AND SLEEVE SEALS FOR ELECTRICAL RACEWAYS AND CABLING

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Sleeves for raceway and cable penetration of non-fire-rated construction walls and floors.
  - 2. Sleeve-seal systems.
  - 3. Sleeve-seal fittings.
  - 4. Grout.
  - 5. Silicone sealants.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.

### PART 2 - PRODUCTS

#### 2.1 SLEEVES

- A. Wall Sleeves:
  - 1. Steel Pipe Sleeves: ASTM A 53/A 53M, Type E, Grade B, Schedule 40, zinc coated, plain ends.
  - 2. Cast-Iron Pipe Sleeves: Cast or fabricated "wall pipe," equivalent to ductile-iron pressure pipe, with plain ends and integral waterstop unless otherwise indicated.
- B. Sleeves for Conduits Penetrating Non-Fire-Rated Gypsum Board Assemblies: Galvanized-steel sheet; 0.0239-inch minimum thickness; round tube closed with welded longitudinal joint, with tabs for screw-fastening the sleeve to the board.
- C. PVC-Pipe Sleeves: ASTM D 1785, Schedule 40.
- D. Molded-PVC Sleeves: With nailing flange for attaching to wooden forms.
- E. Molded-PE or -PP Sleeves: Removable, tapered-cup shaped, and smooth outer surface with nailing flange for attaching to wooden forms.
- F. Sleeves for Rectangular Openings:

## SECTION 260544 - SLEEVES AND SLEEVE SEALS FOR ELECTRICAL RACEWAYS AND CABLING

1. Material: Galvanized sheet steel.
2. Minimum Metal Thickness:
  - a. For sleeve cross-section rectangle perimeter less than 50 inches and with no side larger than 16 inches, thickness shall be 0.052 inch.
  - b. For sleeve cross-section rectangle perimeter 50 inches or more and one or more sides larger than 16 inches, thickness shall be 0.138 inch.

### 2.2 SLEEVE-SEAL SYSTEMS

- A. Description: Modular sealing device, designed for field assembly, to fill annular space between sleeve and raceway or cable.
  1. Manufacturers:
    - a. Advance Products & Systems, Inc.
    - b. Calpico, Inc.
    - c. Metraflex Co.
    - d. Pipeline Seal and Insulator, Inc.
  2. Sealing Elements: Nitrile (Buna N rubber interlocking links shaped to fit surface of pipe. Include type and number required for pipe material and size of pipe.
  3. Pressure Plates: Carbon steel.
  4. Connecting Bolts and Nuts: [Carbon steel, with corrosion-resistant coating,] of length required to secure pressure plates to sealing elements.

### 2.3 SLEEVE-SEAL FITTINGS

- A. Description: Manufactured plastic, sleeve-type, waterstop assembly made for embedding in concrete slab or wall. Unit shall have plastic or rubber waterstop collar with center opening to match piping OD.

### 2.4 GROUT

- A. Description: Nonshrink; recommended for interior and exterior sealing openings in non-fire-rated walls or floors.
- B. Standard: ASTM C 1107/C 1107M, Grade B, post-hardening and volume-adjusting, dry, hydraulic-cement grout.
- C. Design Mix: 5000-psi, 28-day compressive strength.
- D. Packaging: Premixed and factory packaged.

## SECTION 260544 - SLEEVES AND SLEEVE SEALS FOR ELECTRICAL RACEWAYS AND CABLING

### 2.5 SILICONE SEALANTS

- A. Silicone Sealants: Single-component, silicone-based, neutral-curing elastomeric sealants of grade indicated below.
  - 1. Grade: Pourable (self-leveling) formulation for openings in floors and other horizontal surfaces that are not fire rated.
  - 2. Sealant shall have VOC content of 150 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  - 3. Sealant shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."
- B. Silicone Foams: Multicomponent, silicone-based liquid elastomers that, when mixed, expand and cure in place to produce a flexible, nonshrinking foam.

## PART 3 - EXECUTION

### 3.1 SLEEVE INSTALLATION FOR NON-FIRE-RATED ELECTRICAL PENETRATIONS

- A. Comply with NECA 1.
- B. Comply with NEMA VE 2 for cable tray and cable penetrations.
- C. Sleeves for Conduits Penetrating Above-Grade Non-Fire-Rated Concrete and Masonry-Unit Floors and Walls:
  - 1. Interior Penetrations of Non-Fire-Rated Walls and Floors:
    - a. Seal annular space between sleeve and raceway or cable, using joint sealant appropriate for size, depth, and location of joint. Comply with requirements in Section 079200 "Joint Sealants."
    - b. Seal space outside of sleeves with mortar or grout. Pack sealing material solidly between sleeve and wall so no voids remain. Tool exposed surfaces smooth; protect material while curing.
  - 2. Use pipe sleeves unless penetration arrangement requires rectangular sleeved opening.
  - 3. Size pipe sleeves to provide 1/4-inch annular clear space between sleeve and raceway or cable unless sleeve seal is to be installed.
  - 4. Install sleeves for wall penetrations unless core-drilled holes or formed openings are used. Install sleeves during erection of walls. Cut sleeves to length for mounting flush with both surfaces of walls. Deburr after cutting.
  - 5. Install sleeves for floor penetrations. Extend sleeves installed in floors 2 inches above finished floor level. Install sleeves during erection of floors.
- D. Sleeves for Conduits Penetrating Non-Fire-Rated Gypsum Board Assemblies:

## SECTION 260544 - SLEEVES AND SLEEVE SEALS FOR ELECTRICAL RACEWAYS AND CABLING

1. Use circular metal sleeves unless penetration arrangement requires rectangular sleeved opening.
  2. Seal space outside of sleeves with approved joint compound for gypsum board assemblies.
- E. Roof-Penetration Sleeves: Seal penetration of individual raceways and cables with flexible boot-type flashing units applied in coordination with roofing work.
- F. Aboveground, Exterior-Wall Penetrations: Seal penetrations using steel pipe sleeves and mechanical sleeve seals. Select sleeve size to allow for 1-inch annular clear space between pipe and sleeve for installing mechanical sleeve seals.
- G. Underground, Exterior-Wall and Floor Penetrations: Install cast-iron pipe sleeves. Size sleeves to allow for 1-inch annular clear space between raceway or cable and sleeve for installing sleeve-seal system.

### 3.2 SLEEVE-SEAL-SYSTEM INSTALLATION

- A. Install sleeve-seal systems in sleeves in exterior concrete walls and slabs-on-grade at raceway entries into building.
- B. Install type and number of sealing elements recommended by manufacturer for raceway or cable material and size. Position raceway or cable in center of sleeve. Assemble mechanical sleeve seals and install in annular space between raceway or cable and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make watertight seal.

### 3.3 SLEEVE-SEAL-FITTING INSTALLATION

- A. Install sleeve-seal fittings in new walls and slabs as they are constructed.
- B. Assemble fitting components of length to be flush with both surfaces of concrete slabs and walls. Position waterstop flange to be centered in concrete slab or wall.
- C. Secure nailing flanges to concrete forms.
- D. Using grout, seal the space around outside of sleeve-seal fittings.

END OF SECTION 260544

## SECTION 260553 - IDENTIFICATION FOR ELECTRICAL SYSTEMS

### PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section Includes:
1. Identification for raceways.
  2. Identification of power and control cables.
  3. Identification for conductors.
  4. Warning labels and signs.
  5. Instruction signs.
  6. Equipment identification labels.
  7. Miscellaneous identification products.

#### 1.2 ACTION SUBMITTALS

- A. Product Data: For each electrical identification product indicated.

#### 1.3 QUALITY ASSURANCE

- A. Comply with ANSI A13.1.
- B. Comply with NFPA 70.
- C. Comply with 29 CFR 1910.144 and 29 CFR 1910.145.
- D. Comply with ANSI Z535.4 for safety signs and labels.
- E. Adhesive-attached labeling materials, including label stocks, laminating adhesives, and inks used by label printers, shall comply with UL 969.

### PART 2 - PRODUCTS

#### 2.1 POWER AND CONTROL RACEWAY IDENTIFICATION MATERIALS

- A. Comply with ANSI A13.1 for minimum size of letters for legend and for minimum length of color field for each raceway size.
- B. Colors for Raceways Carrying Circuits at 600 V or Less:
1. Black letters on an orange field.
  2. Legend: Indicate voltage and system or service type.

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- C. Vinyl Labels for Raceways Carrying Circuits at 600 V or Less: Preprinted, flexible label laminated with a clear, weather- and chemical-resistant coating and matching wraparound clear adhesive tape for securing ends of legend label.

### 2.2 ARMORED AND METAL-CLAD CABLE IDENTIFICATION MATERIALS

- A. Comply with ANSI A13.1 for minimum size of letters for legend and for minimum length of color field for each cable size.
- B. Colors for Cables Carrying Circuits at 600 V and Less:
  - 1. Black letters on an orange field.
  - 2. Legend: Indicate voltage and system or service type.
- C. Vinyl Labels: Preprinted, flexible label laminated with a clear, weather- and chemical-resistant coating and matching wraparound clear adhesive tape for securing ends of legend label.
- D. Self-Adhesive Vinyl Tape: Colored, heavy duty, waterproof, fade resistant; 2 inches wide; compounded for outdoor use.
- E. Heat-Shrink Preprinted Tubes: Flame-retardant polyolefin tube with machine-printed identification label. Sized to suit diameter of and shrinks to fit firmly around cable it identifies. Full shrink recovery at a maximum of 200 deg F. Comply with UL 224.

### 2.3 POWER AND CONTROL CABLE IDENTIFICATION MATERIALS

- A. Comply with ANSI A13.1 for minimum size of letters for legend and for minimum length of color field for each raceway and cable size.
- B. Vinyl Labels: Preprinted, flexible label laminated with a clear, weather- and chemical-resistant coating and matching wraparound clear adhesive tape for securing ends of legend label.
- C. Self-Adhesive, Self-Laminating Polyester Labels: Preprinted, 3-mil thick flexible label with acrylic pressure-sensitive adhesive that provides a clear, weather- and chemical- resistant, self-laminating, protective shield over the legend. Labels sized to fit the cable diameter such that the clear shield overlaps the entire printed legend.
- D. Heat-Shrink Preprinted Tubes: Flame-retardant polyolefin tube with machine-printed identification label. Sized to suit diameter of and shrinks to fit firmly around cable it identifies. Full shrink recovery at a maximum of 200 deg F. Comply with UL 224.
- E. Snap-Around Labels: Slit, pretensioned, flexible, preprinted, color-coded acrylic sleeve, with diameter sized to suit diameter of cable it identifies and to stay in place by gripping action.
- F. Snap-Around, Color-Coding Bands: Slit, pretensioned, flexible, solid-colored acrylic sleeve, 2 inches long, with diameter sized to suit diameter of cable it identifies and to stay in place by gripping action.

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### 2.4 CONDUCTOR IDENTIFICATION MATERIALS

- A. Color-Coding Conductor Tape: Colored, self-adhesive vinyl tape not less than 3 mils thick by 1 to 2 inches wide.
- B. Self-Adhesive, Self-Laminating Polyester Labels: Preprinted, 3-mil- thick flexible label with acrylic pressure-sensitive adhesive that provides a clear, weather- and chemical- resistant, self-laminating, protective shield over the legend. Labels sized to fit the conductor diameter such that the clear shield overlaps the entire printed legend.
- C. Heat-Shrink Preprinted Tubes: Flame-retardant polyolefin tube with machine-printed identification label. Sized to suit diameter of and shrinks to fit firmly around conductor it identifies. Full shrink recovery at a maximum of 200 deg F. Comply with UL 224.
- D. Marker Tapes: Vinyl or vinyl-cloth, self-adhesive wraparound type, with circuit identification legend machine printed by thermal transfer or equivalent process.

### 2.5 WARNING LABELS AND SIGNS

- A. Comply with NFPA 70 and 29 CFR 1910.145.
- B. Self-Adhesive Warning Labels: Factory-printed, multicolor, pressure-sensitive adhesive labels, configured for display on front cover, door, or other access to equipment unless otherwise indicated.
- C. Baked-Enamel Warning Signs:
  - 1. Preprinted aluminum signs, punched or drilled for fasteners, with colors, legend, and size required for application.
  - 2. 1/4-inch grommets in corners for mounting.
  - 3. Nominal size, 7 by 10 inches.
- D. Metal-Backed, Butyrate Warning Signs:
  - 1. Weather-resistant, nonfading, preprinted, cellulose-acetate butyrate signs with 0.0396-inch galvanized-steel backing; and with colors, legend, and size required for application.
  - 2. 1/4-inch grommets in corners for mounting.
  - 3. Nominal size, 10 by 14 inches.
- E. Warning label and sign shall include, but are not limited to, the following legends:
  - 1. Multiple Power Source Warning: "DANGER - ELECTRICAL SHOCK HAZARD - EQUIPMENT HAS MULTIPLE POWER SOURCES."
  - 2. Workspace Clearance Warning: "WARNING - OSHA REGULATION - AREA IN FRONT OF ELECTRICAL EQUIPMENT MUST BE KEPT CLEAR FOR 36 INCHES."

## SECTION 260553 - IDENTIFICATION FOR ELECTRICAL SYSTEMS

### 2.6 INSTRUCTION SIGNS

- A. Engraved, laminated acrylic or melamine plastic, minimum 1/16 inch thick for signs up to 20 sq. inches and 1/8 inch thick for larger sizes.
  - 1. Engraved legend with black letters on white face.
  - 2. Punched or drilled for mechanical fasteners.
  - 3. Framed with mitered acrylic molding and arranged for attachment at applicable equipment.
- B. Adhesive Film Label: Machine printed, in black, by thermal transfer or equivalent process. Minimum letter height shall be 3/8 inch.
- C. Adhesive Film Label with Clear Protective Overlay: Machine printed, in black, by thermal transfer or equivalent process. Minimum letter height shall be 3/8 inch. Overlay shall provide a weatherproof and UV-resistant seal for label.

### 2.7 EQUIPMENT IDENTIFICATION LABELS

- A. Adhesive Film Label with Clear Protective Overlay: Machine printed, in black, by thermal transfer or equivalent process. Minimum letter height shall be 3/8 inch. Overlay shall provide a weatherproof and UV-resistant seal for label.
- B. Self-Adhesive, Engraved, Laminated Acrylic or Melamine Label: Adhesive backed, with white letters on a dark-gray background. Minimum letter height shall be 3/8 inch.
- C. Stenciled Legend: In nonfading, waterproof, black ink or paint. Minimum letter height shall be 1 inch.

### 2.8 MISCELLANEOUS IDENTIFICATION PRODUCTS

- A. Paint: Comply with requirements in painting Sections for paint materials and application requirements. Select paint system applicable for surface material and location (exterior or interior).
- B. Fasteners for Labels and Signs: Self-tapping, stainless-steel screws or stainless-steel machine screws with nuts and flat and lock washers.

## PART 3 - EXECUTION

### 3.1 INSTALLATION

- A. Location: Install identification materials and devices at locations for most convenient viewing without interference with operation and maintenance of equipment.
- B. Apply identification devices to surfaces that require finish after completing finish work.

## SECTION 260553 - IDENTIFICATION FOR ELECTRICAL SYSTEMS

- C. Self-Adhesive Identification Products: Clean surfaces before application, using materials and methods recommended by manufacturer of identification device.
- D. Attach signs and plastic labels that are not self-adhesive type with mechanical fasteners appropriate to the location and substrate.
- E. Attach plastic raceway and cable labels that are not self-adhesive type with clear vinyl tape with adhesive appropriate to the location and substrate.
- F. System Identification Color-Coding Bands for Raceways and Cables: Each color-coding band shall completely encircle cable or conduit. Place adjacent bands of two-color markings in contact, side by side. Locate bands at changes in direction, at penetrations of walls and floors, at 50-foot maximum intervals in straight runs, and at 25-foot maximum intervals in congested areas.
- G. Underground-Line Warning Tape: During backfilling of trenches install continuous underground-line warning tape directly above line at 6 to 8 inches below finished grade. Use multiple tapes where width of multiple lines installed in a common trench or concrete envelope exceeds 16 inches overall.
- H. Painted Identification: Comply with requirements in painting Sections for surface preparation and paint application.

### 3.2 IDENTIFICATION SCHEDULE

- A. Accessible Raceways and Metal-Clad Cables, 600 V or Less, for Service, Feeder, and Branch Circuits More Than 30 A, and 120 V to ground: Install labels at 30-foot maximum intervals.
- B. Accessible Raceways and Cables within Buildings: Identify the covers of each junction and pull box of the following systems with self-adhesive vinyl labels with the wiring system legend and system voltage. System legends shall be as follows:
  - 1. Power.
  - 2. Fire Alarm System
  - 3. Mechanical and Electrical Supervisory System
  - 4. Control Wiring.
- C. Power-Circuit Conductor Identification: For secondary conductors No. 1/0 AWG and larger in vaults, pull and junction boxes, manholes, and handholes use color-coding conductor tape. Identify source and circuit number of each set of conductors. For single conductor cables, identify phase in addition to the above.
- D. Power-Circuit Conductor Identification, 600 V or Less: For conductors in vaults, pull and junction boxes, manholes, and handholes, use color-coding conductor tape to identify the phase.
  - 1. Color-Coding for Phase and Voltage Level Identification, 600 V or Less: Use colors listed below for ungrounded service feeder and branch-circuit conductors.

## SECTION 260553 - IDENTIFICATION FOR ELECTRICAL SYSTEMS

- a. Color shall be factory applied or field applied for sizes larger than No. 8 AWG, if authorities having jurisdiction permit.
  - b. Colors for 208/120-V Circuits:
    - 1) Phase A: Black.
    - 2) Phase B: Red.
    - 3) Phase C: Blue.
  - c. Colors for 480/277-V Circuits:
    - 1) Phase A: Brown.
    - 2) Phase B: Orange.
    - 3) Phase C: Yellow.
  - d. Field-Applied, Color-Coding Conductor Tape: Apply in half-lapped turns for a minimum distance of 6 inches from terminal points and in boxes where splices or taps are made. Apply last two turns of tape with no tension to prevent possible unwinding. Locate bands to avoid obscuring factory cable markings.
- E. Install instructional sign including the color-code for grounded and ungrounded conductors using adhesive-film-type labels.
- F. Control-Circuit Conductor Identification: For conductors and cables in pull and junction boxes, manholes, and handholes, use write-on tags with the conductor or cable designation, origin, and destination.
- G. Control-Circuit Conductor Termination Identification: For identification at terminations provide heat-shrink preprinted tubes with the conductor designation.
- H. Auxiliary Electrical Systems Conductor Identification: Identify field-installed alarm, control, and signal connections.
1. Identify conductors, cables, and terminals in enclosures and at junctions, terminals, and pull points. Identify by system and circuit designation.
  2. Use system of marker tape designations that is uniform and consistent with system used by manufacturer for factory-installed connections.
  3. Coordinate identification with Project Drawings, manufacturer's wiring diagrams, and the Operation and Maintenance Manual.
- I. Workspace Indication: Install floor marking tape to show working clearances in the direction of access to live parts. Workspace shall be as required by NFPA 70 and 29 CFR 1926.403 unless otherwise indicated. Do not install at flush-mounted panelboards and similar equipment in finished spaces.
- J. Warning Labels for Indoor Cabinets, Boxes, and Enclosures for Power and Lighting: Self-adhesive warning labels.
1. Comply with 29 CFR 1910.145.
  2. Identify system voltage with black letters on an orange background.
  3. Apply to exterior of door, cover, or other access.

## SECTION 260553 - IDENTIFICATION FOR ELECTRICAL SYSTEMS

4. For equipment with multiple power or control sources, apply to door or cover of equipment including, but not limited to, the following:
  - a. Power transfer switches.
  - b. Controls with external control power connections.
- K. Operating Instruction Signs: Install instruction signs to facilitate proper operation and maintenance of electrical systems and items to which they connect. Install instruction signs with approved legend where instructions are needed for system or equipment operation.
- L. Emergency Operating Instruction Signs: Install instruction signs with white legend on a red background with minimum 3/8-inch- high letters for emergency instructions at equipment used for power transfer and load shedding.
- M. Equipment Identification Labels: On each unit of equipment, install unique designation label that is consistent with wiring diagrams, schedules, and the Operation and Maintenance Manual. Apply labels to disconnect switches and protection equipment, central or master units, control panels, control stations, terminal cabinets, and racks of each system. Systems include power, lighting, control, communication, signal, monitoring, and alarm systems unless equipment is provided with its own identification.
  1. Labeling Instructions:
    - a. Indoor Equipment: Unless otherwise indicated, provide a single line of text with 1/2-inch- high letters on 1-1/2-inch- high label; where two lines of text are required, use labels 2 inches high.
    - b. Outdoor Equipment: Engraved, laminated acrylic or melamine label Stenciled legend 4 inches high.
    - c. Elevated Components: Increase sizes of labels and letters to those appropriate for viewing from the floor.
    - d. Unless provided with self-adhesive means of attachment, fasten labels with appropriate mechanical fasteners that do not change the NEMA or NRTL rating of the enclosure.
  2. Equipment to Be Labeled:
    - a. Panelboards, electrical cabinets, and enclosures.
    - b. Access doors and panels for concealed electrical items.
    - c. Disconnect switches.
    - d. Enclosed circuit breakers.
    - e. Motor starters.
    - f. Fire-alarm control panel and annunciators.

### 3.3 INSTALLATION

Verify identity of each item before installing identification products.

END OF SECTION 260553

## SECTION 262416 - PANELBOARDS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Distribution panelboards.
  - 2. Lighting and appliance branch-circuit panelboards.
  - 3. Load centers.
  - 4. Instrumentation with BACNET interface (where noted).

#### 1.3 DEFINITIONS

- A. ATS: Acceptance testing specification.
- B. GFCI: Ground-fault circuit interrupter.
- C. GFEP: Ground-fault equipment protection.
- D. HID: High-intensity discharge.
- E. MCCB: Molded-case circuit breaker.
- F. SPD: Surge protective device.
- G. VPR: Voltage protection rating.

#### 1.4 ACTION SUBMITTALS

- A. Product Data: For each type of panelboard.
  - 1. Include materials, switching and overcurrent protective devices, SPDs, accessories, and components indicated.
  - 2. Include dimensions and manufacturers' technical data on features, performance, electrical characteristics, ratings, and finishes.
- B. Shop Drawings: For each panelboard and related equipment.
  - 1. Include dimensioned plans, elevations, sections, and details.
  - 2. Show tabulations of installed devices with nameplates, conductor termination sizes, equipment features, and ratings.

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3. Detail enclosure types including mounting and anchorage, environmental protection, knockouts, corner treatments, covers and doors, gaskets, hinges, and locks.
4. Detail bus configuration, current, and voltage ratings.
5. Short-circuit current rating of panelboards and overcurrent protective devices.
6. Include evidence of NRTL listing for series rating of installed devices.
7. Include evidence of NRTL listing for SPD as installed in panelboard.
8. Detail features, characteristics, ratings, and factory settings of individual overcurrent protective devices and auxiliary components.
9. Include wiring diagrams for power, signal, and control wiring.
10. Key interlock scheme drawing and sequence of operations.
11. Include time-current coordination curves for each type and rating of overcurrent protective device included in panelboards. Submit on translucent log-log graft paper; include selectable ranges for each type of overcurrent protective device. Include an Internet link for electronic access to downloadable PDF of the coordination curves.

### 1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For testing agency.
- B. Panelboard Schedules: For installation in panelboards. Submit final versions after load balancing.

### 1.6 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For panelboards and components to include in emergency, operation, and maintenance manuals. In addition to items specified in Section 017823 "Operation and Maintenance Data," include the following:
  1. Manufacturer's written instructions for testing and adjusting overcurrent protective devices.
  2. Time-current curves, including selectable ranges for each type of overcurrent protective device that allows adjustments.

### 1.7 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  1. Keys: Two spares for each type of panelboard cabinet lock.
  2. Circuit Breakers Including GFCI and GFEP Types: Two spares for each panelboard.
  3. Fuses for Fused Switches: Equal to 10 percent of quantity installed for each size and type, but no fewer than three of each size and type.

### 1.8 QUALITY ASSURANCE

- A. Manufacturer Qualifications: ISO 9001 or 9002 certified.

## SECTION 262416 - PANELBOARDS

### 1.9 DELIVERY, STORAGE, AND HANDLING

- A. Remove loose packing and flammable materials from inside panelboards; install temporary electric heating (250 W per panelboard) to prevent condensation.
- B. Handle and prepare panelboards for installation according to NEMA PB 1.

### 1.10 FIELD CONDITIONS

- A. Environmental Limitations:
  - 1. Do not deliver or install panelboards until spaces are enclosed and weathertight, wet work in spaces is complete and dry, work above panelboards is complete, and temporary HVAC system is operating and maintaining ambient temperature and humidity conditions at occupancy levels during the remainder of the construction period.
  - 2. Rate equipment for continuous operation under the following conditions unless otherwise indicated:
    - a. Ambient Temperature: Not exceeding 23 deg F to plus 104 deg F.
    - b. Altitude: Not exceeding 6600 feet.
- B. Service Conditions: NEMA PB 1, usual service conditions, as follows:
  - 1. Ambient temperatures within limits specified.
  - 2. Altitude not exceeding 6600 feet.
- C. Interruption of Existing Electric Service: Do not interrupt electric service to facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary electric service according to requirements indicated:
  - 1. Notify Architect no fewer than 7 days in advance of proposed interruption of electric service.
  - 2. Do not proceed with interruption of electric service without Architect's, Construction Manager's and Owner's written permission.
  - 3. Comply with NFPA 70E.

### 1.11 WARRANTY

- A. Manufacturer's Warranty: Manufacturer agrees to repair or replace panelboards that fail in materials or workmanship within specified warranty period.
  - 1. Panelboard Warranty Period: 18 months from date of Substantial Completion.
- B. Special Warranty: Manufacturer's standard form in which manufacturer agrees to repair or replace SPD that fails in materials or workmanship within specified warranty period.
  - 1. SPD Warranty Period: Five years from date of Substantial Completion.

## SECTION 262416 - PANELBOARDS

### PART 2 - PRODUCTS

#### 2.1 MANUFACTURERS:

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Square D Co.
  - 2. Eaton Corporation.
  - 3. Siemens
  - 4. General Electric

#### 2.2 PANELBOARDS COMMON REQUIREMENTS

- A. Fabricate and test panelboards according to IEEE 344 to withstand seismic forces defined in Section 260548.16 "Seismic Controls for Electrical Systems."
- B. Product Selection for Restricted Space: Drawings indicate maximum dimensions for panelboards including clearances between panelboards and adjacent surfaces and other items. Comply with indicated maximum dimensions.
- C. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- D. Comply with NEMA PB 1.
- E. Comply with NFPA 70.
- F. Enclosures: Flush and Surface-mounted, dead-front cabinets.
  - 1. Rated for environmental conditions at installed location.
    - a. Indoor Dry and Clean Locations: NEMA 250, Type 1.
    - b. Outdoor Locations: NEMA 250, Type 3R or 4XSS (as noted on plans).
    - c. Kitchen Areas: NEMA 250, Type 4X, stainless steel.
    - d. Other Wet or Damp Indoor Locations: NEMA 250, Type 4.
    - e. Indoor Locations Subject to Dust, Falling Dirt, and Dripping Noncorrosive Liquids: NEMA 250, Type 5 or Type 12 (as noted on plans).
  - 2. Height: 84 inches maximum.
  - 3. Front: Secured to box with concealed trim clamps. For surface-mounted fronts, match box dimensions; for flush-mounted fronts, overlap box. Trims shall cover all live parts and shall have no exposed hardware.
  - 4. Hinged Front Cover: Entire front trim hinged to box and with standard door within hinged trim cover. Trims shall cover all live parts and shall have no exposed hardware.
  - 5. Skirt for Surface-Mounted Panelboards: Same gage and finish as panelboard front with flanges for attachment to panelboard, wall, and ceiling or floor.
  - 6. Gutter Extension and Barrier: Same gage and finish as panelboard enclosure; integral with enclosure body. Arrange to isolate individual panel sections.

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7. Finishes:
  - a. Panels and Trim: Steel, factory finished immediately after cleaning and pretreating with manufacturer's standard two-coat, baked-on finish consisting of prime coat and thermosetting topcoat.
  - b. Back Boxes: Same finish as panels and trim.
  
- G. Incoming Mains:
  1. Location: coordinated on the field by the electrical contractor.
  2. Main Breaker: Main lug interiors up to 400 amperes shall be field convertible to main breaker.
  
- H. Phase, Neutral, and Ground Buses:
  1. Material: Hard-drawn copper, 98 percent conductivity.
    - a. Plating shall run entire length of bus.
    - b. Bus shall be fully rated the entire length.
  2. Interiors shall be factory assembled into a unit. Replacing switching and protective devices shall not disturb adjacent units or require removing the main bus connectors.
  3. Equipment Ground Bus: Adequate for feeder and branch-circuit equipment grounding conductors; bonded to box.
  4. Isolated Ground Bus: Adequate for branch-circuit isolated ground conductors; insulated from box.
  5. Full-Sized Neutral: Equipped with full-capacity bonding strap for service entrance applications. Mount electrically isolated from enclosure. Do not mount neutral bus in gutter.
  6. Extra-Capacity Neutral Bus: Neutral bus rated 200 percent of phase bus and listed and labeled by an NRTL acceptable to authority having jurisdiction, as suitable for nonlinear loads in electronic-grade panelboards and others designated on Drawings. Connectors shall be sized for double-sized or parallel conductors as indicated on Drawings. Do not mount neutral bus in gutter.
  7. Split Bus: Vertical buses divided into individual vertical sections.
  
- I. Conductor Connectors: Suitable for use with conductor material and sizes.
  1. Material: Hard-drawn copper, 98 percent conductivity.
  2. Terminations shall allow use of 75 deg C rated conductors without derating.
  3. Size: Lugs suitable for indicated conductor sizes, with additional gutter space, if required, for larger conductors.
  4. Main and Neutral Lugs: Mechanical type, with a lug on the neutral bar for each pole in the panelboard.
  5. Ground Lugs and Bus-Configured Terminators: Mechanical type, with a lug on the bar for each pole in the panelboard.
  6. Feed-Through Lugs: Mechanical type, suitable for use with conductor material. Locate at opposite end of bus from incoming lugs or main device.
  7. Subfeed (Double) Lugs: Mechanical type suitable for use with conductor material. Locate at same end of bus as incoming lugs or main device.

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8. Gutter-Tap Lugs: Mechanical type suitable for use with conductor material and with matching insulating covers. Locate at same end of bus as incoming lugs or main device.
9. Extra-Capacity Neutral Lugs: Rated 200 percent of phase lugs mounted on extra-capacity neutral bus.
  
- J. NRTL Label: Panelboards or load centers shall be labeled by an NRTL acceptable to authority having jurisdiction for use as service equipment with one or more main service disconnecting and overcurrent protective devices. Panelboards or load centers shall have meter enclosures, wiring, connections, and other provisions for utility metering. Coordinate with utility company for exact requirements.
- K. Future Devices: Panelboards or load centers shall have mounting brackets, bus connections, filler plates, and necessary appurtenances required for future installation of devices.
  1. Percentage of Future Space Capacity: Ten percent.
- L. Panelboard Short-Circuit Current Rating: Fully rated to interrupt symmetrical short-circuit current available at terminals. Assembly listed by an NRTL for 100 percent interrupting capacity.
  1. Panelboards and overcurrent protective devices rated 240 V or less shall have short-circuit ratings as shown on Drawings, but not less than 10,000 A rms symmetrical.
  2. Panelboards and overcurrent protective devices rated above 240 V and less than 600 V shall have short-circuit ratings as shown on Drawings, but not less than 14,000 A rms symmetrical.

### 2.3 PERFORMANCE REQUIREMENTS

- A. Surge Suppression: Factory installed as an integral part of indicated panelboards, complying with UL 1449 SPD Type 1 or Type 2 (as noted on plans).

### 2.4 POWER PANELBOARDS

- A. Panelboards: NEMA PB 1, distribution type.
- B. Doors: Secured with vault-type latch with tumbler lock; keyed alike.
  1. For doors more than 36 inches high, provide two latches, keyed alike.
- C. Mains: Circuit breaker or Lugs only (as noted on plans).
- D. Branch Overcurrent Protective Devices for Circuit-Breaker Frame Sizes 125 A and Smaller: Bolt-on circuit breakers.
- E. Branch Overcurrent Protective Devices for Circuit-Breaker Frame Sizes Larger Than 125 A: Bolt-on circuit breakers.

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### 2.5 LIGHTING AND APPLIANCE BRANCH-CIRCUIT PANELBOARDS

- A. Panelboards: NEMA PB 1, lighting and appliance branch-circuit type.
- B. Mains: Circuit breaker or Lugs only (as noted on plans).
- C. Branch Overcurrent Protective Devices: Plug-in circuit breakers, replaceable without disturbing adjacent units.
- D. Doors: Concealed hinges; secured with flush latch with tumbler lock; keyed alike.
- E. Doors: Door-in-door construction with concealed hinges; secured with multipoint latch with tumbler lock; keyed alike. Outer door shall permit full access to the panel interior. Inner door shall permit access to breaker operating handles and labeling, but current carrying terminals and bus shall remain concealed.

### 2.6 DISCONNECTING AND OVERCURRENT PROTECTIVE DEVICES

- A. MCCB: Comply with UL 489, with interrupting capacity to meet available fault currents.
  - 1. Thermal-Magnetic Circuit Breakers:
    - a. Inverse time-current element for low-level overloads.
    - b. Instantaneous magnetic trip element for short circuits.
    - c. Adjustable magnetic trip setting for circuit-breaker frame sizes 250 A and larger.
  - 2. Adjustable Instantaneous-Trip Circuit Breakers: Magnetic trip element with front-mounted, field-adjustable trip setting.
  - 3. Electronic Trip Circuit Breakers:
    - a. RMS sensing.
    - b. Field-replaceable rating plug or electronic trip.
    - c. Digital display of settings, trip targets, and indicated metering displays.
    - d. Multi-button keypad to access programmable functions and monitored data.
    - e. Ten-event, trip-history log. Each trip event shall be recorded with type, phase, and magnitude of fault that caused the trip.
    - f. Integral test jack for connection to portable test set or laptop computer.
    - g. Field-Adjustable Settings:
      - 1) Instantaneous trip.
      - 2) Long- and short-time pickup levels.
      - 3) Long and short time adjustments.
      - 4) Ground-fault pickup level, time delay, and I squared T response.
  - 4. GFCI Circuit Breakers: Single- and double-pole configurations with Class A ground-fault protection (6-mA trip).
  - 5. GFEP Circuit Breakers: Class B ground-fault protection (30-mA trip).
  - 6. Arc-Fault Circuit Interrupter Circuit Breakers: Comply with UL 1699; 120/240-V, single-pole configuration.
  - 7. Subfeed Circuit Breakers: Vertically mounted.
  - 8. MCCB Features and Accessories:

## SECTION 262416 - PANELBOARDS

- a. Standard frame sizes, trip ratings, and number of poles.
- b. Breaker handle indicates tripped status.
- c. UL listed for reverse connection without restrictive line or load ratings.
- d. Lugs: Mechanical style, suitable for number, size, trip ratings, and conductor materials.
- e. Application Listing: Appropriate for application; Type SWD for switching fluorescent lighting loads; Type HID for feeding fluorescent and HID lighting circuits.
- f. Ground-Fault Protection: Integrally mounted relay and trip unit with adjustable pickup and time-delay settings, push-to-test feature, and ground-fault indicator.
- g. Shunt Trip: 120-V trip coil energized from separate circuit, set to trip at 75 percent of rated voltage.
- h. Undervoltage Trip: Set to operate at 35 to 75 percent of rated voltage without intentional with field-adjustable 0.1- to 0.6-second] time delay.
- i. Rating Plugs: Three-pole breakers with ampere ratings greater than 150 amperes shall have interchangeable rating plugs or electronic adjustable trip units.
- j. Auxiliary Contacts: Two, SPDT switches with "a" and "b" contacts; "a" contacts mimic circuit-breaker contacts and "b" contacts operate in reverse of circuit-breaker contacts.
- k. Alarm Switch: Single-pole, normally open contact that actuates only when circuit breaker trips.
- l. Key Interlock Kit: Externally mounted to prohibit circuit-breaker operation; key shall be removable only when circuit breaker is in off position.
- m. Zone-Selective Interlocking: Integral with electronic trip unit; for interlocking ground-fault protection function with other upstream or downstream devices.
- n. Multipole units enclosed in a factory assembled to operate as a single unit.
- o. Handle Padlocking Device: Fixed attachment, for locking circuit-breaker handle in on or off position.
- p. Handle Clamp: Loose attachment, for holding circuit-breaker handle in on position.

### 2.7 INSTRUMENTATION

#### A. Instrument Transformers: NEMA EI 21.1, and the following:

1. Potential Transformers: NEMA EI 21.1; 120 V, 60 Hz, [single] [tapped] [double] secondary; disconnecting type with integral fuse mountings. Burden and accuracy shall be consistent with connected metering and relay devices.
2. Current Transformers: NEMA EI 21.1; 5 A, 60 Hz, secondary; [wound] [bushing] [bar or window] type; [single] [double] secondary winding and secondary shorting device. Burden and accuracy shall be consistent with connected metering and relay devices.
3. Control-Power Transformers: Dry type, mounted in separate compartments for units larger than 3 kVA.
4. Current Transformers for Neutral and Ground-Fault Current Sensing: Connect secondary wiring to ground overcurrent relays, via shorting terminals, to provide selective tripping of main and tie circuit breaker. Coordinate with feeder circuit-breaker, ground-fault protection.
5. BACNET: provide to interface with BAS.

## SECTION 262416 - PANELBOARDS

- B. Multifunction Digital-Metering Monitor: Microprocessor-based unit suitable for three- or four-wire systems and with the following features:
  - 1. Switch-selectable digital display of the following values with maximum accuracy tolerances as indicated:
    - a. Phase Currents, Each Phase: Plus or minus 0.5 percent.
    - b. Phase-to-Phase Voltages, Three Phase: Plus or minus 0.5 percent.
    - c. Phase-to-Neutral Voltages, Three Phase: Plus or minus 0.5 percent.
    - d. Megawatts: Plus or minus 1 percent.
    - e. Megavars: Plus or minus 1 percent.
    - f. Power Factor: Plus or minus 1 percent.
    - g. Frequency: Plus or minus 0.1 percent.
    - h. Accumulated Energy, Megawatt Hours: Plus or minus 1 percent; accumulated values unaffected by power outages up to 72 hours.
    - i. Megawatt Demand: Plus or minus 1 percent; demand interval programmable from five to 60 minutes.
    - j. Contact devices to operate remote impulse-totalizing demand meter.
  - 2. Mounting: Display and control unit flush or semiflush mounted in instrument compartment door.

### 2.8 IDENTIFICATION

- A. Panelboard Label: Manufacturer's name and trademark, voltage, amperage, number of phases, and number of poles shall be located on the interior of the panelboard door.
- B. Breaker Labels: Faceplate shall list current rating, UL and IEC certification standards, and AIC rating.
- C. Circuit Directory: Directory card inside panelboard door, mounted in metal frame with transparent protective cover.
  - 1. Circuit directory shall identify specific purpose with detail sufficient to distinguish it from all other circuits.
- D. Circuit Directory: Computer-generated circuit directory mounted inside panelboard door with transparent plastic protective cover.
  - 1. Circuit directory shall identify specific purpose with detail sufficient to distinguish it from all other circuits.

### 2.9 ACCESSORY COMPONENTS AND FEATURES

- A. Accessory Set: Include tools and miscellaneous items required for overcurrent protective device test, inspection, maintenance, and operation.

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- B. Portable Test Set: For testing functions of solid-state trip devices without removing from panelboard. Include relay and meter test plugs suitable for testing panelboard meters and switchboard class relays.

### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Verify actual conditions with field measurements prior to ordering panelboards to verify that equipment fits in allocated space in, and comply with, minimum required clearances specified in NFPA 70.
- B. Receive, inspect, handle, and store panelboards according to NECA 407 and NEMA PB 1.1.
- C. Examine panelboards before installation. Reject panelboards that are damaged, rusted, or have been subjected to water saturation.
- D. Examine elements and surfaces to receive panelboards for compliance with installation tolerances and other conditions affecting performance of the Work.
- E. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 INSTALLATION

- A. Coordinate layout and installation of panelboards and components with other construction that penetrates walls or is supported by them, including electrical and other types of equipment, raceways, piping, encumbrances to workspace clearance requirements, and adjacent surfaces. Maintain required workspace clearances and required clearances for equipment access doors and panels.
- B. Comply with NECA 1.
- C. Install panelboards and accessories according to NECA 407 and NEMA PB 1.1.
- D. Equipment Mounting:
  - 1. Install panelboards on cast-in-place concrete equipment base(s). Comply with requirements for equipment bases and foundations specified in Section 033000 "Cast-in-Place Concrete." and or Section 033053 "Miscellaneous Cast-in-Place Concrete."
  - 2. Attach panelboard to the vertical finished or structural surface behind the panelboard.
- E. Temporary Lifting Provisions: Remove temporary lifting eyes, channels, and brackets and temporary blocking of moving parts from panelboards.
- F. Mount top of trim 90 inches above finished floor unless otherwise indicated.
- G. Mount panelboard cabinet plumb and rigid without distortion of box.

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- H. Mount recessed panelboards with fronts uniformly flush with wall finish and mating with back box.
- I. Mounting panelboards with space behind is recommended for damp, wet, or dirty locations. The steel slotted supports in the following paragraph provide an even mounting surface and the recommended space behind to prevent moisture or dirt collection.
- J. Mount surface-mounted panelboards to steel slotted supports 5/8 inch in depth. Orient steel slotted supports vertically.
- K. Install overcurrent protective devices and controllers not already factory installed.
  - 1. Set field-adjustable, circuit-breaker trip ranges.
  - 2. Tighten bolted connections and circuit breaker connections using calibrated torque wrench or torque screwdriver per manufacturer's written instructions.
- L. Make grounding connections and bond neutral for services and separately derived systems to ground. Make connections to grounding electrodes, separate grounds for isolated ground bars, and connections to separate ground bars.
- M. Install filler plates in unused spaces.
- N. Stub four 1-inch empty conduits from panelboard into accessible ceiling space or space designated to be ceiling space in the future. Stub four 1-inch empty conduits into raised floor space or below slab not on grade.
- O. Arrange conductors in gutters into groups and bundle and wrap with wire ties after completing load balancing.

### 3.3 IDENTIFICATION

- A. Identify field-installed conductors, interconnecting wiring, and components; install warning signs complying with requirements in Section 260553 "Identification for Electrical Systems."
- B. Create a directory to indicate installed circuit loads after balancing panelboard loads; incorporate Owner's final room designations. Obtain approval before installing. Handwritten directories are not acceptable. Install directory inside panelboard door.
- C. Panelboard Nameplates: Label each panelboard with a nameplate complying with requirements for identification specified in Section 260553 "Identification for Electrical Systems."
- D. Device Nameplates: Label each branch circuit device in power panelboards with a nameplate complying with requirements for identification specified in Section 260553 "Identification for Electrical Systems."
- E. Install warning signs complying with requirements in Section 260553 "Identification for Electrical Systems" identifying source of remote circuit.

## SECTION 262416 - PANELBOARDS

### 3.4 FIELD QUALITY CONTROL

- A. Manufacturer's Field Service: Engage a factory-authorized service representative to inspect, test, and adjust components, assemblies, and equipment installations, including connections.
- B. Perform tests and inspections.
  - 1. Manufacturer's Field Service: Engage a factory-authorized service representative to inspect components, assemblies, and equipment installations, including connections, and to assist in testing.
- C. Acceptance Testing Preparation:
  - 1. Test insulation resistance for each panelboard bus, component, connecting supply, feeder, and control circuit.
  - 2. Test continuity of each circuit.
- D. Tests and Inspections:
  - 1. Perform each visual and mechanical inspection and electrical test for low-voltage air circuit breakers and low-voltage surge arrestors stated in NETA ATS, Paragraph 7.6 Circuit Breakers and Paragraph 7.19.1 Surge Arrestors, Low-Voltage. Certify compliance with test parameters.
  - 2. Correct malfunctioning units on-site, where possible, and retest to demonstrate compliance; otherwise, replace with new units and retest.
  - 3. Perform the following infrared scan tests and inspections and prepare reports:
    - a. Initial Infrared Scanning: After Substantial Completion, but not more than 60 days after Final Acceptance, perform an infrared scan of each panelboard. Remove front panels so joints and connections are accessible to portable scanner.
    - b. Follow-up Infrared Scanning: Perform an additional follow-up infrared scan of each panelboard 11 months after date of Substantial Completion.
    - c. Instruments and Equipment:
      - 1) Use an infrared scanning device designed to measure temperature or to detect significant deviations from normal values. Provide calibration record for device.
- E. Panelboards will be considered defective if they do not pass tests and inspections.
- F. Prepare test and inspection reports, including a certified report that identifies panelboards included and that describes scanning results, with comparisons of the two scans. Include notation of deficiencies detected, remedial action taken, and observations after remedial action.

### 3.5 ADJUSTING

- A. Adjust moving parts and operable components to function smoothly, and lubricate as recommended by manufacturer.

## SECTION 262416 - PANELBOARDS

- B. Set field-adjustable circuit-breaker trip ranges as specified in Section 260573 "Overcurrent Protective Device Coordination Study."
- C. Load Balancing: After Substantial Completion, but not more than 60 days after Final Acceptance, measure load balancing and make circuit changes. Prior to making circuit changes to achieve load balancing, inform Architect of effect on phase color coding.
  - 1. Measure loads during period of normal facility operations.
  - 2. Perform circuit changes to achieve load balancing outside normal facility operation schedule or at times directed by the Architect. Avoid disrupting services such as fax machines and on-line data processing, computing, transmitting, and receiving equipment.
  - 3. After changing circuits to achieve load balancing, recheck loads during normal facility operations. Record load readings before and after changing circuits to achieve load balancing.
  - 4. Tolerance: Maximum difference between phase loads, within a panelboard, shall not exceed 20 percent.

### 3.6 PROTECTION

- A. Temporary Heating: Prior to energizing panelboards, apply temporary heat to maintain temperature according to manufacturer's written instructions.

END OF SECTION 262416

## SECTION 262813 - FUSES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Cartridge fuses rated 600 V ac and less for use in the following:
    - a. Control circuits.
    - b. Enclosed controllers.
    - c. Enclosed switches.
  - 2. Spare-fuse cabinets.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for spare-fuse cabinets. Include the following for each fuse type indicated:
  - 1. Ambient Temperature Adjustment Information: If ratings of fuses have been adjusted to accommodate ambient temperatures, provide list of fuses with adjusted ratings.
    - a. For each fuse having adjusted ratings, include location of fuse, original fuse rating, local ambient temperature, and adjusted fuse rating.
    - b. Provide manufacturer's technical data on which ambient temperature adjustment calculations are based.
  - 2. Dimensions and manufacturer's technical data on features, performance, electrical characteristics, and ratings.
  - 3. Current-limitation curves for fuses with current-limiting characteristics.
  - 4. Time-current coordination curves (average melt) and current-limitation curves (instantaneous peak let-through current) for each type and rating of fuse. Submit in electronic format suitable for use in coordination software and in PDF format.
  - 5. Coordination charts and tables and related data.
  - 6. Fuse sizes for elevator feeders and elevator disconnect switches.

## SECTION 262813 - FUSES

### 1.4 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For fuses to include in emergency, operation, and maintenance manuals. In addition to items specified in Section 017700 "Closeout Procedures," and or Section 017823 "Operation and Maintenance Data," include the following:
  - 1. Ambient temperature adjustment information.
  - 2. Current-limitation curves for fuses with current-limiting characteristics.
  - 3. Time-current coordination curves (average melt) and current-limitation curves (instantaneous peak let-through current) for each type and rating of fuse used on the Project. Submit in PDF format.
  - 4. Coordination charts and tables and related data.

### 1.5 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Fuses: Equal to 10 percent of quantity installed for each size and type, but no fewer than three of each size and type.

### 1.6 FIELD CONDITIONS

- A. Where ambient temperature to which fuses are directly exposed is less than 40 deg F or more than 100 deg F, apply manufacturer's ambient temperature adjustment factors to fuse ratings.

## PART 2 - PRODUCTS

### 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Cooper Industries, Inc.; Bussmann Div.
- B. Source Limitations: Obtain fuses, for use within a specific product or circuit, from single source from single manufacturer.

### 2.2 CARTRIDGE FUSES

- A. Characteristics: NEMA FU 1, current-limiting, nonrenewable cartridge fuses with voltage ratings consistent with circuit voltages.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- C. Comply with NEMA FU 1 for cartridge fuses.

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- D. Comply with NFPA 70.
- E. Coordinate fuse ratings with utilization equipment nameplate limitations of maximum fuse size and with system short-circuit current levels.

### 2.3 FUSE COVER

- A. Fuse cover shall be BUSSMAN "SAMI"

### 2.4 SPARE-FUSE CABINET

- A. Characteristics: Wall-mounted steel unit with full-length, recessed piano-hinged door and key-coded cam lock and pull.
  - 1. Size: Adequate for storage of spare fuses specified with 15 percent spare capacity minimum.
  - 2. Finish: Gray, baked enamel.
  - 3. Identification: "SPARE FUSES" in 1-1/2-inch- high letters on exterior of door.
  - 4. Fuse Pullers: For each size of fuse, where applicable and available, from fuse manufacturer.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine fuses before installation. Reject fuses that are moisture damaged or physically damaged.
- B. Examine holders to receive fuses for compliance with installation tolerances and other conditions affecting performance, such as rejection features.
- C. Examine utilization equipment nameplates and installation instructions. Install fuses of sizes and with characteristics appropriate for each piece of equipment.
- D. Evaluate ambient temperatures to determine if fuse rating adjustment factors must be applied to fuse ratings.
- E. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 FUSE APPLICATIONS

- A. Cartridge Fuses:
  - 1. Main Service: Class RK1, time delay, current limiting Bussman HI-CAP KRP-C.
  - 2. Main Feeders: Class RK1, time delay.
    - a. 250volts - Bussman LOW-PEAK LPN-RK dual element.
    - b. 600volts – Bussman LOW-PEAK LPS-RK dual element.
  - 3. Motor Branch Circuits: Class RK1, time delay.

## SECTION 262813 - FUSES

- a. 250volts - Bussman LOW-PEAK LPN-RK dual element.
- b. 600volts – Bussman LOW-PEAK LPS-RK dual element.
4. Large Motor Branch (601-4000 A): Class L, time delay.
5. Power Electronics Circuits: Class J, high speed.
6. Other Branch Circuits: Class RK1, time delay.
7. Control Transformer Circuits: Class CC, time delay, and control transformer duty.
8. Provide open-fuse indicator fuses or fuse covers with open fuse indication.

### 3.3 INSTALLATION

- A. Install fuses in fusible devices. Arrange fuses so rating information is readable without removing fuse.
- B. Install spare-fuse cabinet(s) in location shown on the Drawings or as indicated in the field by Architect.

### 3.4 IDENTIFICATION

- A. Install labels complying with requirements for identification specified in Section 260553 "Identification for Electrical Systems" and indicating fuse replacement information inside of door of each fused switch and adjacent to each fuse block, socket, and holder.

END OF SECTION 262813

## SECTION 262816 - ENCLOSED SWITCHES AND CIRCUIT BREAKERS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Fusible switches.
  - 2. Nonfusible switches.
  - 3. Molded-case circuit breakers (MCCBs).
  - 4. Molded-case switches.
  - 5. Enclosures.

#### 1.3 DEFINITIONS

- A. NC: Normally closed.
- B. NO: Normally open.

#### 1.4 ACTION SUBMITTALS

- A. Product Data: For each type of enclosed switch, circuit breaker, accessory, and component indicated. Include dimensioned elevations, sections, weights, and manufacturers' technical data on features, performance, electrical characteristics, ratings, accessories, and finishes.
  - 1. Enclosure types and details for types other than NEMA 250, Type 1.
  - 2. Current and voltage ratings.
  - 3. Short-circuit current ratings (interrupting and withstand, as appropriate).
  - 4. Include evidence of NRTL listing for series rating of installed devices.
  - 5. Detail features, characteristics, ratings, and factory settings of individual overcurrent protective devices, accessories, and auxiliary components.
  - 6. Include time-current coordination curves (average melt) for each type and rating of overcurrent protective device; include selectable ranges for each type of overcurrent protective device.
- B. Shop Drawings: For enclosed switches and circuit breakers. Include plans, elevations, sections, details, and attachments to other work.
  - 1. Wiring Diagrams: For power, signal, and control wiring.

## SECTION 262816 - ENCLOSED SWITCHES AND CIRCUIT BREAKERS

### 1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For qualified testing agency.
- B. Seismic Qualification Certificates: For enclosed switches and circuit breakers, accessories, and components, from manufacturer.
  - 1. Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
  - 2. Dimensioned Outline Drawings of Equipment Unit: Identify center of gravity and locate and describe mounting and anchorage provisions.
  - 3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.
- C. Field quality-control reports.
  - 1. Test procedures used.
  - 2. Test results that comply with requirements.
  - 3. Results of failed tests and corrective action taken to achieve test results that comply with requirements.
- D. Manufacturer's field service report.

### 1.6 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For enclosed switches and circuit breakers to include in emergency, operation, and maintenance manuals. In addition to items specified in Section 017823 "Operation and Maintenance Data," include the following:
  - 1. Manufacturer's written instructions for testing and adjusting enclosed switches and circuit breakers.
  - 2. Time-current coordination curves (average melt) for each type and rating of overcurrent protective device; include selectable ranges for each type of overcurrent protective device. Submit on translucent log-log graph paper.

### 1.7 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Fuses: Equal to 10 percent of quantity installed for each size and type, but no fewer than three of each size and type.
  - 2. Fuse Pullers: Two for each size and type.

### 1.8 QUALITY ASSURANCE

- A. Testing Agency Qualifications: Member Company of NETA or an NRTL.

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1. Testing Agency's Field Supervisor: Currently certified by NETA to supervise on-site testing.
- B. Source Limitations: Obtain enclosed switches and circuit breakers, overcurrent protective devices, components, and accessories, within same product category, from single source from single manufacturer.
- C. Product Selection for Restricted Space: Drawings indicate maximum dimensions for enclosed switches and circuit breakers, including clearances between enclosures, and adjacent surfaces and other items. Comply with indicated maximum dimensions.
- D. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- E. Comply with NFPA 70.

### 1.9 PROJECT CONDITIONS

- A. Environmental Limitations: Rate equipment for continuous operation under the following conditions unless otherwise indicated:
  1. Ambient Temperature: Not less than minus 22 deg F and not exceeding 104 deg F.
  2. Altitude: Not exceeding 6600 feet.
- B. Interruption of Existing Electric Service: Do not interrupt electric service to facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary electric service according to requirements indicated:
  1. Notify Architect and or Construction Manager no fewer than seven days in advance of proposed interruption of electric service.
  2. Indicate method of providing temporary electric service.
  3. Do not proceed with interruption of electric service without Architect's or Construction Manager's written permission.
  4. Comply with NFPA 70E.

### 1.10 COORDINATION

- A. Coordinate layout and installation of switches, circuit breakers, and components with equipment served and adjacent surfaces. Maintain required workspace clearances and required clearances for equipment access doors and panels.

## PART 2 - PRODUCTS

### 2.1 MANUFACTURERS:

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

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1. Square D Co.
2. Eaton Corporation.
3. Siemens

### 2.2 FUSIBLE SWITCHES

- A. Type HD, Heavy Duty, Single Throw, 240 or 600-V ac (as per connected voltage), 1200 A and Smaller: UL 98 and NEMA KS 1, horsepower rated, with clips or bolt pads to accommodate indicated fuses, lockable handle with capability to accept three padlocks, and interlocked with cover in closed position.
- B. Accessories:
  1. Equipment Ground Kit: Internally mounted and labeled for copper and aluminum ground conductors.
  2. Neutral Kit: Internally mounted; insulated, capable of being grounded and bonded; labeled for copper and aluminum neutral conductors.
  3. Isolated Ground Kit: Internally mounted; insulated, capable of being grounded and bonded; labeled for copper and aluminum neutral conductors.
  4. Class R Fuse Kit: Provides rejection of other fuse types when Class R fuses are specified.
  5. Auxiliary Contact Kit: Two NO/NC (Form "C") auxiliary contact(s), arranged to activate before switch blades open.
  6. Hookstick Handle: Allows use of a hookstick to operate the handle.
  7. Lugs: Mechanical type, suitable for number, size, and conductor material.
  8. Service-Rated Switches: Labeled for use as service equipment.

### 2.3 NONFUSIBLE SWITCHES

- A. Type HD, Heavy Duty, Single Throw, 240 or 600-V ac (as per connected voltage), 1200 A and Smaller: UL 98 and NEMA KS 1, horsepower rated, lockable handle with capability to accept three padlocks, and interlocked with cover in closed position.
- B. Accessories:
  1. Equipment Ground Kit: Internally mounted and labeled for copper and aluminum ground conductors.
  2. Neutral Kit: Internally mounted; insulated, capable of being grounded and bonded; labeled for copper and aluminum neutral conductors.
  3. Isolated Ground Kit: Internally mounted; insulated, capable of being grounded and bonded; labeled for copper and aluminum neutral conductors.
  4. Auxiliary Contact Kit: Two NO/NC (Form "C") auxiliary contact(s), arranged to activate before switch blades open.
  5. Hookstick Handle: Allows use of a hookstick to operate the handle.
  6. Lugs: Mechanical type, suitable for number, size, and conductor material.

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### 2.4 SHUNT TRIP SWITCHES

- A. General Requirements: Comply with ASME A17.1, UL 50, and UL 98, with 200-kA interrupting and short-circuit current rating when fitted with Class J fuses.
- B. Switches: Three-pole, horsepower rated, with integral shunt trip mechanism and Class J fuse block; lockable handle with capability to accept three padlocks; interlocked with cover in closed position.
- C. Control Circuit: 120-V ac; obtained from integral control power transformer, with primary and secondary fuses, with a control power transformer/source of enough capacity to operate shunt trip, connected pilot, and indicating and control devices.
- D. Accessories:
  - 1. Oiltight key switch for key-to-test function.
  - 2. Isolated neutral lug; 100 percent rating.
  - 3. Mechanically interlocked auxiliary contacts that change state when switch is opened and closed.
  - 4. Form C alarm contacts that change state when switch is tripped.
  - 5. Three-pole, double-throw, fire-safety and alarm relay; 120-V ac coil voltage.
  - 6. Three-pole, double-throw, fire-alarm voltage monitoring relay complying with NFPA 72.

### 2.5 MOLDED-CASE CIRCUIT BREAKERS

- A. General Requirements: Comply with UL 489, NEMA AB 1, and NEMA AB 3, with interrupting capacity to comply with available fault currents.
- B. Thermal-Magnetic Circuit Breakers: Inverse time-current element for low-level overloads and instantaneous magnetic trip element for short circuits. Adjustable magnetic trip setting for circuit-breaker frame sizes 250 A and larger.
- C. Adjustable, Instantaneous-Trip Circuit Breakers: Magnetic trip element with front-mounted, field-adjustable trip setting.
- D. Electronic Trip Circuit Breakers: Field-replaceable rating plug, rms sensing, with the following field-adjustable settings:
  - 1. Instantaneous trip.
  - 2. Long- and short-time pickup levels.
  - 3. Long- and short-time time adjustments.
  - 4. Ground-fault pickup level, time delay, and  $I^2t$  response.
- E. Integrally Fused Circuit Breakers: Thermal-magnetic trip element with integral limiter-style fuse listed for use with circuit breaker and trip activation on fuse opening or on opening of fuse compartment door.
- F. Ground-Fault, Circuit-Interrupter (GFCI) Circuit Breakers: Single- and two-pole configurations with Class A ground-fault protection (6-mA trip).

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- G. Ground-Fault, Equipment-Protection (GFEP) Circuit Breakers: With Class B ground-fault protection (30-mA trip).
- H. Features and Accessories:
  - 1. Standard frame sizes, trip ratings, and number of poles.
  - 2. Lugs: Mechanical type, suitable for number, size, trip ratings, and conductor material.
  - 3. Application Listing: Appropriate for application; Type SWD for switching fluorescent lighting loads; Type HID for feeding fluorescent and high-intensity discharge lighting circuits.
  - 4. Ground-Fault Protection: Comply with UL 1053; integrally mounted, self-powered type with mechanical ground-fault indicator; relay with adjustable pickup and time-delay settings, push-to-test feature, internal memory, and shunt trip unit; and three-phase, zero-sequence current transformer/sensor.
  - 5. Shunt Trip: Trip coil energized from separate circuit, with coil-clearing contact.
  - 6. Undervoltage Trip: Set to operate at 35 to 75 percent of rated voltage without intentional time delay.
  - 7. Auxiliary Contacts: Two SPDT switches with "a" and "b" contacts; "a" contacts mimic circuit-breaker contacts, "b" contacts operate in reverse of circuit-breaker contacts.
  - 8. Alarm Switch: One NC contact that operates only when circuit breaker has tripped.
  - 9. Key Interlock Kit: Externally mounted to prohibit circuit-breaker operation; key shall be removable only when circuit breaker is in off position.
  - 10. Zone-Selective Interlocking: Integral with electronic trip unit; for interlocking ground-fault protection function.
  - 11. Electrical Operator: Provide remote control for on, off, and reset operations.

### 2.6 ENCLOSURES

- A. Enclosed Switches and Circuit Breakers: NEMA AB 1, NEMA KS 1, NEMA 250, and UL 50, to comply with environmental conditions at installed location.
  - 1. Indoor, Dry and Clean Locations: NEMA 250, Type 1.
  - 2. Outdoor Locations: NEMA 250, Type 3R OR 4XSS (as noted on drawings).
  - 3. Other Wet or Damp, Indoor Locations: NEMA 250, Type 4.
  - 4. Indoor Locations Subject to Dust, Falling Dirt, and Dripping Noncorrosive Liquids: NEMA 250, Type 12.
  - 5. Hazardous Areas Indicated on Drawings: NEMA 250, Type 7 or Type 9 (as noted on drawings).

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine elements and surfaces to receive enclosed switches and circuit breakers for compliance with installation tolerances and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

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### 3.2 INSTALLATION

- A. Install individual wall-mounted switches and circuit breakers with tops at uniform height unless otherwise indicated.
- B. Comply with mounting and anchoring requirements specified in Section 260548.16 "Seismic Controls for Electrical Systems."
- C. Temporary Lifting Provisions: Remove temporary lifting eyes, channels, and brackets and temporary blocking of moving parts from enclosures and components.
- D. Install fuses in fusible devices.
- E. Comply with NECA 1.

### 3.3 IDENTIFICATION

- A. Comply with requirements in Section 260553 "Identification for Electrical Systems."
  - 1. Identify field-installed conductors, interconnecting wiring, and components; provide warning signs.
  - 2. Label each enclosure with engraved metal or laminated-plastic nameplate.

### 3.4 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
  - 1. Manufacturer's Field Service: Engage a factory-authorized service representative to inspect components, assemblies, and equipment installations, including connections, and to assist in testing.
- B. Acceptance Testing Preparation:
  - 1. Test insulation resistance for each enclosed switch and circuit breaker, component, connecting supply, feeder, and control circuit.
  - 2. Test continuity of each circuit.
- C. Tests and Inspections:
  - 1. Perform each visual and mechanical inspection and electrical test stated in NETA Acceptance Testing Specification. Certify compliance with test parameters.
  - 2. Correct malfunctioning units on-site, where possible, and retest to demonstrate compliance; otherwise, replace with new units and retest.
  - 3. Perform the following infrared scan tests and inspections and prepare reports:
    - a. Initial Infrared Scanning: After Substantial Completion, but not more than 60 days after Final Acceptance, perform an infrared scan of each enclosed switch and circuit breaker. Remove front panels so joints and connections are accessible to portable scanner.

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- b. Follow-up Infrared Scanning: Perform an additional follow-up infrared scan of each enclosed switch and circuit breaker 11 months after date of Substantial Completion.
  - c. Instruments and Equipment: Use an infrared scanning device designed to measure temperature or to detect significant deviations from normal values. Provide calibration record for device.
4. Test and adjust controls, remote monitoring, and safeties. Replace damaged and malfunctioning controls and equipment.
- D. Enclosed switches and circuit breakers will be considered defective if they do not pass tests and inspections.
- E. Prepare test and inspection reports, including a certified report that identifies enclosed switches and circuit breakers and that describes scanning results. Include notation of deficiencies detected, remedial action taken, and observations after remedial action.

### 3.5 ADJUSTING

- A. Adjust moving parts and operable components to function smoothly, and lubricate as recommended by manufacturer.
- B. Set field-adjustable circuit-breaker trip ranges as specified in Section 260573 "Overcurrent Protective Device Coordination Study."

END OF SECTION 262816